

# Interaction of ARC and Daxx: A Novel Endogenous Target to Preserve Motor Function and Cell Loss after Focal Brain Ischemia in Mice

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The aim of this study was to explore the signaling and neuroprotective effect of transactivator of transcription (TAT) protein transduction of the apoptosis repressor with CARD (ARC) in *in vitro* and *in vivo* models of cerebral ischemia in mice. In mice, transient focal cerebral ischemia reduced endogenous ARC protein in neurons in the ischemic striatum at early reperfusion time points, and in primary neuronal cultures, RNA interference resulted in greater neuronal susceptibility to oxygen glucose deprivation (OGD). TAT.ARC protein delivery led to a dose-dependent better survival after OGD. Infarct sizes 72 h after 60 min middle cerebral artery occlusion (MCAo) were on average  $30 \pm 8\%$  (mean  $\pm$  SD;  $p = 0.005$ ;  $T_2$ -weighted MRI) smaller in TAT.ARC-treated mice ( $1 \mu\text{g}$  intraventricularly during MCAo) compared with controls. TAT.ARC-treated mice showed better performance in the pole test compared with TAT. $\beta$ -Gal-treated controls. Importantly, post-stroke treatment (3 h after MCAo) was still effective in affording reduced lesion volume by  $20 \pm 7\%$  (mean  $\pm$  SD;  $p < 0.05$ ) and better functional outcome compared with controls. Delayed treatment in mice subjected to 30 min MCAo led to sustained neuroprotection and functional behavior benefits for at least 28 d. Functionally, TAT.ARC treatment inhibited DAXX–ASK1–JNK signaling in the ischemic brain. ARC interacts with DAXX in a CARD-dependent manner to block DAXX trafficking and ASK1–JNK activation. Our work identifies for the first time ARC–DAXX binding to block ASK1–JNK activation as an ARC-specific endogenous mechanism that interferes with neuronal cell death and ischemic brain injury. Delayed delivery of TAT.ARC may present a promising target for stroke therapy.

**Key words:** behavioral outcome; brain ischemia; endogenous neuroprotection; middle cerebral artery occlusion; penumbra; TAT protein transduction

## Significance Statement

Up to now, the only successful pharmacological target of human ischemic stroke is thrombolysis. Neuroprotective pharmacological strategies are needed to accompany therapies aiming to achieve reperfusion. We describe that apoptosis repressor with CARD (ARC) interacts and inhibits DAXX and proximal signals of cell death. In a murine stroke model mimicking human malignant infarction in the territory of the middle cerebral artery, TAT.ARC salvages brain tissue when given during occlusion or 3 h delayed with sustained functional benefits (28 d). This is a promising novel therapeutic approach because it appears to be effective in a model producing severe injury by interfering with an array of proximal signals and effectors of the ischemic cascade, upstream of JNK, caspases, and BIM and BAX activation.

## Introduction

Stroke is a leading cause of death and disability worldwide (Saver et al., 2009). For ischemic stroke, the only therapeutic options

that have proved to result in a better outcome are intravenous thrombolysis, thrombectomy, hemicraniectomy, and stroke unit care (Fisher and Saver, 2015; Moretti et al., 2015). Cerebral isch-

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emia initiates a cascade of pathological pathways that cause cell death in the ischemic core within minutes after the onset of ischemia. Therefore, the aim of neuroprotective strategies is to interrupt this cascade and stabilize metabolically compromised but still viable brain cells in the ischemic penumbra. This concept involves inhibition of pathological molecular events that eventually lead to the influx of calcium, oxidative stress, and neuronal death (Broughton et al., 2009). Despite encouraging results from animal experiments, clinical trials with neuroprotective therapies have been unsuccessful until now. New therapeutic strategies are needed.

Therapeutic approaches targeting neuronal cell death in stroke must grapple with a complex challenge posed by multiple signaling pathways, heterogeneous mechanisms of cell death in different subregions of the ischemic territory, and a limited time window. Although damaged neurons often die from necrosis in the core of the infarct, cerebral ischemia triggers two central pathways of apoptosis: the extrinsic or death receptor pathway and the intrinsic or mitochondrial death pathway. Both result in the activation of caspases that lead to the proteolytic destruction of the cell (Namura et al., 1998; Broughton et al., 2009). Other upstream modulators of apoptosis are mitogen-activated protein kinases (MAPKs), JNK, ASK1, and DAXX (Wang et al., 1996; Kiriakidou et al., 1997; Chang et al., 1998; Herdegen et al., 1998; Ko et al., 2001; Irving and Bamford, 2002; Borsello et al., 2003; Kuan et al., 2003; Putcha et al., 2003; Kuida and Boucher, 2004; Nishina et al., 2004; Okuno et al., 2004; Gao et al., 2005; Salomoni and Khelifi, 2006; Murata et al., 2012).

Apoptosis repressor with CARD (ARC) is a highly potent and multifunctional inhibitor of apoptosis that is predominantly expressed in postmitotic cells, such as cardiomyocytes, skeletal muscle cells, and neurons (Koseki et al., 1998). ARC was originally described as an inhibitor of the death receptor pathway because it blocks apoptosis induced by a variety of death receptors (CD95/FAS, TNFR1, and TRAMP/DR3) and their adaptors (FADD and TRADD; Koseki et al., 1998). We recently showed that ARC is able to block apoptosis induced by activators of the mitochondrial death pathway such as ischemia/reperfusion injury in the heart and doxorubicin-induced cardiotoxicity (Donath et al., 2006; An et al., 2009). ARC inhibits both death receptor and mitochondrial apoptotic death pathways through nonhomotypic death-fold interactions. The death receptor pathway is disrupted by interactions between ARC and FAS, FADD, and procaspase-8 (Nam et al., 2004). The mitochondrial death pathway is inhibited by ARC binding BAX (An et al., 2013). Furthermore, treatment with exogenous ARC protein abrogates TNF-mediated liver damage through the direct interaction of ARC with JNK1/2 and thus inhibits JNK-mediated TNF $\alpha$  expres-

sion (An et al., 2012). Additionally, ARC protein transduction interferes with the RIP pathway by inhibiting JNK1/2 activation and counteracts acetaminophen overdose-induced hepatocellular necrosis (An et al., 2013).

Given ARCs multiple interactions in both apoptotic death pathways, its interference with necrosis/programmed necrotic cell death, and its comparably high protein expression in neurons, we hypothesized that it could be acting like a toggle switch between death and survival of neurons and thereby constitute a novel therapeutic target. This led us to evaluate the application of exogenous ARC protein in mice as a novel stroke therapy.

## Materials and Methods

All experiments were conducted in an ISO9001-certified quality management environment. Reporting of the study complies with the ARRIVE (Animal Research: Reporting of *In Vivo* Experiments) guidelines (Kilkenny et al., 2010).

**Primary neuronal cultures.** Primary neuronal cultures were derived from C57BL/6<sub>N</sub> mice at embryonic day E16 as described previously (Harms et al., 2004) and cultured with Neurobasal medium and B27 supplement (Invitrogen; Harms et al., 2007).

**Oxygen glucose deprivation.** Ischemic-like stress was induced in neuronal cultures at day *in vitro* (DIV) 10 by combined oxygen glucose deprivation (OGD) for 150 min after treatment with transactivator of transcription (TAT) proteins or if transduced with lentiviral particles for loss of function.

**Lactate dehydrogenase assay to assess cellular injury.** Neuronal injury after OGD was assessed by measuring lactate dehydrogenase (LDH) in culture medium as described previously (Harms et al., 2004). Briefly, LDH was measured in culture medium in a kinetic photometric assay (at 340 nm) at 24 h after the injury paradigm. Fifty microliters of culture media were pipetted into 96-well plates and mixed with 200  $\mu$ l of  $\beta$ -nicotinamide adenine dinucleotide solution (0.15 mg/ml in 1 $\times$  LDH buffer). Measurement was started rapidly after addition of the reaction substrate pyruvate (50  $\mu$ l of 22.7 mM pyruvate solution). Optical density was measured at 340 nm using a microplate reader, by 10 counts with 30 s intervals, followed by calculation of results using an LDH standard (Greiner; DiaSys). The maximum release of LDH was achieved by 20 min cell lysis with Triton X-100 as described recently, and data were normalized to these measurements (Datwyler et al., 2011; Yildirim et al., 2014).

**Propidium iodide incorporation.** Cell viability was assessed after staining naive cell cultures with propidium iodide (PI) to distinguish between living and dead cells (0.001 mg/ml for 5 min with subsequent rinsing), and five images per well were taken using an inverted IX81 microscope (Olympus) as described previously (Datwyler et al., 2011) with duplicates per condition. Viable neurons not incorporating PI (PI<sup>-</sup>) were counted in transmission images and quantified as ratios versus all neurons as described previously (Harms et al., 2007).

**Lentiviral particle generation and transduction.** Third-generation lentiviral particles were used to express microRNA embedded small-hairpin RNAs (shRNAs) targeting ARC. They were produced and titered using enhanced green fluorescent protein (EGFP) expression and woodchuck posttranscriptional regulatory element as described previously (Datwyler et al., 2011; Reich et al., 2011). Loss-of-function experiments were performed using lentiviral transfer vectors driven by the synapsin promoter. Briefly, microRNA 155 embedded control and ARC target-specific shRNAs (miR-shRNA) were designed using the software algorithm of Block-iT RNAi designer (Invitrogen) and blasted against the mouse genome. Three different target regions in the 5'UTR (TTGGCCCTTTCTGCACGTGCA), the open reading frame (ORF; AGCTATGACCCTTCATGCCA), and the 3'UTR (AGTCTGGCGCTCAGCTCTT) of Nolz/ARC (National Center for Biotechnology Information nucleotide accession number NM\_030152.4) were used to design oligonucleotides for oligo annealing and ligation into pcDNA6.2-GW/EmGFP-miR (Invitrogen) with the following sequences: NM\_030152.4 (Nolz, ARC)\_5'UTR\_8, TGCTGTGACAGTGCAGAAAGGGCCAAGTTTTGGCCACTGACTGACTTGGCCCTCTGCACTGTCA; NM\_030152.4\_ORF\_479, TGCTGTGGGCATGAAGGGTCATAGCTGTTTTGGCCACTGACTGACAGCTATGACTTCATGCCA; and

editing assistance. pTAT.HA and pTAT. $\beta$ -Gal were kindly provided by S. Dowdy (Howard Hughes Medical Institute, La Jolla, CA).

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**Table 1. Survival data of 145 animals**

Animals (n)	MCAo length (min)	TAT.application: Time/dose	TAT. $\beta$ -Gal–sham: n/death event (day)	TAT. $\beta$ -Gal–MCAo: n/death event (day)	TAT.ARC–sham: n/death event (day)	TAT.ARC–MCAo: n/death event (day)	Number of animals at survival endpoint	Data shown
24	60	During MCAo [1 $\mu$ g]	—	12/1 (1);	—	12/0 (n/a)	23/3 d	Figs. 2, 3, 5, 6
30	60	3 h post MCAo [5 $\mu$ g]	—	15/0 (n/a)	—	15/1 <sup>a</sup> (1)	29/3 d	Fig. 7
40	60	During MCAo [1 $\mu$ g]	5/0 (n/a)	15/0 (n/a)	5/0 (n/a)	15/0 (n/a)	40/3–24 h	Figs. 4, 6
5	n/a	TAT.ARC131F/G69R	—	—	5/0 (n/a)	—	5/24 h	Fig. 6
46	30	3 h post MCAo [5 $\mu$ g]	8/0 (n/a)	15/1 (7)	8/0 (n/a)	15/4 (1, 7, 8, 10)	41/28 d <sup>b</sup>	Fig. 8

<sup>a</sup>Survival was tested with the log-rank Mantel–Cox test with  $\chi^2 = 6.081$  (df = 3) and  $p = 0.11$  indicative that survival did not differ between groups in the last experiment.

<sup>b</sup>Animal died after MCAo before TAT application.

NM\_030152.4\_3'UTR\_1271, TGCTGAAGACTGTGAGCGCCAAGAC TGTTTTGGCCACTGACTGACAGTCTTGGCTCACAGTCTT.

The nontargeting negative control miR-shRNA (scrambled) was TGCTGAAATGTACTGCGCGTGGAGACGTTTTGGCCACTGACTG ACGTCTCCACGCAGTACATTT.

These miR-shRNAs with an EGFP reporter were subcloned into a modified derivative of Addgene plasmid 27232 driven by a neuron-specific RNA polymerase II-dependent synapsin promoter as described previously (Datwyler et al., 2011). Neuronal cultures were transfected on DIV 3. After 96 h, transduction efficiencies (>95% of neurons) and multiplicity of infection (~5) were determined and calculated from serial dilutions in neuronal cultures using EGFP fluorescence as a reporter. Three different ARC miR-shRNAs were tested for knockdown efficacy; the best candidate was used for additional analysis (miR-shRNA\_ARC\_8\_5'UTR) and compared with control miR-shRNA.

**Immunoblotting and immunoprecipitation.** Brains were cut in three sagittal slices from median to lateral and collected for each hemisphere. Protein extracts were prepared and subjected to either SDS page and immunoblot analysis or immunoprecipitations as previously described (Hauck et al., 2007, 2008; An et al., 2012, 2013). For immunoprecipitation, protein extracts were mixed with antibodies (1–5  $\mu$ g/ml) for 2 h on a rotating wheel. This was followed by the addition of 50  $\mu$ l of protein A or G Plus-Sepharose beads (Roche) or 30  $\mu$ l of agarose conjugated JNK1 (sc-1648 AC)/JNK2 (sc-827 AC) or phospho-SAPK/JNK (Thr183/Tyr185) beads for 1 additional hour at 4°C. Immunoprecipitates were washed four times with RIPA buffer [for activated Bax, we used CHAPS buffer as described (Gustafsson et al., 2004)] and boiled in 50  $\mu$ l of SDS sample buffer. Samples were resolved over 12 or 15% SDS-polyacrylamide gels and transferred onto nitrocellulose membranes. The membrane was probed with primary antibodies and then incubated with the secondary antibody (1:2500 dilution). Immunocomplexes were detected using the enhanced chemiluminescence system (GE Healthcare). Anti-ARC (1:2000 dilution) was purchased from ProSci. Anti-Actin (1:2000 dilution) was obtained from Calbiochem. Anti-caspase-8 antibody (1:1000 dilution) was obtained from Alexis Biochemicals. phospho-ASK1 (S967, 1:500 dilution), phospho-ASK1 (T845, 1:500 dilution), anti-caspase-3 antibody (1:1000 dilution), anti-caspase-9 antibody (1:1000 dilution), anti-phospho-c-Jun (P-c-Jun; 1:1000 dilution), anti-c-Jun (c-Jun, 1:1000 dilution), anti-Daxx (1:500), anti-JNK2 (1:1000 dilution), anti-JNK3 (1:1000 dilution), anti-phospho-JNK (Thr183/Tyr185; P-JNK, 1:1000 dilution), phospho-SAPK/JNK (Thr183/Tyr185; 81E11; Sepharose Bead Conjugate), anti-phospho-p44/42 MAPK (P-p44/42; 1:2000 dilution), and anti-p44/42 MAPK (p44/42, 1:1000 dilution) were purchased from Cell Signaling Technology. Anti-Bax (1:1000 dilution), anti-HA tag (1:1000 dilution), anti-JNK2, agarose conjugated JNK1 (sc-1648 AC), JNK2 (sc-827 AC), and anti-JNK (JNK, 1:1000 dilution) were purchased from Santa Cruz Biotechnology. Anti-Bax6A7 (1:1000 dilution) and anti-JNK1 (1:1000 dilution) were obtained from BD Pharmingen. Anti-His tag (1:1000 dilution) and anti-phospho-JNK (Thr183/Tyr185; P-JNK, 1:1000 dilution) were purchased from Invitrogen. Anti-Bim (1:1000 dilution) was obtained from Stressgen. Anti-ASK1 (1:1000 dilution) and anti-HA-Tag (1:4000 dilution) were purchased from Abcam. Anti-JNK, HRP conjugates was obtained from Abcam. Protein A Sepharose beads for rabbit antibody and Protein G beads for mouse IgG<sub>1</sub> were obtained from Roche. HRP-conjugated secondary antibody specific for mouse IgG and specific for rabbit IgG was from GE Healthcare (1:2500 dilution).

All other chemicals were purchased from standard commercial sources.

**Densitometry of immunoblots.** Western blot quantification were performed after smart background subtraction (rolling ball algorithm) using NIH ImageJ, and each band was normalized to the total gray intensities of a given blot and expressed as the ratio to the housekeeping. Each red spot represents immunoblots of individual animals/time points. Adjustment of densitometric measures to the lesion was done on magnetic resonance imaging (MRI)-based T2 lesion volume per slice, and a dilution factor with non-T2-enhanced “healthy” brain tissue was used to adjust for immunoblot signals derived from a specific stroke subregion.

**TAT fusion proteins.** For the generation of recombinant proteins, pTAT.HA and pTAT. $\beta$ -Gal vectors were obtained from S. Dowdy (Howard Hughes Medical Institute, La Jolla, CA). We produced TAT recombinant proteins as published previously (Hauck et al., 2007, 2008; An et al., 2012, 2013). A scheme of all TAT protein constructs is shown in Figure 6D. Protein purity was evaluated by SDS-PAGE and Coomassie blue staining. Concentrations of the purified proteins were determined by the Bradford method and adjusted to 1  $\mu$ g/ $\mu$ l with bovine albumin as a standard (Pierce, Thermo Fisher Scientific).

**Middle cerebral artery occlusion and TAT protein delivery.** Filamentous middle cerebral artery occlusion was performed for 60 min with indicated reperfusion time points according to the protocol published as a Standard Operating Procedure (SOP) protocol by Dirnagl et al. (doi: 10.1038/npre.2010.3492.2). In addition, 30 min middle cerebral artery occlusion (MCAo) was performed with a 28 d observation period to corroborate sustainability of the neuroprotective and neurorestorative effects (Fig. 8). All animal experiments were approved by the Berlin governmental authorities (Landesamt für Gesundheit und Soziales, G0385/08 and G197/12). Male C57BL/6Ncrl mice were derived from Charles River at the age of 8 weeks and were used in the experiments at the age of 10–12 weeks. All animals had access to food and water *ad libitum* and were kept under a 12 h light/dark cycle. No specific exclusion criteria were set. One mouse was excluded because it showed no lesion in the MRI or a functional deficit in the first setting of *in vivo* experiments (see Fig. 2). Table 1 lists the survival and time of death events in detail.

**TAT protein delivery in the contralateral ventricle.** TAT proteins were delivered by stereotaxic inoculation in the lateral ventricle of the contralateral hemisphere with injection over 5 min starting 15 min after MCAo and a total volume of 1  $\mu$ l with 1  $\mu$ g of highly purified recombinant TAT-fusion proteins with the following coordinates: 0.7 mm caudal to bregma, 1.3 mm lateral to sagittal suture (right side), and 1.3 mm in depth. The needle was retracted carefully within 5 min. In case of delayed treatment, a total volume of 5  $\mu$ l was injected with 5  $\mu$ g of protein of the respective TAT protein.

**MRI.** MRI was performed using a 7 Tesla rodent scanner (Bruker Pharmascan 70/16AS) with a 16 cm horizontal bore magnet and a 9 cm (inner diameter) shielded gradient with an H-resonance frequency of 300 MHz and a maximum gradient strength of 300 mT/m. For imaging, a 1-hydrogen proton radio frequency quadrature-volume resonator with an inner diameter of 20 mm was used. Data acquisition and image processing were performed with the Bruker software Paravision 4.0. During the examinations, mice were placed on a heated circulating water blanket to ensure constant body temperature of 37°C. Anesthesia was induced with 2.5% and maintained with 1.0–2.0% isoflurane delivered in a O<sub>2</sub>/N<sub>2</sub>O mixture (30/70%) via a facemask under constant ventilation monitoring.

**Table 2. Modified DeSimoni neuroscore for the assessment of general deficits**

No.	General versus focal deficits	Objective	Assessment/instruction	Score = 0	Score = 1	Score = 2	Score = 3	Score = 4	Summative score
1	General deficits	Hair	Mouse observed on open bench top (OS). Observation with no interference	Hair neat and clean	Lack of grooming, piloerection and dirt on the fur around nose and eyes	Lack of grooming, piloerection, and dirty coat, extending beyond just nose and eyes			0–2
2	General deficits	Ears	Mouse on OS. Observation at the beginning with no interference and then stimulation by clicking one's tongue	Normal. Ears are stretched laterally and behind. They react by straightening up after noise	Stretched laterally but not behind (one or both). They react to noise	Same as 1. They do not react to noise			0–2
3	General deficits	Eyes	Mouse on OS. Observation with no interference or stimulation	Open and clear (no discharge)	Open and characterized by milky white mucus	Open and characterized by milky dark mucus	Eyes dotted (one or both sides)	Closed	0–4
4	General deficits	Posture	Place the mouse on the palm of your hand and rock gently to observe stability	The mouse stands in the upright position on four limbs with the back parallel to the palm. During the rocking movement, it uses its limbs to stabilize itself	The mouse stands hump-backed. During the rocking movement, it lowers its body instead of using its limbs to gain stability	The head or part of the trunk lies on the palm	The mouse reclines to one side but may be able to turn to an upright position with some difficulty	No upright position possible	0–4
5	General deficits	Spontaneous Activity	Mouse on OS. Observation with no interference or stimulation	The mouse is alert and explores actively	The mouse seems alert, but it is calm and quiet	The mouse starts and stops exploring repeatedly and slowly. The mouse is listless, moves sluggishly but does not explore	The mouse is lethargic or stuporous and barely moves during the 60 s	No spontaneous movements	0–4
									0–16

A rapid acquisition with relaxation enhancement (RARE) T2-weighted sequence was used. Imaging parameters were as follows: for T2, repetition time, 4200; echo time, 36 ms; RARE factor 8, 4 averages. Twenty axial slices with a slice thickness of 0.5 mm, a field of view of  $2.75 \times 2.75$  cm, and a matrix of  $256 \times 256$  were positioned over the brain excluding the olfactory bulb.

Stroke volume and regional stroke volume in 2 mm sagittal slices used for the biochemical assays were analyzed using Analyze 5.0 and NIH ImageJ software. For stroke volumetry, hyperintense areas of ischemic tissue in T2-weighted images were assigned with a region of interest tool. This enabled a threshold-based segmentation that was performed by connecting all pixels within a specified threshold range around the selected seed pixel and resulted in a 3D object map of the whole stroke region. The total volume of the whole object map was calculated automatically.

To calculate the regional ischemic lesion volumes that would correspond to slices prepared for immunoblots, axial MR images were divided with NIH ImageJ into three equal slices from medial to lateral. The volumes of hyperintense areas in each brain section were calculated by Analyze 5.0 as described above. Brain parenchyma were cropped for presentation purposes.

**Pole test.** The pole test was performed to analyze sensory motor function. The test was performed in a blinded manner with treatment allocation concealed throughout. Mice were habituated to the procedure the day before testing. They were placed head upward near the top of a vertical rough-surfaced pole (1 cm diameter, 50 cm height) and then allowed to descend five times during one experimental session. The total time needed to turn completely head downward (“time-to-turn”) and the time it took the mouse to reach the floor with all four paws (“time-to-come-down”) were recorded. Criteria for inclusion of mice for evaluation in the pole test were a non-interrupted “smooth” run to measure the time-to-descend and an immediate initiation to turn with no additional interruption to come down the pole. Because of this criteria, we had to exclude five animals in the TAT.β-Gal-treated group and three animals in the TAT.ARC-treated group in the delayed application series (see Fig. 7).

**Modified DeSimoni neuroscores, rotarod test, and non-invasive body temperature measures.** DeSimoni neuroscore was performed at the indicated time points (see Fig. 8) as described previously (De Simoni et al., 2003; Orsini et al., 2012) with some modifications. In brief, general health (Table 2) and specific focal assessments (Table 3) were separately scored, analyzed, and finally added to form a summation score. Summative scores added up to a maximum of 43 points, with more points meaning more deficits.

Rotarod was assessed as described recently (Hoffmann et al., 2015), and the best run of three replicates at a given time point was used for statistical analysis.

Body temperature was non-invasively assessed at the same time of the day before body weight measurements using subcutaneous transponders (IPTT-300; Bio Medic Data Systems) for unambiguous identification of mice in their home cages as described previously (Kort et al., 1998; Langer and Fietz, 2014).

**Coronal sections and mouse brain coordinates for histology.** Mouse brains were cut in coronal sections using a mouse brain atlas (Franklin and Paxinos, 2007) to analyze direct and indirect infarct volumes (a1–a5, area), striatal area, and neuronal densities within the striatum were determined in a3. a1 = interaural 6.6 mm/bregma = 2.80; a2 = interaural 5.34 mm/bregma = 1.54; a3 = interaural 3.94 mm/bregma = 0.14; a4 = interaural 1.86 mm/bregma = -1.94; a5 = interaural -0.08 mm/bregma = -3.88.

**Histology.** Immunohistochemistry for Figure 1A was performed in paraffin-embedded 4-μm-thick coronal sections after perfusion with physiological saline and 4% paraformaldehyde (PFA) in deep anesthesia. Rabbit anti-ARC antibody (F100) and mouse anti-NeuN (F100) were incubated overnight after blocking with 10% normal donkey serum in 0.1% Triton X-100. Secondary antibodies were derived from donkey and conjugated to Rhodamine Red X (anti-rabbit) or FITC (anti-mouse). Sections were mounted in Immunomount Gold (Invitrogen) and visualized using confocal microscopy. Immunohistochemistry, hematoxylin staining, and histology were performed as described previously (Reich et al., 2011). Neuronal densities were achieved by anti-NeuN DAB staining as described previously (Hoffmann et al., 2015).

**Imaging acquisition and quantification of neuronal densities.** Image collection of NeuN–DAB-stained brain slices from mice 28 d after MCAo were collected as transmission images using a Leica DMI8 microscope equipped with an LED light source, a Fluotar 10×/030 dry objective, and a DFC300G camera and stitched within the Leica LAS X2.0 software. Striatal neuronal areas were measured from coronal section three (a3) directly, and NeuN-positive cell counts were derived from thresholded and segmented images in the public domain program NIH ImageJ. Direct lesion volumes were calculated from five coronal brain sections as described previously (Hoffmann et al., 2015).

**Statistical analysis.** Our study was designed as an exploratory analysis of the endogenous neuroprotective mechanisms of ARC as a potential therapeutic target (Kimmelman et al., 2014). The first part of our study (see Figs. 1–7) uses *post hoc* tests (e.g., Tukey's test) to correct for multiple testing for each figure separately. The second part of our study (see Fig. 8) focuses on sustained effects of TAT.ARC treatment in MCAo mice with 28 d survival, and we used five hypotheses with hierarchical testing. All data are presented as means and scattered dot plots ± SEM. We calculated 95% confidence intervals (CIs) for Figure 8, *F*, *L*, and *M*, and presented all animals with box and whiskers for Figure 8K. Two-way repeated-measures (RM) ANOVA with Bonferroni's *post hoc* tests for

**Table 3. Modified DeSimoni neuroscore for the assessment of focal deficits**

No.	General versus focal deficits	Objective	Assessment/instruction	Score = 0	Score = 1	Score = 2	Score = 3	Score = 4	Summative score
6	Focal deficits	Body symmetry	Mouse on OS, observation of undisturbed resting behaviour and description of the virtual nose–tail line	Normal. a, Body: normal posture, trunk elevated from the bench, with forelimbs and hindlimbs leaning beneath the body. b, Tail: straight	Slight asymmetry. a, Body: leans on one side with forelimbs and hindlimbs leaning beneath the body. b, Tail: slightly bent	Moderate asymmetry. a, Body: leans on one side with forelimbs and hindlimbs stretched out. b, Tail: slightly bent	Clear asymmetry. a, Body leans on one. b, Tail: clearly bent	Complete asymmetry. a, Body. b, Tail	0–4
7	Focal deficits	Gait	Mouse on OS. Observation of undisturbed movements	Normal. Gait is flexible, symmetric, and quick	Stiff, inflexible. The mouse walks humpbacked, slower than normal mice	Limping with asymmetric movements	More severe limping, drifting, falling with obvious deficiency in gait	Does not walk spontaneously. (In this case, stimulation will be performed gently pushing the mouse with a pen. When stimulated, the mouse walks no longer than three steps.)	0–4
8	Focal deficits	Climbing	Mouse is placed in the center of a gripping surface at an angle of 45° to OS	Normal. The mouse climbs quickly	Climbs slowly, limb weakness present	Holds onto slope, does not slip or climb	Slides down slope; difficulty to prevent fall	Slides down slope, unsuccessful effort to prevent fall	0–4
9	Focal deficits	Circling behavior	Mouse on OS. Observation of the mouse walking undisturbed on the OS	Circling behavior absent. The mouse turns equally to left or right	Predominantly one-sided turns. Optional: record to which side the mouse turns	Circles to one side, although not constantly	Circles constantly to one side. This one is now highlighted in yellow	No movements	0–4
10	Focal deficits	Forelimb symmetry	Mouse suspended by the tail. Movements and position of forelimbs are observed	Normal. Both forelimbs are extended towards the bench and move actively	Light asymmetry. Contralateral forelimb does not extend entirely	Marked asymmetry. Contralateral forelimb bends towards the trunk. The body slightly bends on the side ipsilateral to the stroke	Prominent asymmetry. Contralateral forelimb adheres to the trunk	Slight asymmetry, no body/limb movement	0–4
11	Focal deficits	Compulsory circling	Forelimbs on bench, hindlimbs suspended by the tail. This position reveals the presence of the contralateral limb palsy. In this handstand position, limb weakness is displayed by a circling behavior when the animal attempts forward motion	Absent. Normal extension of both forelimbs	Both forelimbs extended but begins to circle predominantly to one side	Circles only to one side and may fall to one side	Pivots to one side sluggishly and does not rotate in a full circle. Mouse will fall to one side	No or rare movements	0–4
12	Focal deficits	Gripping of the forepaw	Mouse is held by the tail on the wire bar cage lid, so that the forepaws touch the grid	Mouse grasps the grid firmly with forepaws and tries to place the hind paws also onto the grid by pulling the hindpaws under the body	Mouse accesses the grid but has less power. A slight pull breaks the grip of the forepaws	Mouse cannot grip with the impaired forepaw	Mouse cannot grip the grid		0–3

0–27

comparison of simple treatment effects within control or OGD was used for Figure 1D. Two-way ANOVA with Tukey's *post hoc* analysis was performed for miR-shRNA effects on OGD and TAT protein transduction of neuronal cultures (Fig. 1H). Two-way RM ANOVA, followed by Tukey's *post hoc* analysis, was performed for MRI stroke volume assessment after 24 and 72 h (see Fig. 2C). Two separate mixed linear models were performed for sagittal slice-specific MRI stroke volume assessment for 24 and 72 h Sidak's *post hoc* tests (see Fig. 3A), including treatment and slice area as covariates. Mann–Whitney *U* rank-sum test was performed for behavioral analysis and stroke volume analysis (pole test; Figs. 2E, 7B, histology, C). Two-tailed Student's *t* test was performed for direct stroke volume analysis after 28 d and for testing differences in ratios of counted NeuN-positive cells within the striatum (coronal slice a3; see Fig. 8L, M). The number of experimental units required to detect a standardized effect size  $>0.25$  was calculated by a priori power analysis with the following assumptions:  $\alpha = 0.05$ ;  $\beta = 0.2$ ; mean, SD 20% of the mean for *in vivo* MCAo experiments.

Seven separate linear mixed models were used to analyze total neuroscore values (see Fig. 8B), general neuroscore values (see Fig. 8C), focal deficit values (see Fig. 8D), rotarod values (see Fig. 8E, F), striatal area per hemisphere in coronal slice a3 (see Fig. 8K), body weight (see Fig. 8G), and body temperature (dependent variables; see Fig. 8H) to test differences between TAT.ARC and  $\beta$ -Gal within sham and MCAo mice (random intercept models to account for average differences in outcome between mice and clustered data structure). To analyze the rotarod data, we used the best value of three measures for each time point and each animal. Main hypotheses were related to differences between TAT.ARC- and  $\beta$ -Gal-treated mice with MCAo. Specifically, we tested five hierarchical ordered main hypotheses [hypothesis 1, differences in MCAo group between TAT.ARC- and  $\beta$ -Gal-treated mice in the general neuroscore; hypothesis 2, same as in 1 but focal score; hypothesis 3, same as in

1 but sustainability of neuroprotection by TAT.ARC in MCAo mice compared with  $\beta$ -Gal-treated mice as shown by rotarod values at 14 d; hypothesis 4, morphological correlates presenting less striatal atrophy by TAT treatment (compared to  $\beta$ -Gal-treated mice) within MCAo; and hypothesis 5, smaller direct lesion volume or lower number of NeuN-positive neurons within the striatum] by using a hierarchical test procedure to control the familywise error rate for these subanalyses. For these hierarchical testing, a two-sided significance level of  $\alpha = 0.05$  was considered. All additional hypotheses were tested exploratory. For the linear mixed regression models, we used all available measures over time for all mice (255 measures for 45 mice for the total neuroscore/general neuroscore/focal deficit; 128 measures for 45 mice for rotarod, 62 measures for 31 mice for striatal area in a3, 1173 measures for 46 mice for body temperature/body weight). As independent variables, we included the group (sham or MCAo), the intervention (TAT.ARC or  $\beta$ -GAL), and the interaction between group and intervention in all models. For the models for neuroscore values (total, general, and focal deficit), for the rotarod data, and for body temperature and body weight, we additionally included time (day centered and for the neuroscore models as well as for body temperature and body weight additionally, a squared term for time to account for the curvilinear relation between time and the dependent variable) and the interaction terms group  $\times$  time and intervention  $\times$  time. For the two-lesion volume model, we included the information on hemisphere (ipsilateral or contralesional) and the interaction between group  $\times$  hemisphere and intervention  $\times$  hemisphere. In case of skewed distribution of outcome, we transformed the values before regression. All reported *p* values are related to *post hoc* tests from the mixed models. Differences in survival rates were calculated by the log-rank test (Table 1).

**Methods to prevent bias.** Cell counts for survival of neurons that are not incorporating propidium iodide (PI<sup>+</sup>) were performed after blinding,

randomization, and blinding of the allocation to treatment groups. Ten high-power fields derived from duplicate wells per experiment was used to calculate the mean that was further analyzed as an independent data point. A total of four independent cell culture platings were used, and 689 and 780 cells in control conditions and after OGD were analyzed overall.

Survival and the number of 145 animals allocated to the various groups and finally evaluated are described in Table 1. Twelve mice per group (in 24 mice) were used for Figure 2, but one animal died and one animal was excluded before analysis and unblinding to the groups because it had no visible stroke (both from the TAT. $\beta$ -Gal group). Thirty mice were used for the delayed treatment protocol in Figure 7 (15 per group). One mouse died from the TAT.ARC-treated group. Ten animals were subjected to sham surgery and received TAT. $\beta$ -Gal or TAT.ARC (each five animals), and 30 animals were transduced with TAT proteins and were subjected to 60 min of MCAo with 3, 8, or 24 h reperfusion time (each 5 animals) for immunoblots in Figures 3*B*, 4, *A* and *B*, 5, and 6, *A–C* and *E*. An additional five animals were transduced with TAT.ARC-mutant (see Fig. 6*E*). No animals died or had no lesion in the time course study. In summary, of the 145 animals, 137 animals were included in the study and reached the endpoint. Survival in the 28 d sustained neuroprotection study (see Fig. 8) is documented in detail in Table 1, and no animals were excluded in this substudy. The experimental outlines are depicted in Figures 2, 7, and 8 and Table 1.

Animals were randomized using the GraphPad calculator tool (<http://graphpad.com/quickcalcs/randomize1/>) before the experiments by a scientist who was not involved in the surgery, the TAT application, or behavioral or MRI analysis. All details on cage number, group of surgery cohort (day), and mouse identification can be found in the open data resources that are available for this publication on Figshare Repository and that are described under original data deposition. Concealment of treatment allocation was maintained throughout the study. The surgeon was blinded for the grouping to either the control or the TAT.ARC protein. MRI analysis was performed by a computer-aided algorithm by scientists who were not involved in surgery, TAT application, or behavioral analysis, and both the grouping and the treatment allocation were concealed for stroke volume analysis and behavioral tests. The specific inclusion criteria for the pole test were set before the experiment.

Criteria for inclusion of mice for evaluation in the pole test were a non-interrupted smooth run to measure the time-to-descend and an immediate initiation to turn with no additional interruption to come down the pole. Because of these criteria, we had to exclude five animals in the TAT. $\beta$ -Gal-treated group and three animals in the TAT.ARC-treated group in the delayed application series (see Fig. 7).

**Original data deposition.** The following primary datasets, MRI scans, original behavioral datasets, histological analyses, or stitched histology images of NeuN are available as open data on Figshare Repository in raw data format: Figure 1, *C* and *D*, cell counts, <https://doi.org/10.6084/m9.figshare.3405787> (Donath et al., 2016a); Figure 1 *H*, normalized LDH data, <https://doi.org/10.6084/m9.figshare.3406282> (Donath et al., 2016h); Figures 2 and 3, MRI original data, <https://doi.org/10.6084/m9.figshare.3398722> (Donath et al., 2016g); Figure 3*A*, MRI slice results of T2 lesion after 24 and 72 h, <https://doi.org/10.6084/m9.figshare.3420883> (Donath et al., 2016f); Figure 7*C*, histology of infarct volumes, <https://doi.org/10.6084/m9.figshare.3420868> (Donath et al., 2016e); Figure 8*A–H*, behavior, body weight, and body temperature, <https://doi.org/10.6084/m9.figshare.3420901> (Donath et al., 2016d); Figure 8*K–M*, striatal volume, chronic lesion volume, and striatal neuronal cell counts, <https://doi.org/10.6084/m9.figshare.3398761> (Donath et al., 2016c); and Figure 8, *I* and *J*, NeuN-DAB stainings in sham MCAo mice with 28-days survival, <https://doi.org/10.6084/m9.figshare.3413683> (Donath et al., 2016b).

## Results

### Endogenous and exogenous ARC provides neuroprotection against OGD

We identified an early loss of ARC protein in neurons in the ischemic striatum at 20 h of reperfusion after MCAo *in vivo* (Fig. 1*A*). We corroborated loss of ARC protein by Western blot analysis of the ischemic striatal core after 3, 8, and 24 h of 60 min of

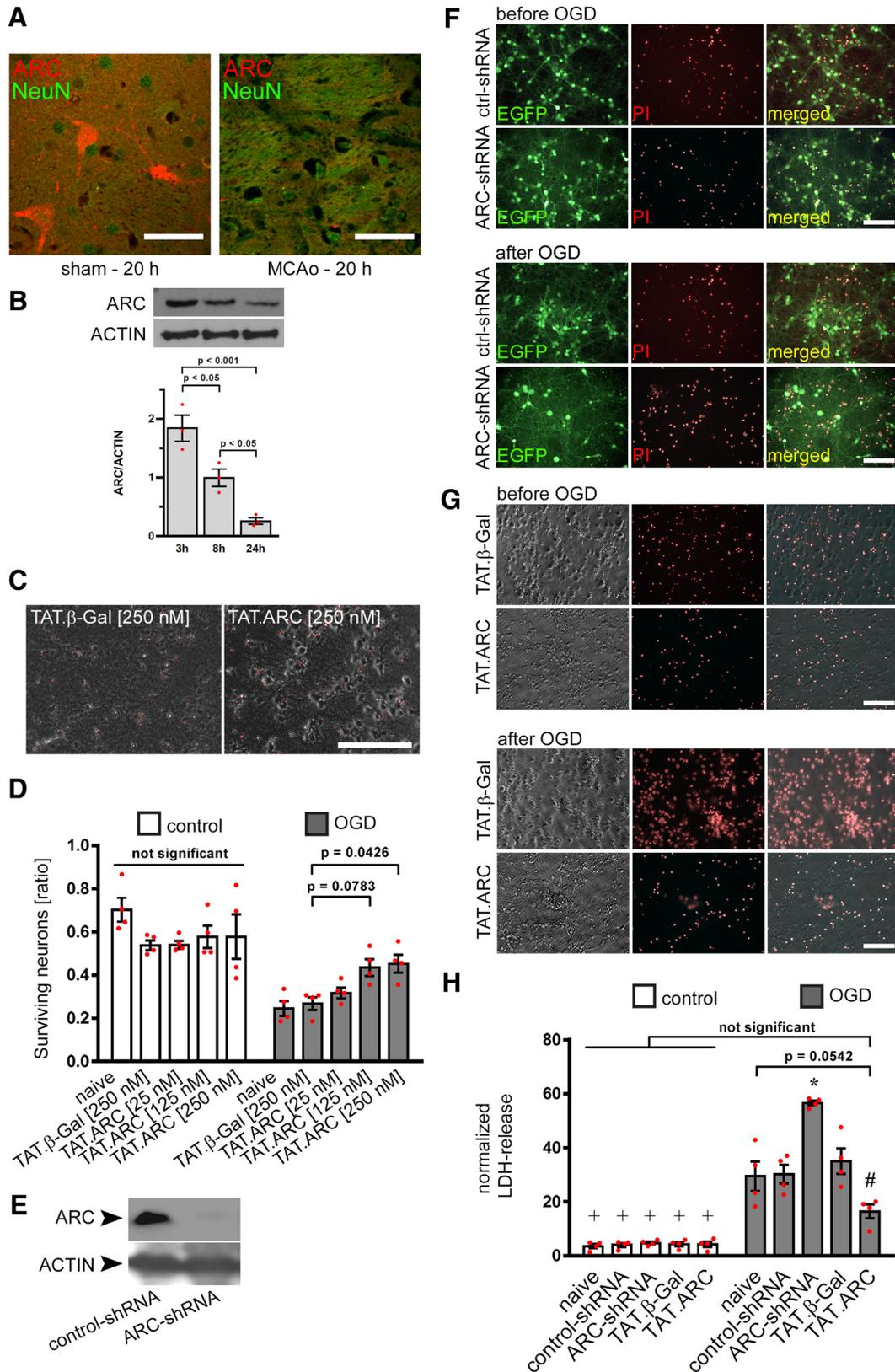
transient MCAo with a gradual decrease over time (Fig. 1*B*). Next, we investigated whether increasing ARC protein levels using TAT.ARC protein transduction would provide neuroprotection. We tested neuronal cultures and applied TAT proteins 1 h before OGD (Fig. 1*C,D*). Exogenous ARC protein provided strong, dose-dependent neuroprotection that was similar to and not significantly different from control cultures (Fig. 1*D*). To investigate whether endogenous ARC had an effect on neuronal vulnerability, we used RNA interference to gradually reduce ARC protein content in primary neuronal cultures (Fig. 1*E,F,H*). Lentiviral particles coding for a scrambled microRNA-embedded shRNA (control-shRNA) served as a control. shRNAs were driven by a neuron-specific synapsin promoter. EGFP was used to serve as a reporter for bicistronic expression of miR-shRNA. Although the baseline number of neuronal cells did not vary, neurons with reduced ARC protein levels were more vulnerable and prone to neuronal cell loss after combined OGD (Fig. 1*F,H*). We aimed to directly compare the effects of gain and loss of function and applied the most effective dose derived from titration experiments (Fig. 1*D*) and the corresponding TAT. $\beta$ -Gal control and lentiviral particles for RNA interference in one set and performed four independent experiments with 150 min of OGD (Fig. 1*F–H*).

### TAT.ARC protein transduction protects mice from transient focal cerebral ischemia

We exposed mice to MCAo, applied TAT proteins intracerebroventricularly, and analyzed several time points of reperfusion. The experimental setup is shown in detail in Figure 2*A*. We tested the distribution of TAT. $\beta$ -Gal or TAT.ARC in the brain and their protein stability in the ischemic territory over time in Figure 2, *B* and *C*. The ipsilateral hemispheres were cut in three 2-mm-thick sagittal slices to demonstrate the spread of the proteins in brain lysates of these subregions after contralateral intrathecal TAT protein administration 72 h after 60 min MCAo (Fig. 2*B*). Both TAT. $\beta$ -Gal and TAT.ARC showed lower immunoreactive protein on the same immunoblot membrane over time when we compared their presence in the ischemic core at 3, 8, and 24 h of reperfusion (Fig. 2*C*). TAT.ARC-treated mice showed infarct sizes that were significantly smaller than those with TAT. $\beta$ -Gal-treated controls 72 h after 60 min MCAo by MRI measurement (Fig. 2*D,E*). This was confirmed by histological analysis at 72 h (Fig. 2*F*). In the cortical subregion of the ischemic territory, MRI had already revealed differences in lesion volume between treatment groups at 24 h (Fig. 3*A*), indicative of a protective effect of TAT.ARC treatment in the penumbra. This reduction in the cortical penumbra was more pronounced at 72 h and resulted in a significantly smaller entire ischemic territory in TAT.ARC-treated mice (Fig. 3*A*). This lower lesion volume after MCAo was associated with significantly better behavioral function compared with control animals (Fig. 2*G*).

In analogy to the biochemical analysis, in which brains were cut in three sagittal slices from medial to lateral and collected for each hemisphere, we cut/separated the brain MRI scans into slices that corresponded to cortical penumbra (lateral), ischemic core (middle), and striatal penumbra (medial) and analyzed lesion volumes for each slice (see Materials and Methods and Fig. 3*A*). We performed this type of analysis because smaller lesion volumes in the treatment group and differing pathophysiological processes in the core and the penumbra of the ischemic territory may confound the analysis of the mechanisms underlying the neuroprotective effect of ARC.

MRI-based regional subgroup analysis of lesion volumes showed significant effects of TAT protein delivery on the cortical penumbra after 24 and 72 h of reperfusion (Fig. 3*A*), whereas the



**Figure 1.** ARC is expressed in neurons and serves as an endogenous and exogenous neuroprotective protein against OGD. **A**, C57BL/6 mice were subjected to 30 min of MCAo or sham procedure. PFA and paraffin-embedded 4 μm sections were stained for ARC protein (Rhodamine Red X) and neuronal counterstain with NeuN (FITC) after 20 h of reperfusion. Microscopic pictures were taken with a confocal microscope. Scale bar, 50 μm. **B**, C57BL/6 mice were subjected to 60 min of MCAo, and the striatal ischemic core was isolated at indicated time points. Immunoblots for ARC and ACTIN protein was performed from brain lysates and densitometric analysis [ $n = 3$  animals (red dot plots and mean ± SEM), ordinary ANOVA and Tukey's *post hoc* test]. **C**, **D**, Exogenous ARC protein transduction leads to a dose-dependent increase in neuronal survival after OGD compared with TAT.β-Gal-treated neurons. **F** [Degrees of freedom for the numerator: DFn, Degrees of freedom for the denominator: DFD]<sub>(4,30)</sub> = 3.858 with  $p = 0.0121$  for TAT treatment × OGD interaction and  $F[DFn, DFD]_{(4,30)} = 64.28$  with  $p < 0.0001$  for effects of OGD. Data were derived from four independent experiments and shown as red dot plots, whereas bars were presented as mean ± SEM. Primary neuronal cultures were transduced with lentiviral particles for (Figure legend continues.)

ischemic core and medial striatum showed no significant difference between TAT. $\beta$ -Gal- and TAT.ARC-treated mice at either reperfusion time point (Fig. 3A).

### Exogenous ARC prevents cleavage of caspase-8, caspase-9, and caspase-3 and prevents activation of BH3-only proteins BAX/ BIM and JNK pathways

Brain sections were analyzed by immunoblots and showed substantially less activation of caspase-8, caspase-9, and caspase-3 in all subregions of the ischemic brains treated with TAT.ARC proteins compared with the TAT. $\beta$ -Gal-treated animals (Fig. 3B with semiquantitative analysis non-adjusted and adjusted to the lesion volume in a given slice in C). Although the proapoptotic BH3-only protein BAX was strongly activated in TAT. $\beta$ -Gal-treated animals after MCAo, only weak BAX activation was detected in the ARC-treated group (Fig. 3B,C). Furthermore, phosphorylation of JNK isoforms was lower in case of exogenous ARC protein delivery, whereas total JNK1-3 protein expression was not different (Fig. 3D,E). In turn, this completely blocked BIM phosphorylation at serine 69, because it depends on JNK activity (Fig. 3F,G). Together, both initiator and effector caspases of the extrinsic and intrinsic apoptotic death pathway, as well as initiator and effector targets of the JNK signaling pathway were attenuated by TAT.ARC protein transduction at 72 h of reperfusion.

### TAT.ARC inhibits DAXX–ASK1–MKK–JNK signaling after focal ischemia

We observed less activation of JNK at 72 h of reperfusion and therefore analyzed the time course of upstream DAXX, ASK1, and JNK signaling in ischemic brains (Fig. 4A,B). We subjected five animals per group to 60 min MCAo with TAT. $\beta$ -Gal or TAT.ARC treatment and indicated time points of reperfusion and analyzed the core ischemic region and corresponding contralateral section with immunoblotting. Lesion size did not differ significantly in this section (Fig. 3A). By so doing, we accounted for the fact that any differences seen in TAT. $\beta$ -Gal- or TAT.ARC-treated animals in the assays may be a mere reflection of a difference in lesion size. DAXX and ASK1 signaling was attenuated in TAT.ARC-treated mice at 8 and 24 h after MCAo but not at 3 h (Fig. 4A,B). A significant attenuation of activated BAX and slightly decreased pJNK and pBIM proteins were detected at 8 or 24 h (Fig. 4B,C). Notably, phosphorylation of MAP44/42 kinase was not altered at 3, 8, and 24 h of reperfusion in mice treated with TAT.ARC or TAT. $\beta$ -Gal proteins (Fig. 4C).

### TAT.ARC protein does not bind to activated JNK isoforms

To disentangle which JNK isoforms are phosphorylated after focal cerebral ischemia, immunoprecipitation experiments were

performed to immobilize phospho-JNK1-3 and then probe with an antibody against all JNK isoforms (i.e., 1–3). JNK phosphorylation was observed predominantly for JNK3, but JNK1 and JNK2 were also activated in the TAT. $\beta$ -Gal-treated group (Fig. 5A). The exact ratio of activated isoforms cannot be predicted because cross-reactivity of antibodies might be a confounder so that proportions between activated isoforms might be a little different. In contrast to our recent results in the liver (An et al., 2012, 2013), activated endogenous JNK did not bind to exogenous ARC protein in the brain (Fig. 5A,B). Notably, there was no difference between the two groups in the lesion volumes of the middle slice representing the core of the infarct at 24 h (Fig. 3A) as analyzed by MRI.

We aimed to show that JNK phosphorylation is restricted to the ischemic territory and performed immunoprecipitations from brain lysates of the contralateral hemisphere of mice subjected to MCAo and administration of TAT. $\beta$ -Gal or TAT.ARC (Fig. 5B). In contrast to the ischemic territory, neither ARC binding nor phospho JNK signaling was detected in non-ischemic tissue.

### TAT.ARC directly interacts with DAXX and inhibits recruitment to ASK1

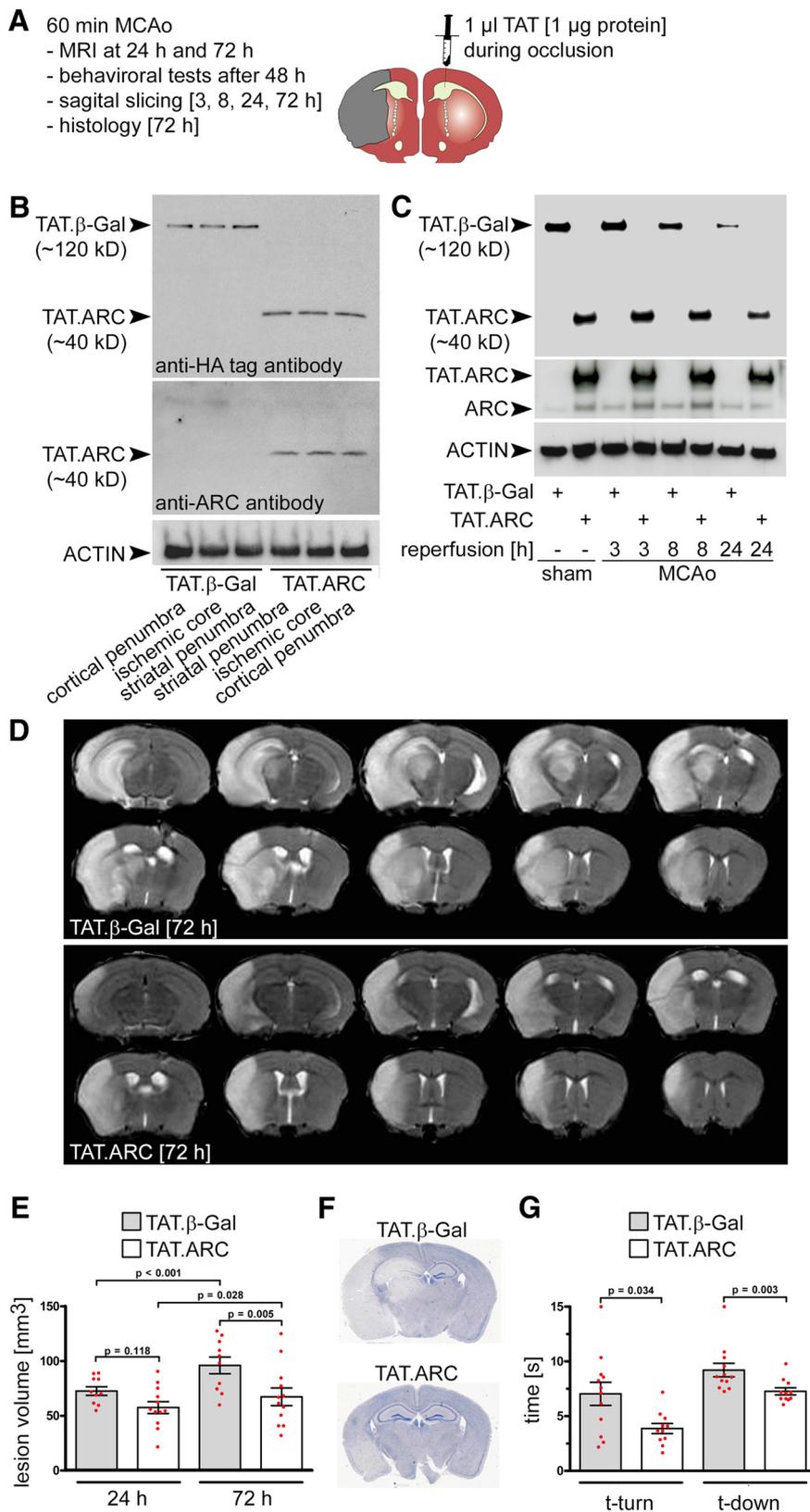
We immunoprecipitated DAXX and blotted for ASK1 and phosphorylated ASK1 after treatment with TAT. $\beta$ -Gal or TAT.ARC protein, respectively. Notably, coimmunoprecipitation was observed as early as 3 and 8 h after reperfusion in TAT. $\beta$ -Gal-treated animals but was below the threshold of the detection limit in TAT.ARC transduced animals (Fig. 6A). At later time points (24 h), interaction of DAXX and ASK1 was not detectable in either group. DAXX immunoblotting confirmed the efficacy of pull-down throughout all groups. Subsequently, we used immunoprecipitation to test whether or not endogenous and/or exogenous ARC interacts with DAXX. Both exogenous and endogenous ARC protein bound to DAXX and immobilized, as indicated by immunoblotting the precipitates at 72 h after reperfusion in the contralateral hemisphere (Fig. 6B). No endogenous ARC protein was detected in the ischemic hemisphere, and DAXX–ARC binding was lower at this time point. Consequently, we tested the earlier time points (3, 8, and 24 h) and immunoprecipitated both proteins (Fig. 6C). In line with the initiating complex of DAXX with ASK1 after 3 and 8 h of reperfusion (Fig. 6A), an attenuated ARC–DAXX interaction was observed at these time points in the ischemic hemisphere (Fig. 6C). Endogenous ARC protein was diminished to under the threshold of detection at 24 h (Fig. 6C). Furthermore, the interaction of ARC with DAXX was completely abrogated in the mutant form of ARC (L31F, G69R), with two point mutations in the CARD domain of ARC, indicating CARD-dependent ARC–DAXX binding (Fig. 6D,E). Our data thus suggest that ARC interacts with DAXX directly in a CARD-dependent manner and thereby inhibits DAXX–ASK1 binding.

### Delayed TAT.ARC administration protects the brain and restores function

To test whether TAT.ARC administration is suitable as a neuroprotectant against brain ischemia, we determined the therapeutic window for delayed treatment. This is important for a clinically relevant setting, in which spontaneous recanalization or thrombolysis might precede a therapeutic intervention and patients have to be transported and diagnosed for ischemic brain injury. We chose a higher dose of 5  $\mu$ g/mouse and a time point of 3 h after onset of the MCAo (Fig. 7A). We subjected 15 mice per

←

(Figure legend continued.) shRNA delivery (shRNA) either nontargeting (shRNA-control) or interfering with ARC mRNA along with EGFP reporter expression and staining of dead cells with PI (visualized in F). Knockdown of endogenous ARC was demonstrated by immunoblots (E). Primary neuronal cultures were counterstained with PI for visualization of neuronal cell death before and after 150 min OGD (F, G). Normalized LDH release after ARC knockdown or best dose of titration experiments for TAT proteins (D; 250 nm) was measured 24 h after 150 min OGD (H). Data are presented as the mean normalized LDH release of four independent experiments and presented as mean and scatter dot plots  $\pm$  SEM.  $F[DFn, DFd]_{(4,30)} = 13.84$  with  $p < 0.0001$  for miR-shRNA or TAT treatment interaction with OGD.  $F[DFn, DFd]_{(4,30)} = 14.85$  with  $p < 0.0001$  for treatment effects and  $F[DFn, DFd]_{(1,30)} = 290.3$  with  $p < 0.0001$  for OGD effects. \* $p < 0.05$  versus all other treatment groups within OGD; # $p < 0.05$  versus all other treatment groups except naive neurons within OGD.



**Figure 2.** Administration of TAT.ARC protein attenuates focal ischemic brain injury. **A**, Experimental setup of MCAo, intrathecal application of TAT proteins, and reperfusion time points for distinct analyses. **B**, Mice were subjected to 60 min MCAo, and TAT proteins were administered intrathecally in the contralateral hemisphere during occlusion. Exogenous protein transduction with TAT.β-Gal or TAT.ARC was detected by immunoblots with the indicated antibodies and shows homogenous distribution of TAT proteins throughout the brain after 72 h (brain lysates were derived from 2-mm-thick sagittal slices derived from subregions of the ischemic and surrounding tissue of the ipsilateral hemisphere). **C**, Stability of TAT.β-Gal or TAT.ARC proteins at indicated time points after reperfusion derived from sham mice (3 h after delivery) or from the striatal ischemic core after 60 min of MCAo.

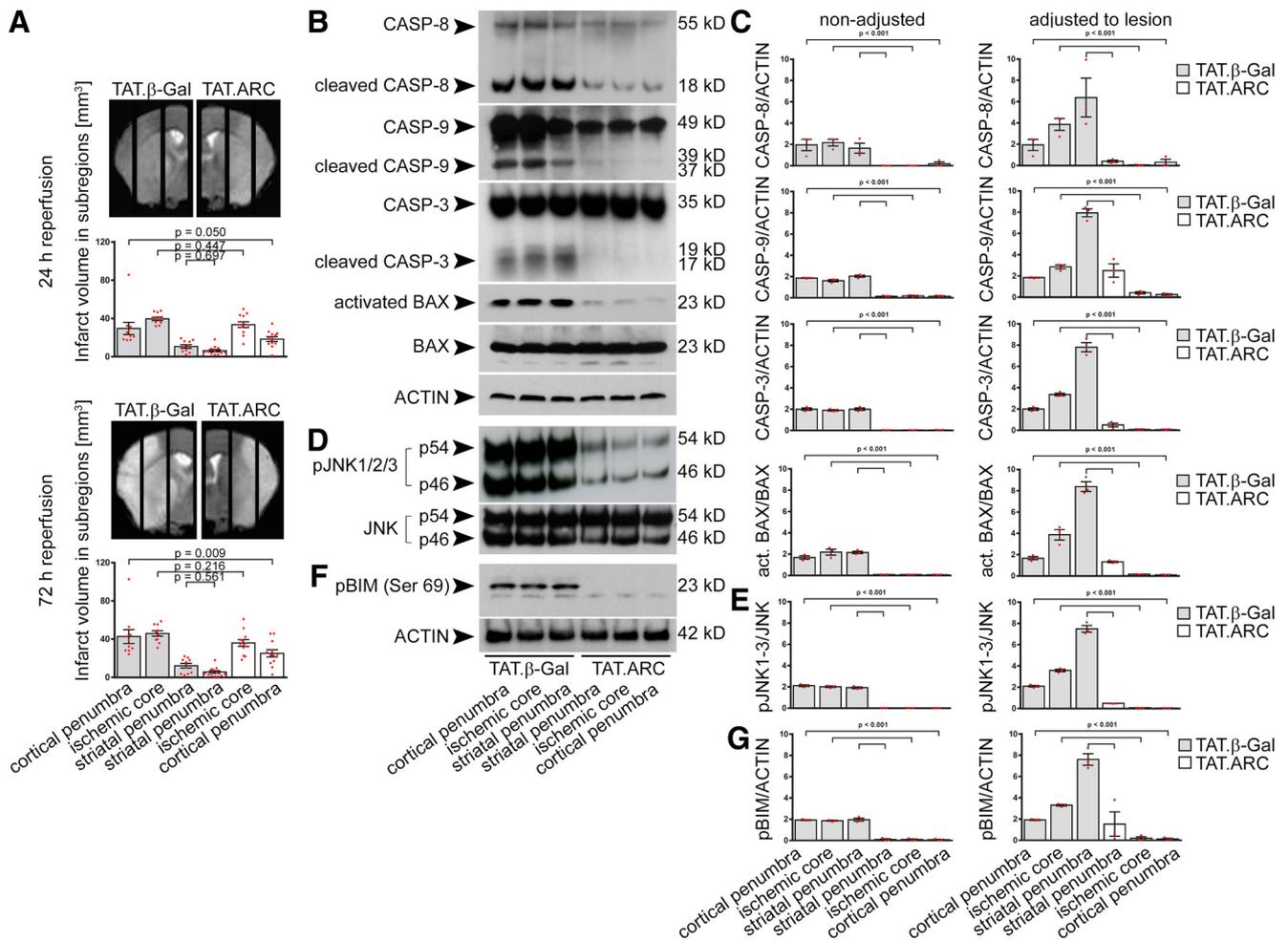
group to 60 min of MCAo. The mice were tested and compared in the pole test for their individual performance for time-to-turn and time-to-descend as functional outcome measures before and 48 h after MCAo (Fig. 7B). Finally, mice were killed, and the infarct volume was determined histologically (Fig. 7C). Eight animals (of 30) were excluded from the analysis of the pole test because they did not fulfill the predefined criteria of a smooth run down the pole (5 of 15 from TAT.β-Gal and 3 animals from TAT.ARC). One animal (of 15) died after surgery before injection of TAT.ARC protein. The animals in the TAT.ARC group performed significantly better in both parameters and had 20% lower infarct volume than controls (Fig. 7B,C).

#### Delayed TAT.ARC administration provides sustained neuroprotection and functional benefits

To test whether TAT.ARC administration provides sustained better long-term functional recovery and neuroprotection, we subjected 46 mice to either sham ( $n = 8$ ) or 30 min MCAo ( $n = 15$ ) surgery and 3 h delayed treatment with TAT.β-Gal or TAT.ARC (Fig. 8).

Within the sham group, overall modified DeSimoni neuroscore values were not significantly different with β-Gal than with TAT.ARC ( $p = 0.565$ ). Within MCAo mice, neuroscore values were significantly lower with TAT.ARC than with β-Gal ( $p = 0.015$ ; Fig. 8B). Our first and second hypotheses (general and focal deficit scores) were tested, and, within MCAo mice, both scores were significantly lower with TAT.ARC than with β-Gal (both  $p = 0.022$ ). Within the sham group, time on the rotarod was not significantly different ( $p = 0.146$ ) between β-Gal- and TAT.ARC-treated mice. In contrast, within MCAo, time on the rotarod was on average 37 s shorter ( $p = 0.033$ ) with

**D**, Representative MRI scan 72 h after 60 min MCAo showing significantly attenuated brain injury in the TAT.ARC compared with the TAT.β-Gal-treated mouse. **E**, Quantification of lesion volume 24 and 72 h after 60 min MCAo. Data are presented as mean and scattered dot plots  $\pm$  SEM. T2 stroke volumes were analyzed by two-way RM ANOVA with  $F_{(1,43)} = 5.8$  and  $p = 0.03$  for TAT treatment,  $F_{(1,43)} = 27.5$  and  $p < 0.001$  for reperfusion time point, and  $F_{(1,43)} = 4.5$  with  $p = 0.046$  for TAT  $\times$  reperfusion time point interaction. **F**, Hematoxylin staining after 72 h reperfusion time treated with TAT proteins as indicated. **G**, Pole test. Data are presented as mean and scattered dot plots  $\pm$  SEM. Mann-Whitney  $U$  rank-sum test for time-to-turn:  $T = 97.000$   $n(\text{small}) = 11$   $n(\text{big}) = 12$  ( $p = 0.034$ ); and time-to-descend:  $T = 83.000$   $n(\text{small}) = 11$   $n(\text{big}) = 12$  ( $p = 0.003$ ).



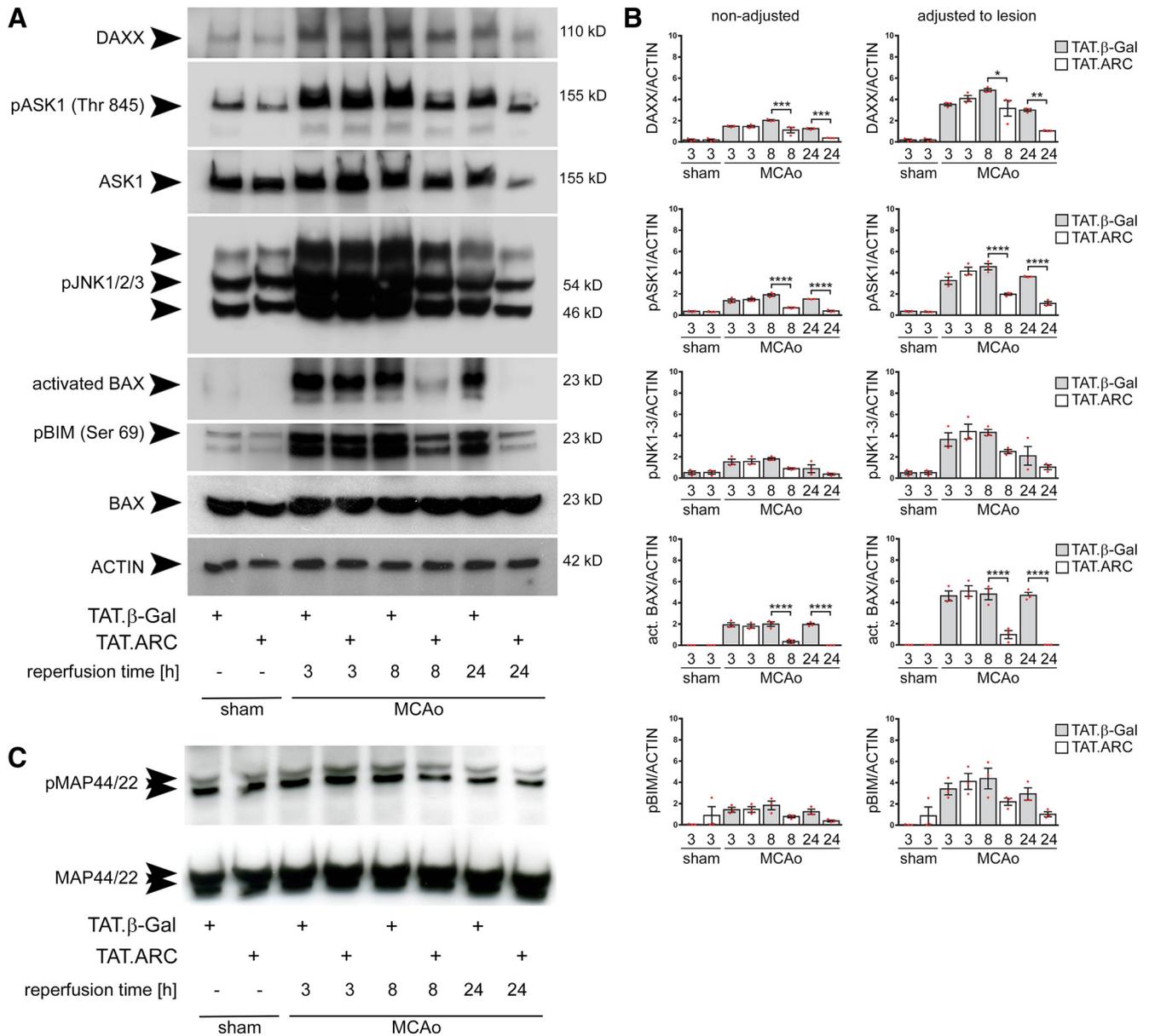
**Figure 3.** Administration of TAT.ARC protein attenuates focal ischemic brain injury in the cortical penumbra but attenuates cell death signaling independent of ischemic subregions. **A**, In analogy to the biochemical analysis, ischemic hemispheres were divided into 2 mm sagittal slices for regional lesion volume analysis after 60 min MCAo and quantified as described in Materials and Methods. Gray bar graphs indicate TAT.β-Gal-treated mice, and open bar graphs indicate TAT.ARC-treated mice. Data are presented as mean and scattered dot plots ± SEM. T2 stroke volumes were analyzed by two separate mixed linear regression models with Sidak's *post hoc* analysis. **B–G**, Immunoblots show blocked formation of cleaved caspase-8, caspase-9, caspase-3, and BAX activation (**B, C**), reduced JNK activity (**D, E**), and blunted BIM phosphorylation (**F, G**) in response to TAT.ARC administration compared with TAT.β-Gal-treated mice. Different ischemic zones are shown as indicated. Data are representative of three independent experiments (**B, D, F**). Mixed linear regression models were applied for semiquantitative densitometric Western blot analysis of three animals per TAT protein group and presented as red scattered dot plots per animal and mean ± SEM. Quantification was referred to the indicated reference protein and the dilution factor of healthy tissue within a subregion (characterized by T2 intensity in MRI) was used to adjust the Western blot signal to the lesion (for details, see Materials and Methods).

β-Gal than with TAT.ARC. Mice within MCAo showed sustained neuroprotective effects with longer time on the rotarod after 14 d in the TAT.ARC cohort compared with TAT.β-Gal-treated mice ( $p = 0.019$ , main hypothesis 3) with a time difference of on average 61 s longer on the rod [163.3 s (CI, 95–178) vs 197.1 s (CI, 170–225)]. MCAo mice had a steeper drop in body weight (sham vs MCAo,  $p = 0.002$ ) that recovered in sham mice with TAT.β-Gal after 13 d, in TAT.ARC in sham mice after 5 d, in MCAo mice treated with TAT.ARC after 13 d, and in MCAo mice treated with TAT.β-Gal after 20 d (Fig. 8F). Body temperature was assessed non-invasively. We observed a pattern of fluctuations with a 7 d period but no significant difference between sham versus MCAo mice ( $p = 0.789$ ).

Our hierarchical hypothesis 4 was an effect of TAT.ARC treatment on histological parameters within MCAo. Striatal area was measured in NeuN–DAB coronal slices as a marker for atrophy in sham ( $n = 3$  animals per TAT.protein) and MCAo ( $n = 14$  for TAT.β-Gal and  $n = 11$  for TAT.ARC) mice. Mice within the MCAo group that were treated with TAT.β-Gal showed on average a steeper drop in striatal area on the ipsilesional hemisphere

than TAT.ARC-treated mice (2.9 mm<sup>2</sup> in TAT.β-Gal-treated vs 3.4 mm<sup>2</sup> in TAT.ARC-treated mice, ipsilateral,  $p = 0.067$ ) that was not significant. Neuronal densities were analyzed as a surrogate marker for residual stroke volume using coronal sections of five regions from the frontal to the caudal pole of the brain (specific coordinates are described in Materials and Methods and in the open dataset for Figs. 7C and 8K–M).

In summary, mean stroke volume after 28 d was slightly lower with TAT.ARC treatment [7.3 mm<sup>3</sup> (CI, 4.7–9.8) vs 5.4 mm<sup>3</sup> (CI, 2.6–8.1),  $p = 0.283$ ; Fig. 8L]. We calculated the ipsilateral number of NeuN–DAB-positive cells in the striatum as a fraction to the number of neurons on the contralesional side and found a slight reduction of the ratios from 0.591 (CI, 0.455–0.728) to 0.701 (CI, 0.51–0.892;  $p = 0.303$ ; Fig. 8M). We were not able to test our hypothesis 5 because our model of hierarchical testing was underpowered to show a significant effect on striatal atrophy ( $p = 0.067$ ) by TAT.ARC single post-stroke treatment in mice with 28 d survival after 30 min MCAo. Nevertheless, we cannot conclude that TAT.ARC treatment within MCAo has no effect on the number of neurons within the ipsilesional striatum and



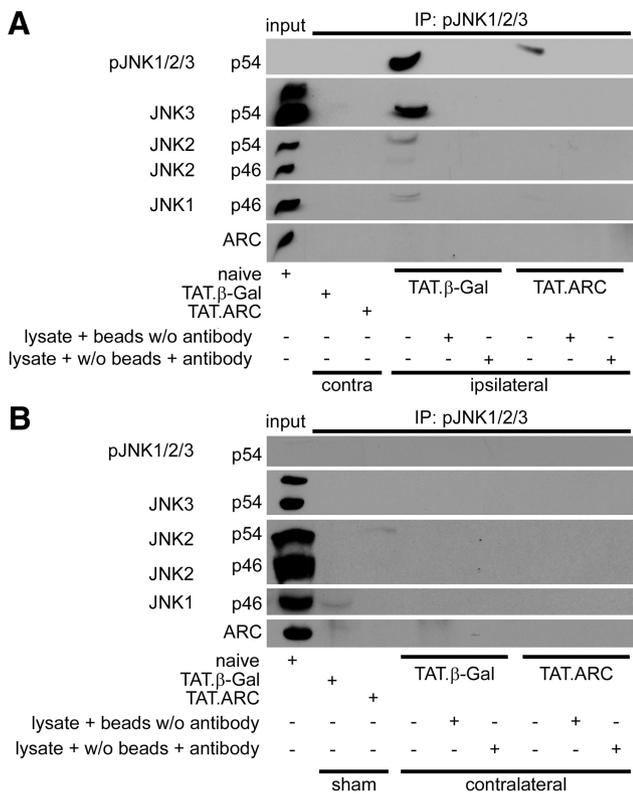
**Figure 4.** TAT.ARC-mediated inhibition of DAXX–ASK1–JNK signaling during focal ischemic infarction. **A**, Immunoblots demonstrate that TAT.ARC attenuates ischemia-induced activation of the DAXX–ASK1–JNK signaling pathway at 24 h after ischemia compared with the corresponding area in TAT.β-Gal-treated mice. The blots are representative of three independent experiments. **B**, Semiquantitative analysis of immunoblots of three mice are presented as mean and scattered dot plots ± SEM. The right panel was adjusted to lesion volume as measured by T2 sequence in MRI in the core slice after 24 h. DAXX, pASK1, and activated BAX showed significant TAT treatment × time interactions as analyzed by two-way ANOVA, followed by Tukey’s *post hoc* analysis. pJNK1-3 showed significant treatment ( $p = 0.03$ ) and time ( $p = 0.0002$ ) effects but no interaction ( $p = 0.0988$ ). pBim showed no significant treatment × time interaction ( $p = 0.065$ ). *Post hoc* tests were significant as indicated by asterisks between TAT β-Gal and TAT.ARC comparisons per time point in the graphs. **C**, Immunoblots show no effects on MAP44/42 kinase pathway in response to TAT.ARC administration compared with TAT.β-Gal-treated mice. Different reperfusion times from the middle ischemic slice are shown as indicated. Data are representative of three independent experiments.

stroke volume compared with TAT.β-Gal-treated mice 28 d after MCAo.

### Discussion

The results of the present study identify endogenous ARC as a novel neuroprotective factor and hence a potential therapeutic target after cerebral ischemia. Four major findings from cell culture and murine experimental stroke experiments support this conclusion. First, we observed that endogenous ARC protein was significantly downregulated as early as 20 h after 30 min MCAo or at 8 h after 60 min MCAo. This loss of neuronal ARC preceded neuronal apoptosis, which occurred predominantly at 48 h and reached a maximum at 72 h of reperfusion in this model (Katcha-

nov et al., 2001). ARC knockdown in primary neurons by RNA interference resulted in higher susceptibility to cell death in response to OGD compared with control shRNA. Conversely, administration of exogenous ARC protein led to a dose-dependent neuronal survival in response to OGD compared with TAT.β-Gal. Second, there is a significantly lower infarct size in TAT.ARC-treated mice (−30%) compared with TAT.β-Gal-treated controls after 60 min MCAo and 72 h of reperfusion. Lower lesion volume after MCAo in TAT.ARC-treated mice was associated with significantly better behavioral function when compared with control animals. Third, we demonstrated that, beyond inhibition of caspase activation and activation of proapo-



**Figure 5.** TAT.ARC treatment inhibits JNK phosphorylation after focal brain ischemia. **A**, Whole-brain lysates from damaged or corresponding contralateral zone 72 h after ischemia or sham operation treated with TAT.ARC or TAT.β-Gal were immunoprecipitated with an anti-phospho-JNK antibody and then immunoreacted as indicated. Brain lysate plus Protein A or G; Plus-Sepharose beads without anti-pJNK or lysate plus anti-pJNK antibody without beads served as internal loading controls. **B**, Higher phospho-JNK signals were not detected in the contralateral hemisphere of mice subjected to focal brain ischemia and treated with TAT.β-Gal or TAT.ARC proteins. Whole-brain lysates from sham mice or contralateral to the ischemic hemisphere were immunoprecipitated with anti-phospho-JNK antibody and detected by Western blots as indicated.

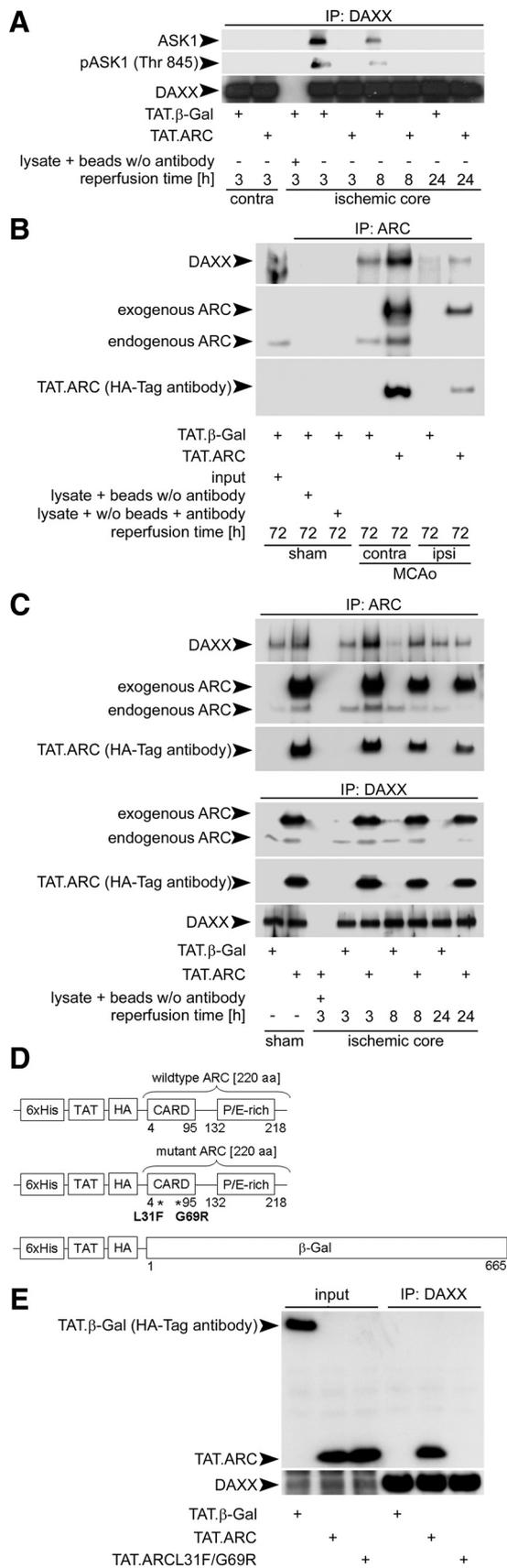
ptotic BH3-only proteins BAX and BIM by ARC, ARC also blocks DAXX–ASK1–JNK-mediated cell death via direct interaction with DAXX. The specificity of these findings was demonstrated by the absence of an effect on the MAP44/42 kinase pathway. Finally, we provided evidence that TAT.ARC could be administered as late as 3 h after the onset of ischemia/reperfusion injury and still provided significant neuroprotective functional benefits for brain protection in a 60 min MCAo model with 3 d and in a 30 min MCAo with 28 d survival. Together, these observations suggest that ARC plays a crucial role during the ischemic stress response in the brain by providing early antiapoptotic neuroprotective signals that promote neuronal survival, minimize tissue injury, and preserve behavioral performance. Previous studies showed that ASK1–JNK signaling plays a crucial role during cell death (Chang et al., 1998). Recently, more attention has been paid to the connection between DAXX and ASK1–JNK signaling (Karunakaran et al., 2007; Ryo et al., 2007). Besides the activation of downstream targets of death signaling, such as caspase-8, caspase-9, caspase-3, or BAX and BIM, activation of key upstream death mediators, such as DAXX, ASK1, and JNK, were detected after MCAo. Importantly, research has shown that, after exogenous ARC administration, activation of both upstream and downstream death mediators is substantially suppressed compared with levels in mice treated with TAT.β-Gal during late reperfusion. We showed that exogenous ARC interferes with

DAXX–ASK1–JNK-mediated death signaling and neuronal cell loss after MCAo by directly binding DAXX. ARC–DAXX binding is specific, dependent on the CARD domain of ARC, and independent of neuronal injury. The absence of a CARD and death domain in DAXX suggests other nonhomotypic death-fold interactions between DAXX and ARC. Additional studies will aim to map the ARC binding domain in DAXX.

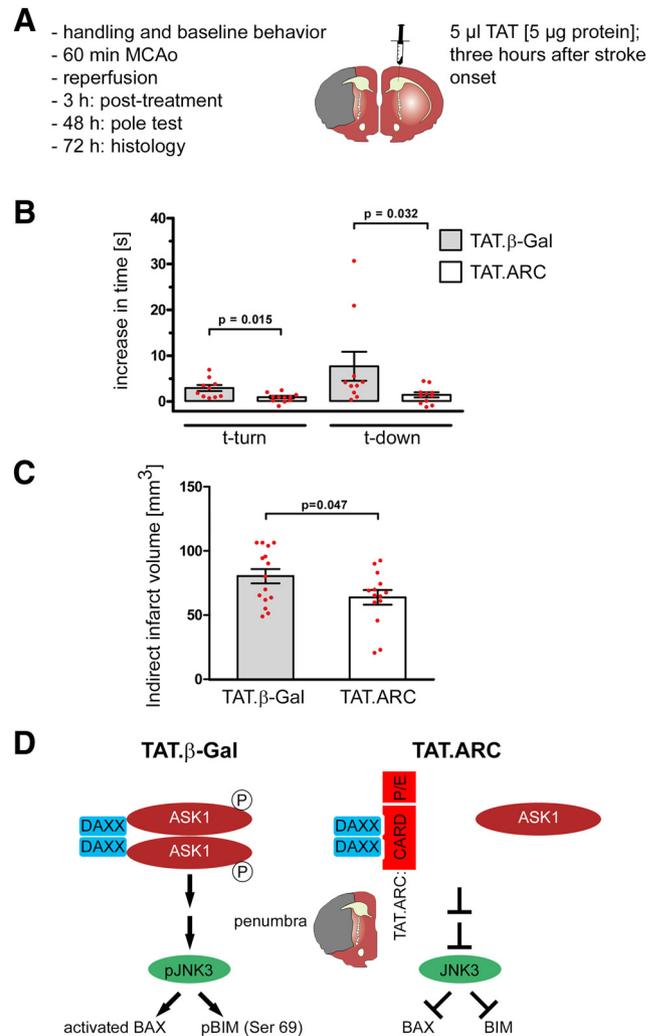
The *Daxx* gene encodes a multifunctional protein that resides in many locations, such as the nucleus and cytoplasm, and functions as a transcriptional regulator. Previous studies suggest that DAXX has both proapoptotic and antiapoptotic functions, depending on its subcellular localization and concentration (Niu et al., 2011). DAXX promotes cell death and JNK activation under physiological conditions (Khelifi et al., 2005). DAXX-depleted cells are resistant to cell killing induced by ultraviolet irradiation and oxidative stress after impaired ASK1–JNK activation (Khelifi et al., 2005). DAXX overexpression sensitizes cells not only to FAS-induced apoptosis but also to TGFβ-induced JNK activation (Perlman et al., 2001). Oxidative stress can trigger DAXX induction and induce neuronal cell death, both of which can be inhibited by antioxidant treatment (Niu et al., 2011). Activated, cytoplasmic DAXX binds to and activates ASK1 and triggers activation of MKK4/7–JNK (Yang et al., 1997; Chang et al., 1998; Song and Lee, 2003; Khelifi et al., 2005; Rodriguez-Nieto and Zhivotovsky, 2006; Niu et al., 2011). DAXX was reported to promote ASK1-mediated apoptosis in a kinase- and caspase-independent manner (Charette et al., 2001). By blocking DAXX trafficking, rat hippocampus CA1 neurons were protected against cerebral ischemia/reperfusion injury (Niu et al., 2011). Interfering with DAXX signaling decreased ASK1 activation, suppressed the assembly of the FAS–DAXX–ASK1 signaling module, and subsequently inhibited JNK activation and c-Jun phosphorylation (Niu et al., 2011). Furthermore, knockdown of endogenous DAXX exerted similar neuroprotective effects during ischemia/reperfusion (Niu et al., 2011).

Recent evidence suggests that activation of JNK signaling plays a crucial role in ischemia-induced neuronal death (Kuan et al., 2003; Guan et al., 2005, 2006a,b). Here, we did not show that ARC directly binds JNK1/2 in a tissue-dependent manner and thereby inhibits JNK activation (An et al., 2012). This argues against a direct effect of ARC on JNK signaling in the brain and might explain why substantial JNK activation was detected at 3 h of reperfusion even in the ARC-treated group. In fact, ARC binding mediated the inhibition of DAXX activation, and ASK1–JNK signaling prevents sustained JNK activation, as seen in the TAT.β-Gal group with subsequent caspase activation and neuronal cell death. Consistent with our findings, others show that inhibition of nuclear export of DAXX prevents JNK activation (Jung et al., 2007).

One major therapeutic advantage of ARC-mediated neuroprotection is the fact that it affects many pathways, whereas most antiapoptotic approaches only operate selectively, interfering with either death receptor only or mitochondrial apoptotic signaling only. Others operate only at the postmitochondrial level, as with caspase inhibitors. The multimodal pleiotropic death-repressing interactions of exogenous ARC protein with key initiators (such as DAXX) of both death pathways suggests that TAT.ARC might be a more powerful tool for stroke treatment than are caspase or small-molecule JNK inhibitors, which target only one pathway (see proposed model of molecular mechanisms in Fig. 7D). We observed a robust and significantly lower lesion volumes of 30% compared with controls and a preserved function of behavior when TAT.ARC was applied during occlusion.

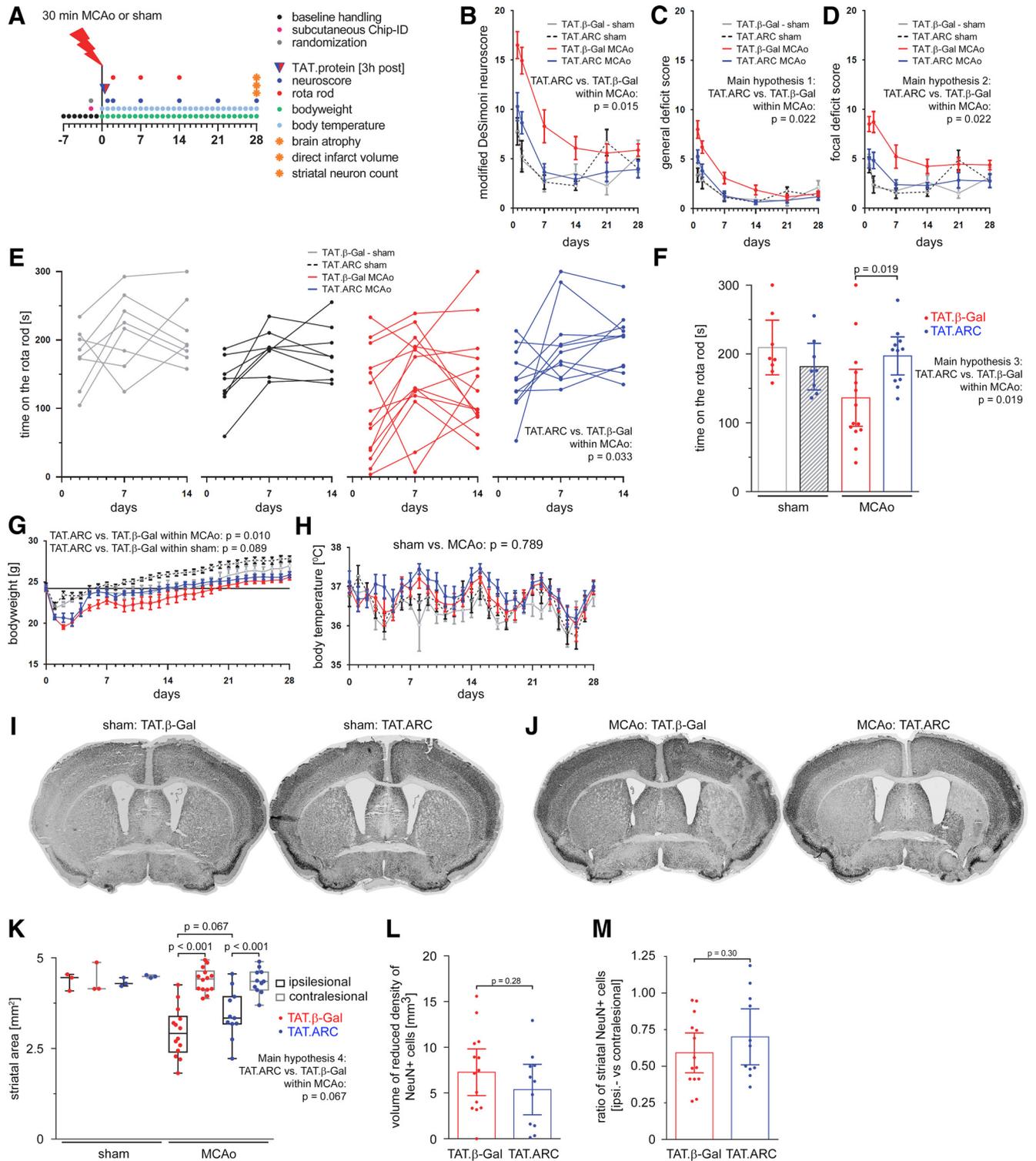


**Figure 6.** ARC interacts with DAXX and prevents DAXX–ASK1 binding. **A**, Exogenous ARC prevents DAXX–ASK1 binding. Brain extracts from the middle slice of the reperfusion or corresponding contralateral slice were immunoprecipitated using anti-DAXX antibody. Immunoprecipitates were immunoblotted for DAXX and ASK1, respectively. Brain lysates of the middle



**Figure 7.** Delayed administration of TAT.ARC protein attenuates focal ischemic brain injury. **A**, Experimental setup of MCAo, intrathecal application of TAT proteins, and reperfusion time points for distinct analyses. **B**, Pole test. Data are presented as the increase of time to turn and time to descend after MCAo compared with individual performance in mice before ischemia. Data are presented as mean and scattered dot plots ± SEM;  $n = 10$  and  $n = 11$  animals (TAT.β-Gal vs TAT.ARC). For specific exclusion criteria and mortality, see Materials and Methods and Results. **C**, Indirect infarct size was measured using hematoxylin staining after 72 h as described in Materials and Methods. Data are presented as mean and scattered dot plots ± SEM with  $n = 15$  animals in TAT.β-Gal and  $n = 14$  animals in the TAT.ARC group. **D**, Proposed model of molecular mechanisms of TAT.ARC treatment targeting the penumbra after brain ischemia.

slices plus beads without anti-DAXX antibody served as internal loading controls. **B**, ARC binds DAXX in the cerebral cortex under physiological and ischemic conditions 72 h after reperfusion. Brain homogenates of the middle slices were immunoprecipitated with anti-ARC antibody and immunoblotted with antibodies against DAXX, ARC, and HA. Brain lysate plus beads without anti-ARC or lysate plus anti-ARC antibody without beads served as controls. **C**, Endogenous and exogenous ARC interacts with endogenous DAXX *in vivo*. Immunoprecipitation of brain lysates of the middle slice after ischemia or sham operation were performed with anti-ARC or anti-DAXX as primary antibodies. Brain lysates plus beads without anti-ARC or anti-DAXX antibody served as controls. **D**, Domain structures of TAT proteins with their tags and mutations. His, Histidin tag; HA, hemagglutinin tag; P/E-rich, proline/glutamate rich domain; β-Gal, β-galactosidase; L, leucine; F, phenylalanine; G, glycine; R, arginine. **E**, ARC interacts via its CARD domain with DAXX. Brains were transduced with TAT.β-Gal, TAT.ARC, or TAT.ARC.mutant (L31F; G69R) and immunoprecipitated with anti-DAXX antibody. Immunoblots were as indicated and derived from two mice and replicated in triplicate.



**Figure 8.** Delayed administration of TAT.ARC protein attenuates focal ischemic brain injury and fosters recovery in the long term. **A**, Experimental setup of MCAO, 3 h delayed intrathecal application of 5 μg of TAT proteins, and reperfusion time points for distinct analyses. Composite modified DeSimoni neuroscore (**B**) with general (**C**) and focal deficit (**D**) scores and rotarod data (**E**, **F**). Rotarod data after 14 d is shown as the mean time on the rod with CIs. **G**, Body weight was measured before surgery (day 0) and after non-invasive transponder-mediated body temperature measurements (**H**). Data in **B–D** and **G**, **H** are presented as mean ± SEM and in **F**, **L**, and **M** as mean ± CI. **I**, Multiple image alignment of neuronal staining using anti-NeuN–DAB of sham mice and 28 d after MCAO (**J**). Striatal area in coronal section a3 (**K**) in both ipsilateral and contralateral hemispheres and residual infarct area/scar as defined by decreased neuronal density (**L**) and ratios of ipsilesional versus contralateral neurons counted in TAT.β-Gal or TAT.ARC mice (**M**) were illustrated by scatter dot plots and box and whiskers (minimum to maximum) for **K** and mean + CI (**L**, **M**).

This effect was not as strong but still present (20% lower compared with controls) if treatment was delayed to 3 h after onset of ischemia, and it still was associated with better behavioral outcome than in controls. This reduction of effect size fits the well

known “time is brain” concept for recanalization in humans. Subregions of the ischemic territory as analyzed in Figure 3 model the tissue at risk in the lateral or inner penumbra. This region includes the cortex and medial striatum. The core of the infarct

compromised the lateral striatum. The percentage of salvaged tissue cross-sectionally increases from 30 to 41% in the lateral and in the inner penumbra to even 54% because it is amenable to rescue whereas, as expected, the ischemic core shows the modest cross-sectional reduction of 22% if TAT.ARC is applied during occlusion (Fig. 3A).

Finally, we studied the effect of a single, delayed injection of TAT.ARC protein and compared it with TAT. $\beta$ -Gal-treated mice subjected to sham/MCAo and used hierarchical testing of hypotheses: most important were better outcome (first three hypotheses) and effect on striatal atrophy and neuronal cell death in the striatum (hypotheses 4 and 5) after 28 d. We were able to show sustained effects on better outcome of a single dose of TAT protein applied 3 h after the onset of ischemia (Fig. 8). Independent functional parameters of TAT.ARC-dependent effects on recovery were the general and focal deficits and longer time on the rotarod of TAT.ARC-treated mice compared with TAT. $\beta$ -Gal-treated controls. Striatal atrophy after stroke was cross-sectionally reduced 28 d after MCAo but not significantly ( $p = 0.067$  for atrophy reduction by TAT.ARC treatment). At this level, hypothesis testing terminated by a priori definition. Our study was most likely underpowered for effects on loss of neurons within the striatum in the long term. We are confident that the effect sizes in our study are robust, because great emphasis was put on internal validity (reduction of bias) and conformity to ARRIVE reporting guidelines and conducted in a laboratory with certified quality management. TAT.ARC protein transduction or ARC-related small molecules could be of therapeutic benefit for preventing and treating ischemic brain injury in humans along with treatment modalities aiming for reperfusion. This might buy time for the brain and preserve its function. In summary, the strength of this study is the identification of a novel endogenous neuroprotective molecular mechanism and the proof of principle that each treatment starting during occlusion or applied after reperfusion with TAT.ARC is neuroprotective after experimental stroke. Our study warrants additional confirmatory preclinical studies for detailed dose–response curves, comorbidity effects, gender studies, and interference with the only available therapy, that is, thrombolysis or endovascular thrombectomy. A limitation of this study is the application route of TAT proteins. TAT.Bcl-x(L), TAT.Hsp70, and TAT.GDNF have been given intravenously after MCAo (Kilic et al., 2003; Doepfner et al., 2009a,b). This needs to be addressed in future studies and was not within the scope of this mechanistic explorative approach (Kimmelman et al., 2014).

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