

Nottingham Geospatial Institute Department of Civil Engineering Faculty of Engineering

Kinematic Precise Point Positioning Algorithm Development and Improvements using External Atmospheric Information

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Abstract

Precise point positioning (PPP) is a high-accuracy GNSS positioning technique used to process single-receiver, dual-frequency carrier-phase and pseudorange measurements using precise network-estimated satellite clock and orbit data products, along with optional satellite carrier phase bias and attitude information. The PPP strategy does not require any nearby reference stations and has therefore gained interest in many commercial and scientific industries over the past few decades. However, kinematic PPP can be affected by large positioning errors in the presence of ionospheric scintillation, under strong ionospheric gradients, and during strong tropospheric storm events. Therefore, this thesis aims to develop new methods that incorporate additional external atmospheric information into the positioning model to improve kinematic PPP accuracy under these harsh atmospheric conditions.

Ionospheric scintillation of GNSS signals is caused by plasma density irregularities in the ionosphere and is characterized by rapid phase and amplitude fluctuations of the received signal. In equatorial regions, between $\pm 20^{\circ}$ geomagnetic latitude, strong and frequent post-sunset scintillation is common and can amplify positioning errors by several orders of magnitude. However, an increased number of satellites using modernized signals could help to mitigate this impact. Therefore, this thesis evaluates kinematic PPP performance using multi-GNSS processing under low latitude ionospheric scintillation conditions. Compared to GPS-only processing, multi-GNSS configurations using Galileo measurements achieved respective average vertical positioning accuracy and precision improvements equal to 3.4-cm (39.8%) and 1.8-cm (52.7%). In addition, multi-GNSS configurations improved daily respective horizontal and vertical position accuracy and precision by up to 13.0-cm (80.4%) and 13.6-cm (90.4%) during the worst GPS-only processing day.

Although multi-GNSS processing can improve kinematic PPP performance under ionospheric scintillation conditions, a non-mitigated satellite elevation-based stochastic model degrades positioning accuracy when high-elevation satellites are affected by moderate or strong scintillation. Furthermore, scintillation mitigation using receiver tracking error outputs in a modified stochastic model is affected by frequent outages under strong scintillation conditions and has only been demonstrated for single-system processing. Therefore, this thesis develops repaired and normalized multi-GNSS receiver tracking error model outputs to respectively

i

increase mitigation availability and expand mitigation benefits to non-specialized users that may require a mixed stochastic approach. The proposed techniques were evaluated using GPS+Galileo measurement processing for a common geodetic receiver under moderate and strong low latitude ionospheric scintillation conditions. Relative to a standard elevation-based stochastic model, the mitigated approach improved the daily worst-case 3D kinematic PPP error by 16.6-cm (46.7%) and 13.6-cm (37.4%) for the two best cases, while the average 3D position error for both stochastic methods agreed at the cm-level in all cases.

Tropospheric effects are typically addressed in GNSS processing by *a priori* hydrostatic correction models and estimation of zenith wet delay and horizontal gradient components. However, rapid changes in atmospheric water vapor caused by heavy rainfall can amplify tropospheric asymmetry effects and reduce kinematic PPP accuracy due to tightly constrained tropospheric parameters. Therefore, this thesis develops and evaluates deterministic, partially stochastic, and fully stochastic correction methods that use progressively more GNSS network-estimated tropospheric data under extreme tropospheric storm conditions to improve the achievable kinematic PPP accuracy at user locations. Comparison with the non-corrected model revealed that the fully stochastic approach improved the hourly horizontal and vertical position error by up to 3.2-cm (45.5%) and 10.2-cm (66.2%), respectively, while deterministic and partially stochastic methods improved only the vertical positioning error component.

Increased ionospheric activity for high-elevation satellites can amplify otherwise stable positioning errors in an elevation-based stochastic model unless the stochastic model is modified with user-estimated ionospheric delay information to amplify measurement noise. However, this technique relies on continuous dualfrequency carrier phase measurements that are assumed to be free from cycle slip effects, which is not guaranteed in challenging ionospheric environments due to measurement outages and poor-quality carrier phase data. Therefore, this thesis suggests an alternative stochastic model strategy to amplify measurement noise using the rate of the ionospheric delay computed from external global and regional ionospheric map products that are independent of cycle slips and outages that a GNSS user may experience. For low latitude stations evaluated relative to a standard satellite elevation-based stochastic model, the proposed technique successfully improved maximum 3D kinematic PPP error by up to 15.6-cm (52.5%) when the

ii

global ionospheric map product was used. Extreme variability of the experimental 60-second update rate regional ionospheric map data deactivated the modified stochastic approach for 88.8% of epochs which resulted in positioning performance identical to the elevation-based method at the mm-level.

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iv

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v

Contents

| List of Figuresx | | | |
|------------------|--------|---|-------|
| L | ist of | f Tables | xvi |
| A | bbre | viations | xviii |
| 1 | Int | roduction | 1 |
| | 1.1 | Global Navigation Satellite System (GNSS) positioning | 1 |
| | | 1.1.1 Multi-GNSS environment and modernization efforts | 1 |
| | | 1.1.2 Differential positioning techniques and limitations | 4 |
| | | 1.1.3 Primary GNSS error sources | 6 |
| | 1.2 | Precise Point Positioning (PPP) | 10 |
| | | 1.2.1 Single receiver positioning | 12 |
| | | 1.2.2 External satellite products | 15 |
| | | 1.2.3 Integer ambiguity resolution | 18 |
| | | 1.2.4 Benefits of single- and multi-GNSS PPP | 20 |
| | 1.3 | Limitations of PPP | 21 |
| | | 1.3.1 Ionospheric scintillation | 22 |
| | | 1.3.2 Ionospheric delay | 23 |
| | | 1.3.3 Tropospheric storms | 24 |
| | 1.4 | Research objectives | 24 |
| | 1.5 | Thesis structure | 26 |
| 2 | Ext | ernally Aided PPP | |
| | 2.1 | Introduction | |
| | 2.2 | Current advances in PPP | |
| | | 2.2.1 Fundamental raw measurement model | 29 |
| | | 2.2.2 Essential measurement combinations | |
| | | 2.2.3 Integer ambiguity resolution | 34 |
| | | 2.2.4 Combined multi-GNSS processing | 35 |
| | | 2.2.5 Model error evaluation and cycle slip detection methods | |
| | 2.3 | Ionospheric scintillation | |
| | | 2.3.1 Characterization | |
| | | 2.3.2 Effects on GNSS positioning | 40 |
| | | 2.3.3 Mitigation strategies | 41 |
| | 2.4 | Atmospheric delays and mitigation | 44 |
| | | 2.4.1 Troposphere error modelling and correction | 44 |
| | | 2.4.2 Ionospheric error modelling and estimation | 45 |
| | 2.5 | Methods and innovations developed in this thesis | 46 |
| | | 2.5.1 Multi-GNSS ionospheric scintillation mitigation | 47 |
| | | 2.5.2 Ionosphere-weighted positioning | 47 |
| | | 2.5.3 Troposphere-corrected positioning | 47 |
| | 2.6 | Summary | 48 |
| | | | |

| | 3.1 | Introduction | 49 |
|---|-----|--|----------|
| | 3.2 | Overview of main features | 49 |
| | 3.3 | Kalman filter implementation | 51 |
| | 3.4 | Default software performance and configuration | 56 |
| | 3.5 | Software contributions for this thesis | 60 |
| | | 3.5.1 Scintillation mitigated stochastic model | 62 |
| | | 3.5.2 Improved atmospheric-dependent PPP | 63 |
| | | 3.5.3 Improved model error detection | 64 |
| | 3.6 | Summary | 65 |
| 4 | п | | |
| 4 | | Sults on Ionospheric Scintillation Evaluation and Mitigation | 67 |
| | 4.1 | Evaluation of multi-CNSS DDD under low latitude cointillation | 07 67 |
| | 4.2 | Evaluation of multi-GNSS PPP under low-fatilude scintillation | / 0 |
| | | 4.2.1 Data and approach | 08 |
| | | 4.2.2 Single- and multi-GNSS performance | /3 |
| | 1 2 | 4.2.3 Remarks $1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 $ | 84 |
| | 4.3 | Mitigation of low-latitude ionospheric scintillation for multi-GNSS PPP. | 83 |
| | | 4.3.1 Receiver tracking error model repair | 86 |
| | | 4.3.2 Stochastic model comparison | 88 |
| | | 4.3.3 Relative tracking jitter approach | 95 |
| | | 4.3.4 Mitigated multi-GNSS performance | 99 |
| | | 4.3.5 Remarks | .103 |
| | 4.4 | Summary | .105 |
| 5 | Res | sults on PPP with Atmospheric Corrections | .107 |
| e | 5.1 | Introduction | .107 |
| | 5.2 | Ionosphere-weighted positioning | .108 |
| | 0.2 | 5.2.1 Global and regional ionospheric map data at low latitudes | .108 |
| | | 5.2.2 Satellite and receiver bias effects. | .112 |
| | | 5.2.3 Modified stochastic model | .113 |
| | | 5.2.4 Positioning performance and evaluation | 117 |
| | | 525 Remarks | 123 |
| | 53 | Tronosphere-corrected positioning | 125 |
| | 5.5 | 5.3.1 Data and annroach | 126 |
| | | 5.3.7 Positioning performance evaluation | 131 |
| | | 5.3.2 Toshioning performance evaluation | 136 |
| | 54 | Summary | 137 |
| | Э.т | Summary | .157 |
| 6 | Со | nclusions, Suggestions and Future Research | .139 |
| | 6.1 | Summary | .139 |
| | 6.2 | Conclusions on multi-GNSS ionospheric scintillation evaluation and | |
| | mit | igation | .141 |
| | | 6.2.1 Single- and multi-GNSS PPP performance under low-latitude | |
| | | scintillation | .142 |
| | | 6.2.2 Multi-GNSS ionospheric scintillation mitigation at low latitude | .142 |
| | 6.3 | Conclusions on positioning with external atmospheric delay information. | .143 |
| | | 6.3.1 Ionosphere-weighted processing | .144 |
| | | 6.3.2 Troposphere-corrected processing | .145 |
| | 6.4 | Key innovations and contributions to knowledge | .146 |
| | 6.5 | Recommendations for future studies | .148 |
| | | | |

| References |
|---|
| Appendix A: PPP correction model effects173 |
| Appendix B: Professional development and accomplishments during this PhD 176 |
| Appendix C: Pseudorange bias effects using GIM- and RIM-fixed processing 178 |
| Appendix D: Multi-GNSS ionospheric scintillation mitigation positioning error component time-series |
| Appendix E: Integer ambiguity resolution and the LAMBDA method |
| Appendix F: Global ionospheric map product visualization |

List of Figures

| Figure 1.1. Legacy and modernized GPS satellites and transmitted signals, updated |
|---|
| June 2022 (source: www.gps.gov/systems/gps) |
| Figure 1.2. In-phase (I) and quadrature (Q) frequency bands for GPS L5 and Galileo |
| E5 signals (source: gssc.esa.int/navipedia) |
| Figure 1.3. Differential positioning approach, where reference station coordinates |
| are constrained to estimate a relative user position4 |
| Figure 1.4. Primary GNSS error sources and nominal magnitudes (European GNSS |
| Agency 2018)10 |
| Figure 1.5. Precise point positioning approach, where precise satellite orbit and |
| clock products estimated by a GNSS reference network are provided to a single- |
| receiver dual-frequency user |
| Figure 1.6. CODE GIM on January 15, 2020 at 01:00 UTC, with EIA ionization |
| crests visible as dark red regions near the antemeridian (180° W)23 |
| Figure 1.7. Workflow of TREASURE project collaborations as sources for external |
| regional ionospheric map data and interpolated tropospheric correction data used as |
| inputs to new PPP algorithms25 |
| Figure 3.1. POINT software overview for PPP processing at a single measurement |
| epoch, organized by inputs (left column), processing (middle column), and outputs |
| (right column) |
| Figure 3.2. Overview of the Kalman filter algorithm, characterized by time updates |
| (predictions) and measurement updates (corrections) to estimate optimal unknown |
| parameter states and covariance, organized by inputs (bottom row), processing |
| (center box), and outputs (right box) |
| Figure 3.3. Achievable north (green), east (blue), and up (red) positioning error |
| components using the standard static PPP configuration in the POINT software58 |
| Figure 3.4. Achievable north (green), east (blue), and up (red) positioning error |
| components using the standard kinematic PPP configuration in the POINT software. |
| |
| |

Figure 3.5. Kinematic PPP pseudorange measurement post-fit residuals for 8-hours of GPS L1 and L2 processing at station PPTE under nominally ideal conditions.....59

Figure 3.6. Kinematic PPP carrier phase measurement post-fit residuals for 8-hours of GPS L1 and L2 processing at station PPTE under nominally ideal conditions.....60 Figure 3.7. Pseudocode for scintillation mitigation strategy using tracking jitter data in an improved stochastic model......63 Figure 4.1: Project region (top) and station location (bottom) maps with scintillation data from station PRU2 and multi-GNSS measurements from PPTE.69 Figure 4.2: Strong (left column) and moderate (right column) amplitude (top row) and phase (bottom row) scintillation occurrences between 20h-04h UTC, normalized by the total number of respective strong or moderate combined amplitude and phase scintillation occurrences, for GPS (red), GLO (blue), and GAL (green) satellites on DOYs 60-90 in March 2019. Approximate sunset time represented by dashed line. 73 Figure 4.3: Vertical kinematic PPP error from 20h to 04h UTC for GPS (red), GPS/GLO (blue), GPS/GAL (green), and GPS/GLO/GAL (cyan) processing on postsunset (dashed line) days in March 2019 identified with non-converged GPS-only epochs, with the two worst-case GPS-only days on DOYs 60-61 (black) and 61-62 (magenta) annotated......75 **Figure 4.4**: Strong and moderate (index ≥ 0.3) amplitude (top row) and phase scintillation (bottom row) from 20h to 04h UTC on DOYs 60-61 (left column) and 61-62 (right column), March 2019 for GPS L1 (red), GLO R1 (blue), and GAL E1 (green) signals. Local sunset time is indicated by the black dashed line......75 Figure 4.5: Daily non-converged horizontal (left column) and vertical (right column) epochs (units: %), after 2-hours of processing, for March 2019 (top row) and March Figure 4.6: Hourly horiztonal (top row) and vertical (bottom row) RMS (units: cm) for days in March 2019 with degraded GPS-only positioning......79 Figure 4.7: Hourly horiztonal (top row) and vertical (bottom row) RMS (units: cm) Figure 4.8: Hourly horizontal (top row) and vertical (bottom row) precision (units: Figure 4.9: Hourly horizontal (top row) and vertical (bottom row) precision (units:

| Figure 4.10. Station PRU2 GPS L1C/A signal amplitude (left) and phase (right) |
|--|
| scintillation indices (blue filled circles) and corresponding Conker et al. (2000) |
| tracking jitter outages (red non-filled circles) from March 14, 2015 (16h UTC) to |
| March 15, 2015 (08h UTC) |
| Figure 4.11. Station PRU2 GPS L1C/A (left) and L2P (right) signal quality |
| represented by signal-to-noise ratio values for satellites above 10-degrees in |
| elevation from March 14, 2015 (16h UTC) to March 15, 2015 (08h UTC)89 |
| Figure 4.12. Station PRU2 GPS L1C/A and L2P signal Conker DLL (left) and PLL |
| (right) tracking jitter for available (black circles) and repaired (red crosses) input |
| data90 |
| Figure 4.13. Station PRU2 3D kinematic PPP error using elevation-based (left) and |
| tracking jitter (right) stochastic models from March 14, 2015 (16h UTC) to March |
| 15, 2015 (08h UTC)93 |
| Figure 4.14. Station PRU2 L1 (red circles) and L2 (black squares) carrier phase |
| measurement noise modelled using PLL tracking jitter (left) and satellite elevation |
| angle (right) for GPS SVID 3 under strong ionospheric scintillation beginning after |
| 00h UTC on March 15 (black dashed line)94 |
| Figure 4.15. Relative tracking jitter (markers) and elevation-based (lines) carrier |
| phase measurement noise for a Galileo (left) and GPS (right) satellite observed at |
| station PPTE on March 7, 2019 (DOY 066)98 |
| Figure 4.16. Station PRU2 GPS L1C/A and Galileo E1C signal amplitude (blue |
| circles) and phase (magenta circles) scintillation indices and corresponding Conker |
| et al. (2000) tracking jitter outages (blue plus and magenta cross markers, noted in |
| top-left legend) during six intervals beginning at 20:00 UTC in March 2019 |
| identified as having strong scintillation |
| Figure 4.17. Elevation-based (left) and tracking jitter (right) stochastic models used |
| for kinematic PPP processing of GPS+Galileo measurements observed at station |
| PPTE on DOYs 060-061, 061-062, and 063-064 beginning at 20:00 UTC101 |
| Figure 4.18. Elevation-based (left) and tracking jitter (right) stochastic models used |
| for kinematic PPP processing of GPS+Galileo measurements observed at station |
| PPTE on DOYs 066-067, 073-074, and 075-076 beginning at 20:00 UTC103 |
| Figure 5.1. Experiment region (top-right inset) and locations of IBGE reference |
| stations (magenta diamonds) used to generate the RIM product for user stations |
| (cyan circles), relative to the geomagnetic equator (green contour) and EIA |

| ionization crests located near ±20-degrees geomagnetic latitude (annotated |
|---|
| contours)109 |
| Figure 5.2. CODE GIM product interpolated to a uniform 2.5-degree grid on DOY |
| 15 in 2020 at 01h (upper), 12h (middle) and 24h (lower) UTC. The color scale |
| represents TEC units within each grid cell and the approximate RIM extents are |
| indicated by the white dashed box110 |
| Figure 5.3. GPS L1 signal slant ionospheric delay using CODE GIM (upper) and |
| experimental RIM (lower) products for all satellites above 10-deg in elevation |
| (satellites are assigned unique colors) observed at station PITN from 01h to 23h |
| UTC on DOY 015 in 2020111 |
| Figure 5.4. Ionosphere-fixed, bias-affected station PISR GIM (top row) and RIM |
| (bottom row) constrained pseudorange pre-fit residuals (blue markers), with mean |
| (red dashed line) $\pm 3\sigma$ (red dotted lines) for GPS L1 (left column) and L2 (right |
| column) signals at (t=1) and after (t>1) the first epoch |
| Figure 5.5. Elevation (left) and ionospheric (right) weighting values as respective |
| functions of satellite elevation angle and ROT |
| Figure 5.6. Station BAPA standard (top row) and modified (lower row) stochastic |
| model positioning error component (left column) and 3D positioning error (right |
| column) time series |
| Figure 5.7. Station PIFL standard (top row) and modified (lower row) stochastic |
| model positioning error component (left column) and 3D positioning error (right |
| column) time series |
| Figure 5.8. Station PITN standard (top row) and modified (lower row) stochastic |
| model positioning error component (left column) and 3D positioning error (right |
| column) time series |
| Figure 5.9. Station PISR standard (top row) and modified (lower row) stochastic |
| model positioning error component (left column) and 3D positioning error (right |
| column) time series |
| Figure 5.10. Station BAPA (top-left), PIFL (top-right), PISR (lower-left), and PITN |
| (lower-right) 3D position error using the modified stochastic model with RIM |
| product data |
| Figure 5.11. Tropospheric storm event (orange arrow) passing over the Netherlands |
| on June 22, 2017, from 14h to 20h UTC, with station BRD2 from the Netherlands |
| GNSS network annotated |

| Figure 5.12. Selected GNSS reference stations in the Netherlands, where the 12 |
|---|
| network (triangles) and 4 user (squares) stations used in the study are colored |
| according to respective ellipsoid height values |
| Figure 5.13. Process diagram to estimate ZWD corrections using static PPP and to |
| apply deterministic, partially stochastic, and fully stochastic corrections for |
| kinematic PPP128 |
| Figure 5.14. Estimated (blue) and interpolated (orange) ZWD time-series and RMS |
| error at user stations: BRD2 (top-left), IJMU (top-right), ROE2 (lower-left), and |
| ZWO2 (lower-right) |
| Figure 5.15. Kinematic PPP north (green), east (blue), and up (red) positioning |
| component errors at user station BRD2, without (top-left), deterministic (top-right), |
| partially stochastic (lower-left) and fully stochastic (lower-right) corrections133 |
| Figure 5.16. Maximum of horizontal (left) and vertical (right) hourly RMS error |
| from 03h-24h UTC for user stations using each processing configuration134 |
| |

List of Tables

| Table 1.1. Properties of IGS satellite products. 16 |
|---|
| Table 3.1. Default values for initial and process uncertainties of the ionosphere-free |
| PPP functional model in the POINT software53 |
| Table 3.2. Default POINT software configurations and estimation strategies for |
| GPS-only PPP processing |
| Table 4.1. Scintillation classification using the International Telecommunication |
| Union (2016) recommendations |
| Table 4.2. GNSS processing models and strategies for the evaluation of low-latitude |
| scintillation-affected PPP71 |
| Table 4.3. Initial horizontal and vertical convergence time statistics for 57 RINEX |
| files, from 20h to 04h UTC the next day, in March 2019 and March 202072 |
| Table 4.4. Horizontal and vertical positioning reliability, accuracy, and precision on |
| DOYs 60-61, March 201976 |
| Table 4.5. Horizontal and vertical positioning reliability, accuracy, and precision on |
| DOYs 61-62, March 201976 |
| Table 4.6. Average percentage of reliable (i.e., converged) epochs and improvement |
| with respect to GPS-only processing for each multi-GNSS configuration, for days in |
| March 2019 and March 2020 with degraded GPS-only positioning78 |
| Table 4.7. Improvement statistics for daily RMSE, with respect to GPS-only, for |
| days in March 2019 and March 2020 identified as having degraded GPS-only |
| positioning |
| Table 4.8. Mean of daily precision improvement with respect to GPS-only |
| processing, computed as the mean of hourly horizontal and vertical standard |
| deviation data from 23h to 04h UTC, for days with non-converged GPS-only |
| processing |
| Table 4.9. Statistics of usable satellites for single- and multi-system configurations |
| from 20h to 04h UTC on DOYs 60-90 in March 2019 |
| Table 4.10. GPS L1C/A, L2P and propagated ionosphere-free (L_{IF}) pseudorange |
| measurement noise statistics for satellite elevation angle and tracking jitter stochastic |
| models |

| Table 4.11. Individual and propagated ionosphere-free (LIF) GPS L1C/A, L2P signal |
|---|
| carrier phase measurement noise statistics for satellite elevation angle and tracking |
| jitter stochastic models92 |
| Table 4.12. Original, normalized, and scaled GPS L1 DLL and PLL tracking jitter |
| for station PRU2 on 14-Mar-2015 01:18 UTC96 |
| Table 5.1. Ionosphere-corrected POINT software configurations and estimation |
| strategies116 |
| Table 5.2. 3D kinematic PPP error comparison for standard elevation-based and |
| ionosphere-weighted stochastic models122 |
| Table 5.3. Application of network-estimated ZWD corrections for a kinematic PPP |
| user in terms of the estimated user ZWD parameter and dynamic model constraint. |
| |
| Table 5.4. Improvements of the maximum hourly RMS error for horizontal and |
| vertical positioning components for troposphere-corrected configurations with |
| respect to the non-corrected configuration for each user station |
| |

Abbreviations

| 3D | 3-Dimensional |
|---------|--|
| AltBOC | Alternate Binary Offset Carrier |
| APC | Antenna Phase Center |
| ARP | Antenna Reference Point |
| ASCII | American Standard Code for Information Interchange |
| BDC | BeiDou Coordinate System |
| BDT | BeiDou Time |
| C/A | Course/Acquisition |
| CALIBRA | Countering GNSS high Accuracy applications Limitations |
| | due to Ionospheric disturbances in BRAzil |
| CDMA | Code Division Multiple Access |
| CGCS | China Terrestrial Reference Frame |
| CIGALA | Concept for Ionospheric Scintillation Mitigation for |
| | Professional GNSS in Latin America |
| CMR | Compact Measurement Record |
| CODE | Center for Orbit Determination in Europe |
| CSRS | Canadian Spatial Reference System |
| DCB | Differential Code Bias |
| DIA | Detection, Identification, and Adaptation |
| DLL | Delay-Locked Loop |
| DOF | Degrees Of Freedom |
| DOY | Day Of Year |
| ECEF | Earth-Centered-Earth-Fixed |
| EGNSS | European Global Navigation Satellite System |
| EIA | Equatorial Ionospheric Anomaly |
| EKF | Extended Kalman Filter |
| ERP | Earth Rotation Parameters |
| ESR | Early Stage Researcher |

| EU | European Union |
|----------|--|
| FDMA | Frequency Division Multiple Access |
| FLL | Frequency-Locked Loop |
| GAL | Galileo |
| GFZ | GeoForschungsZentrum |
| GIM | Global Ionospheric Map |
| GLO | GLONASS |
| GLONASS | Global'naya Navigatsionnaya Sputnikovaya Sistema |
| GLONASST | GLONASS Time |
| GPS | Global Positioning System |
| GPST | GPS Time |
| GST | Galileo System Time |
| GTRF | Galileo Terrestrial Reference Frame |
| IAR | Integer Ambiguity Resolution |
| IBGE | Brazilian Institute of Geography and Statistics |
| ICD | Interface Control Documents |
| IERS | International Earth Rotation and Reference Systems Service |
| IF | Ionosphere-Free |
| IGS | International GNSS Service |
| IMU | Inertial Measurement Unit |
| INS | Inertial Navigation System |
| ISB | Inter-System Bias |
| ISMR | Ionospheric Scintillation Monitoring Receiver |
| ITN | Innovative Training Network |
| ITRF | International Terrestrial Reference Frame |
| JPL | Jet Propulsion Laboratory |
| MGEX | Multi-GNSS EXperiment |
| MSCA | Marie Sklodowska-Curie Action |
| MWWL | Melbourne-Wübbena Wide-Lane |
| NL | Narrow Lane |
| NRCAN | Natural Resources CANada |
| NRTK | Network Real-Time Kinematic |
| OS | Open Service |

| PCO | Phase Center Offset |
|----------|---|
| PCV | Phase Center Variation |
| PLL | Phase-Locked Loop |
| POINT | Position Orientation INTegration |
| PPP | Precise Point Positioning |
| PSD | Power Spectral Density |
| PZ | Parametry Zemli |
| RBMC | Network for Continuous Monitoring of GNSS Systems |
| RCP | Right Circularly Polarized |
| RIM | Regional Ionospheric Map |
| RINEX | Receiver INdependent EXchange |
| RMS | Root Mean Square |
| RMSE | Root Mean Square Error |
| ROT | Rate Of TEC |
| ROTI | ROT Index |
| RTCM | Radio Technical Commission for Maritime Services |
| RTK | Real-Time Kinematic |
| RTN | Real-Time Network |
| S/A | Selective Availability |
| SF | Scale Factor |
| SIS | Signal-In-Space |
| SPP | Standard Point Positioning |
| STEC | Slant TEC |
| SVID | Satellite Vehicle IDentification |
| TAI | International Atomic Time |
| TEC | Total Electron Content |
| TECR | TEC Rate |
| TECU | TEC Unit |
| TEQC | Translation, Editing and Quality Check |
| TREASURE | Training REsearch and Applications network to Support the |
| | Ultimate Real time high accuracy |
| | EGNSS solution |
| UNAVCO | University NAVSTAR Consortium |

| UTC | Coordinated Universal Time |
|------|---------------------------------|
| VTEC | Vertical Total Electron Content |
| WGS | World Geodetic System |
| WL | Wide Lane |
| ZHD | Zenith Hydrostatic Delay |
| ZTD | Zenith Total Delay |
| ZWD | Zenith Wet Delay |

1 Chapter 1

² Introduction

3 1.1 Global Navigation Satellite System (GNSS) positioning

4 Human civilization is currently characterized by the Information Age, where rapid 5 technological advancements generate the greatest societal impacts and economic 6 benefits. This latest stage in human development is demonstrated by the progression 7 of Global Navigation Satellite System (GNSS) technologies and the recent 8 expansion of new GNSS constellations over the past few decades. Furthermore, in 9 the 10-year period following 2019, the global number of GNSS-enabled devices is 10 forecasted to increase from 6.4 to 9.6 billion (European GNSS Agency 2019), which 11 will exceed the projected global human population (United Nations 2019). These 12 devices are key components in a variety of industries, where GNSS data are either 13 processed in real-time or after measurements are collected (i.e., post-processed) 14 using stand-alone or differential positioning techniques. 15 For these reasons, the following first introduces each component of the 16 current multi-GNSS environment and describes the ongoing modernization efforts 17 that enhance GNSS-based capabilities. Then, standard differential positioning 18 techniques and typical accuracies are discussed along with the limitations of 19 differential GNSS measurement processing in the context of specific user 20 applications. Finally, the primary GNSS error sources and techniques to either 21 model, correct, or eliminate these effects are provided.

22 1.1.1 Multi-GNSS environment and modernization efforts

23 The current multi-GNSS environment is comprised of the well-known United States'

- 24 Global Positioning System (GPS) and Russia's GLObal'naya NAvigatsionnaya
- 25 Sputnikovaya Sistema (GLONASS), along with the recently developed European

26 Galileo and Chinese BeiDou systems. Both the GPS and GLONASS constellations were developed in the 1970s-80s and declared fully operational by the year 1995, 27 28 with ground segment infrastructure deployed and at least 24 satellites in orbit to 29 achieve global coverage (McDonald 2002). In the early 2000s, the development of 30 the Galileo and BeiDou GNSS constellations began with the aim to achieve 31 independence from the previously established GPS and GLONASS systems. Galileo 32 additionally aimed to provide a non-military, civilian-based system. By the year 33 2021, the Galileo and BeiDou constellations were designated as fully operational, 34 while GPS and GLONASS underwent modernization efforts and the previously declining GLONASS system (Revnivykh 2008) recovered to a complete 35 36 constellation.

37 The newly established and upgraded constellations offer many benefits to users such as access to new signals centered at lower L-band frequencies, higher 38 39 signal transmission power (Steigenberger et al. 2018) and positioning augmentation 40 and safety of life services. Ongoing efforts unique to GLONASS propose a transition 41 from frequency division multiple access (FDMA) to code division multiple access 42 (CDMA), which will be consistent with the other global systems. Due to a phased 43 modernization approach, progressively more GPS and GLONASS satellites transmit 44 new signals, while Galileo and BeiDou were developed with modernization benefits 45 in mind and transmit signals at either overlapping or unique frequencies. For example, the GPS constellation overview in Figure 1.1 depicts a combination of both 46 47 legacy and modernized satellites used simultaneously, where each new generation typically transmits signals centered at new frequencies. 48

49 A key feature of modernized GNSS signals is the separation of pilot and data 50 channels that is intended to improve signal tracking performance for GNSS 51 receivers. For this reason, Galileo has recently gained more attention in multi-GNSS 52 studies due to the superior signal noise and multipath performance of the E5 53 alternate binary offset carrier (AltBOC) signal (Basile et al. 2019; Guo et al. 2016; 54 Lou et al. 2016; Zaminpardaz and Teunissen, 2017) to enhance GNSS signal 55 acquisition and tracking. This modulation technique results in a Galileo E5 signal 56 that occupies a greater frequency band compared to the corresponding GPS L5 signal, as shown in Figure 1.2, which can additionally be tracked as separate E5a or 57 58 E5b signals depending on the receiver design. Note that although the frequency 59 bands between global systems do not necessarily overlap, similar channel

60 separations into pilot and data components have also been implemented for the

3

- 61 newest GPS and BeiDou signals.
- 62



63



66



Figure 1.2. In-phase (I) and quadrature (Q) frequency bands for GPS L5 and Galileo
 E5 signals (source: gssc.esa.int/navipedia).

70 **1.1.2 Differential positioning techniques and limitations**

71 Since the intentional degradation of GPS signals was deactivated in the year 2000 72 (GPS S/A Announcement 2000), the nominal accuracy of single point positioning (SPP) has been approximately 10 meters due to the meter-level accuracy of 73 74 pseudorange measurements and the inherent complexity of GNSS error sources 75 (Alkan 2001; Subirana et al. 2013). However, differential positioning techniques that exploit the spatial and temporal correlation of GNSS errors at a reference and nearby 76 77 user receiver, as shown in Figure 1.3, can achieve positioning accuracy of better than 78 1 meter (Alkan 2001; Landau et al. 2007). Similar differential positioning accuracy 79 is also possible for between-receiver distances (e.g., baselines) up to several 80 hundreds of kilometers, where correction data transmitted by a network of GNSS 81 receivers replaces the poorly modelled atmospheric error estimation in the user's 82 model. 83



- 84
- 85 86

Figure 1.3. Differential positioning approach, where reference station coordinates are constrained to estimate a relative user position.

If high accuracy mm- to cm-level positioning performance is desired, then mm-level precision carrier phase measurements and related ambiguity parameters must be included in the underlying model and algorithms. Ambiguities bias the otherwise highly precise carrier phase measurements and represent an unknown number of integer cycles that occur between signal transmission and reception. After ambiguities are precisely estimated, as either non-integer (float) or integer (fixed)

93 values, carrier phase measurements behave as extremely precise, mm-level precision, 94 pseudorange measurements (Kouba 2015). 95 A large number of carrier phase ambiguities can weaken the positioning 96 model, as more unknown parameters must be estimated. However, for a network of 97 receivers that use between-receiver baselines to form double-differenced code and 98 carrier phase measurements, the unknown ambiguities can be estimated then set as 99 integers to strengthen the overall model. In post-processing mode, integer 100 ambiguities are estimable for: long baselines up to thousands of km with nominal 101 mm-level daily positioning accuracy (Hill et al. 2009; King and Williams 2009); 102 medium-length baselines less than one hundred km with cm-level accuracy using 103 less than one hour of measurements (Eckl et al. 2001; Schwarz 2008); short baselines 104 less than tens of km with cm-level accuracy for epoch-wise fixed solutions (Bouin et al. 2009; Han and Rizos 2000; King 2009; Larson et al. 2007). 105 106 Relative positioning is also used for cm-level accuracy real-time kinematic (RTK) positioning, where integer ambiguities can usually be estimated after a short 107 108 convergence period of only a few epochs for short baselines (Dai 2000; Dai et al. 109 2007; Han 1997). For the standard RTK user, the error residual in the rover-reference 110 double-differenced observation increases with increased baseline length due to the 111 atmospheric variations along the baseline, which decreases the success rate of 112 instantaneous integer ambiguity resolution. In this case, a regional real-time network 113 (RTN) of GNSS reference stations can be used to estimate and transmit real-time 114 atmospheric correction information to users in a technique known as network-RTK (NRTK). Aside from the costly deployment of RTN infrastructure, correction quality 115 116 rapidly decreases outside the reference station domain and is therefore not suitable 117 for precise positioning at a global scale (Rizos 2007). 118 For the many applications that require centimeter-level positioning accuracy in real-time and are located outside the reference network coverage, differential RTK 119 and NRTK approaches are not feasible. For example, precision agriculture activities 120 121 such as seed-transplanting, variable-rate fertilization and pesticide management 122 require positioning better than 10-cm and may be applied to areas larger than several 123 hundred square kilometers (Gebbers and Adamchuk 2010; Lan et al. 2017). 124 Bathymetric, topographic and gravimetric surveys that rely on cm-level positioning 125 of respective echo sounder, lidar, and gravimeter instruments are conducted over 126 areas of more than millions of square kilometers (Madore et al. 2018; Roman and

127 Childers 2013; Smith and Roman 2010). Precision maritime navigation, positioning 128 of ocean structures, satellite radar altimetry-derived sea-surface mapping and 129 offshore geological hazard and tectonic plate monitoring are fundamentally 130 challenging as reference stations are often not available or too expensive to build 131 (Evans et al. 2022; Chen et al. 2021; Chadwell and Spiess 2008; Kato 2005; Picot et 132 al. 2003). 133 With these critical applications and challenges in mind, a high-accuracy 134 positioning method able to achieve cm-level accuracy at a global scale without a

135 relatively dense network of reference stations is necessary to enable GNSS

136 positioning for a greater variety of users. A global non-differential technique would

also reduce the potential propagation of reference station errors and costs associated

138 with the deployment and maintenance of Continuously Operating Reference Station

139 (CORS) networks. In the following, primary GNSS error sources are provided to

140 give a background on the challenges that must be addressed to achieve accurate

141 GNSS positioning.

142 **1.1.3 Primary GNSS error sources**

The overall GNSS error budget in Figure 1.4 is comprised of major error sources related to the troposphere, ionosphere, satellite orbit, receiver and satellite clock offsets, and hardware bias effects. These primary errors must be addressed using sophisticated error modelling, specialized estimation techniques, mathematical elimination, or other external corrections to achieve high-accuracy GNSS positioning. Therefore, these error sources and the modelling strategies that are typically used for GNSS processing are described in the following:

150 **Tropospheric delays.** The troposphere is an atmospheric layer that extends • 151 from the earth's surface to an altitude of approximately 60-km. The delayed 152 propagation of GNSS signals through the troposphere is typically separated into zenith hydrostatic delay (ZHD) and zenith wet delay (ZWD) components 153 154 that respectively represent the dry atmosphere and water vapor (Solheim et 155 al. 1999). Thus, the zenith total delay (ZTD) is the summation of both the 156 hydrostatic and wet delay components. The ZHD can be modelled to mmlevel accuracy if accurate temperature, pressure, and humidity data are 157 158 available locally. In the absence of local atmospheric data, global ZHD

models are used, with residual ZHD errors absorbed by ZWD parameters
estimated by the GNSS user at each epoch.

161 Tropospheric delays in the zenith direction can be converted to the 162 slant direction for each receiver-satellite link using empirical mapping 163 functions. The slant hydrostatic delay is typically used as *a priori* GNSS observation corrections, while the slant wet delay is more difficult to model 164 165 and requires the GNSS user to estimate it as part of their functional model. Typical hydrostatic delay models include the Hopfield and Saastamoinen 166 167 models (Colombo 2006; Hopfield 1969; Jensen and Ovstedal 2008; Saastamoinen 1973), while typical mapping functions include the Niell 168 169 function (Niell 1996), the Global and Vienna functions (Boehm et al. 2006), 170 and the University of New Brunswick function (Leandro et al. 2006). These mapping functions are empirical models and assume a symmetric troposphere 171 effect surrounding the user receiver. Additional horizontal gradients can be 172 173 estimated by the user to address the asymmetric nature of the troposphere 174 (Bar-Sever et al. 1998). In most weather conditions, the horizontal gradient is 175 tightly constrained in time and the resulting estimated values are quite small, 176 at a nominal mm-level.

177 **Ionospheric effects.** The ionosphere is an atmospheric layer that extends • 178 from about 60-km above earth's surface up to several thousand kilometers in altitude. GNSS signals that pass through this region are affected by the 179 atmospheric free electron density, which is highly dynamic due to ionization 180 and recombination of particles during respective day and night periods. 181 182 Proportional propagation speed of GNSS signals in the GHz frequency band can be used to eliminate first-order ionospheric effects that account for more 183 184 than 99.9% of the total ionospheric effect (Hoque and Jakowsi 2008). Thus, 185 dual-frequency code and carrier phase measurements are typically used to 186 form respective combined ionosphere-free measurements that are affected by 187 less than 0.1% of the original ionospheric effect. The minor contribution of 188 second- and third-order ionospheric effects is typically ignored, as the 189 maximum respective range errors at zenith are below 2-cm and 2-mm 190 (Bassiri and Hajj 1993; Hoque and Jakowski 2007).

191 Single-frequency receiver users typically use *a priori* ionospheric
192 delay models to correct measurements, such as the vertical Total Electron

| 193 | Content (TEC) maps (Hernandez-Pajares et al. 2009; Noll et al. 2009) |
|-----|---|
| 194 | produced by International GNSS Service (IGS) associate analysis centers. |
| 195 | Alternatively, ionospheric model parameters estimated by ground control |
| 196 | networks are broadcast as part of each satellite's navigation message and can |
| 197 | generally reduce ionospheric range errors by approximately 50-60% (Prieto- |
| 198 | Cerdeira et al. 2014; Hoque and Jakowski 2015). Hence, low-cost single- |
| 199 | frequency devices commonly apply ionospheric corrections to measurements |
| 200 | using the Klobuchar (1987) model, a modified Klobuchar model or the |
| 201 | NeQuick model (Hochegger et al. 2000; Nava et al. 2008), with model |
| 202 | parameters broadcast by respective GPS, BeiDou and Galileo satellites (Yang |
| 203 | et al. 2020). |
| | |

 Hardware biases. GNSS code and carrier phase measurements are affected by instrumental delays due to the signal propagation medium and hardware components of satellites and receivers. For example, simply increasing the cable length of a receiver antenna affects the absolute receiver pseudorange bias at the mm-level (Defraigne et al. 2014; Dyrud et al. 2008). Due to the hardware used at the signal's origin and reception, hardware biases are specific to each satellite, each frequency, and each measurement type.

211 Combinations of dual-frequency GNSS measurements are often 212 formed to mathematically eliminate or isolate individual parameters using the 213 scaled difference of measurements observed at each frequency. The resulting 214 combined observables then become biased by the scaled difference of the 215 original individual signal biases, i.e., the so-called differential code bias 216 (DCB) in the case of combined code measurements. IGS clock and GIM 217 products are estimated using unique combinations of GNSS measurements 218 and are therefore contaminated by satellite DCB offsets. These satellite DCBs 219 can be safely neglected if the same functional model and signals are used by 220 both the network and user. Otherwise, in the case of single-frequency or 221 uncombined measurement processing, the user must apply network estimated 222 DCB corrections (e.g., from the IGS) to retrieve unbiased code 223 measurements. Carrier phase bias products were recently developed and 224 made available by some IGS analysis centers to assist with single-receiver 225 integer ambiguity resolution.

| 226 | • | Receiver clock offset. An internal GNSS receiver clock typically uses a |
|-----|---|--|
| 227 | | quartz crystal oscillator that is a few orders of magnitude worse, in terms of |
| 228 | | both accuracy and stability, than the atomic clocks used by GNSS satellites. |
| 229 | | Thus, standard GNSS users are not directly synchronized with respective |
| 230 | | GNSS time scales unless an expensive external atomic clock is utilized, |
| 231 | | which is rarely the case as the resulting GNSS receivers would not be |
| 232 | | affordable. Thus, the receiver clock offset is typically either introduced as an |
| 233 | | unknown parameter as part of the position estimation procedure or eliminated |
| 234 | | mathematically using between-satellite differencing of GNSS observations. |
| 235 | ٠ | Satellite orbits and clock offsets. Satellite orbit and clock information is |
| 236 | | available in real-time via the navigation message that is broadcast by |
| 237 | | satellites in respective GNSS constellations. Broadcast satellite orbits are |
| 238 | | provided at an approximate meter-level accuracy, while broadcast clock data |
| 239 | | are around 5-ns accuracy. Broadcast orbit and clock data are typically |
| 240 | | updated several times per day, with less frequent updates for other navigation |
| 241 | | message information, to provide GNSS users the information needed to |
| 242 | | estimate a coarse position. More precise satellite orbit and clock products are |
| 243 | | also available from GNSS data analysis centers for either enhanced real-time |
| 244 | | or post-processing applications. These precise products are discussed in more |
| 245 | | detail in the following section as they are a critical input to non-differential |
| 246 | | GNSS positioning techniques. |
| 247 | | |

248



Figure 1.4. Primary GNSS error sources and nominal magnitudes (European GNSS Agency 2018).

In the next section, details related to non-differential GNSS measurement processing are given, in the context of single-receiver positioning. This requires specialized treatment of the primary GNSS error sources and the incorporation of additional error models. Then, a review on integer ambiguity resolution methods for single-receiver GNSS users is given. Benefits and capabilities for single- and multi-GNSS processing using non-differential techniques are also discussed.

257 **1.2 Precise Point Positioning (PPP)**

258 Precise Point Positioning (PPP), also known as "absolute" or "stand-alone"

259 positioning, is a GNSS positioning technique used to process single-receiver, multi-

260 frequency carrier-phase and pseudorange measurements (Malys and Jensen 1990;

261 Zumberge et al. 1997). In a PPP model, shown in Figure 1.5, satellite orbit and clock

262 information estimated by a global network of GNSS reference stations enables high-

accuracy user positioning at a global scale, with nominal centimeter-level accuracy

under ideal conditions (Kouba and Heroux 2001). Additional satellite carrier phase

- bias and attitude products estimated by a reference network can further enhance the
- 266 performance of standard PPP (Loyer et al. 2021; Liu et al. 2021).

267 The characteristic undifferenced measurements used by the PPP user 268 distinguish the technique from relative positioning methods and permits accurate and 269 precise single-receiver positioning, as only measurements from a single receiver are 270 required by the GNSS user. Conceptually, PPP constrains the positions of orbiting 271 satellites, while differential techniques constrain the position of reference stations 272 located on or near the earth's surface. Single Point Positioning (SPP) similarly uses 273 an undifferenced approach but with pseudorange measurements only (i.e., without 274 the carrier phase) and broadcast orbit information to achieve nominal 1- to 3-meter 275 respective horizontal and vertical positioning accuracy using a multi-GNSS 276 configuration (Choi et al. 2015). Therefore, PPP is characterized by both the precise 277 carrier phase measurements and global network-estimated precise satellite products 278 incorporated in the user's non-differential positioning model. Additionally, PPP 279 performance can be further enhanced using carrier phase-based pseudorange 280 smoothing (e.g., Geng et al. 2019; Basile et al. 2019) based on time-differenced 281 measurements (Hatch 1982) which improves the precision of the relatively noisy 282 pseudorange observations. However, pseudorange smoothing is not applied in this 283 thesis, as the new processing strategies and algorithms are developed for real-time 284 applications, where the user only has access to GNSS measurement data at the 285 current and previous epochs of each continuous satellite observation arc.



286

Figure 1.5. Precise point positioning approach, where precise satellite orbit and
 clock products estimated by a GNSS reference network are provided to a single receiver dual-frequency user.

To fully describe the PPP approach, the following first provides the basic 291 292 functional model components needed for standard PPP processing. Additional error 293 models that affect single-receiver users are also discussed. Afterward, the precise 294 satellite orbit and clock products that are critical external inputs to the PPP user's 295 processing are discussed in detail. Advances in integer ambiguity resolution for PPP 296 are given. Lastly, the positioning performance for single- and multi-GNSS PPP is 297 provided to highlight the excellent accuracies that can be achieved by PPP users and 298 enhancements using multi-GNSS processing.

299 1.2.1 Single receiver positioning

322

300 Traditional PPP uses a single GNSS receiver that can observe dual-frequency carrier-301 phase and pseudorange measurements to estimate the receiver position components 302 and clock offset, tropospheric ZWD and one ambiguity parameter per observed 303 satellite (Kouba and Heroux 2001). Models are typically used to approximate the 304 tropospheric ZHD, with remaining tropospheric effects absorbed by the ZWD 305 component. First-order ionospheric delays that account for more than 99.9% of the 306 total ionospheric delay (Hoque and Jakowsi 2008) are eliminated using combined 307 ionosphere-free observables (Leick 1995). The remaining unknown satellite 308 parameters in the PPP user's model are then fixed to precise network-estimated 309 satellite orbit and clock products. Consequently, traditional PPP addresses each of 310 the primary GNSS error sources using dual-frequency measurements from a single 311 GNSS receiver, along with advanced modelling techniques and precise satellite orbit 312 and clock information.

313 Although this PPP model and estimation strategy can achieve excellent 314 precision, high-accuracy PPP requires state-of-the-art corrections that represent 315 complicated satellite, signal, timing, and site displacement effects. Relative positioning with short baselines normally neglects many of these effects due to the 316 317 elimination of common errors observed by two receivers using between-satellite and between-receiver differencing operations. For PPP however, the single-receiver user 318 319 is responsible for applying the following *a priori* corrections and strategies (see 320 Figures A.1-A.5 in Appendix A for the effects on PPP positioning performance): Antenna phase center (APC). The phase center of both satellite and receiver 321 •

12

antennas are offset from their respective nominal phase centers and require

| 323 | | phase center offset (PCO) corrections to locate signals relative to the |
|-----|---|---|
| 324 | | respective transmission and reception points. For example, satellite orbits that |
| 325 | | are modelled referenced to the satellite center of mass and the receiver |
| 326 | | antenna reference point (ARP) do not correspond to the true phase centers. In |
| 327 | | addition, the mm-level absolute antenna phase center variation (PCV) of |
| 328 | | satellite and receiver antennas must also be corrected using products derived |
| 329 | | from precisely calibrated experiments (Schmid et al. 2007). These PCO and |
| 330 | | PCV corrections are contained in files provided by the IGS for both the |
| 331 | | IGS08 and IGS14 reference frame realizations: |
| 332 | | ftp://ftp.igs.org/pub/station/general/. From these receiver and satellite antenna |
| 333 | | models, individual measurement corrections can be obtained using observed |
| 334 | | receiver-satellite geometries. |
| 335 | • | Phase wind-up. Signals transmitted from GNSS satellites are formed by |
| 336 | | right hand circularly polarized (RHCP) radio waves. A rotation about the |
| 337 | | vertical axis of either the observer or satellite changes the observed carrier |
| 338 | | phase by a fraction of the full cycle amount, up to one whole cycle. The |
| 339 | | phase wind-up correction, given by Wu et al. 1993, is applied in the IGS |
| 340 | | products and therefore must be applied to maintain compatibility with IGS |
| 341 | | orbit and clock products. |
| 342 | • | Solid earth tides. Contrary to intuition, the solid Earth crust deforms daily |
| 343 | | up to the decimeter-level due to gravitational interactions of nearby celestial |
| 344 | | objects. These site displacements are modeled by spherical harmonics which |
| 345 | | can be truncated at the second order term to provide 5-mm level precision. |
| 346 | | Thus, the total correction is a function of gravitational parameters, station |
| 347 | | coordinates, time, and the unit vector between the station and planets (IERS |
| 348 | | 1989). |
| 349 | • | Polar tides. The relationship between the Earth's spin axis and crust is not |
| 350 | | fixed and causes variations of the Earth's poles with respect to the mean |
| 351 | | poles. Pole tide corrections for station latitude, longitude, and height are |
| 352 | | calculable with respect to the mean pole values (IERS 2010). The polar tide |
| 353 | | corrections are applied in the development of IGS products and must also be |
| 354 | | applied at user locations to maintain network-user consistency. |

| 355 • | Ocean loading. The ocean tidal load on the pliable earth crust results in |
|-------|--|
| 356 | periodic deformations up to 5 cm and must be corrected for sites closer than |
| 357 | 1000 km to the nearest coastline. The ocean load can be modeled accurately |
| 358 | using the summation of 11 tidal wave parameters, each dependent on |
| 359 | astronomic and site-specific parameters (IERS 2010). The following online |
| 360 | service provides parameters used in the correction model for given user |
| 361 | location as input: http://holt.oso.chalmers.se/loading/. |
| 362 • | Earth rotation parameters (ERP). These parameters contain pole position, |
| 363 | time conventions, and precession and nutation information to enable |
| 364 | transformation between terrestrial and inertial reference frames. If a GNSS |
| 365 | user fully constrains IGS orbit and clock products, then position estimates are |
| 366 | directly related to the ITRF conventions that define the reference frame. If |
| 367 | the user desires sub-daily ERP in an inertial reference frame, then sub-daily |
| 368 | ERP variations must be considered (Kouba 2002). |
| 369 • | Relativity. GNSS positioning is affected by gravitational induced curvature |
| 370 | of the transmitted signal along the propagation path (i.e., general relativity) |
| 371 | and a frequency shift caused by satellite motion (i.e., special relativity). The |
| 372 | propagation effects are normally neglected for relative positioning techniques |
| 373 | but can cause decimeter-level errors if not corrected in absolute positioning |
| 374 | methods (Zhu and Groten 1988; Ashby 2003). The relativistic satellite |
| 375 | motion effects are corrected prior to launch by modifying the satellite clock |
| 376 | base frequency according to a nominal circular orbit. Remaining periodic |
| 377 | effects due to orbit eccentricity are corrected internally by the GNSS user |
| 378 | using satellite-receiver geometry and standard definitions for the speed of |
| | - |

379 light and gravity constants.

380

381 Next, the external satellite orbit and clock products that enable high-accuracy
382 PPP at a global scale are discussed. The techniques used to generate and apply these
383 satellite products are provided, with nominal accuracies. Because these products
384 impose constraints on the PPP user, care is taken to explain the development and use
385 of the satellite orbit and clock data.
386 **1.2.2** External satellite products

Satellite orbit and clock products that enable PPP are estimated by GNSS networks,
independent of the GNSS user. GNSS satellite orbit determination begins by
assimilating gravitational, radiation and thrust forces that act on each satellite
(Montenbruck and Gill 2000; Motenbruck et al. 2002). Then, a model is constructed
using GNSS measurements from a reference station network and using highly stable
orbital dynamics to solve for the unknown force parameters (Beutler et al. 2003).

393 Satellite clock parameter estimation similarly uses a GNSS ground station 394 network to form non-differential ionosphere-free observables of pseudorange and 395 carrier phase measurements (Hauschild and Montenbruck 2009). However, before 396 this final estimation step, absolute receiver clock offsets are approximated using 397 pseudorange-only measurement processing with clock constraints to eliminate rank 398 deficiencies (Defraigne and Bruyninx 2000; Defraigne and Bruyninx 2007). The 399 approximated clock offsets are then incorporated in the ionosphere-free model, 400 where carrier-phase measurements regulate the between-epoch relative accuracy of 401 the estimated ionosphere-free clock parameters (Hauschild and Montenbruck 2009). 402 For nearly three decades, the IGS has published high-precision satellite orbit 403 and clock products. These products are created by combining outputs from 404 international associate analysis centers such as: Center for Orbit Determination in 405 Europe (CODE), GeoForschungsZentrum (GFZ), Jet Propulsion Laboratory (JPL), 406 Natural Resources Canada (NRCAN), and others. Improved products and product 407 variety are periodically made available due to an increase in analysis center 408 contributors, better error modelling, and multi-GNSS processing strategies. 409 The latest IGS product qualities, in terms of root mean square (RMS) error,

410 and properties for GPS satellites are given in Table 1.1. All non-broadcast orbit 411 products are at least 5-cm accuracy with a 15-minute sampling interval and are 412 considered suitable for PPP. The final products provide the best accuracies and 413 sample intervals but have the longest latencies of approximately two weeks. Rapid 414 products are available only 1-2 days after observation with similar quality as the 415 final products but suffer from a worse 5-minute clock sampling interval. These 416 trends continue for ultra-rapid products, especially for the predicted products that are available in real-time but are not suitable for PPP due to the relatively poor clock 417 418 accuracy of 3-ns.

| Туре | | Accuracy | Latency | Updates | Sample Interval |
|-------------|--------|----------|-----------|--------------|-----------------|
| Broadcast | orbits | ~100 cm | Real-time | _ | daily |
| | clocks | ~5 ns | | | |
| Ultra-rapid | orbits | ~5 cm | Real-time | 6-hour UTC | 15 minutes |
| (predicted) | clocks | ~3 ns | | intervals | |
| Ultra-rapid | orbits | ~3 cm | 3-9 hours | 6-hour UTC | 15 minutes |
| (observed) | clocks | ~150 ps | | intervals | |
| Rapid | orbits | ~2.5 cm | 17 - 41 | 17 UTC daily | 15 minutes |
| | clocks | ~75 ps | hours | | 5 minutes |
| Final | orbits | ~2.5 cm | 12 - 18 | every | 15 minutes |
| | clocks | ~75 ps | days | Thursday | 30 seconds |

 Table 1.1. Properties of IGS satellite products.

420 *Note*: Adapted from https://igs.org/products/. Accuracies reported are RMS.

422 Satellite clock product precision is also reported by the IGS and is typically 423 better than the Table 1.1 RMS accuracies by at least a factor of two. This indicates 424 that small biases exist among analysis center outputs, likely due to slightly different 425 processing and modelling strategies. However, these discrepencies can be neglected 426 if products are consistently used from the same analysis center.

427 The PPP user measurement interval rarely aligns exactly with the Table 1.1 428 network-derived product intervals and therefore interpolation to the user 429 measurement epochs is required. Commonly applied satellite orbit interpolation 430 techniques are better than 1-cm accuracy, largely due to well-defined and stable 431 orbital dynamics (Yousif and El-Rabbany 2007). Simple between-epoch linear 432 interpolation of satellite clocks is suitable due to the 30-second original sampling 433 interval. Interpolation below approximately 5-second intervals offer negligible 434 positioning improvement (Bock et al. 2009). 435 The single-receiver PPP user that relies on the information illustrated in Table 436 1.1 introduces parameter constraints in their functional model. For example, because 437 the IGS precise orbits are defined in the IGS global reference frame (e.g., the ITRF), 438 PPP users that apply these products become directly constrained to the underlying

439 reference frame (Kouba 2015). This automatic placement of the PPP users relative to

440 an inherited global reference frame is a key benefit of the method, as the whole

441 reference system contributes constraints to the user's estimation position. For

442 comparison, relative positioning techniques constrain the unknown estimated user

- 443 location relative to the position of a single reference station that may not be
- 444 accurately positioned within the reference frame desired by the user.

⁴²¹

445 Consistency between network and user models must be maintained to 446 estimate user parameters that are free from unknown biases. This is especially true 447 for the IGS clock products that are estimated relative to dual-frequency ionosphere-448 free observables (Kouba and Springer 2001; Defraigne and Bruyninx 2007). The 449 resulting ionosphere-free satellite clock products contain DCBs related to the signals 450 used in the network's functional model, e.g., P1-P2 for GPS satellites. Therefore, the 451 IGS clock products are directly compatible only with an ionosphere-free user model 452 where the same satellite DCBs are absorbed in the satellite clock parameters. If 453 individual signals are processed in an undifferenced and uncombined model, or if a 454 different signal such as GPS L1C/A is used, then satellite code bias products are 455 required to correct the corresponding raw pseudorange measurements (Jefferson et 456 al. 2001; Leandro et al. 2007). For standard PPP, satellite phase biases are absorbed by the estimated ambiguity parameters resulting in non-integer (i.e., float) 457 458 ambiguities. Although integer ambiguities are not used in this thesis, integer 459 ambiguity resolution for PPP is described in the next section. 460 In addition to the IGS products given in Table 1.1, real-time satellite clocks 461 and other corrections are provided by a variety of public and commercial 462 augmentation services (European GNSS Agency 2020). These services typically 463 constrain ultra-rapid and rapid satellite orbits from the IGS, then estimate satellite 464 clocks using a reference station network to replace the poor quality IGS real-time 465 clocks. Then, real-time products are transmitted to users via geostationary 466 communication satellites or the internet using data protocols such as the Radio 467 Technical Commission for Maritime Services (RTCM) or Compact Measurement 468 Record (CMR) standards. 469 In the context of PPP, studies typically simulate real-time positioning 470 performance using final satellite clock products that have better accuracy than real-471 time counterparts. However, improvements to real-time multi-GNSS satellite clock 472 estimation and error modeling are approaching the accuracy of IGS final products 473 (Gong et al. 2018; Lou et al. 2015; Shi et al. 2018; Zuo et al. 2021). Therefore, 474 throughout this thesis, none of the aforementioned real-time services or products are 475 used and positioning results are post-processed using final satellite products.

476 **1.2.3 Integer ambiguity resolution**

477 Carrier phase measurements are inherently ambiguous due to an unknown number of 478 integer cycles that occur between signal transmission and reception. Thus, carrier 479 phase measurements are biased by a number of unknown integer cycles that are 480 commonly represented by ambiguity parameters in the GNSS positioning model. If 481 these carrier phase ambiguities can be precisely estimated or resolved as integer 482 values, then the corresponding carrier phase measurements behave as extremely 483 precise pseudorange measurements with nominal mm-level precision (Laurichesse et 484 al. 2011; Kouba 2015).

Differential positioning commonly uses double differenced carrier phase observations to remove most of the errors common to receivers and satellites. This includes mathematical elimination of non-integer bias effects that would otherwise destroy the integer nature of the carrier phase ambiguities. Thus, double-differenced GNSS processing can easily resolve integer ambiguities to strengthen the positioning model. However, as noted previously, differential processing is not an option for a wide variety of GNSS applications that use only a single receiver.

492 Integer ambiguity resolution (IAR) for non-differential GNSS models has 493 recently gained interest, with a focus on non-differential alternatives to the precise 494 double-differenced processing technique (Laurichesse 2010; Laurichesse 2011; 495 Odijk et al. 2016; Odijk et al. 2017; Teunissen et al. 2010). The methods to estimate 496 integer ambiguities for a single-receiver GNSS user can be separated into 497 observation- or state-space techniques (Odijk et al. 2016). In the observation-space, 498 combinations of code and carrier phase measurements are used to identify and 499 resolve integer ambiguities. Therefore, integer ambiguity resolution applied in a PPP 500 user's model is considered an observation-space technique, commonly named PPP-501 AR or PPP-IAR. In the state-space, combinations of the fundamental GNSS model 502 parameters are used instead of measurement combinations to perform integer 503 ambiguity resolution (Teunissen and Khodabandeh 2015). This is the reason state-504 space techniques are commonly referred to as PPP-RTK, where the GNSS model is 505 non-differential (i.e., PPP) and simultaneously enables integer ambiguity resolution 506 of uncombined carrier phase measurements (i.e., RTK). 507 Although observation- and state-space techniques are conceptually different,

508 both methods require complete consistency between the network and user models,

509 with satellite orbit and clock information made available to the user. If no further 510 modifications are applied, then the GNSS user's processing becomes identical to a 511 standard PPP model, i.e., with non-integer ambiguities. However, both PPP-IAR and 512 PPP-RTK techniques estimate then provide additional information that is incorporated in the user's model to enable single-receiver IAR. For PPP-RTK, 513 514 network-estimated clock, phase bias and code bias parameters are necessary to 515 achieve integer ambiguity resolution for the user's undifferenced and uncombined 516 measurements. This approach also optionally provides ionospheric delay information 517 to the user. For observation-space techniques, the user resolves their ionosphere-free 518 ambiguities using network-estimated satellite orbit, clock, and phase bias 519 information (Wubbena et al. 2005; Laurichesse 2010; Laurichesse and Mercier 520 2007). Remaining parameters, such as the satellite code bias, are then eliminated in the observation-space if the network and user use identical GNSS models. 521 522 A critical difference between these single-receiver integer ambiguity 523 resolution techniques is the flexible parameterization of network-estimated 524 information in the PPP-RTK approach that can additionally provide ionospheric data 525 to the user. For example, if accurate ionospheric information is given to the PPP-526 RTK user, e.g., from a regional GNSS reference network, then fast and reliable 527 positioning that is comparable to double-differenced RTK models can be achieved 528 (Psychas and Verhagen 2020). If network-estimated ionospheric delays are not 529 available, then the PPP-RTK user can estimate slant ionospheric delays, which may 530 be useful for atmospheric studies or other applications. Another advantage of the 531 PPP-RTK approach is that the underlying GNSS model consists of uncombined 532 measurements that are less noisy than the alternative ionosphere-free combined 533 measurements. 534 In terms of real-time positioning applications, RTK and NRTK users require 535 reference station observations at each epoch to form double-differenced observables. This process is quite intensive from a data transmission perspective and is especially 536 537 problematic when a GNSS user is operating with limited bandwidth, e.g., in a remote 538 area with poor data coverage. Therefore, PPP-RTK is an appealing alternative to traditional RTK and NRTK methods, as reference station observations are not needed 539

- 540 by the PPP-RTK user. Additionally, some network-estimated PPP-RTK parameters
- are quite stable in time and can be updated less frequently to further reduce
- 542 bandwidth requirements (Wübbena et al. 2014).

543 A small number of IGS analysis centers have adopted strategies that enable 544 observation-space PPP-IAR, while PPP-RTK is primarily limited to commercial and 545 research applications. Additional data currently available for PPP-IAR are satellite 546 wide-lane bias products. These products are usually quite stable over short time 547 periods and are therefore typically made available by GNSS analysis centers on a 548 daily basis. In this thesis, it should be noted that the standard PPP strategy is used, 549 without satellite bias products or PPP-RTK strategies, as the objectives focus on 550 post-convergence PPP performance. Also, the extreme atmospheric conditions that 551 are studied typically amplify position errors by several factors, or even orders of 552 magnitude in some cases, while PPP-IAR and PPP-RTK models are nearly identical 553 to the standard PPP model, in terms of accuracy, after the initial convergence period 554 (Ma et al. 2020).

555 The benefits of PPP from the single- and multi-GNSS processing 556 perspectives are given next. Examples are provided to demonstrate the achievable 557 positioning performance using single- and multi-GNSS configurations under a 558 variety of conditions. Comparisons between single- and multi-GNSS positioning 559 show the typical performance improvements that can be achieved when GNSS 560 measurements from more than one system are used by the GNSS user.

561 1.2.4 Benefits of single- and multi-GNSS PPP

562 Standard PPP was initially developed to efficiently analyze large networks of GPS 563 measurements and reduce the computational burden compared to standard double-564 difference methods (Zumberge et al. 1997; Blewitt 2008). Additionally, PPP users 565 are not required to establish a reference station. For comparison, relative positioning 566 users are restricted by reference station logistics, feasibility, and expenses (Bisnath 567 and Gao 2009).

In a standard PPP model, users only need to receive network-estimated precise satellite orbit and clock data which requires less bandwidth compared to relative positioning that uses raw measurements of a reference station (Wubbena et al. 2005). Real-time applications greatly benefit from the inherently scalable PPP model due to every user receiving the same satellite-dependent data transmitted from the service provider. For the same reason, only a sparse network of a few dozen globally-distributed reference stations is required to estimate precise satellite

575 products which can achieve comparable quality to the IGS rapid and final products 576 (Hauschild and Montenbruck 2009). Thus, PPP is suitable for service at a global 577 scale, even in remote regions or open-ocean environments where reference stations 578 are thousands of kilometers away from users (Geng et al. 2010b). 579 Under ideal conditions, and after an initial convergence period, static and 580 kinematic GPS-only PPP can reach respective mm- and cm-level horizontal 581 positioning accuracy with approximately double the horizontal positioning error in 582 the vertical direction (Geng et al. 2010a; Heroux et al. 2004; Soycan 2012). These 583 advantages have encouraged the development of many PPP-enabled commercial 584 (Dixon 2006; Leandro et al. 2011; Tavasci et al. 2021), scientific (Gregorious 1996; 585 Leandro et al. 2007; Píriz et al. 2008; Shi et al. 2008; Teferle et al. 2007) and public 586 (Jia et al. 2014; Tetreault et al. 2005) software and services. Multi-GNSS PPP incorporates measurements from combinations of GNSS 587 588 constellations that have global coverage, namely, GPS, GLONASS, Galileo, and Beidou, in a single-receiver PPP model. When compared to GPS-only processing, 589 590 multi-GNSS models strengthen the overall positioning and estimation quality, 591 especially if GPS satellite coverage or the measurement quality is poor (Cai and Gao 592 2007; Cao et al. 2010; Gjevestad et al. 2007). For example, benefits of using a nearly 593 complete Galileo constellation are discussed by Xia et al. (2019), where the addition 594 of Galileo E1/E5a measurements to GPS and GPS+GLONASS based models 595 improved kinematic PPP accuracy by more than 25% and 10%, respectively. 596 Furthermore, Dabove et al. (2020) compared GPS-only with 597 GPS+GLONASS+Galileo combined processing in a multi-GNSS kinematic PPP 598 model and showed improvements of up to 51% and 46% in the respective 599 positioning accuracy and initial convergence time in the presence of strong 600 ionospheric activity at high latitudes. Multi-GNSS studies currently focus on 601 resolving measurement biases, using low noise multi-frequency combinations and 602 integer ambiguity resolution.

603 **1.3 Limitations of PPP**

Despite the numerous advantages of single- and multi-GNSS PPP, positioning
quality may degrade if conditions are not ideal. While non-mitigated positioning
errors are always problematic, real-time PPP users that operate far from reference

stations and infrastructure and are typically unable to use control (i.e., reference)
points to independently validate their position. For comparison, NRTK users can
normally occupy a control point or create a new baseline by changing reference
stations to assess their accuracy. Therefore, careful consideration must be given to
situations where PPP quality is unreliable.

612 Increased activity in earth's troposphere and ionosphere can increase GNSS-613 related errors and reduce positioning estimation quality. These atmospheric effects 614 are commonly separated into tropospheric and ionospheric signal propagation delays 615 and ionospheric scintillation effects. In this thesis, the distinction between the effects 616 of tropospheric and ionospheric layers is used, with further separation of ionospheric 617 scintillation effects as it is characterized by the rapid phase and amplitude 618 fluctuations of the received signal. The following explains specific cases that worsen 619 PPP performance and are addressed using innovations developed in this thesis.

620 1.3.1 Ionospheric scintillation

621 Strong and frequent post-sunset scintillation is common in the equatorial/low latitude 622 regions between $\pm 20^{\circ}$ geomagnetic latitude due to the Equatorial Ionospheric 623 Anomaly (EIA) effect (Spogli et al. 2013). Although strong scintillation may occur 624 more frequently in the presence of geomagnetic storms, the CODE GIM in Figure 625 1.6 shows that large ionospheric gradients are frequent at equatorial regions. Strong 626 scintillation has been demonstrated to cause frequent receiver loss of signal lock and amplify kinematic PPP errors by several orders of magnitude compared to quiet 627 ionospheric conditions (Pi et al. 2017; Luo et al. 2018; Marques et al. 2018, Guo et 628 629 al. 2019; Vadakke Veettil et al. 2020).



180° W135° W 90° W 45° W 0° 45° E 90° E 135° E180° E

631

Figure 1.6. CODE GIM on January 15, 2020 at 01:00 UTC, with EIA ionization
crests visible as dark red regions near the antemeridian (180° W).

634 Studies frequently characterize scintillation using the amplitude and phase

635 scintillation indices (Briggs and Parkin 1963; Basu et al. 2002; Aquino et al. 2005;

636 Sreeja et al. 2011; Spogli et al. 2013; Marques et al. 2018; Vadakke Veettil et al.

637 2020) and, as the result of correlation analyses, the rate of total electron content

638 index (ROTI) (Pi et al. 1997; Juan et al. 2017). Mitigation of ionospheric

639 scintillation effects is typically achieved using modifications to the stochastic model

640 (Aquino et al. 2009; Park et al. 2017; Silva et al. 2010; Weng et al. 2014) or

- functional model (Zhang et al. 2014; Vani et al. 2019). Additionally, enhanced model
- 642 error detection and quality control algorithms have been shown to mitigate
- 643 scintillation effects (Zhang et al. 2014; Luo et al. 2020). However, mitigation
- techniques are typically not applied to multi-GNSS processing and are challenging to

645 use for non-specialized GNSS receivers (Sreeja et al. 2011).

646 1.3.2 Ionospheric delay

- 647 The ionosphere-free combinations of individual GNSS measurements that are
- 648 fundamental for standard PPP processing effectively eliminates ionospheric
- 649 information that may otherwise be useful to the GNSS user. Fortunately, PPP users
- typically compute biased ionospheric delay for each receiver-satellite link using
- 651 geometry-free combinations of individual GNSS measurements as part of cycle slip
- detection and repair algorithms (Cai et al. 2013; Liu et al. 2011). The time-difference
- of this ionospheric delay was innovatively used by Luo et al. (2022) in a modified

stochastic model that uses the ionospheric rate to amplify the basic elevation-based
measurement noise. However, this method fails if carrier phase cycle slips are not
detected or remain uncorrected, as cycle slips artificially increase the otherwise
precise ionospheric rate (Cai et al. 2013). This limitation is especially problematic
during periods of increased ionospheric activity, as cycle slips are more frequent and
can be further amplified in the presence of geomagnetic storms. In these cases,
GNSS measurements are assigned noise values that are not realistic.

661 **1.3.3 Tropospheric storms**

Tropospheric delay is typically estimated in the GNSS functional model as a slowly 662 changing time-dependent parameter. In most weather conditions, it can be well-663 664 estimated using PPP, as the ZWD is only a single parameter modelled as being 665 common to all measurements using empirical mapping functions (Boehm et al. 2006; 666 Niell 1996). However, in extreme weather events, such as tropospheric storms, the 667 tropospheric delay could change rapidly and exhibit azimuthal asymmetry. As a 668 result, ZWD estimation accuracy could become worse and, therefore, worsen PPP 669 accuracy.

The PPP user typically does not have access to an immediate meteorological database to mitigate the situation, as in the NRTK domain. Moreover, standard regional tropospheric corrections that are available to NRTK users are supplied deterministically (e.g., without uncertainty data) as frequency independent terms. This limits the PPP user's potential to develop a stochastic application of the network-estimated tropospheric information, where the user's tropospheric time constraints are allowed to vary.

677 **1.4 Research objectives**

Due to the challenges identified in Section 1.3, this thesis develops strategies to
improve single- and multi-GNSS PPP performance using atmospheric information,
provided externally to the PPP user, in order to restore nominal PPP accuracy levels
under non-ideal conditions. Within this scope, background on the TREASURE
project is required to identify the motivation for using external information. The
TREASURE project was led by the University of Nottingham and was comprised of

684 9 beneficiaries, 21 associated partners, and 13 early-stage researchers enrolled as 685 PhD students. The TREASURE project was funded by the EU Horizon 2020 686 framework as a Marie Sklodowska-Curie Action (MSCA), part of the Innovative 687 Training Network (ITN). The focus of the project was on training the students 688 through secondments and interactions with the industry to achieve the ultimate realtime GNSS solution for Europe. The TREASURE project was divided into sub-689 690 topics such as receiver tracking error, ionospheric and satellite orbit modelling, 691 among other interests. 692 The purpose of this research aims to incorporate the outcomes from other 693 TREASURE project tasks into improved PPP algorithms, as shown in Figure 1.7. 694 External ionospheric and tropospheric information in Figure 1.7 was generated 695 through collaborations with Juliana Garrido Damaceno and Hongyang Ma, 696 respectively. Collaboration with Kai Guo regarding new tracking error model outputs 697 occurred in parallel with the Figure 1.7 workflow, though none of the products were used in this thesis. Additional details on processing techniques and roles in Figure 698 699 1.7 are given in corresponding sections in Chapter 5. Although the initial 700 development of methods involved collaboration within the TREASURE project, the 701 strategies and results presented throughout this thesis are the original work of the 702 author.



Figure 1.7. Workflow of TREASURE project collaborations as sources for external
 regional ionospheric map data and interpolated tropospheric correction data used as
 inputs to new PPP algorithms.

| 707 | | To pursue the objectives, the following key tasks were identified and |
|-----|--------|--|
| 708 | addres | sed throughout this thesis: |
| 709 | • | Develop methods to improve kinematic PPP performance under adverse |
| 710 | | tropospheric and ionospheric conditions using external information that is not |
| 711 | | available to a standard PPP user. |
| 712 | • | Demonstrate single- and multi-GNSS PPP accuracy, reliability and precision |
| 713 | | improvement under strong low-latitude ionospheric scintillation conditions |
| 714 | | using modernized Galileo signals. |
| 715 | • | Mitigate ionospheric scintillation effects for a non-specialized multi-GNSS |
| 716 | | PPP user in a mixed stochastic model. |
| 717 | • | Develop strategies to process and show the benefits of a troposphere- |
| 718 | | corrected PPP model using deterministic and stochastic corrections to |
| 719 | | mitigate tropospheric storm effects. |
| 720 | ٠ | Develop a revised PPP model that uses regional and global ionospheric |
| 721 | | information in an efficient manner to improve positioning performance under |
| 722 | | large ionospheric gradients. |
| 723 | • | Implement the above solutions in the University of Nottingham POINT |
| 724 | | software, where algorithms can be further expanded by future researchers. |
| | | |

725

726 **1.5 Thesis structure**

727 This thesis contains six chapters which are organized as follows. After the current 728 chapter, Chapter 2 reviews previously developed methods for crucial multi-GNSS 729 PPP algorithms and techniques. Then ionospheric scintillation characterization, 730 tracking error models and positioning mitigation techniques are discussed. 731 Afterward, strategies that use correction information for both tropospheric and 732 ionospheric delays are presented. Finally, conclusions related to each experiment are 733 given and innovative contributions for this thesis are discussed, along with future 734 research opportunities. 735 Chapter 3 introduces the University of Nottingham POINT software 736 architecture and capabilities. This chapter also provides details on the estimation 737 processing strategy. Contributions to the software for this thesis are also given.

| 738 | Chapter 4 presents and discusses results on the evaluation of kinematic multi- |
|-----|--|
| 739 | GNSS PPP under low latitude ionospheric scintillation. Then, multi-GNSS |
| 740 | mitigation methods are developed and results are evaluated with respect to a standard |
| 741 | elevation-based stochastic model. |
| 742 | Chapter 5 proposes troposphere-corrected and ionosphere-weighted PPP |
| 743 | models that improve positioning performance under adverse conditions. The |
| 744 | development and quantitative evaluation of deterministic, partially stochastic and |
| 745 | fully stochastic tropospheric corrections is presented. Then, a new modified |
| 746 | stochastic model is developed to amplify measurement uncertainty based on the |
| 747 | ionospheric delay rate computed using global and regional ionospheric map |
| 748 | products. |
| 749 | Although both Chapters 4-5 contain experiments related to the ionosphere, |
| 750 | the organization is based on the type of information provided to the PPP user. In |
| 751 | Chapter 4, new processing strategies specifically target ionospheric scintillation |
| 752 | effects using multi-GNSS processing and receiver tracking error characteristics. |
| 753 | Therefore, this content is provided separately from Chapter 5, which incorporates |
| 754 | ionospheric and tropospheric correction information into new algorithms, as opposed |
| 755 | to the receiver properties that are used in Chapter 4. |
| 756 | Chapter 6 summarizes the key outcomes and highlights the contributions of |
| 757 | this thesis. Quantitative support for the innovations developed herein is also given. |
| 758 | Future research tasks are also presented with the aim of building on the results of this |
| 759 | thesis. |
| | |

761 Chapter 2

762 Externally Aided PPP

763 2.1 Introduction

764 Other than precisely estimated satellite orbit and clock products, standard PPP users 765 operate without any additional external information. Therefore, changes in 766 atmospheric conditions that affect PPP performance typically remain unknown to 767 users and there is no opportunity to mitigate these errors. Hence, this chapter first 768 reviews general algorithms and techniques used for PPP and multi-GNSS 769 processing. Developments on integer ambiguity resolution for single-receiver PPP 770 users using external bias products is also reviewed. Then, the challenging 771 tropospheric and ionospheric conditions that affect PPP performance are presented in 772 detail. The techniques that will be shown to improve the deteriorated positioning 773 under these adverse atmospheric effects are given last.

774 2.2 Current advances in PPP

775 In this section, the methods that enable high-accuracy single-receiver positioning are 776 introduced using an undifferenced and uncombined functional model that relates 777 absolute unknown parameters to GNSS observations. Then, crucial measurement 778 combinations that define the functional PPP model are presented in terms of the 779 combined original unknown parameters. Furthermore, advances and strategies in 780 multi-GNSS processing and methods to detect model errors that would otherwise 781 degrade positioning accuracy are discussed. Finally, integer ambiguity resolution 782 techniques for single-receiver GNSS users are given.

783 2.2.1 Fundamental raw measurement model

Many different linear combinations of GNSS measurements can be formed to isolate,
eliminate, or emphasize specific parameters in the observation model (Hauschild
2017). Combined observables can either amplify or reduce the resulting observable
noise due to the error propagation law (Ghilani 2017) and are used for various
positioning algorithms and quality control analyses that can enhance positioning
performance.

For an undifferenced and uncombined GNSS positioning model, it is helpful to first separate frequency-independent parameters from frequency-dependent ones, where frequency-independent terms for both code and carrier phase measurements grouped as a single parameter G_r^s for satellite *s* and receiver *r* at a single epoch are:

$$G_r^s = \rho_r^s + c \cdot dt_r + m_{r,ZWD}^s \cdot T_{ZWD} + m_{r,(G_N,G_E)}^s \cdot T_{(G_N,G_E)}$$
(2.1)

where $\rho_r^s = \sqrt{(X^s - X_r)^2 + (Y^s - Y_r)^2 + (Z^s - Z_r)^2}$ represents the geometric 794 795 distance between satellite (X^s, Y^s, Z^s) and receiver (X_r, Y_r, Z_r) with precise satellite positions fixed to network-estimated orbit products; c denotes the unimpeded speed 796 of light (i.e., in vacuum); $dt_r = t_r - t^s$, where t_r and t^s represent respective 797 798 receiver and satellite clocks and dt_r denotes the associated receiver clock offset after 799 the network-estimated satellite clock biases are removed; T_{ZWD} represents the 800 absolute tropospheric zenith wet delay multiplied by a mapping function $m_{r,ZWD}^{s}$ that converts from the zenith to slant direction (Leandro et al. 2006); finally, $T_{(G_N,G_F)}$ 801 denotes north and east gradients multiplied by their respective $m_{r,(G_N,G_F)}^s$ mapping 802 functions, which convert the tropospheric zenith wet delay to azimuthal dependent 803 804 components (Chen and Herring 1997). The dry tropospheric delay component is 805 modelled (Saastamoinen 1972), mapped to slant directions, then applied as measurement corrections and is therefore not shown in Equation 2.1. 806 807 Next, the Equation 2.1 frequency-independent parameter (G_r^s) and remaining

frequency-dependent parameters, transmitted on frequency *j*, can be used to express undifferenced and uncombined pseudorange (*p*) and carrier phase (φ) measurements as:

$$p_{r,j}^{s} = G_{r}^{s} + \frac{\mu}{\epsilon^{2}} \cdot I_{r,1}^{s} + c \cdot b_{r,j}^{s} + e_{r,j}^{s}$$
(2.2)

$$\varphi_{r,j}^s = G_r^s - \frac{\mu}{f_j^2} \cdot I_{r,1}^s + \lambda_j \cdot B_{r,j}^s + \lambda_j \cdot N_{r,j}^s + \epsilon_{r,j}^s$$

where $I_{r,1}^{s}$ represents the first-order ionospheric delay of the first frequency (j = 1); 811 $b_{r,i}^s = b_{r,i} - b_i^s$ and $B_{r,i}^s = B_{r,i} - B_i^s$ denote respective code and carrier phase 812 hardware delays, where $b_{r,j}$ and $B_{r,j}$ are receiver delays and b_j^s and B_j^s are satellite 813 delays, with b and B parameters expressed in meters and cycles, respectively; $N_{r,i}^{s}$ 814 815 represents the integer carrier phase ambiguity, in cycles, that is multiplied by wavelength λ_j , in meters; finally, $e_{r,j}^s$ and $\epsilon_{r,j}^s$ denote respective code and carrier 816 phase measurement noise, assumed to be normally distributed with a zero mean. The 817 818 frequency index *j* is an integer value that corresponds to the individual GNSS signal, 819 where GPS L1 and L2 signals, for example, are assigned respectively as j = 1 and i = 2.820 821 Higher-order ionospheric effects that account for ionospheric refraction 822 depending on the planetary magnetic field are neglected in Equation 2.2, as 823 maximum effects are typically below a few centimeters of range error (Bassiri and Hajj 1993; Hoque and Jakowski 2007). Note that the ionospheric effect advances the 824 825 carrier phase and is thus represented in Equation 2.2 using a sign opposite of the 826 ionospheric coefficient for the code measurements. The ionospheric coefficient μ/f_i^2 , where $\mu = 40.3 \cdot TEC$, relates the ionospheric delay of a reference frequency 827 828 (i.e., $I_{r,1}^{s}$) to any other frequency based on the total electron content. Note that Equation 2.2 is rank deficient for $j \le 2$, i.e., the number of unknown parameters 829 exceeds the number of measurements, due to linear dependencies between the $b_{r,i}^{s}$ 830 and $B_{r,j}^s$ hardware delays and other unknown parameters. 831

832 2.2.2 Essential measurement combinations

833 Linear combinations of the Equation 2.2 raw carrier phase and pseudorange

834 measurements at an epoch can be generalized for measurements transmitted on

835 frequency j in the form:

$$o_{r,c}^{s} = \sum_{j=1}^{n} \left(\alpha_{j} \cdot \varphi_{r,j}^{s} + \beta_{j} \cdot p_{r,j}^{s} \right)$$

$$(2.3)$$

836 where α_j and β_j are signed, real-valued scale factors applied to respective carrier 837 phase and pseudorange measurements that create the combined observable $o_{r,c}^s$. Note

- that the Equation 2.3 form is adapted from the parameterization given by Hauschild
- 839 (2017). If the Equation 2.2 code and carrier phase measurements are substituted in
- Equation 2.3, then unknown parameters become linear combinations of the original
- uncombined parameters, as depicted in Equation 2.4 below.

$$o_{r,c}^{s} = \Sigma(\alpha_{j} + \beta_{j}) \cdot G_{r}^{s} + \Sigma(-\alpha_{j} + \beta_{j}) \cdot \frac{f_{1}^{2}}{f_{j}^{2}} \cdot I_{r,1}^{s} + \Sigma(-\alpha_{j} \cdot \lambda_{j} \cdot B_{r,j}^{s} + \beta_{j} \cdot c \cdot b_{r,j}^{s}) + \Sigma(\alpha_{j} \cdot \lambda_{j} \cdot N_{r,j}^{s}) + \Sigma(\alpha_{j} \cdot \epsilon_{rj}^{s} + \beta_{j} \cdot e_{r,j}^{s})$$

$$(2.4)$$

The unknown parameters of combined observables in Equation 2.4 are clearly functions of the α_j and β_j coefficients. Although the α_j and β_j coefficients may be any real number, the existence of some parameters completely depends on combination of these coefficients. For example, the scale factor which controls the existence of the first order ionospheric delay is defined in Equation 2.5 for *n* frequencies.

$$SF_{Iono} = \sum_{j=1}^{n} (-\alpha_j + \beta_j) \frac{f_1^2}{f_j^2}$$
(2.5)

848 The ionosphere-free combination introduced in Section 1.2 is a special case of 849 Equation 2.5 that is formed when carefully selected coefficient values α_j and β_j 850 satisfy the conditions: $SF_{Iono} = 0$ and j > 1. This is critical for standard PPP users 851 that rely on only the precise satellite orbit and clock products provided by the IGS, 852 without any *a priori* ionospheric information. Equation 2.6 presents the ionosphere-853 free combination coefficients for dual-frequency carrier phase and pseudorange 854 measurements.

$$\alpha_1 = + \frac{f_1^2}{f_1^2 - f_2^2}; \ \alpha_2 = -\frac{f_2^2}{f_1^2 - f_2^2}$$

$$\beta_1 = \alpha_1; \ \beta_2 = \alpha_2$$
(2.6)

The Equation 2.6 coefficients evaluated in Equation 2.5 confirm that the ionospheric parameter of Equation 2.4 is mathematically eliminated for carrier phase and pseudorange measurements. At the same time, the evaluations of $\Sigma(\alpha_j) = \Sigma(\beta_j) = 1$

- 859 Therefore, the geometric range, absolute receiver clock offset and tropospheric
- 860 parameters that are contained within Equation 2.1 are identical before and after the
- 861 combination, as each parameter is independent of the measurement frequency.
- 862 The ionosphere-free carrier phase observable, i.e., $\varphi_{r,IF}^s = \alpha_1 \cdot \varphi_{r,1}^s + \alpha_2 \cdot \varphi_{r,2}^s$, can be reconstructed by substituting the Equation 2.6 coefficients in 864 Equation 2.4 to obtain:

$$\varphi_{r,IF}^{s} = G_{r}^{s} + \lambda_{IF} \cdot \left(N_{r,IF}^{s} + B_{r,IF}^{s}\right) + \epsilon_{r,IF}^{s}$$

$$(2.7)$$

where $\lambda_{IF} = \alpha_1 \cdot \lambda_1 + \alpha_1 \cdot \lambda_2$ represents the ionosphere-free wavelength; $N_{r,IF}^s =$ 865 $\alpha_1 \cdot N_{r,1}^s + \alpha_2 \cdot N_{r,2}^s$ denotes the (integer) ionosphere-free ambiguity; $B_{r,IF}^s =$ 866 $\alpha_1 \cdot B_{r,1}^s + \alpha_2 \cdot B_{r,2}^s$ denotes the ionosphere-free hardware bias; finally, $\epsilon_{r,IF}^s = \alpha_1 \cdot B_{r,1F}^s$ 867 $\epsilon_{r,1}^s + \alpha_2 \cdot \epsilon_{r,2}^s$ represents the combined measurement noise. If satellite carrier phase 868 869 hardware bias information is not available, then a single-receiver user must re-870 parameterize $\widetilde{N}_{r,IF}^s = N_{r,IF}^s + B_{r,IF}^s$ to remove the associated linear dependency. 871 Although the resulting ambiguity parameter becomes estimable, it is no longer an 872 integer and must be estimated as a biased non-integer real (e.g., float) value. 873 However, the biased ambiguity term remains time-constant due to relatively stable 874 satellite hardware biases. 875 The combined dual-frequency ionosphere-free pseudorange observable, i.e., $p_{r,IF}^s = \beta_1 \cdot p_{r,1}^s + \beta_2 \cdot p_{r,2}^s$, can be reconstructed by substituting the Equation 2.6 876

coefficients in Equation 2.4 to obtain:

877

$$p_{r,IF}^s = G_r^s + c \cdot b_{r,IF}^s + e_{r,IF}^s$$

where $b_{r,IF}^s = \beta_1 \cdot b_{r,1}^s + \beta_2 \cdot b_{r,2}^s$ represents the combined hardware bias; and 878 $e_{r,IF}^s = \beta_1 \cdot e_{r,1}^s + \beta_2 \cdot e_{r,2}^s$ denotes the combined measurement noise. An obvious 879 linear dependency exists in Equation 2.8 due to both the terms $c \cdot dt_r$ (see Equation 880 881 2.1) and $c \cdot b_{r,IF}^{s}$ containing the same coefficient. Therefore, if satellite hardware bias information is not available, then the single-receiver user must re-parameterize 882 $\widetilde{dt}_{r,IF} = dt_r + b_{r,IF}^s$ to remove the associated rank deficiency. Furthermore, if the 883 884 $b_{r,IF}^{s}$ parameter of Equation 2.8 is expanded following Equation 2.2, then the 885 respective ionosphere-free receiver and satellite code hardware delays become differential code biases (DCBs) comprised of the original absolute code biases scaled 886 by β_j coefficients. Precise satellite clock products provided by the IGS similarly 887

(2.8)

- absorb ionosphere-free satellite DCBs in the estimated clock parameters. Therefore,
- satellite DCB corrections are not required in Equation 2.8 if the PPP user processes
- the same raw measurement combinations that were used to generate the satellite
- products, as these DCBs are consistently absorbed by the $dt_{r,IF}$ parameter.
- 892 The scale factor which controls the existence of the geometric range, clock
- 893 offsets, and tropospheric delay parameters contained within G_r^s is defined in
- Equation 2.9 for *n* frequencies.

$$SF_{Geo} = \sum_{j=1}^{n} (\alpha_j + \beta_j)$$
(2.9)

895 Geometry-free combinations are a special case of Equation 2.9 and are formed when 896 coefficient values α_i and β_j satisfy the condition $SF_{Geo} = 0$, if j > 1. Therefore, the frequency difference between two measurements of the same type eliminates 897 geometry. The coefficients that simultaneously remove geometry ($SF_{Geo} = 0$) and 898 preserve ionospheric parameters ($SF_{Iono} = 1$) are presented in Equation 2.10 for 899 dual-frequency measurements. Note that the pseudorange coefficients β_1 and β_2 in 900 901 Equation 2.10 have an opposite sign as the carrier phase coefficients to preserve 902 consistently positive ionospheric parameters.

$$\alpha_1 = + \frac{f_2^2}{f_1^2 - f_2^2}; \ \alpha_2 = -\frac{f_2^2}{f_1^2 - f_2^2}$$

$$\beta_1 = \alpha_2; \ \beta_2 = \alpha_1$$
(2.10)

903 The combined dual-frequency geometry-free pseudorange observable, i.e., 904 $p_{r,GF}^s = \beta_1 \cdot p_{r,1}^s + \beta_2 \cdot p_{r,2}^s$, can be reconstructed by substituting the Equation 2.10 905 coefficients in Equation 2.4 to obtain:

$$p_{r,GF}^{s} = I_{r,1}^{s} + c \cdot b_{r,GF}^{s} + e_{r,GF}^{s}$$
(2.11)

where $I_{r,1}^{s}$ denotes the ionospheric absolute propagation delay of the first signal; 906 $b_{r,GF}^s = \beta_1 \cdot b_{r,1}^s + \beta_2 \cdot b_{r,2}^s$ represents the combined hardware bias; and $e_{r,GF}^s = \beta_1 \cdot b_{r,GF}^s$ 907 908 $e_{r,1}^{s} + \beta_2 \cdot e_{r,2}^{s}$ denotes the combined measurement noise. The re-parameterization $\tilde{I}_{r,1}^{s} = I_{r,1}^{s} + c \cdot b_{r,GF}^{s}$ allows a single-receiver user to estimate slant ionospheric 909 910 delays that are biased by geometry-free pseudorange hardware delays. It is worth noting that the IGS routinely provides global ionospheric map (GIM) products that 911 912 are estimated along with geometry-free DCBs of satellites and reference station 913 receivers.

| 914 | Linear combinations of raw measurements create observables that have new |
|-----|---|
| 915 | apparent signal properties, namely, frequency, wavelength and noise. If |
| 916 | measurements are assumed to be uncorrelated and have identical errors, then errors |
| 917 | propagate as the square-root of the scaled squared sum of individual measurement |
| 918 | component errors due to error propagation law. Therefore, the noise properties of |
| 919 | combined observables are either amplified or attenuated relative to the original |
| 920 | measurements. Thus, the combined stochastic behavior of the ionosphere-free |
| 921 | observables in Equations 2.7 and 2.8 is amplified by about three times the original |
| 922 | measurement noise, i.e., $\sqrt{\Sigma(\alpha_1^2 + \alpha_2^2)}$, for GPS L1 and L2 signals. Similarly, the |
| 923 | geometry-free observable in Equation 2.11 is amplified by approximately 1.7 times |
| 924 | the raw measurement noise. |

925 2.2.3 Integer ambiguity resolution

926 Combinations of individual carrier phase measurements are often referred to as 927 narrow- and wide-lane, depending on respective shorter and longer combined 928 wavelengths relative to the original raw measurements. For example, respective 929 narrow- and wide-lane carrier phase combinations for GPS L1 and L2 measurements 930 have combined wavelengths equal to approximately 11- and 86-cm. Incremental 931 integer ambiguity resolution methods benefit from wide-lane combinations, where it 932 is easier to first resolve a longer wavelength observable's ambiguity as an integer 933 value. Afterward, the solved integer wide-lane ambiguities assist the integer 934 resolution of the shorter wavelength narrow-lane ambiguities.

935 The cascade from wide- to narrow-lane integer ambiguity resolution is 936 commonly used for PPP-IAR to enable ambiguity-fixed positioning for PPP (i.e., for 937 single-receiver, ionosphere-free) users. This process requires further modifications to 938 the standard PPP model to separate receiver clock offset parameters into code and 939 phase clock components, which removes receiver code bias effects from the 940 ambiguity parameters. In addition, satellite phase bias products estimated by GNSS 941 reference networks must also be included in the PPP-IAR model to restore the wide-942 lane ambiguities to integer values. The resulting narrow-lane ambiguities can then be 943 de-correlated and solved using the LAMBDA method (Teunissen et al. 1995). 944 Additional details regarding integer ambiguity resolution and the LAMBDA method 945 are provided in Appendix E.

946 2.2.4 Combined multi-GNSS processing

947 In the current multi-GNSS environment, positioning and navigation users have 948 access to more than 100 satellites of the combined GPS, GLONASS, Galileo and 949 BeiDou constellations, with signals transmitted across many shared and some unique 950 frequencies. However, these systems were developed and are maintained by various 951 national organizations that use different timing datums. For example, GPS Time 952 (GPST) was synchronized with International Atomic Time (TAI) at the first epoch of 953 Coordinated Universal Time (UTC) in the year 1980 (IS-GPS-200G 2012). Similar 954 synchronizations also formed GLONASST (ICD GLONASS 2008), GST (OS-SIS-955 ICD 2021) and BDT (BDS-SIS-ICD 2013); the respective reference times used in 956 GLONASS, Galileo and BeiDou systems. These definitions and respective UTC 957 offsets are commonly used to convert multi-GNSS measurements to a consistent 958 system time, such as GPST, with time variations between datums estimated at each 959 epoch.

960 In addition to timing offsets, GNSS constellations also broadcast satellite 961 positions relative to a variety of Earth-Centered-Earth-Fixed (ECEF) reference 962 frames, where each frame is defined by different geodetic constants, ellipsoid 963 parameters, and reference epochs. The WGS-84, PZ-90, GTRF and BDC/CGCS 964 frames are used for respective GPS, GLONASS, Galileo and BeiDou systems. Transformation parameters enable conversions to various ITRF realizations, with 965 966 nominal mm-level accuracy, for frames that are not already aligned to the ITRF. 967 Thus, multi-GNSS processing typically uses ITRF coordinates after any necessary 968 transformations are applied to establish a consistent reference frame.

969 Multi-GNSS positioning with measurements from more than one system in 970 the same model is affected by intra-system and inter-system biases, where "intra" 971 refers to the between-satellite biases within the same system and "inter" refers to 972 between-system biases. Inter-system biases (ISBs) complicate the positioning model 973 due to residual differences in constellation time benchmarks and changes in signal 974 paths inside receivers, resulting in system-dependent hardware delays (Liu et al. 975 2019). A single receiver clock offset estimated along with daily time-constant ISB 976 parameters is one strategy for multi-GNSS processing (Li et al. 2015). Alternatively, 977 receiver clock offset parameters separated for each system can sufficiently absorb the 978 ISBs (Lou et al. 2016). In the following discussion, the latter strategy is applied.

981 measurements in the form:

$$p_{r,IF}^{s,GNSS} = \rho_r^s + dt_r^{GNSS} + \Sigma \left(m_r^s \cdot T_{W,G_N,G_E} \right) + e_{r,IF}^s$$

$$\varphi_{r,IF}^{s,GNSS} = \rho_r^s + dt_r^{GNSS} + \Sigma \left(m_r^s \cdot T_{W,G_N,G_E} \right) + \lambda_{IF}^{GNSS} \cdot \widetilde{N}_{r,IF}^s + \epsilon_{r,IF}^s$$
(2.12)

where $dt_r^{GNSS} = \tilde{dt}_r + ISB^{(GNSS-GPS)}$ represents system-dependent receiver clock offsets that absorb ISBs relative to GPST in the biased ionosphere-free clock term (\tilde{dt}_r) ; λ_{IF}^{GNSS} denotes the system-dependent ionosphere-free wavelength, in meters; and all other parameters are identical to previous definitions. Note that the frequency-dependent ionosphere-free coefficients in Equation 2.6 must be evaluated to compute pseudorange and carrier phase measurement scale factors for each Equation 2.12 system.

989 The multi-GNSS PPP functional model in Equation 2.12 estimates the following unknown parameters: three receiver coordinate components, tropospheric 990 991 zenith wet delay, two horizontal tropospheric delay gradients, one receiver clock 992 offset for each GNSS constellation and one real-valued (float) ambiguity per 993 satellite. The degrees of freedom (DOF), or redundancy, in Equation 2.12 is 994 computed by differencing the number of estimated unknown parameters, s + g + 6, and observations, $s \cdot 2$, at each epoch, where s is the number of satellites and g is the 995 996 number of GNSS constellations. Therefore, single-GNSS and triple-GNSS 997 processing require at least seven and nine satellites, respectively, to enable per-epoch 998 least-squares solutions. For filter-based estimation typically used in PPP, the 999 dynamic model constraints enable solutions at all epochs, regardless of measurement 1000 availability. However, poor model redundancy and satellite geometry can reduce estimated parameter accuracy before ambiguities are precisely estimated, causing 1001 1002 poor least-squares-minus-Kalman-filter quality control and terminating the model 1003 error detection algorithms.

1004 **2.2.5** Model error evaluation and cycle slip detection methods

1005 All carrier phase positioning models presented thus far assume that ambiguity

- 1006 parameters are time-constant and continuous. However, undetected cycle slips
- 1007 introduce biased measurements in the positioning model, while noisy measurements

reduce the precision of the estimated parameters. Undetected cycle slips are a majorproblem for PPP, where the converged solution is tightly constrained to the assumed

1010 time-constant ambiguity parameters, estimated using carrier phase measurements at a

1011 millimeter noise level. If a cycle slip occurs, then the affected ambiguity is no longer

1012 time-constant and must be reinitialized to a new value. Therefore, carrier phase

1013 measurement cycle slips are critical model errors that must be addressed to maintain

1014 high-accuracy positioning.

1015 The Melbourne-Wübbena wide-lane (MWWL) combination (Melbourne 1016 1985; Wübbena and Hannover 1985) is commonly used to monitor carrier phase 1017 cycle slips and is a critical component of model error detection algorithms (Blewitt 1018 1990; Cai et al. 2013; Liu et al. 2011). The dual-frequency MWWL combination is 1019 presented in Equation 2.13 as the difference of narrow lane ($\beta_{NL,j}$) pseudorange and 1020 wide lane ($\alpha_{WL,j}$) carrier-phase measurements.

$$\alpha_{WL,j} = \frac{f_j}{f_1 - f_2}; \beta_{NL,j} = \frac{f_j}{f_1 + f_2}$$

$$o_{MWWL} = [\alpha_{WL,1} \cdot \varphi_1 - \alpha_{WL,2} \cdot \varphi_2] - [\beta_{NL,1} \cdot p_1 + \beta_{NL,2} \cdot p_2]$$
(2.13)

1021 The MWWL combined observable in Equation 2.13 can be expanded using Equation1022 2.4 to obtain:

$$o_{MWWL} = \lambda_{WL} \cdot N_{WL} +$$

$$\lambda_{WL} \cdot (B_1 - B_2) - c \cdot (\beta_{NL,1} \cdot b_1 + \beta_{NL,2} \cdot b_2) +$$

$$\alpha_{WL,1} \cdot \epsilon_1 - \alpha_{WL,2} \cdot \epsilon_2 - \beta_{NL,1} \cdot e_1 - \beta_{NL,2} \cdot e_2$$

$$(2.14)$$

1023 where λ_{WL} denotes the wide-lane wavelength and $N_{WL} = N_1 - N_2$ represents the 1024 integer wide-lane ambiguity as the difference between ambiguities of individual measurements. It is apparent that o_{MWWL} is indeed both ionosphere- and geometry-1025 1026 free, as related parameters are eliminated by the combination and do not appear in 1027 Equation 2.14. Moreover, the MWWL combination in Equation 2.14 simplifies to 1028 $o_{MWWL} = N_{MWWL}$, where $N_{MWWL} = \lambda_{WL} \cdot N_{WL} + bias + noise$ is simply the wide-1029 lane ambiguity biased by both carrier-phase and pseudorange hardware delays. 1030 Therefore, if hardware biases are assumed to be time-constant over short intervals, 1031 then cycle slips that independently affect either N_1 or N_2 create a discontinuity in the 1032 N_{MWWL} time-series. Thus o_{MWWL} is a useful cycle slip detection indicator. It is worth 1033 noting that o_{MWWL} receives noise contributions from both narrow-lane pseudorange 1034 and wide-lane carrier phase components. Thus, the combined noise is mostly

1035 controlled by the relatively noisy pseudorange measurements. This is indeed true, 1036 even though narrow-lane noise is actually reduced by about 30%, i.e., $\sqrt{\beta_{NL,1}^2 + \beta_{NL,2}^2} = 0.71$, and wide-lane noise is amplified by about 5.7 times, i.e., 1037 $\sqrt{\alpha_{WL,1}^2 + \alpha_{WL,2}^2} = 5.74$, for GPS L1 and L2 signal measurements having identical 1038 1039 noise properties on each frequency. 1040 The total electron content rate (TECR) is an additional quality control metric 1041 that can be used to monitor carrier phase cycle slips. This technique uses the 1042 Equation 2.10 coefficients to construct a geometry-free carrier phase observable that 1043 contains only the slant ionosphere and the scaled difference between biased dual-1044 frequency ambiguities. This observable uses only precise carrier phase measurements, which results in an extremely precise cycle slip detection metric. If 1045 1046 hardware biases are assumed to be time-constant over short intervals, then cycle slips 1047 that independently affect either N_1 or N_2 create a discontinuity in the TECR time-1048 series can be used to detect and repair cycle slips (Liu 2011). Furthermore, a second-1049 order time-difference of the phase-based TEC (i.e., rate of TECR) eliminates trends in the TECR time-series, which is especially beneficial during intervals having 1050 1051 increased ionospheric activity (Cai et al. 2013). In addition, recursive time-averaging 1052 can reduce the MWWL ambiguity noise to further aid cycle slip detection (Cai et al. 1053 2013). Thus, simultaneous monitoring of the MWWL ambiguity and time-1054 differenced TECR combinations can efficiently detect carrier phase cycle slips, 1055 uniquely resolve cycles slips on individual signals, and be used to repair carrier 1056 phase measurements to improve positioning performance. 1057 Detection-identification-adaptation (DIA) algorithms enable robust detection 1058 of model errors by checking if measurement residuals agree with formal errors 1059 (Teunissen 1998 and Petovello 2003). In a DIA algorithm, the detection step computes a normalized test statistic t_i for i measurements that can be expressed as 1060 $t_i = \left| \frac{v_i \cdot P_i^{-1}}{\sqrt{v_i \cdot P_i^{-1}}} \right|$ (2.15)

1061 where v_i represents the measurement residual and P_i denotes the corresponding 1062 formal error along the residual variance co-variance matrix diagonal elements. Note 1063 that model errors are assumed to follow a zero-mean, Gaussian distribution. Model 1064 errors are identified if the test statistic exceeds a pre-defined threshold that is equal to a certain number of standard deviations away from the mean value. Finally, if at least one measurement exceeds the threshold, then the model is adapted by removing the outlier and the estimation is re-processed. For carrier phase measurements, this is typically accomplished by reinitializing the related ambiguity parameter with a large parameter noise value.

1070 2.3 Ionospheric scintillation

1071 This section first presents the characteristics of ionospheric scintillation along with 1072 the challenges of positioning under scintillation effects. Typical scintillation

1073 environments and geographic locations are discussed, along with the associated

1074 degradation of high-accuracy positioning performance. Then mitigation techniques

- 1075 are presented in order to provide details on methods that are later developed in this
- 1076 thesis and used for subsequent multi-GNSS processing.

1077 2.3.1 Characterization

1078 Scintillation of GNSS signals is caused by plasma density irregularities in the

- 1079 ionosphere (Kintner et al. 2001) and is characterized by rapid phase, amplitude and
- 1080 carrier-to-noise density ratio (C/N0) fluctuations of the received signal (Sreeja
- 1081 2016). The S₄ index given by Briggs and Parkin (1963) in Equation 2.16
- 1082 characterizes amplitude scintillation levels and is defined as the standard deviation of
- 1083 the received signal power or intensity (I) normalized to its mean value over a 60-
- 1084 second interval:

$$S_4 = \sqrt{\frac{\langle I^2 \rangle - \langle I \rangle^2}{\langle I \rangle^2}}$$
(2.16)

1085 where $\langle \cdot \rangle$ denotes the mean operator.

1086 The σ_{φ} (sigma-phi) index characterizes phase scintillation severity and is 1087 defined as the 60-second standard deviation of the received carrier phase detrended 1088 using a high pass filter with a fixed 0.1-Hz cut-off frequency to sharpen the high-1089 frequency phase data (Yeh and Chao-Han 1982; Van Dierendonck et al. 1993). These 1090 scintillation indices are output by specialized ionospheric scintillation monitoring 1091 receivers (ISMRs) and are commonly used to illustrate scintillation conditions, 1092 typically classified as weak, moderate and strong (Aquino et al. 2005; Briggs and 1093 Parkin 1963; Basu et al. 2002; Sreeja et al. 2011; Spogli et al. 2013; Marques et al. 1094 2018; Veettil et al. 2020). If raw high-frequency (e.g., 50- or 100-Hz) post-1095 correlation in-phase (I) and quadrature (Q) component data are recorded by the 1096 receiver and made available, then spectral properties can be estimated at shorter 1097 intervals (Guo et al. 2021). Alternatively, if ISMR data are not available, then the 1098 rate of total electron content index (ROTI) can effectively represent an 1099 approximation of overall scintillation levels (Pi et al. 1997; Juan et al. 2017). 1100 Scintillation occurrence is highly variable both temporally and spatially due 1101 to complex interactions between solar radiation and earth's magnetic field 1102 (Hunsucker and Hargreaves 2003). On a global scale, scintillation maximums are 1103 well-known to occur at high (polar) and low (near equatorial) geomagnetic latitudes 1104 (Basu et al. 2002). However, high latitude scintillation is mainly influenced by 1105 geomagnetic storms, while strong and frequent post-sunset scintillation is common in the equatorial regions between $\pm 20^{\circ}$ geomagnetic latitude due to the Equatorial 1106 1107 Ionospheric Anomaly (EIA) (Spogli et al. 2013).

1108 This post-sunset scintillation effect is caused by ionospheric plasma density 1109 irregularities produced by interactions between Earth's electric and magnetic fields 1110 (Basu et al. 2002). Near Earth's geomagnetic equator, electric and magnetic fields 1111 are approximately perpendicular, which causes a "fountain effect" as free electrons 1112 move vertically (i.e., perpendicular to the electric and magnetic fields) through the 1113 ionosphere and are deposited at approximately $\pm 15-20$ -degrees geomagnetic latitude 1114 regions. For these reasons, polar/auroral scintillation studies usually coincide with 1115 specific geomagnetic storm events (Mitchell et al. 2005; De Franceschi et al. 2008; 1116 Meggs et al. 2008; Prikryl et al. 2011a; Kinrade et al. 2012), while equatorial studies 1117 normally overlap with local times where scintillation is most intense (Spogli et al. 1118 2009; Li et al. 2010; Prikryl et al. 2011b; Veettil et al. 2020).

1119 2.3.2 Effects on GNSS positioning

1120 Strong scintillation is indicated by large values of the aforementioned scintillation

1121 metrics, which have been shown to amplify kinematic PPP errors by several orders

- of magnitude compared to non-scintillation conditions (Pi et al. 2017; Luo et al.
- 1123 2018; Marques et al. 2018, Guo et al. 2019; Vadakke Veettil et al. 2020). Frequent

1124 loss of lock, cycle slips and increased noise accompany strong scintillation 1125 conditions due to worse signal tracking performance, especially for the carrier phase 1126 (Skone et al. 2001; Doherty et al. 2003; Sreeja et al. 2012). Furthermore, poor 1127 satellite geometry can occur if many noisy measurements are removed from the 1128 estimation process by model error detection algorithms (Marques et al. 2018). 1129 Scintillation effects on modernized GNSS positioning performance were observed 1130 and evaluated for the GPS L2C signal (Marques et al. 2016), BeiDou constellation 1131 (Luo et al. 2018), and combined GPS+GLONASS (Marques et al. 2018) and 1132 GPS+GLONASS+Galileo (Dabove et al. 2020) processing. These studies 1133 demonstrated average vertical positioning accuracy of about 20- to 50-cm using 1134 multi-GNSS and modernized signals under scintillation. However, strong 1135 scintillation conditions can amplify positioning errors to many times larger than the 1136 average (i.e., overall) error at an individual epoch. For this reason, it is important to

1137 consider the worst-case positioning error in an ionospheric scintillation environment.

1138 2.3.3 Mitigation strategies

1139 Several strategies were developed in recent years to improve scintillation-1140 affected positioning performance. For example, if scintillation is detected by monitoring the dual-frequency slant ionospheric rate (Cai et al. 2013), then the 1141 1142 affected satellites can simply be removed from the estimation process until 1143 scintillation metrics return to their nominal levels. However, this is not a robust 1144 strategy because remaining satellite geometry may suffer and dilute the estimation 1145 precision (i.e., DOP amplification). Even if multi-GNSS processing is applied to 1146 increase the number of satellites, poor satellite geometry may occur if many satellites are affected by scintillation simultaneously. Lastly, a standard satellite elevation-1147 1148 based stochastic model applied to either single- or multi-GNSS processing may not be realistic when high-elevation satellites are affected by ionospheric scintillation, as 1149 1150 satellites observed in the zenith direction are modeled as having the most precise 1151 measurements in the elevation-based strategy. 1152 For these reasons, the mitigation of scintillation effects at the receiver

1153 tracking loop level or within positioning algorithms is preferred. Receiver tracking

1154 improvements were demonstrated using enhanced Kalman filter-based phase lock

loop (PLL) tracking algorithms (Humphreys 2005; Susi et al. 2017), assisted by

1156 frequency lock loop (FLL) processing (Zhang and Morton 2009) and with a priori in-phase filtering (Xu et al. 2015). Scintillation mitigation can also be achieved by 1157 1158 using: a scintillation-sensitive stochastic model (Aquino et al. 2009; Silva et al. 1159 2010; Weng et al. 2014); enhanced model error detection and quality control 1160 algorithms (Zhang et al. 2014; Luo et al. 2020); regional TEC information with a 1161 robust stochastic model (Park et al. 2017); and functional model re-parameterization 1162 to absorb scintillation-induced range errors combined with Aquino et al. (2009) and 1163 Zhang et al. (2014) methods (Vani et al. 2019). 1164 The modified least squares stochastic model presented in Aquino et al. (2009) 1165 and Silva et al. (2010) successfully mitigated high latitude scintillation effects using 1166 Conker et al. (2003) receiver tracking error model outputs. This method assumed that 1167 phase and amplitude scintillation effects would be adequately represented in the 1168 receiver PLL and delay-locked loop (DLL) tracking error variances computed using 1169 Conker models. However, this technique is only valid when the scintillation is in the low or moderate level. Strong amplitude scintillation, namely $S_4 > 0.7$, frequently 1170 1171 encountered at low latitudes restricts the Conker model output availability due to the 1172 model mathematical expression limitation, which originates from an assumption that 1173 the amplitude scintillation follows the Nakagami-m (1960) distribution. Therefore, 1174 more reliable tracking error models were developed by Moraes et al. (2014) using 1175 the α - μ distribution by Yacoub (2007) to extend the tracking error variance 1176 computation capabilities. Sreeja et al. (2020) demonstrated improved kinematic PPP horizontal and vertical positioning accuracies using α - μ distribution based tracking 1177 1178 error variances in a modified stochastic model under low latitude scintillation 1179 conditions. However, the α - μ approach improved 3D positioning errors by 1- to 3-1180 cm relative to the Conker method, in terms of RMS computed over 4-hour intervals 1181 affected by strong scintillation. It was reported that this is mainly due to the 1182 inaccurate parameter estimation of the α - μ distribution. 1183 Due to the relatively small improvements between stochastic mitigation 1184 methods, the Conker model was used as the basis for algorithm development 1185 throughout this thesis. The Conker model for the DLL and PLL tracking error 1186 variance of respective GPS pseudorange (C/A) and carrier phase signals within the 1187 L1 frequency band are:

$$\sigma_{DLL,L1}^{2} = \frac{B_{DLL} \cdot d \cdot [1 + (\eta_{DLL} \cdot SN0 \cdot (1 - 2 \cdot S_{4,L1}^{2}))^{-1}]}{2 \cdot SN0_{L1} \cdot (1 - S_{4,L1}^{2})}$$
(2.17)
$$\sigma_{PLL,L1}^{2} = \frac{B_{PLL} \cdot \left[1 + \left(2 \cdot \eta_{PLL} \cdot SN0_{L1} \cdot (1 - 2 \cdot S_{4,L1}^{2})\right)^{-1}\right]}{SN0_{L1} \cdot (1 - S_{4,L1}^{2})} + \frac{\pi \cdot T}{k \cdot f_{n}^{p-1} \cdot \sin((2 \cdot k + 1 - p) \cdot \pi \cdot (2 \cdot k)^{-1})} + oscillator noise$$
(2.18)

1188 where the receiver-specific parameters B_{DLL} and B_{PLL} are respective one-sided DLL 1189 and third-order PLL bandwidths, in hertz; d is the correlator spacing, in chips; $SN0 = 10^{0.1 \cdot (C/N0)}$ is the fractional signal-to-noise density ratio that uses the ratio of 1190 1191 the received signal power to the noise threshold C/N0; η_{DLL} and η_{PLL} are respective DLL and PLL pre-detection integration times, in seconds; S_4 is the signal-dependent 1192 1193 amplitude scintillation index; T is the 1-Hz phase noise spectral strength; p is the 1194 phase power spectral density (PSD) slope; k is the PLL loop order; and finally, f_n is 1195 the PLL natural frequency, in hertz. In the case of ISMR data, the scintillation 1196 parameters in Equations 2.17 and 2.18 are estimated internally by the 50- or 100-Hz 1197 raw data and are reported in output files. In addition, receiver tracking loop 1198 parameters can be assumed or provided from receiver manufacturer specifications. It 1199 can be observed in Equation 2.18 that the PLL variance is the summation of 1200 scintillation error, thermal noise, and oscillator noise components. 1201 The respective chips- and radians-squared base units of $\sigma_{DLL,L1}^2$ and $\sigma_{PLL,L1}^2$

1202 converted to units of meters and cycles are:

$$\sigma_{DLL,L1}(meters) = \sqrt{\sigma_{DLL,L1}^2} \cdot F_{DLL,L1}$$

$$\sigma_{PLL,L1}(cycles) = \sqrt{\sigma_{PLL,L1}^2} \cdot F_{PLL}$$
(2.19)

1203 where $F_{DLL,L1} = 293.0523$ meters per chip for GPS L1C/A; and $F_{PLL} =$

1204 $(2 \cdot \pi)^{-1}$ cycles per radian for carrier phase signals. Therefore, the units in Equation

- 1205 2.19 are consistent with pseudorange and carrier phase measurements and can be
- 1206 used to form a modified stochastic model, as recommended by Aquino et al. (2009).

1207 2.4 Atmospheric delays and mitigation

1208 High-accuracy GNSS positioning separates atmospheric error components into

1209 tropospheric and ionospheric categories. In general, a priori measurement

- 1210 corrections from advanced modelling outputs can be applied to reduce the receiver-
- 1211 satellite line-of-sight range effects. This section presents the methods and challenges
- 1212 involved in generating and using atmospheric corrections for high-accuracy GNSS
- 1213 positioning.

1214 **2.4.1** Troposphere error modelling and correction

1215 Tropospheric delays can typically cause meter-level range biases for GNSS 1216 measurements in the zenith direction, with effects amplified up to tens of meters in 1217 slant directions. Therefore, tropospheric effects are a major constituent of the overall 1218 GNSS error budget and must be modelled, estimated or corrected to achieve high-1219 accuracy positioning. Fortunately, the hydrostatic (or dry) tropospheric delay 1220 component can be accurately modelled to remove about 90% of the total effect 1221 (Saastamoinen 1972; Leandro et al. 2006). The remaining wet tropospheric delay 1222 depends on atmospheric water vapor and is difficult to model due to high spatial and 1223 temporal variability. For this reason, wet tropospheric delay is typically estimated in 1224 the zenith direction, then scaled by elevation-dependent mapping functions that 1225 convert from the zenith to slant directions (Niell 1996). These mapping functions are 1226 symmetrical for an individual receiver and therefore assume identical azimuthal 1227 behaviour for satellites at a constant elevation angle.

1228 Asymmetrical tropospheric conditions become more evident during severe 1229 weather events that are accompanied by rapid changes in atmospheric water vapor, 1230 e.g., heavy rainfall due to storms or typhoons (Ma and Verhagen 2021). Therefore, precise positioning applications are recommended to estimate azimuthal-dependent 1231 1232 horizontal gradients along with the standard zenith wet delay parameter (Bar-Sever 1233 et al. 1998). Horizontal linear models that separate the tropospheric asymmetry in to 1234 north-south and west-east gradients (Chen and Herring 1997) tend to have worse 1235 performance under abnormal tropospheric conditions (Douša et al. 2016; Masoumi et 1236 al. 2017). Therefore, severe weather events, especially with asymmetrical 1237 tropospheric conditions, may threaten high-accuracy GNSS positioning applications.

Furthermore, Ma et al. 2021 demonstrated in simulation that inadequate tropospheric
modelling resulted in worse PPP integer ambiguity resolution success rates during
storm events.

45

1241 **2.4.2** Ionospheric error modelling and estimation

1242 Although the well-known ionosphere-free linear combinations in Equation 2.7 and 1243 Equation 2.18 mathematically eliminates the first-order ionospheric delay, the 1244 combined measurement noise is amplified. Furthermore, the ionosphere-free 1245 functional model eliminates 99.9% of the ionospheric delay along the receiver-1246 satellite path (Hoque and Jakowsi 2008), which may be important for applications 1247 such as atmospheric monitoring. Fortunately, some GNSS network analysis centers 1248 estimate ionospheric information and provide gridded data products to users. These 1249 products are then made available as either Global Ionospheric Map (GIM) or 1250 Regional Ionospheric Map (RIM) data sets depending on the spatial density of the 1251 underlying GNSS reference network. The most common GIM product is provided by 1252 the IGS which combines the independent output GIM products from various analysis 1253 centers (Hernández-Pajares et al. 2009). However, it is preferred to use all external 1254 data products from the same provider to eliminate inconsistencies that may arise. 1255 Regardless of the extents covered, both the RIM and GIM maps typically 1256 contain vertical TEC (VTEC) values and corresponding uncertainty at uniform time 1257 intervals and regular spatial resolution. The VTEC data provided to users normally 1258 originates from slant TEC (STEC) that is estimated by a GNSS reference station 1259 network. Then, STEC is projected to vertical using a mapping function that models 1260 the ionospheric effect at the height of a representative layer, or layers, within the 1261 atmosphere (Mannucci et al. 1998). However, the STEC estimation is non-trivial and 1262 commonly uses the Equation 2.11 geometry-free combination that enables biased 1263 estimation of the slant ionospheric delay for a single, dual-frequency receiver. The 1264 re-parameterization of the ionospheric parameter in Equation 2.11 contains both the 1265 original absolute satellite and receiver hardware delays in Equation 2.2 and 1266 measurement bias terms. Carrier phase measurements are also affected by unknown 1267 ambiguity parameters. Therefore, a so-called 'carrier to code levelling' process is

1268 often used to assimilate pseudorange and carrier phase measurements in the same

1269 functional model (Ciraolo et al. 2007). In this approach, the mean difference between

geometry-free pseudorange and carrier phase measurements is estimated for each
continuous arc of an observed satellite. The resulting high-precision geometry-free
carrier phase observable can then be levelled (e.g., offset removed) to create a
theoretically unbiased slant ionospheric parameter.

1274 As shown by Park et al. (2017), RIM data can offer better spatial and 1275 temporal resolutions and can improve relative (i.e., double-differenced) positioning 1276 performance when compared with conventional GIM processing. Although post-1277 processing was used by Park et al. (2017), forecasted TEC, on the order of seconds 1278 to minutes, has been developed to enable real-time ionosphere-corrected positioning 1279 applications (Grzesiak et al. 2018). However, forecast quality worsens during the 1280 post-sunset hours at low latitudes due to increased ionospheric temporal variation. 1281 Furthermore, ionospheric corrections are considered primarily in relative positioning 1282 studies (Paziewski and Sieradzki 2020), while single-receiver techniques such as 1283 PPP are often overlooked. For example, the spatial and temporal variation of the 1284 ionospheric delay could be used to enhance the measurement stochastic model (Luo 1285 et al. 2022). Therefore, one aim of this thesis is to demonstrate positioning 1286 improvements by incorporating standard GIM and custom RIM data in a single-1287 receiver PPP model.

1288 **2.5** Methods and innovations developed in this thesis

1289 In this thesis, single- and multi-GNSS algorithms and processing methods were 1290 developed to improve kinematic PPP performance. In particular, multi-GNSS PPP 1291 was evaluated under ionospheric scintillation, with and without the mitigation 1292 strategies applied. New methods to enable mitigated processing for a non-specialized 1293 receiver affected by strong ionospheric scintillation is shown to improve worst-case 1294 positioning errors. Additional methods were developed to provide external 1295 information to process troposphere-corrected and ionosphere-weighted PPP. The 1296 innovative troposphere-corrected methods include a fully stochastic approach, where 1297 network-estimated tropospheric corrections and correction precisions are used to 1298 improve kinematic PPP performance during a storm event. The new ionosphere-1299 weighted technique successfully uses GIM data in a modified stochastic model to 1300 improve low-latitude kinematic PPP performance, especially for the worst-case error 1301 epochs.

1302 2.5.1 Multi-GNSS ionospheric scintillation mitigation

- 1303 Ionospheric scintillation threatens high-accuracy GNSS applications and exacerbates 1304 positioning challenges at high and low latitudes and during space weather events (Pi 1305 et al. 2017; Luo et al. 2018; Marques et al. 2018, Guo et al. 2019; Vadakke Veettil et 1306 al. 2020). In Section 4, multi-GNSS combinations of GPS, GLONASS and Galileo 1307 were processed and evaluated under low latitude ionospheric scintillation conditions. 1308 Then, the Conker et al. (2003) model for the GPS L1C/A DLL and PLL that were 1309 originally developed for GPS signals were extended to multi-GNSS processing. The 1310 resulting multi-GNSS tracking error variances were then used in a more realistic
- 1311 stochastic model, following a modified approach of Aquino et al. (2009).

1312 2.5.2 Ionosphere-weighted positioning

1313 Harsh ionospheric conditions are frequently encountered at low latitudes due to 1314 regular occurrences of strong TEC gradients. In Section 5, a PPP model is developed 1315 using ionospheric-weighted GNSS measurements that rely on GIM and RIM data 1316 products as inputs to a modified stochastic model. Low latitude GNSS observations 1317 are processed and evaluated using both a standard satellite-elevation-based 1318 weighting and the modified approach to improve PPP performance under highly 1319 active ionospheric conditions. The results presented herein were developed in 1320 collaboration with Juliana Garrido Damaceno of the Istituto Nazionale di Geofisica e 1321 Vulcanologia (INGV) of the TREASURE project, who generated the RIM that is

1322 used as an external input to the positioning model.

1323 2.5.3 Troposphere-corrected positioning

For high accuracy GNSS positioning, the troposphere is typically separated into dry and wet components, with horizontal gradients also optionally estimated. After the dry delay is modelled, the remaining zenith wet delay and gradient parameters are typically estimated using tight constraints. Although this strategy is reasonable under normal conditions, weather events require an updated processing method that accommodates rapid changes in the wet delay. In Section 5, external troposphere corrections and correction precisions are estimated by a GNSS reference network

- and made available to PPP users. Then, the *a priori* tropospheric wet delay
- 1332 information is applied and positioning performance is evaluated using deterministic,
- 1333 partially stochastic, and fully stochastic corrections. The results presented herein
- 1334 were developed in collaboration with Hongyang Ma of Delft University of
- 1335 Technology, as part of the TREASURE project, who interpolated the precise
- 1336 corrections at the user station locations.

1337 **2.6 Summary**

- 1338 This chapter first reviews the state-of-the-art methods for single-receiver positioning,
- 1339 namely the PPP functional model, linear measurement combinations and model error
- 1340 detection algorithms. Ionospheric scintillation is presented along with the effects on
- 1341 high accuracy positioning and mitigation strategies. Positioning methods that use
- 1342 external atmospheric delays are introduced, namely strategies that include
- 1343 tropospheric correction and ionospheric weighting for high-accuracy processing.
- 1344 Finally, an overview of the methods developed throughout this thesis is given.

1345 Chapter 3

1346 POINT Software

1347 **3.1 Introduction**

1348 The Position Orientation INTegration (POINT) software was originally developed 1349 for positioning and attitude determination using low-cost GPS and inertial sensors 1350 (Hide et al. 2007). POINT was developed using the object-oriented C++ 1351 programming language and contains many processing modules. Over the past 1352 decade, various researchers at the University of Nottingham have developed the 1353 POINT software for processing single- and multi-constellation GNSS measurements 1354 in a PPP configuration. Although other modules exist, the algorithms in this thesis 1355 were developed in the POINT PPP processing framework. The program is capable of 1356 processing multi-frequency, multi-constellation RINEX observation files and is 1357 based on Kalman filter processing. Version control for the program is done using an 1358 online GitLab repository. As is the case for other GNSS measurement processing 1359 engines, external products are required to use as inputs to the complex error models 1360 needed to resolve a user position. 1361 This chapter begins with a brief description of the main features of the 1362 POINT software. Then, the estimation filter is described in detail to relate measured

1363 pseudorange and carrier phase observations to unknown parameter states. Afterward,

the default POINT software performance is presented to demonstrate achievable

- 1365 positioning results under ideal conditions. Finally, the contributions developed for
- 1366 this thesis are presented.

1367 **3.2 Overview of main features**

The source code for POINT is available in a private online repository hosted by
GitLab (via https://gitlab.com/DfAC/POINT); a version control tool which

1370 automatically tracks code changes among project collaborators. This thesis 1371 contributed manuals and documentation to configure GitLab and link the POINT project to a local computer. The release version of POINT is protected by the project 1372 1373 manager inside the master branch, while all other developers contribute to POINT in 1374 separate branches and later merge changes to the master branch. Because this online 1375 version control allows easy access to source code files for GitLab users that have 1376 been granted access, the changes to POINT developed in this thesis can be used by 1377 other researchers in the future.

1378 The POINT software overview in Figure 3.1 depicts the external products 1379 introduced in Sections 1.2.1 and 1.2.2 as inputs to the PPP processing of GNSS 1380 observations contained within an input RINEX file at a single epoch. After inputs are 1381 stored and a user-defined configuration file is read, a least-squares estimation is carried out using ionosphere-free least squares to find an approximate receiver 1382 1383 position and receiver clock offset. The estimated position is used to compute initial 1384 receiver-satellite unit vectors (i.e., geometry) that enable the application of a priori 1385 measurement corrections in the following step. For example, the receiver and 1386 satellite PCV corrections are functions of receiver-satellite geometry, while some 1387 measurement weighting strategies are functions of respective satellite elevation 1388 angles. Next, measurement uncertainties are computed using the modeled 1389 measurement noise and are propagated using user-defined linear combination 1390 coefficients. The next step in POINT is to estimate unknown parameter states, 1391 including positioning components, using a Kalman filter that links the functional measurement model to a time-dynamic model of the estimated states. Finally, at each 1392 1393 epoch, POINT outputs the estimated parameter states and covariance, pre- and post-1394 fit measurement residuals, model error detection results and other log files. The 1395 middle and right columns in Figure 3.1 are repeated for each epoch of the input 1396 RINEX file to refine the estimated states.


Figure 3.1. POINT software overview for PPP processing at a single measurement
epoch, organized by inputs (left column), processing (middle column), and outputs
(right column).

1401 **3.3 Kalman filter implementation**

| 1402 | The Kalman (1960) filter (see Welch and Bishop 1995) was described by Levy |
|------|---|
| 1403 | (1997) as "navigation's integration workhorse". Although this description normally |
| 1404 | applies to inertial navigation systems (INS) that use GNSS-aided inertial |
| 1405 | measurement unit (IMU) devices, a model that uses only GNSS measurements can |
| 1406 | also benefit from Kalman filtering. In this GNSS-only case, the Kalman filter |
| 1407 | optimally integrates pseudorange and carrier phase measurements using a priori |
| 1408 | information regarding unknown parameter states, namely the carrier phase |
| 1409 | ambiguities and positioning components. The Kalman filter process is summarized |
| 1410 | in Figure 3.2, where initial state and covariance estimates are required to pre- |
| 1411 | populate the filter. Afterward, a time update predicts state and covariance estimates |
| 1412 | forward in time. Then predications are corrected using measurements at a given |
| 1413 | epoch. The prediction-correction cycle is repeated at the next epoch, where new |
| 1414 | measurements are available, to output optimal estimates of unknown parameter |
| 1415 | states and covariance. In the POINT software, a Kalman filter is implemented |
| 1416 | following Figure 3.2 to integrate GNSS measurements for PPP processing. |



1418

1419 1420

1421

Figure 3.2. Overview of the Kalman filter algorithm, characterized by time updates (predictions) and measurement updates (corrections) to estimate optimal unknown parameter states and covariance, organized by inputs (bottom row), processing (center box), and outputs (right box).

1422 The Kalman filter first requires that initial estimated unknown parameter states and associated covariances are configured in the state vector \hat{x}_0 and co-1423 1424 variance P_0 matrix. In POINT, initialization of the state vector (\hat{x}_0) is done using 1425 ionosphere-free least squares estimation of pseudorange measurements at the first 1426 epoch. The block-diagonal initial covariance matrix (P_0) is populated by the Table 1427 3.1 default initial uncertainty values. One receiver clock offset parameter is included 1428 in the functional model for each system, with each initialized using large uncertainty 1429 values, as the receiver clock is assumed to be low-quality compared to the atomic 1430 clocks used by GNSS satellites. Ambiguity parameters are also initialized with large 1431 initial uncertainties because the pseudorange-based state vector initialization 1432 excludes carrier phase measurements and because ambiguities are difficult to 1433 estimate precisely until accumulated carrier phase measurements are available. After initialization, parameter states \hat{x}_k^- and covariances P_k^- are predicted at 1434 1435 epoch k using the state transition matrix Φ_k and process noise Q_{k-1} (i.e., process 1436 uncertainty) in Equation 3.1.

$$\hat{x}_{k}^{-} = \Phi_{k} \cdot \hat{x}_{k-1}^{+}$$

$$P_{k}^{-} = \Phi_{k} \cdot P_{k-1}^{+} \cdot \Phi_{k}^{T} + Q_{k-1}$$
(3.1)

1437 At this stage, and for remaining PPP processing without inertial measurements or 1438 other navigation sensors, the state transition matrix Φ is an identity matrix. The 1439 process uncertainty matrix Q is block-diagonal with entries at elements 1440 corresponding to the Table 3.1 process uncertainty values. Note that the 60-second 1441 GNSS measurement processing used throughout this thesis simplifies the Table 3.1 1442 process uncertainty units to meters-squared per minute.

| 1443 | The values contained within the process uncertainty matrix (Q) control how |
|------|---|
| 1444 | the estimated unknown parameters evolve over time. For example, in kinematic PPP, |
| 1445 | where the receiver is not stationary, the estimated three-dimensional positioning |
| 1446 | components do not have time constraints, i.e., $Q_{X,Y,Z} \approx \infty$, while static processing |
| 1447 | completely constrains the positioning components, i.e., $Q_{X,Y,Z} = 0$. Thus, kinematic |
| 1448 | PPP is independent of previously estimated positioning components, while static PPP |
| 1449 | accumulates upon the previous position estimation. In other words, positioning |
| 1450 | components estimated using kinematic and static configurations are respectively |
| 1451 | unlinked and linked in the time domain. Ambiguity parameters are modelled as time- |
| 1452 | constant, unless a cycle slip is detected, in which case the associated ambiguity is |
| 1453 | reinitialized with a large process uncertainty value. Estimated tropospheric |
| 1454 | components related to the zenith wet delay and horizontal gradients are tightly |
| 1455 | constrained in time because the atmospheric water vapor and asymmetry effects are |
| 1456 | assumed to be quite stable over short time periods. |
| | |

1458**Table 3.1.** Default values for initial and process uncertainties of the ionosphere-free1459PPP functional model in the POINT software.

| Functional model | Description | Initial uncertainty | Process uncertainty |
|---------------------------|---|------------------------|---|
| component | | [m] | [m/epoen] |
| Position | 3D receiver position (X, Y, Z) | 1.10^{3} | $1 \cdot 10^{10}$ for kinematic 0 for static |
| Receiver clock offset | Clock error of the receiver with respect to GPS time | 1·10 ⁵ | 1.10 ⁵ |
| Troposphere | Tropospheric zenith wet delay | 1 | 1.10-5 |
| Troposphere gradients | Tropospheric horizontal (north, east) gradient components | 0 | 3.10-6 |
| Carrier phase ambiguities | Ambiguity parameters for carrier phase observations | 1.10 ⁵ | 0 without cycle slip $1 \cdot 10^5$ with cycle slip |
| Ionosphere | Eliminated by ionosphere- free combination | - | - |

1460

1461 The next step of the Kalman filter algorithm is to compute the Kalman gain 1462 K_k , which optimally controls the weight given to measurements and predicted state 1463 parameters. This innovative intermediate step links the time-dynamic and

1464 measurement models and directs the filter solution either toward or away from

1465 predictions or measurements. For details on the derivation of K_k , readers are directed

- 1467 derivation aims to weight the measurement and dynamic model components to
- 1468 minimize the *a posteriori* error covariance.

$$K_{k} = P_{k}^{-} \cdot H_{k}^{T} \cdot (H_{k} \cdot P_{k}^{-} \cdot H_{k}^{T} + R_{k})^{-1}$$
(3.2)

1469 The new parameters in Equation 3.2 are related to the measurement model, where H_k 1470 is the design matrix and is populated by the PPP functional model coefficients 1471 (columns) for each measurement (rows); and R_k is a diagonal matrix containing the 1472 variance of the measurement noise. The elements of R_k are computed at each epoch 1473 using modelled measurement noise values, typically set to 30-100-cm and 2-5-mm 1474 for respective code and carrier phase measurements in the zenith direction. 1475 Sinusoidal amplification of R_k elements is then applied using satellite elevation 1476 angle in what is commonly named an elevation-based stochastic model. In the 1477 POINT software, and throughout the following sections, R_k contains the propagated 1478 measurement uncertainties computed using Equations 2.7 and 2.8 for ionosphere-1479 free combinations of dual-frequency GNSS measurements amplified by a sinusoidal 1480 satellite elevation-based scale factor (Mohammed 2017). 1481 After the prediction (Equation 3.1) and Kalman gain (Equation 3.2) steps are complete, the corrected parameters in the \hat{x}_k^+ state vector are estimated using 1482 Equation 3.3, where observed measurements z_k and measurement predictions H_k . 1483 1484 \hat{x}_k^- from the dynamic model are optimally combined using the Kalman gain. The 1485 difference $z_k - H_k \cdot \hat{x}_k^-$ in Equation 3.3 is the measurement innovation, or pre-fit 1486 residuals, which reflects the discrepancy between observed and predicted (computed) measurements. In comparison, post-fit residuals are expressed as $z_k - H_k \cdot \hat{x}_k^+$ and 1487 are typically computed after Equation 3.3 to assess the overall internal agreement 1488

1489 between the measurements and model.

$$\hat{x}_{k}^{+} = \hat{x}_{k}^{-} + K_{k} \cdot (z_{k} - H_{k} \cdot \hat{x}_{k}^{-})$$
(3.3)

1490 The final step of a single Kalman filter iteration is shown in Equation 3.4, 1491 where the corrected unknown parameter covariance P_k^+ is estimated and used in 1492 Equation 3.1 at the next epoch. In Equation 3.4, the predicted covariance P_k^- is 1493 propagated using the design matrix H_k and Kalman gain K_k . The corrected 1494 covariance P_k^+ is computed as the difference between the predicted and propagated 1495 covariance. Note that because the Kalman filter is designed to minimize the 1496 covariance, the values in P_k^+ tend to approach zero after a sufficient number of 1497 epochs are processed and, if no model errors are encountered, unknown parameter1498 estimation becomes more precise over time.

$$P_k^+ = P_k^- - K_k \cdot H_k \cdot P_k^-$$

$$= (I - K_k \cdot H_k) \cdot P_k^-$$
(3.4)

1499 The standard Kalman filter estimates the state of linear discrete-time 1500 processes, i.e., $z_k - H_k \cdot \hat{x}_k^-$. However, in many applications a non-linear 1501 relationship exists between estimated states and measurements. Therefore, the 1502 POINT software uses an Extended Kalman filter (EKF), where state and 1503 measurement vectors are linearized about respective current mean values. The 1504 linearization process given in Equation 3.5 is analogous to a Taylor series expansion 1505 that uses non-linear functions f and h to respectively relate approximate states (\tilde{x}_k) 1506 and measurements (\tilde{z}_k) to actual values. The corresponding state transition (Φ) and 1507 design (H) matrices then become Jacobian matrices and contain partial derivatives of 1508 the respective non-linear functions.

$$\begin{aligned} \tilde{x}_k &= f(\hat{x}_{k-1}) \\ z_k &= h(\tilde{x}_k) \end{aligned} \tag{3.5}$$

In the Equation 3.5 linearized form, the measurement update to compute corrected state estimates in Equation 3.3 replaces $z_k - H_k \cdot \hat{x}_k^-$ with $z_k - \hat{z}_k$, which represents observed-minus-computed measurements. After linearization, the standard Kalman filter equations become usable for a non-linear model, as is the case for the PPP model.

1514 Note that while the measurement uncertainty R_k approaches zero in Equation 1515 3.2, the unknown parameter estimation in Equation 3.3 becomes more heavily 1516 weighted by the measurements. Conversely, while the estimated parameter 1517 uncertainty approaches zero, the dynamic model predictions in Equation 3.3 begin to 1518 outweigh measurements. For these reasons, PPP is affected by an initial convergence 1519 time of the estimated positioning error components where relatively noisy 1520 pseudorange measurements contribute more towards the position estimation in the 1521 initial epochs of processing. During this convergence, ambiguity parameters become 1522 progressively more precise due to the associated extremely precise carrier phase 1523 measurements and process uncertainty time constraints. The PPP model is informed 1524 of the carrier phase precision by configuring a low noise (i.e., large weight) relative 1525 to the pseudorange measurements. After a certain convergence period, which is

typically 30- to 60-minutes, the precise ambiguity estimates cause the parameter
covariance to approach zero and the dynamic model predictions begin to control the
solution. In this case, the converged solution becomes almost entirely controlled by
the carrier phase measurements due to the nearly infinite precision of the timeconstant estimated ambiguities.

1531 **3.4 Default software performance and configuration**

The achievable performance for POINT PPP processing is presented in this section to demonstrate the nominal accuracy and precision that can be obtained under ideal conditions using both kinematic and static configurations. Performance evaluations also establish that implementations of *a priori* error models and estimation procedures within POINT are accurate and are suitable for PPP processing.

Prior to processing and evaluation, the POINT software must be configured to process GNSS measurements using the implemented models and estimation strategies. The default POINT software configuration for GPS-only processing presented in Table 3.2 is used throughout this thesis unless otherwise noted.

1541 The settings in Table 3.2 were used to process 8 hours of dual-frequency 1542 GPS L1 and L2 pseudorange and carrier phase measurements for station PPTE using 1543 static PPP mode. Although this station is located near the equator, the atmospheric 1544 conditions at the time of observation were relatively calm. Positioning errors were 1545 evaluated against the estimated position at the final epoch of a 24-hour static 1546 processing session output from the Canadian online PPP tool (CSRS-PPP) as 1547 reference. Therefore, small position errors indicate that the POINT outputs and 1548 processing strategies are consistent with an alternative publicly available software. 1549 The horizontal and vertical positioning component time series in Figure 3.3 shows 1550 that all positioning components converge to and remain below 5-cm error after 18 1551 minutes of processing. Positioning accuracy and precision are respectively 1552 represented by the mean and standard deviation of the Figure 3.3 time-series. These 1553 values were computed after one hour of processing to exclude the large errors that 1554 occur before convergence is achieved. In the static PPP case, all positioning 1555 components achieve 1- to 9-mm accuracy and precision, apart from a slightly worse 1556 1.9-cm vertical accuracy.

Table 3.2. Default POINT software configurations and estimation strategies for GPS-only PPP processing.

| GNSS processing | Configurations/strategies |
|----------------------------------|--|
| Constellation: signals | GPS: L1P, L2P |
| Functional model | Ionosphere-free combination |
| Measurement rate | 60-sec |
| Elevation cutoff threshold | 10-deg |
| Estimation process | Extended Kalman filter |
| Measurement weighting | Elevation based (see Mohammed 2017) |
| Satellite/receiver antenna | PCO/PCV corrections: igs14_2108.atx |
| corrections | - |
| Satellite orbit and clock | IGS MGEX CODE products |
| Differential code bias | CODE P1C1 DCB product, if L1P not available |
| Receiver clock | Estimated as white noise |
| Receiver coordinates | Kinematic: white noise |
| | Static: time-constant |
| Zenith dry troposphere | A-priori Saastamoinen (1972) model using UNB3 |
| | mapping function (Leandro et al. 2006) |
| Zenith wet troposphere | Estimated as random walk using UNB3 mapping |
| | function |
| Azimuthal wet troposphere | Gradients estimated with Chen and Herring (1997) |
| | model |
| A priori pseudorange precision | L1P/L2P: 1.0/1.0 meters |
| A priori carrier phase precision | L1/L2: 0.03/0.03 cycles |
| Additional corrections applied | Phase windup; solid earth tide; polar tides; shapiro |
| | delay |
| Model error detection | MWWL and TECR cycle slip detection and DIA |
| | algorithm (Blewitt 1990; Cai et al. 2013) |
| Carrier phase ambiguities | Float (non-integer) |

1559

| 1560 | The same data were then re-processed using a kinematic configuration, where |
|------|--|
| 1561 | the estimated positioning parameters were not constrained in time with all other |
| 1562 | settings identical to the static processing configuration. The kinematic positioning |
| 1563 | error time-series in Figure 3.4 shows that an initial convergence time to reach below |
| 1564 | 10-cm positioning error is reasonable threshold to use for kinematic processing in |
| 1565 | POINT. In this case, the initial horizontal positioning errors reach and remain below |
| 1566 | 10-cm after 48 minutes of processing. It is important to note that the same number of |
| 1567 | parameters are estimated in both static and kinematic configurations. However, |
| 1568 | Figure 3.4 shows that the estimated position is less precise using kinematic mode |
| 1569 | due to fewer constraints applied to the estimated parameters. Indeed, the mm-level |
| 1570 | static processing precision in Figure 3.3 increases up to 5.6-cm using kinematic |
| 1571 | settings and appears as increased noise in the positioning error time-series. Although |

- 1572 the kinematic configuration time-series exhibits more noise, the mean positioning
- 1573 error is approximately cm-level for kinematic processing, indicating that the
- 1574 estimated positioning components are free from any large or persistent biases.







1576 Figure 3.3. Achievable north (green), east (blue), and up (red) positioning error1577 components using the standard static PPP configuration in the POINT software.





1579 **Figure 3.4.** Achievable north (green), east (blue), and up (red) positioning error 1580 components using the standard kinematic PPP configuration in the POINT software.

1581 Post-fit measurement residuals indicate the internal model agreement 1582 between the observed and computed measurements. Pseudorange residuals are 1583 shown in Figure 3.5 for kinematic processing corresponding to the Figure 3.4 time-1584 series. The non-zero mean value indicates that a small bias exists between the 1585 measurement and dynamic models. However, this bias only affects the model before 1586 initial convergence is achieved, prior to the precise estimation of the ambiguity 1587 parameters. If the model is accurate, as indicated by the excellent Figure 3.3 and 1588 Figure 3.4 positioning error performance, then the meter-level standard deviation 1589 represents the overall propagated pseudorange noise for all satellites. Note that the 1590 Figure 3.5 post-fit measurement residuals are for satellites from 10-degrees in 1591 elevation to zenith. Thus, Figure 3.5 shows the overall measurement precision, in 1592 terms of measurement-model agreement, for a variety of measurement qualities.



1593

1594 Figure 3.5. Kinematic PPP pseudorange measurement post-fit residuals for 8-hours1595 of GPS L1 and L2 processing at station PPTE under nominally ideal conditions.

1596 Carrier phase residuals shown in Figure 3.6 for kinematic processing have an 1597 approximate zero mean value. This indicates excellent agreement between the 1598 measurement and dynamic models due to the low *a priori* carrier phase noise and 1599 time-constrained ambiguity parameters. The 0.08-cycle (e.g., 1.1-cm for GPS L1) 1600 standard deviation represents the overall propagated carrier phase noise for all 1601 satellites during the observation interval. Note that the y-axis limit of Figure 3.6 1602 converted from units of cycles to meters is equal to ± 14 -cm. This range is more than

- 1603 100-times smaller than the corresponding pseudorange post-fit residual axis scale in
- 1604 Figure 3.5, demonstrating the far superior noise properties of the carrier phase
- 1605 measurements.



Figure 3.6. Kinematic PPP carrier phase measurement post-fit residuals for 8-hours
 of GPS L1 and L2 processing at station PPTE under nominally ideal conditions.

1609 **3.5 Software contributions for this thesis**

| 1610 | Although many GNSS processing engines exist and are freely available, the |
|------|--|
| 1611 | University of Nottingham version of the POINT software was developed and used |
| 1612 | since October of 2017, at the start of the TREASURE project (see Appendix B for |
| 1613 | details), to process GNSS data and generate the results presented in this thesis. |
| 1614 | During this period of study, new processing capabilities were implemented and bugs |
| 1615 | were fixed in the POINT software. These additions and improvements are |
| 1616 | summarized by the following: |
| 1617 | • New scintillation-sensitive stochastic model. Developed and implemented |
| 1618 | scintillation-sensitive measurement weighting using modified Conker et al. |
| 1619 | (2003) tracking error model outputs following the Aquino et al. (2009) |
| 1620 | approach. The new stochastic model was implemented for multi-GNSS |
| | |

Troposphere-corrected PPP. Developed and implemented *a priori* measurement corrections for external tropospheric data. Existing methods to
 import measurement corrections were updated to include zenith tropospheric
 delay correction information and correction precision. New processing
 options and corresponding implementations enabled tropospheric-corrected
 PPP using correction precisions in the dynamic model as process noise
 values.

- Ionosphere-weighted PPP. Implemented regional ionospheric map (RIM)
 correction processing for ionosphere-corrected PPP. Existing GIM methods
 were used and updated for RIM processing. For example, a new method was
 implemented so that if an ionospheric pierce point is outside the RIM extents,
 then the satellite is removed (i.e., corrections are not available) from the
 affected epoch. This was not previously available for GIM processing, as
 ionospheric corrections are available for nearly all locations globally.
- Model error detection. Implemented separate thresholds that allow either
 more or less strict outlier detection depending on if a measurement is a
 pseudorange or carrier phase. Furthermore, a new method was developed that
 allows more than one outlier to be rejected in the DIA algorithm before
 issuing a complete ambiguity reset. Prior to this development, complete
 ambiguity re-initializations were frequent in any epoch with more than one
 satellite identified as having a bad ambiguity value.
- Dynamic stochastic model. Created a new processing option to replace
 missing tracking jitter values with other weighting functions, per satellite or
 per epoch. Otherwise, tracking error model output outages would remove
 measurements from the affected satellite and significantly reduce the
 measurement availability and thus the positioning performance.
- Galileo processing. Corrected an error where up to approximately 17% of the total available Galileo satellites were excluded from processing. This was due to an error in the implementation of a POINT measurement class derived from GPS measurement processing. Additionally, approximate satellite and receiver PCO/PCV corrections were enabled for the Galileo constellation by using GPS corrections as a replacement while antenna calibrations for

| 1654 | | Galileo are underway. The same strategy was implemented for GLONASS |
|------|---|--|
| 1655 | | processing when antenna corrections were found to be unavailable. |
| 1656 | • | Satellite products. Implemented RINEX version 3.04 |
| 1657 | | (http://acc.igs.org/misc/rinex304.pdf) satellite clock products. This |
| 1658 | | enhancement replaced the previous limited capabilities of RINEX version |
| 1659 | | 2.00 satellite clock files that restricted processing in POINT to before the |
| 1660 | | year 2020. |
| 1661 | • | MWWL estimation. Implemented a pseudo-observation of the MWWL |
| 1662 | | ambiguity as an estimated state parameter. This strategy enabled better |
| 1663 | | monitoring of the MWWL ambiguities and a systematic approach to check |
| 1664 | | for cycle slips in a multi-GNSS environment. However, the additional |
| 1665 | | MWWL observable is a linear combination of input measurements and |
| 1666 | | therefore does not contribute any new information to the estimation process. |
| 1667 | ٠ | Output files for analysis. Implemented new logging files that write output |
| 1668 | | data to describe the status/properties of new algorithms. For example, the |
| 1669 | | propagated measurement uncertainties at each epoch for each satellite are |
| 1670 | | output, along with the stochastic modeling method and model error detection |
| 1671 | | statuses. Also, errors that occur while parsing measurements were removed |
| 1672 | | from the general log file and are stored in a separate file, if enabled in the |
| 1673 | | input configuration file. Furthermore, many new output files were |
| 1674 | | implemented to monitor the health of carrier phase ambiguities. |
| 1675 | ٠ | Instructions to compile POINT. Developed instruction manuals to compile |
| 1676 | | the POINT software and uploaded documents to the online repository. For |
| 1677 | | new researchers that are not familiar with POINT, a step-by-step guide on |
| 1678 | | how to configure the development environment is essential. |

1679 3.5.1 Scintillation mitigated stochastic model

The scintillation mitigation strategy is implemented in POINT according to the
Figure 3.7 pseudocode. In this framework, tracking error model outputs (i.e.,
tracking jitter in Equation 2.19) represents the uncertainty of measurements from
each satellite and at each epoch. Furthermore, because measurement uncertainties are
computed outside the POINT software, new methods were implemented to read an
external data file that is queried for each measurement following the Figure 3.7

62

- 1686 sequence. If an external uncertainty value is not available, and the dynamic
- 1687 stochastic model feature is enabled, then the uncertainty is replaced by either
- 1688 constant or elevation-based weighting factors multiplied by the nominal
- 1689 measurement precision in the zenith direction. The mitigation methods and multi-
- 1690 GNSS development are provided in more detail in Section 4.3.

Figure 3.7. Pseudocode for scintillation mitigation strategy using tracking jitter data
 in an improved stochastic model.

1694 3.5.2 Improved atmospheric-dependent PPP

1695 The troposphere-corrected processing strategy in the Figure 3.8 pseudocode is 1696 implemented in POINT. In this framework, both pseudorange and carrier phase 1697 measurements are corrected for standard *a priori* dry tropospheric delay and wet 1698 tropospheric delay estimated by a regional GNSS reference network. If the PPP user 1699 assumes that the corrections contain unknown errors, then a new residual wet 1700 tropospheric delay parameter is estimated. Furthermore, if the stochastic properties 1701 of the corrections are available, then the process noise of the estimated residual wet 1702 tropospheric delay is set equal to the correction uncertainty multiplied by a scale 1703 factor. A detailed description of the algorithm and various approaches available to 1704 the PPP user are presented in Section 5.3.

Figure 3.8. Pseudocode for tropospheric-corrected processing.

1707 The ionosphere-weighted processing strategy in Figure 3.9 is implemented in 1708 POINT and modifies the stochastic model for GNSS measurements. This strategy 1709 uses ionosphere-free pseudorange and carrier phase measurements that are weighted 1710 according to the rate of TEC as computed via external ionospheric map products. In 1711 this approach, ionospheric VTEC is mapped from the vertical to slant direction using 1712 a mapping function (Mannucci et al. 1998), then measurement uncertainty is 1713 amplified by a TECR-dependent function. Afterward, weighting values are assigned 1714 as the inverse of the measurement noise. Internal DCB corrections that are normally 1715 required to use ionospheric products are assumed to be time-constant over short time 1716 intervals and are therefore eliminated by the between-epoch difference when TEC 1717 rate is computed. The resulting modified stochastic model contains a standard 1718 elevation-based measurement noise amplification component along with a new 1719 ionospheric amplification component. Details on the algorithm development and 1720 strategy are presented in Section 5.2.

```
while measurement epochs available
   for each satellite
        query ionospheric TEC map
        for each measurement
            map vertical TEC to slant
            compute TECR
            compute modified measurement noise
            assign measurement noise value
        end
        end
        loop
```

1721 1722

Figure 3.9. Pseudocode for ionosphere-weighted processing.

1723 3.5.3 Improved model error detection

- 1724 This study uses the dual-frequency cycle slip evaluation methods presented in
- 1725 Section 2.2.4. The MWWL ambiguities are computed at each epoch, for each usable

1726 satellite, as a combination of dual-frequency code and carrier phase measurements. 1727 To summarize the algorithm, the change in a MWWL ambiguity from the previous 1728 epoch to the current epoch is compared to the variation of the MWWL ambiguity 1729 (i.e. the standard deviation) in the previous four epochs. If the current MWWL 1730 ambiguity exceeds this variation multiplied by a pre-defined scale factor, then the 1731 estimated ionosphere-free ambiguity for that satellite is reinitialized with a large 1732 process noise. For MWWL ambiguities with fewer than three epochs of previous 1733 data available, the standard deviation is set as equal to one MWWL cycle, a 1734 relatively conservative value. The ionospheric TECR is calculated using a geometry-1735 free combination of dual-frequency carrier phase measurements. Then, the same 1736 process applied on the MWWL ambiguities is used to check per-satellite TECR. 1737 When the between-epoch TECR difference is larger than the scaled TECR variation 1738 of the previous epochs and the minimum TECR is greater than 0.2 (a change of ~ 3.2 1739 cm of delay for GPS L1), a cycle slip is declared and the ionosphere-free ambiguity 1740 of the affected satellite is reset. 1741 After the dedicated cycle slip algorithms are complete, a detection-1742 identification-adaptation (DIA) algorithm (Teunissen 1998 and Petovello 2003) 1743 evaluates post-fit measurement residuals, normalized by variance-covariance matrix 1744 elements, against a pre-defined threshold. If a cycle slip is detected, then the biased 1745 carrier phase ambiguity will be reset and the corresponding measurement will 1746 contribute to a precise parameter estimation using a new ambiguity value. Thus far, a 1747 preliminary Bayesian algorithm was implemented in POINT to enhance MWWL and 1748 TECR cycle slip detection. This strategy relies on the improved stochastic model 1749 following the Aquino et al. (2009) approach to validate cycle slips using a more 1750 realistic *a priori* uncertainty of the cycle slip metrics. Although further development 1751 of the new method is needed, the model error detection strategies presented herein 1752 are crucial elements of PPP processing that enable high-accuracy and reliable performance. 1753

1754 **3.6 Summary**

In this chapter, the POINT software is introduced and the estimation process is
discussed in detail. Default initialization and processing values are provided for the
PPP functional model. The default software performance in both kinematic and static

- 1758 PPP configurations is presented to demonstrate the quality of POINT outputs and to
- 1759 present the standard configurations used for the GPS-only processing. Then,
- 1760 developments and contributions to the POINT software in support of this thesis are
- 1761 presented and discussed. Overviews of the developed algorithms are presented using
- 1762 pseudocode implemented in the POINT software. All results shown in the
- subsequent chapters are generated using the aforementioned methods and techniques
- 1764 implemented in the POINT software. Additional details on the development of each
- 1765 method are provided in the following chapters.
- 1766

1767 Chapter 4

1768 Results on Ionospheric Scintillation

1769 Evaluation and Mitigation

1770 **4.1 Introduction**

This section exploits the advantages of the increased model redundancy available in
a multi-GNSS Precise Point Positioning (PPP) model and the high-power, low noise
Galileo E5 signal to reduce kinematic PPP errors caused by ionospheric scintillation.

1774 Ionospheric scintillation monitoring receiver (ISMR) data deployed at a low-latitude

1775 station named as PRU2 in the following sections was used to characterize

1776 scintillation conditions and as inputs for the mitigation strategy. This station is

1777 located at Presidente Prudente (22.1°S, 51.4°W) in Brazil and is affected by low-

1778 latitude post-sunset scintillation that causes large positioning errors even during the

1779 solar cycle minimum. GNSS data recorded by a nearby geodetic receiver in March

1780 2019 and March 2020 were used to evaluate the horizontal and vertical positioning

1781 performance on 57 days, processed using GPS-only, GPS+GLONASS,

1782 GPS+Galileo, and GPS+GLONASS+Galileo configurations, with or without

1783 scintillation mitigation. Estimated coordinates were evaluated in terms of reliability,

accuracy and precision.

1785 **4.2 Evaluation of multi-GNSS PPP under low-latitude scintillation**

1786 This section evaluates kinematic PPP performance for GPS-only and multi-GNSS

1787 configurations using GPS, GLO and GAL measurements under low-latitude post-

sunset scintillation conditions, to exploit the advantage of the AltBOC modulated

1789 Galileo E5 signal and the improved model redundancy from multi-GNSS processing.

1790 The motivation of this contribution is to show the benefits of having GAL

1791 measurements in a multi-GNSS PPP model under the effects of low latitude 1792 ionospheric scintillation. Experiments were inspired by previous studies that 1793 highlight the benefits of GPS+GLO at low latitudes (Marques et al. 2018), 1794 GPS+GLO+GAL at high latitudes (Dabove et al. 2020) and general performance 1795 improvements using GAL measurements in a multi-GNSS model (Xia et al. 2019). 1796 Data and methodology are described in the next section, followed by the results and 1797 discussions section, where GPS-only and multi-GNSS results are evaluated in terms 1798 of reliability, accuracy and precision performance. Conclusions and future work are 1799 discussed in the final section.

1800 4.2.1 Data and approach

1801 This study uses ionospheric scintillation indices recorded in March 2019 at station 1802 PRU2 by a Septentrio PolaRxS model receiver, and multi-GNSS measurement data 1803 for March 2019 and March 2020 from a geodetic station PPTE. Both stations are 1804 located in Presidente Prudente, Brazil, as shown in the top panel of Figure 4.1, where 1805 strong ionospheric scintillation is frequently observed. The bottom panel of Figure 1806 4.1 shows that the stations PPTE and PRU2 are separated by approximately 280 1807 meters. It can be observed from the tracking jitter maps proposed by Sreeja et al. 1808 (2011) that the scintillation conditions may be similar over large regions up to a few 1809 degrees of ionospheric pierce point latitude. Therefore, the two stations in Figure 4.1 1810 are assumed to be separated by a close enough distance that the two receivers 1811 experienced nearly identical ionospheric conditions at the same time. Station PRU2 1812 (22.12203°S, 51.40708°W) is part of the CIGALA (Concept for Ionospheric 1813 Scintillation Mitigation for Professional GNSS in Latin America)/CALIBRA 1814 (Countering GNSS high Accuracy applications Limitations due to Ionospheric 1815 disturbances in BRAzil) network and is used to identify scintillation levels on GPS, 1816 GLO and GAL signals. Station PPTE (22.11990°S, 51.40853°W) is part of the 1817 Brazilian Institute of Geography and Statistics (IBGE) Network for Continuous 1818 Monitoring of GNSS Systems (RBMC), with daily 15-sec GPS+GLO+GAL RINEX 1819 data freely available to download from the IBGE website 1820 (https://www.ibge.gov.br/en). Station PPTE is used to evaluate kinematic PPP performance. 1821





Figure 4.1: Project region (top) and station location (bottom) maps with scintillation
data from station PRU2 and multi-GNSS measurements from PPTE.

1835 The S₄ index given by Briggs and Parkin (1963) characterizes amplitude

1836 scintillation levels and is defined as the standard deviation of the received signal

1837 power normalized to its mean value over a 60-second interval. The σ_{φ} index

- 1838 characterizes phase scintillation severity and is defined as the 60-second standard
- 1839 deviation of the received carrier phase after it is detrended using a high pass filter.
- 1840 These scintillation indices are commonly used to classify scintillation levels (e.g.,
- 1841 Aquino et al. 2005; Sreeja et al. 2011; Marques et al. 2018) and are described in
- 1842 more detail in Section 2.3.

| 1843 | The 60-second interval S ₄ and σ_{φ} values recorded on GPS L1C/L2C, GLO |
|------|--|
| 1844 | R1C/R2C and GAL E1B/E5a signals for PRU2 were extracted from a monthly |
| 1845 | ASCII ISMR file generated by the online ISMR Query Tool (Vani et al. 2017). A 10° |
| 1846 | satellite elevation angle cut off was applied on the scintillation indices to match the |
| 1847 | elevation threshold applied in PPP processing. This method enabled scintillation data |
| 1848 | analysis for all satellites used for PPP. A larger elevation cut off angle is typically |
| 1849 | preferred, as multipath effects may amplify scintillation impact for low elevation |
| 1850 | satellites. In this study, scintillation conditions are characterized by using one month |
| 1851 | of data in March 2019 to reduce the multipath contribution to the S ₄ and σ_{φ} values. If |
| 1852 | only a few sequential days were used instead, then the approximate half-daily orbital |
| 1853 | periods of the satellites would otherwise repeat multipath effects that could be |
| 1854 | mistaken as ionospheric scintillation. Furthermore, it is assumed that multipath |
| 1855 | effects are weak compared to ionospheric scintillation due to the reference station |
| 1856 | located in open-sky conditions and deployment of a choke ring antenna that reduces |
| 1857 | multipath effects. A derived scintillation index, the corrected S4, was calculated by |
| 1858 | subtracting the ambient noise component from the total S ₄ , as described in the |
| 1859 | Septentrio (2015) PolaRxS Application Manual, and is hereby referred to as S ₄ . The |
| 1860 | elevation filtered S ₄ and σ_{φ} values were then used to classify the scintillation levels, |
| 1861 | based on the International Telecommunication Union (2016) recommendation, as |
| 1862 | weak, moderate or strong, which is shown in Table 4.1. |
| | |

Table 4.1. Scintillation classification using the International Telecommunication
 Union (2016) recommendations.

| Scintillation category | Received signal amplitude (S ₄) or |
|------------------------|--|
| | phase (σ_{φ}) scintillation index |
| Weak | Value ≤ 0.3 |
| Moderate | $0.3 < \text{Value} \le 0.6$ |
| Strong | Value > 0.6 |

| 1866 | In the months of March 2019 and March 2020, 31 (DOYs 60-90) and 30 |
|------|--|
| 1867 | (DOYs 61-73 and 75-91) daily 15-sec RINEX files, respectively, were downloaded |
| 1868 | for station PPTE. The files were preprocessed with the GFZ gfzrnx toolbox (Nischan |
| 1869 | 2016) to create a new 60-sec sampling rate by decimating GPS L1/L2, GLO R1/R2 |
| 1870 | and GAL E1/E5 RINEX files. Legacy GPS signals were used, as few GPS satellites |
| 1871 | had the L5 signal available. These modified RINEX files were windowed with |
| 1872 | UNAVCO's TEQC (unavco.org) software to begin at 20h UTC and end at 04h UTC |

| 1873 | the following day to allow PPP convergence prior to post-sunset scintillation |
|------|---|
| 1874 | occurrences that begin at approximately 00h UTC (21h local time). A total of 58 |
| 1875 | combined and windowed RINEX files were formed, with the latest files in March |
| 1876 | 2019 and March 2020 on DOY 89-90 and 90-91, respectively, and without a missing |
| 1877 | DOY 74 RINEX file in March 2020. These 58 RINEX files were processed by the |
| 1878 | University of Nottingham POINT software in kinematic PPP mode using a forward |
| 1879 | extended Kalman filter (EKF) for the following four configurations: GPS, |
| 1880 | GPS+GLO, GPS+GAL, and GPS+GLO+GAL. The Kalman filter equations, along |
| 1881 | with details on GNSS data processing using the EKF, are available in Verhagen and |
| 1882 | Teunissen (2017) and Section 3.3 of this thesis. International GNSS Service (IGS) |
| 1883 | Multi-GNSS Experiment (MGEX) precise satellite orbit and clock products were |
| 1884 | used to correct GNSS measurements and constrain the positioning solutions to the |
| 1885 | global International Terrestrial Reference Frame (ITRF). After a quality control |
| 1886 | check, the DOY 68-69 file in March 2019 was excluded and the remaining 57 files |
| 1887 | were used for the performance evaluation. In addition to model error detection |
| 1888 | algorithms described in Table 3.2, additional corrections and strategies applied to the |
| 1889 | PPP processing specific to this experiment are shown in Table 4.2. |
| 1890 | Table 4.2. GNSS processing models and strategies for the evaluation of low-latitude |

Table 4.2. GNSS processing models and strategies for the evaluation of low-latitude
 scintillation-affected PPP.

| GNSS processing | Configurations/strategies |
|----------------------------------|--|
| Constellations: signals | GPS: L1C/A, L2P; GLO: R1P, R2P; GAL: E1B/C, E5 |
| Satellite antenna PCO/PCV | From igs14_2038.atx |
| Receiver antenna PCO/PCV | From igs14_2108.atx, GPS used for GAL |
| A priori pseudorange precision | GPS L1C/L2P: 0.3/0.3 m |
| | GLO R1P/R2P: 1.0/1.0 m |
| | GAL E1B/E5: 0.3/0.05 m |
| A priori carrier phase precision | GPS L1/L2: 0.01/0.01 cycles |
| | GLO R1/R2: 0.03/0.03 cycles |
| | GAL E1/E5: 0.01/0.01 cycles |

1892

| 1893 | A 24-hour duration GPS+GLO RINEX file, recorded at a 15-sec epoch |
|------|---|
| 1894 | interval, for station PPTE was submitted to the Canadian Spatial Reference System |

1895 (CSRS) online service for static PPP processing on March 03, 2019, on a weak to

1896 moderate scintillation day, for which the scintillation influence on static PPP is

1897 considered negligible, to estimate high-accuracy "ground truth" reference

1898 coordinates. Therefore, for all analyses hereafter, positioning errors estimated at

1899 PPTE are defined as differences between the final epoch of static CSRS-PPP
1900 estimated coordinates on March 03, 2019 and kinematic POINT-PPP estimated local

- 1900 restinated coordinates on Water 03, 2019 and Kinematic POHVI-111 estimated local1901 north, east and up directions.
- 1902 In this study, horizontal convergence is defined as the absolute value of the 1903 north and east positioning component errors below 10-cm for at least 10 epochs and 1904 the maximum-minus-minimum error in these 10 epochs is also below 10-cm. 1905 Vertical convergence uses the up positioning component with a 20-cm threshold and 1906 is evaluated using the same criteria as horizontal convergence. The initial average 1907 horizontal and vertical convergence times along with the standard deviation (std) for 1908 the remaining 29 files in March 2019 and 28 files in March 2020 are summarized in 1909 Table 4.3. It can be observed from Table 4.3 that solutions are converged, on 1910 average, prior to the start of post-sunset scintillation at approximately 00h UTC. All multi-GNSS configurations improved the average initial convergence time compared 1911 1912 to GPS-only processing, with less daily variation as shown by the lower standard 1913 deviation values, likely due to improved satellite geometry. For the same reason, 1914 Table 4.3 shows that GPS+GLO+GAL processing performed best with the fastest 1915 horizontal and vertical convergence and superior daily stability.

Table 4.3. Initial horizontal and vertical convergence time statistics for 57 RINEX
files, from 20h to 04h UTC the next day, in March 2019 and March 2020.

| | Horizontal o | convergence | Vertical co | nvergence |
|---------------------|--------------|-------------|-------------|-----------|
| - · | (1111) | ules) | | |
| Processing strategy | Mean | Std | Mean | Std |
| GPS | 44 | 21 | 39 | 17 |
| GPS+GLO | 34 | 13 | 28 | 13 |
| GPS+GAL | 28 | 11 | 27 | 13 |
| GPS+GLO+GAL | 26 | 08 | 25 | 11 |

1918

1919 The kinematic PPP performance for all the files with non-converged

1920 horizontal and vertical GPS-only epochs after 2-hours of processing are evaluated in

1921 terms of horizontal and vertical reliability, accuracy, and precision. GPS-only data

- 1922 with 100% converged horizontal or vertical epochs after 2-hours of processing are
- 1923 excluded from analysis, along with the subsequent multi-GNSS configurations, as

1924 the objective is to highlight the benefits of multi-GNSS when GPS-only processing

1925 is not adequate. Reliability is defined as the availability of converged epochs,

1926 accuracy is computed as the mean of hourly root mean square error (RMSE) of the

positioning errors and precision is calculated as the mean of the hourly positioning
error standard deviation for each day. These criteria are used in the following section
to evaluate horizontal and vertical kinematic PPP performance and demonstrate
multi-GNSS improvements relative to GPS-only processing.

1931 4.2.2 Single- and multi-GNSS performance

1932 Amplitude and phase scintillation indices from 20h to 04h UTC on each day in 1933 March 2019 were used to generalize pre- and post-sunset scintillation conditions. At 1934 the studied location, local sunset is at approximately 22h UTC and post-sunset 1935 scintillation typically begins by UTC midnight (i.e., 00h UTC) each day. Figure 4.2 1936 shows hourly strong and moderate scintillation occurrences per-system, normalized 1937 by the total number of occurrences for each respective classification. Although 1938 scintillation data were recorded during the solar cycle minimum, the local EIA 1939 phenomenon increases the likelihood of strong and moderate scintillation occurrence 1940 during post-sunset hours, a clear feature in Figure 4.2. Note that scintillation indices 1941 in Figure 4.2 are evaluated together, for each classification, as moderate correlation $(R^2 = 0.54)$ was found between the S₄ and σ_{φ} values using a linear fit. In other words, 1942 1943 more than 50% of the variability for a single scintillation index can be explained by a 1944 linear relationship between each index.



1945Time [UTC hours]Time [UTC hours]1946Figure 4.2: Strong (left column) and moderate (right column) amplitude (top row)1947and phase (bottom row) scintillation occurrences between 20h-04h UTC, normalized1948by the total number of respective strong or moderate combined amplitude and phase1949scintillation occurrences, for GPS (red), GLO (blue), and GAL (green) satellites on1950DOYs 60-90 in March 2019. Approximate sunset time represented by dashed line.

1952

1953

1954

strong and moderate scintillation occurrences, indicating an environment dominated
by amplitude scintillation when the Table 4.3 classification thresholds are used. This
indicates that the received signal power fluctuates more frequently than the phase,
which is likely due to the nearly vertical ionospheric angle of incidence for satellites
observed near the equator. Frequent amplitude Strong amplitude and phase
scintillation occur most frequently on GPS, GLO and GAL satellites from 01h to 02h
UTC, with decreased activity in each of the following hours, while moderate
scintillation conditions remained relatively constant from 01h to 04h UTC.

1963 The vertical positioning component error time series in Figure 4.3 are for 1964 each of the four different configurations on days in March 2019 that have GPS-only 1965 epochs that do not meet the vertical convergence threshold. Note that horizontal 1966 positioning components are not used in Figure 4.3, as the up component is the most 1967 affected by scintillation. Prompt initial convergence was achieved prior to the 1968 increased scintillation activity at approximately 00h UTC. Vertical positioning 1969 accuracy was better than 20-cm for 98% of all cases from 21h to 23h UTC, i.e., after 1970 initial convergence and prior to the occurrence of post-sunset scintillation. Figure 4.3 1971 shows worse positioning performance from approximately 00h to 04h UTC, with 1972 many epochs exceeding the 20-cm convergence criteria, poor accuracy and 1973 decreased precision. The largest vertical error magnitudes of 0.82-m and 2.85-m 1974 were recorded on DOYs 60-61 and 61-62, respectively, for GPS-only processing and 1975 are highlighted respectively by black and magenta lines in Figure 4.3 to compare 1976 against other days. The single-system GPS-only configuration in Figure 4.3 has the 1977 worst positioning performance, with vertical position errors exceeding 50-cm, while 1978 dual- and triple-system processing is more stable. Therefore, the worst-case days, in 1979 terms of maximum positioning error for GPS-only processing, were selected to show 1980 detailed evaluations and improvements achieved using the multi-GNSS 1981 configurations.

1982The corresponding strong and moderate amplitude and phase scintillation1983indices for signals in the same frequency spectrum used for positioning are shown in1984Figure 4.4. Increased scintillation activity from 01h to 02h UTC in Figure 4.4

- 1985 corresponds to the same time period when the maximum positioning errors were 1986 observed. Thus, it is concluded that the worse positioning performance that typically
- 1987 begins at approximated 00h UTC is caused by increased ionospheric scintillation
- 1988 activities. Daily variations in the number of strong and moderate scintillation
- 1989 occurrences can be observed in Figure 4.4, which explains the daily positioning
- 1990 performance variations, even for sequential days.





1992 Figure 4.3: Vertical kinematic PPP error from 20h to 04h UTC for GPS (red), 1993 GPS/GLO (blue), GPS/GAL (green), and GPS/GLO/GAL (cyan) processing on post-1994 sunset (dashed line) days in March 2019 identified with non-converged GPS-only 1995 epochs, with the two worst-case GPS-only days on DOYs 60-61 (black) and 61-62 1996 (magenta) annotated.

1998



1999 **Figure 4.4**: Strong and moderate (index ≥ 0.3) amplitude (top row) and phase 2000 scintillation (bottom row) from 20h to 04h UTC on DOYs 60-61 (left column) and 2001 61-62 (right column), March 2019 for GPS L1 (red), GLO R1 (blue), and GAL E1 2002 (green) signals. Local sunset time is indicated by the black dashed line.

| 2003 | Performance metrics and multi-GNSS improvements for the horizontal and |
|------|---|
| 2004 | vertical positioning components on DOYs 60-61 and 61-62 in March 2019 are |
| 2005 | summarized in Table 4.4 and Table 4.5 respectively to demonstrate multi-GNSS |
| 2006 | benefits compared with worst-case GPS-only processing. Data from 20h to 22h UTC |
| 2007 | were excluded to avoid initial convergence errors that are not related to the post- |
| 2008 | sunset scintillation occurrences. For these two DOY intervals, Table 4.5 shows that |
| 2009 | multi-GNSS processing improved the respective horizontal and vertical positioning |
| 2010 | reliabilities, in terms of converged epochs, by up to 24.4% and 27.0% with respect to |
| 2011 | GPS-only processing. For example, on DOY 61-62 in 2019, all multi-GNSS |
| 2012 | configurations were 100% reliable, i.e., all epochs met the convergence criteria, |
| 2013 | while 83.7% and 87.0% of GPS-only epochs were not converged for respective |
| 2014 | horizontal and vertical components. For the same period, the respective horizontal |
| 2015 | and vertical positioning accuracy also improved by up to 88.5% and 80.4%, with |
| 2016 | precision improvements up to 92.9% and 90.4%, using the multi-GNSS |
| 2017 | configurations. These improvements are defined as the percentage difference |
| 2018 | between GPS-only processing and respective multi-GNSS configurations for each |
| 2019 | performance metric. |
| 2020 | |

2021**Table 4.4.** Horizontal and vertical positioning reliability, accuracy, and precision on2022DOYs 60-61, March 2019.

| | Horizor | ntal/vertical perfo | rmance |
|-------------|-----------------|---------------------|----------------|
| System(s) | Reliability [%] | Accuracy [cm] | Precision [cm] |
| GPS | 78.7/80.4 | 6.6/10.0 | 4.0/5.3 |
| GPS+GLO | 100./88.7 | 3.8/8.6 | 1.4/3.0 |
| GPS+GAL | 100./100. | 4.2/6.8 | 1.1/2.4 |
| GPS+GLO+GAL | 100./100. | 4.2/7.0 | 1.1/2.3 |

2023

2024 2025

Table 4.5. Horizontal and vertical positioning reliability, accuracy, and precision onDOYs 61-62, March 2019.

| | Horizor | ntal/vertical perfo | rmance |
|-------------|-----------------|---------------------|----------------|
| System(s) | Reliability [%] | Accuracy [cm] | Precision [cm] |
| GPS | 83.7/87.0 | 13.1/16.2 | 11.8/15.0 |
| GPS+GLO | 100./100. | 2.4/4.0 | 1.1/2.1 |
| GPS+GAL | 100./100. | 1.5/3.2 | 0.9/1.5 |
| GPS+GLO+GAL | 100./100. | 1.8/3.4 | 0.8/1.4 |

2026

2027 Unreliable horizontal or vertical GPS-only solutions with non-converged

2028 epochs were identified for 25 and 26 intervals, respectively, out of the 57 intervals

2029 processed for March 2019 and 2020, with the start DOY for each identified file 2030 provided in Figure 4.5. The process used to evaluate the performance for the 2031 representative intervals described above was repeated for the cases identified with 2032 unreliable GPS-only performance. Although positioning reliability varies day-to-day 2033 due to the scintillation conditions, GPS-only processing was the least reliable 2034 configuration for 92.0% and 84.6% of horizontal and vertical cases, respectively. The 2035 least reliable single-day solutions, both horizontally and vertically, were for GPS-2036 only processing and contained up to 31.6% and 62.1% non-converged epochs, 2037 respectively. The GPS+GLO+GAL configuration improved horizontal and vertical 2038 reliability in every case, except for four GPS+GLO and two GPS+GAL cases that 2039 were less reliable than GPS-only, with negative improvements when positioning 2040 errors exceeded the convergence criteria by up to 20-cm for consecutive epochs, 2041 while the related GPS-only epochs remained converged. 2042



2043DOY in year 2020DOY in year 20202044Figure 4.5: Daily non-converged horizontal (left column) and vertical (right column)2045epochs (units: %), after 2-hours of processing, for March 2019 (top row) and March20462020 (bottom row).

2047

Table 4.6 summarizes the overall horizontal and vertical reliability for each configuration, in terms of the average percentage of converged epochs on days with degraded GPS-only positioning. The total number of epochs evaluated after the initial convergence interval and on days with non-converged GPS-only epochs is equal to 5400 and 6840 epochs in March 2019 and 2020, respectively. Therefore, the

| 2053 | Table 4.6 horizontal GPS-only reliability equal to 90.9% corresponds to |
|------|---|
| 2054 | approximately 1117 non-converged epochs for horizontal components. In Table 4.6, |
| 2055 | it is shown that improvements were achieved for all multi-GNSS configurations, on |
| 2056 | average, with greater improvement in the vertical direction. Configurations using |
| 2057 | Galileo measurements achieved similar horizontal and vertical improvements of |
| 2058 | approximately 10% and 14%, respectively. The GPS+GLO configuration achieved |
| 2059 | less average horizontal and vertical improvement than the GPS+GAL and |
| 2060 | GPS+GLO+GAL configurations, with approximately half the amount of vertical |
| 2061 | improvement compared to the combinations using Galileo measurements. |
| 2062 | |
| | |

2063

2064

Table 4.6. Average percentage of reliable (i.e., converged) epochs and improvement with respect to GPS-only processing for each multi-GNSS configuration, for days in March 2019 and March 2020 with degraded GPS-only positioning. 2065

| | Reliable ep | oochs [%] | Improven | nent [%] |
|-------------|-------------|-----------|------------|----------|
| Systems | Horizontal | Vertical | Horizontal | Vertical |
| GPS | 90.9 | 86.9 | (ref) | (ref) |
| GPS+GLO | 97.7 | 93.1 | 7.6 | 7.2 |
| GPS+GAL | 99.8 | 99.2 | 9.8 | 14.2 |
| GPS+GLO+GAL | 99.5 | 99.8 | 9.5 | 14.8 |

2067 This reliability improvement for the multi-system configurations indicates 2068 that the relatively unstable GPS-only kinematic PPP processing can be improved 2069 under moderate to strong low-latitude scintillation conditions by using multi-GNSS 2070 processing. In addition, a key factor to improve kinematic PPP reliability under low-2071 latitude scintillation is to use multi-GNSS processing with Galileo measurement 2072 data. Configurations that used the modernized Galileo E1 and E5a signals 2073 consistently outperformed GPS-only and GPS+GLONASS configurations. Aside 2074 from the outlier on DOY 78 in 2019, the GPS+GAL configuration had similar 2075 reliability as the GPS+GLONASS+Galileo processing. This indicates that the advanced Galileo signals are better suited to improve positioning reliability in a 2076 2077 multi-GNSS model as opposed to using legacy GLONASS signals. 2078 Hourly horizontal and vertical RMSE are provided for files with degraded 2079 GPS-only solutions in March 2019 (Figure 4.6) and 2020 (Figure 4.7) to illustrate 2080 daily positioning accuracy. The RMSE magnitudes are represented in color from 0-2081 cm to the respective 10-cm horizontal and 20-cm vertical convergence criteria

2082 thresholds. Both horizontal and vertical positioning accuracies tend to degrade

2083 starting at approximately 00-01h UTC, with more frequent large errors for GPS-only 2084 processing. For all data represented in Figures 4.6 and 4.7, 8 GPS-only, 3 2085 GPS+GLO, 1 GPS+GAL and 1 GPS+GLO+GAL samples were greater than 10-cm 2086 of error, while for vertical data, 11 GPS-only, 3 GPS+GLO, 1 GPS+GAL and 0 2087 GPS+GLO+GAL samples were greater than 20-cm of error. Therefore, the multi-2088 GNSS configurations achieved better horizontal and vertical accuracies, with fewer 2089 hours affected by large positioning errors and with greater benefit from the GAL 2090 configurations. 2091



2095 2096

2092



| | Mean accuracy in | nprovement [%] |
|-------------|------------------|----------------|
| Systems | Horizontal | Vertical |
| GPS+GLO | 20.1 | 18.8 |
| GPS+GAL | 31.3 | 39.8 |
| GPS+GLO+GAL | 36.6 | 35.9 |









| 2151 | Table 4.8. Mean of daily precision improvement with respect to GPS-only |
|------|---|
| 2152 | processing, computed as the mean of hourly horizontal and vertical standard |
| 2153 | deviation data from 23h to 04h UTC, for days with non-converged GPS-only |
| 2154 | processing. |

| | Horizontal | precision | Vertical p | precision |
|-------------|------------|-----------|------------|-----------|
| | improven | nent [%] | improver | nent [%] |
| Systems | Mean | Std | Mean | Std |
| GPS+GLO | 28.1 | 20.6 | 27.9 | 23.3 |
| GPS+GAL | 48.7 | 19.7 | 52.7 | 14.9 |
| GPS+GLO+GAL | 49.7 | 16.8 | 51.2 | 18.4 |

The Table 4.9 statistics of the number of usable GPS, GLO, GAL and

2157 combined GPS+GLO, GPS+GAL and GPS+GLO+GAL satellites recorded at station

- 2158 PPTE per 60-sec epoch were computed for DOYs 60-90 in March 2019, from 20h to
- 2159 04h UTC the next day, demonstrate the increased number of satellites available for
- 2160 multi-GNSS processing. At least 14 and at most 28 satellites were identified as
- 2161 usable for GPS+GLO+GAL processing at a single epoch, while the maximum
- 2162 number of usable GPS, GLO and GAL satellites were 12, 9 and 9, respectively. It
- 2163 can be observed from Table 4.9 that approximately 9 GPS, 6 GLO and 6 GAL
- 2164 satellites were available for positioning on average, while the combined
- 2165 GPS+GLO+GAL configuration averaged about 21 satellites.
- 2166**Table 4.9.** Statistics of usable satellites for single- and multi-system configurations2167from 20h to 04h UTC on DOYs 60-90 in March 2019.

| System(s) | Mean | Max | Min |
|-------------|------|-----|-----|
| GPS | 8.6 | 12 | 6 |
| GLO | 5.9 | 9 | 4 |
| GAL | 6.4 | 9 | 3 |
| GPS+GLO | 14.5 | 20 | 10 |
| GPS+GAL | 15.1 | 20 | 10 |
| GPS+GLO+GAL | 21.0 | 28 | 14 |

2168

For the multi-GNSS functional model used in this study and the number of

2170 usable satellites at the time of the kinematic PPP processing, the GPS+GLO+GAL

- 2171 model redundancy was at least 5, at most 19 and on average 12. In the same period,
- 2172 the maximum and average GPS-only model redundancies were 5 and 2, respectively,
- 2173 while the average dual-system redundancies were approximately 7, due to a similar
- 2174 number of usable GLO and GAL satellites. Therefore, GPS-only processing is more
- 2175 sensitive to ionospheric scintillation, as a single scintillation-affected satellite is a

2176 larger proportion of the total number of satellites. Furthermore, if satellites affected
2177 by scintillation are identified and removed, then GPS-only processing is more likely
2178 to suffer from amplified dilution of precision due to the low number of usable
2179 satellites relative to multi-GNSS configurations.

2180 The improved model redundancy for multi-GNSS configurations enables 2181 better estimation of unknown parameters and identification of model errors, where 2182 measurement outliers are rejected with less impact on the solution reliability. This 2183 explanation supports the positioning improvements achieved with the multi-GNSS 2184 configurations under the low latitude scintillation conditions. Further improvements 2185 for GPS+GAL and GPS+GLO+GAL configurations are likely a result of the 2186 increased signal transmission power and low noise properties of GAL signals (Basile 2187 et al. 2019; Guo et al. 2016; Lou et al. 2016), which offer further benefits for multi-2188 GNSS processing with GAL measurements. These improvements using GAL 2189 combinations were achieved despite a similar average number of available GLO 2190 satellites.

2191 4.2.3 Remarks

2192 Low latitude ionospheric scintillation conditions recorded at station PRU2 (22.12203°S, 51.40708°W) were used to verify local post-sunset scintillation 2193 2194 conditions at nearby station PPTE (22.11990°S, 51.40853°W) in Presidente 2195 Prudente, Brazil. Multi-GNSS GPS+GLO, GPS+GAL and GPS+GLO+GAL 2196 measurement configurations were processed for data recorded at station PPTE to 2197 evaluate kinematic PPP performance under scintillation with respect to GPS-only 2198 processing. Horizontal and vertical reliability, accuracy and precision evaluations of 2199 the four processing strategies revealed degraded GPS-only positioning at post-sunset 2200 hours and positive improvement, on average, for all multi-GNSS configurations for 2201 all evaluation metrics compared to GPS-only processing. 2202 The respective average of daily reliability, accuracy and precision improvements were: 14.8% using GPS+GLO+GAL, 39.8% using GPS+GAL and 2203 2204 52.7% using GPS+GAL configurations. For example, the GPS+GAL configuration 2205 achieved 52.7% average vertical precision improvement relative to GPS-only, while 2206 the comparable GPS+GLO processing achieved only 27.9% average improvement.

| 2208 | GNSS configurations achieved average positive improvement both horizontally and |
|--|--|
| 2209 | vertically for each performance metric. Therefore, multi-GNSS processing under |
| 2210 | low-latitude ionospheric scintillation conditions offers excellent improvement to the |
| 2211 | otherwise low-quality GPS-only processing, especially in the vertical direction. |
| 2212 | GPS+GAL and GPS+GLO+GAL improvements were comparable for all evaluation |
| 2213 | metrics, with a 5.3% daily improvement difference at most. For accuracy and |
| 2214 | precision, GPS+GLO processing provided up to 20% less improvement achieved by |
| 2215 | the corresponding GAL configurations. Thus, combinations using GAL |
| 2216 | measurements had better average improvement relative to both GPS-only and |
| 2217 | GPS+GLO configurations. |
| | |
| 2218 | Improved multi-GNSS performance is supported by the increased number of |
| 2218 2219 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased |
| 2218 2219 2220 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a |
| 2218221922202221 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the |
| 2218 2219 2220 2221 2222 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the superior noise properties of the Galileo E1 and modernized E5 signal. |
| 2218 2219 2220 2221 2222 2222 2223 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the superior noise properties of the Galileo E1 and modernized E5 signal. The increased number of satellites available in a multi-GNSS model may have |
| 2218 2219 2220 2221 2222 2223 2224 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the superior noise properties of the Galileo E1 and modernized E5 signal. The increased number of satellites available in a multi-GNSS model may have a negative impact if the number of satellites affected by strong scintillation also |
| 2218 2219 2220 2221 2222 2223 2223 2224 2225 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the superior noise properties of the Galileo E1 and modernized E5 signal. The increased number of satellites available in a multi-GNSS model may have a negative impact if the number of satellites affected by strong scintillation also increases. Therefore, the following section focuses on the development and |
| 2218 2219 2220 2221 2222 2223 2224 2225 2226 | Improved multi-GNSS performance is supported by the increased number of usable satellites, which improved the multi-GNSS model redundancy. The increased improvements observed for GPS+GAL compared with GPS+GLO processing, for a quite similar number of usable satellites, on average, is assumed to be due to the superior noise properties of the Galileo E1 and modernized E5 signal. The increased number of satellites available in a multi-GNSS model may have a negative impact if the number of satellites affected by strong scintillation also increases. Therefore, the following section focuses on the development and implementation of multi-GNSS scintillation mitigation techniques (Aquino et al. |

scintillation-affected data for a non-specialized GNSS receiver.

4.3 Mitigation of low-latitude ionospheric scintillation for multiGNSS PPP

2231 This section uses low-latitude GNSS measurement data from geodetic station PPTE 2232 in March 2019 and from nearby station PRU2, a Septentrio PolaRxS ionospheric 2233 scintillation monitoring receiver (ISMR), in March 2015. Station PRU2 scintillation 2234 monitoring files were additionally used to characterize scintillation levels and as the 2235 inputs to the Conker et al. (2000) tracking error model to mitigate single- and multi-2236 GNSS processing in March 2015 and March 2019, respectively, using the Aquino et 2237 al. (2009) modified stochastic model approach. Note that Section 4.2.1 describes 2238 both stations PPTE and PRU2 in detail and shows that the stations are separated by

less than 300-meters. In addition, mitigated multi-GNSS processing for station PPTE
uses a subset of the March 2019 data in Section 4.2 identified as having strong
scintillation.

2242 Modifications to the Conker et al. (2000) receiver tracking error model input 2243 parameters were developed and applied to repair tracking jitter outages that are 2244 especially frequent under strong scintillation conditions. In this section, the term 2245 "outages" represents missing receiver tracking error model outputs, as opposed to 2246 missing GNSS measurement data that is caused by tracking loop failure. The 2247 receiver-specific tracking error model parameters in Equation 2.17 and Equation 2248 2.18 were set to: 0.25- and 15-Hz for respective DLL and PLL bandwidths, 0.04-2249 chips for the correlator spacing, 0.1- and 0.01-seconds for respective DLL and PLL 2250 pre-detection integration times, 3.04-Hz for the PLL natural frequency, and 3 for the 2251 PLL loop order. These values are consistent with those used for Septentrio receivers 2252 as part of other scintillation studies (Aquino et al. 2009; Sreeja et al 2011; Sreeja et 2253 al. 2012; Vani et al. 2019). Remaining tracking error model parameters needed to 2254 compute the tracking jitter were output by the receiver.

2255 Repaired tracking jitter and satellite elevation-based stochastic models are 2256 evaluated for station PRU2 affected by strong low latitude ionospheric scintillation 2257 beginning at approximately UTC midnight on March 15, 2015. The Aquino et al. 2258 (2009) modified stochastic model approach, using Conker et al. (2000) tracking jitter 2259 for GPS L1 and L2 measurements, was then used to mitigate scintillation effects on 2260 kinematic PPP performance for respective GPS-only and GPS+Galileo processing at 2261 station PRU2 in March 2015 and station PPTE in March 2019.

2262 **4.3.1** Receiver tracking error model repair

2263 The Conker et al. (2000) receiver tracking error models can only be applied to

estimate tracking jitter variance under weak-to-moderate scintillation levels, where

2265 S4 < 0.707, due to the characterization of the Nakagami-m (1960) probability

2266 density function, of which the amplitude scintillation is assumed to obey. The

scintillation indices in Figure 4.10 demonstrate more frequent Conker et al. (2000)

2268 model outages (i.e., the GNSS signal is intact but Conker et al. (2000) outputs are

2269 not available) under particularly strong scintillation for satellites above 10-degrees in

elevation observed at station PRU2 from March 14, 16h UTC, to March 15, 08h
- 2271 UTC, 2015, a case of a strong scintillation day. In Figure 4.10, outages occur for
- both the DLL and PLL tracking error if the GPS L1 signal-to-noise ratio is
- unavailable, for DLL outputs if S4 < 0.707, and for PLL outputs if the phase spectral
- slope of the detrended phase is unavailable or if the phase scintillation index is
- greater than 1.5. In total, the outages in Figure 4.10 correspond to 2.1% and 2.3% of
- 2276 respective Conker et al. (2000) DLL and PLL outputs being unavailable for the GPS
- L1 signal, even though the receiver did not lose lock for 91.8% of outages.





2283 Mitigation of ionospheric scintillation effects on GNSS-based positioning 2284 can be achieved using a modified stochastic model that represents more realistic 2285 measurement uncertainty (Aquino et al. 2009). However, the identified tracking error 2286 model outages result in an incomplete stochastic model due to the missing 2287 measurement quality information. Thus, thresholds and limits were assigned to 2288 Conker et al. (2000) parameters and inputs to enable tracking jitter that would 2289 otherwise be unavailable. 2290 Missing signal-to-noise ratio values for GPS L1 and L2 signals were set to

2291 10% less than the respective minimum signal-to-noise ratio in the outage epoch.

- 2292 Thus, the repaired signal-to-noise ratio values normally correspond to the largest
- tracking jitter noise values in an epoch when other model parameters are similar. A
- 2294 maximum S4 limit for L1 and L2 signals was assigned as 0.685, with missing values
- set equal to the maximum limit, to maintain strong amplitude scintillation and reduce
- 2296 quadratic tracking jitter growth effects near the model limit. Although it seems this

S4 limit may impose a strict threshold on the tracking jitter outputs, the tracking
error model is exponentially dependent on the signal-to-noise ratio, which is not
modified other than occasional repairs.

2300 Phase spectral slope data were limited to respective minimum and maximum 2301 values equal to 1.15 and 3.0, with missing data assigned the maximum limit value to 2302 reduce the modelled scintillation component. Phase scintillation index values were 2303 limited to 1.5, as larger values were assumed to be unreliable. These limits were 2304 applied to model parameters that have a quadratic relationship to the tracking jitter 2305 outputs and normally have less effect than the exponential relationship between 2306 tracking jitter and signal-to-noise values. In other words, the tracking jitter outputs 2307 are much more sensitive to signal-to-noise values, rather than scintillation indices or 2308 other model parameters.

2309 With these modifications to the original Conker et al. (2000) model, both 2310 DLL and PLL tracking jitter data were made available for all L1C/A and L2P data at 2311 all epochs. Therefore, a 100% activation rate for stochastic model mitigation was 2312 made possible, as opposed to the approximate 54% activation rate by Liu et al. 2313 (2020) when the L2C signal was substituted for L2P in order to gain better tracking 2314 jitter output stability. The following gives details on the repaired model performance 2315 and compares tracking jitter noise relative to measurement noise modelled using 2316 satellite elevation to amplify reference noise values.

2317 4.3.2 Stochastic model comparison

2318 The variability of Conker et al. (2000) model outputs depend primarily on the 2319 signal-to-noise ratio and scintillation indices for each signal due to respective 2320 exponential and quadratic relationships within the model. According to Figure 4.11, 2321 the overall GPS L1C/A signal quality is superior to the L2P signal, with respective 2322 mean CN0 values equal to 46.5- and 33.2-dB-Hz. Furthermore, the highly variable 2323 L2P signal-to-noise ratio varies between 45.3- to 3.3-dB-Hz, while the worst L1C/A 2324 signal faded to only 27.3-dB-Hz. Thus, the L2P signal tracking jitter is frequently 2325 modelled as high-noise relative to the L1C/A signal, even when scintillation 2326 components are removed from the Conker et al. (2000) model. This is due to the exponential relationship between the signal-to-noise ratio and tracking jitter in 2327 2328 Equations 2.17 and 2.18 of the Conker et al. (2000) tracking error model. If the

- Figure 4.11 CN0 data are available to the user, then a stochastic model using only signal-to-noise data can replace the typical satellite elevation-based model (Wieser and Brunner 2000). However, the Conker et al. (2000) model includes additional scintillation and thermal noise components and is therefore likely better suited for
- 2333 environments affected by ionospheric scintillation.



Figure 4.11. Station PRU2 GPS L1C/A (left) and L2P (right) signal quality represented by signal-to-noise ratio values for satellites above 10-degrees in elevation from March 14, 2015 (16h UTC) to March 15, 2015 (08h UTC).

- 2338 The large L2P noise amplification, relative to the superior GPS L1C/A
- signal, is more prominent in the Figure 4.12 tracking jitter, computed with
- scintillation components included in the Conker et al. (2000) model. Note that a
- 2341 logarithmic scale is used to view the large range of tracking jitter values for the L2P
- signal. The respective median DLL and PLL jitter ratio (L2:L1) for Figure 4.12 data,
- equal to 6.0 and 1.5, shows that both code and carrier phase measurements are
- 2344 modelled with overall amplified L2P signal noise relative to the L1C/A signal.
- 2345 Therefore, the Conker et al. (2000) tracking error model appropriately assigns higher
- 2346 noise values to the GPS L2P signal that is more susceptible to ionospheric
- scintillation (Kintner et al. 2007; Jiao and Morton 2015).

However, the larger DLL jitter ratio, with respect to the PLL jitter, indicates

better overall modelled carrier phase measurement noise stability regardless of

- 2350 frequency. Consequently, the PLL tracking jitter is more frequently modelled with
- 2351 noise characteristics similar to a standard satellite elevation stochastic model that
- applies equal noise for each measurement type. For comparison, the DLL tracking
- 2353 jitter is more frequently modelled with unequal noise properties on each frequency,
- with approximately six times more L2P noise than that of the L1C/A signal. This
- amplification of L2 signal jitter with respect to the L1 signal can reach more extreme

2356 levels as the modelled tracking jitter values increase. For example, the approximate 2357 30-cm L1 signal DLL jitter in Figure 4.12 corresponds to around 10-meters of L2 2358 signal DLL jitter, which is more than thirty times larger than the L1 signal jitter. 2359 Lastly, the increased spread that accompanies large tracking jitter values indicates 2360 that the highly variable underlying scintillation indices and signal-to-noise ratio 2361 values produce rapid fluctuations in the modelled measurement noise. 2362



2363

2366

2364 2365

Figure 4.12. Station PRU2 GPS L1C/A and L2P signal Conker DLL (left) and PLL (right) tracking jitter for available (black circles) and repaired (red crosses) input data.

Satellite elevation angle and tracking jitter stochastic model statistics are 2367 2368 presented in Table 4.10 and Table 4.11. The ionosphere-free propagated (L_{IF}) 2369 pseudorange and carrier phase measurement noise for combined GPS L1C/A and 2370 L2P signals use Equation 2.6 coefficients propagated according to the root-squared 2371 sum of the individual jitter components. For the elevation-based approach, the 2372 reference noise value was set to equal 30-cm and 0.01-cycles (approximately 2-mm) 2373 for respective code and carrier phase measurements regardless of the measurement 2374 frequency. Reference noise values were then amplified by the elevation-based 2375 stochastic model scale factors equal to the inverse sine of minimum and maximum 2376 satellite elevation angles; 10.0-, and 90-degrees, respectively. The mean and median 2377 of individual and combined signal noise are also provided along with the 95th 2378 percentile noise, defined as n% probability of randomly selected data being less than 2379 or equal to the *n*th percentile value. Note that the 95th percentile was computed 2380 using measurement noise data for satellites above 50-degrees in elevation (2144 2381 samples) to compare stochastic models of assumed high-quality measurements. In 2382 terms of overall representative statistics, the mean tracking jitter values in Table 4.10 Results on Ionospheric Scintillation Evaluation and Mitigation

- and Table 4.11 are skewed toward the extreme upper limit values. Therefore, median
- values are also presented to represent the most common measurement noise values
- 2385 for each stochastic method.
- 2386

2387Table 4.10. GPS L1C/A, L2P and propagated ionosphere-free (LIF) pseudorange2388measurement noise statistics for satellite elevation angle and tracking jitter stochastic2389models.

| | Elevation-based noise | | | Tracking jitter noise | | |
|------------------|-----------------------|------|-----------------|-----------------------|--------|-----------------|
| | | [m] | | | [m] | |
| Statistic | L1C/A | L2P | L _{IF} | L1C/A | L2P | L _{IF} |
| Mean | 0.64 | 0.64 | 1.92 | 0.12 | 2.73 | 6.95 |
| Median | 0.51 | 0.51 | 1.52 | 0.09 | 0.54 | 1.38 |
| Minimum | 0.30 | 0.30 | 0.89 | 0.05 | 0.10 | 0.26 |
| Maximum | 1.73 | 1.73 | 5.15 | 1.20 | 205.27 | 522.58 |
| 95th percentile* | 0.39 | 0.39 | 1.15 | 0.08 | 0.32 | 0.83 |

*Note: Percentile computed for satellites above 50-degrees in elevation.

The minimum propagated code measurement noise for the elevation-based 2392 2393 approach in Table 4.10 is more than three times larger than the propagated tracking 2394 jitter noise. This difference in lower boundaries is due to the less precise 30-cm 2395 reference noise applied to the elevation-based noise amplification method. At the 2396 upper limit, the maximum L2P tracking jitter (205.27-m) is the primary component 2397 of the corresponding 522.58-m propagated noise which is more than 100 times larger 2398 than the maximum elevation-based propagated noise. Thus, the mean propagated 2399 tracking jitter is 3.6 times larger than the elevation-based method even though the 2400 30-cm reference noise is at least three times larger than the minimum tracking jitter 2401 for individual signals.

2402 The Table 4.10 median propagated tracking jitter (1.38-m) is comparable to 2403 the same elevation-based statistic (1.52-m) which indicates agreement between 2404 stochastic models in terms of the most common modelled noise values. However, 2405 median tracking jitter noise for individual L1C/A and L2P signals are 5.6 times 2406 smaller and 1.1 times larger, respectively, than the corresponding elevation-based 2407 values. Therefore, the apparent agreement between stochastic models is largely due 2408 to the similar median L2P noise values and the Equation 2.6 coefficients used for 2409 error propagation in the ionosphere-free combination. This indicates that the *a priori* 2410 zenith reference noise value amplified by the elevation-based scale factor is 2411 frequently in agreement with the corresponding tracking jitter noise for the L2P

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²³⁹¹

2412 signal, while the upper noise limits of the tracking jitter method are the source of the 2413 large average differences. Indeed, the 95th percentile statistics also show similar 2414 agreement for individual and propagated L2P signal noise between stochastic 2415 methods, with overly pessimistic elevation-based L1C/A signal noise compared to 2416 the tracking jitter approach. 2417 For carrier phase measurement noise statistics in Table 4.11, the measurement 2418 noise modelled using satellite elevation angle is less than the tracking jitter method 2419 for nearly all individual and ionosphere-free propagated noise values, aside from the 2420 mean L1C/A signal noise that is 28.1% larger than the comparable tracking jitter 2421 value. The median individual L1C/A and L2P signal tracking jitter and propagated 2422 noise are within 10.0% of corresponding elevation-based noise values, which 2423 indicates overall frequent agreement between stochastic models. On the other hand, 2424 the worse agreement for maximum propagated noise and individual L2P signal noise 2425 shows that the tracking jitter approach applies more extreme noise amplification at 2426 the upper noise limit, as was the case for the DLL tracking jitter noise. 2427

Table 4.11. Individual and propagated ionosphere-free (L_{IF}) GPS L1C/A, L2P signal
 carrier phase measurement noise statistics for satellite elevation angle and tracking
 jitter stochastic models.

| | Elevation-based noise | | Tracking jitter-based noise | | | |
|------------------|-----------------------|------|-----------------------------|-------|-------|-----------------|
| | | [mm] | | | [mm] | |
| Statistic | L1C/A | L2P | L _{IF} | L1C/A | L2P | L _{IF} |
| Mean | 4.1 | 5.2 | 14.7 | 3.2 | 9.2 | 24.2 |
| Median | 3.2 | 4.2 | 11.7 | 3.1 | 4.6 | 12.7 |
| Minimum | 1.9 | 2.4 | 6.9 | 3.0 | 4.0 | 11.3 |
| Maximum | 11.0 | 14.1 | 39.6 | 12.7 | 351.9 | 895.9 |
| 95th percentile* | 2.4 | 3.1 | 8.8 | 3.1 | 10.6 | 27.4 |

^{2431 *}Note: Percentile computed for satellites above 50-degrees in elevation.

2433 The individual signal 95th percentile carrier phase measurement noise in 2434 Table 4.11 shows relatively good agreement between stochastic models for the 2435 L1C/A signal, to below 1 mm, while the tracking jitter noise for the L2P signal is up 2436 to 3.4 times larger than the elevation-based counterpart. Therefore, for relatively 2437 high-elevation satellites, the elevation-based method may overestimate the L2P 2438 signal precision, i.e., underestimate the L2P signal noise, compared to the tracking 2439 jitter approach. Thus, variability in the propagated ionosphere-free carrier phase 2440 measurement noise is almost entirely due to the stochastic model differences for the

²⁴³²

2441 L2P signal, even for satellites observed at high elevations. These differences can be 2442 explained by the nearly identical *a priori* measurement noise values (e.g., 0.01-2443 cycles) assigned to both the L1C/A and L2P signals in the elevation-based approach, 2444 while the tracking jitter method models the L2P signal as having more noise relative 2445 to the L1C/A signal. 2446 The large differences between the elevation-based and tracking jitter-based 2447 stochastic methods destroys consistency that is needed to evaluate both techniques in the positioning domain. For this reason, the 95th percentile tracking jitter values in 2448 2449 Table 4.10 and Table 4.11 were used as *a priori* zenith reference noise values in the 2450 elevation-based approach for individual L1C/A and L2P signals. This approach 2451 adjusted the elevation-based method to the 95th percentile tracking jitter-based code 2452 and carrier phase measurement noise for satellites above 50-degrees in elevation. 2453 Therefore, the resulting 3D positioning error time-series in Figure 4.13 uses similar 2454 measurement noise characteristics for satellites observed at high elevation regardless

of the stochastic model.

2456



Figure 4.13. Station PRU2 3D kinematic PPP error using elevation-based (left) and tracking jitter (right) stochastic models from March 14, 2015 (16h UTC) to March 15, 2015 (08h UTC).

2460 After the initial positioning convergence period, the 3D positioning error for both stochastic methods are only separated by up to 5.3-cm until strong scintillation 2461 2462 conditions begin at approximately 00h UTC on March 15. Thus, the overall post-2463 convergence mean and standard deviation of the 3D position error for both methods 2464 are in agreement at the mm- and cm-level, respectively. However, when the tracking 2465 jitter approach is used under strong scintillation (i.e., 00h to 05h UTC on March 15), 2466 the maximum 3D position error is reduced by nearly 50%, from 40.6-cm to 20.9-cm, 2467 compared to the elevation-based model. The tracking jitter method additionally

improves 3D positioning error by 13.6-cm (39.7%) at 04:30 UTC (i.e., 12h 30m after
the start of processing), which is the second worst epoch for elevation-based
processing.
The ionospheric scintillation mitigation properties of the tracking jitter

- 2472 approach can be more easily understood by the Figure 4.14 carrier phase
- 2473 measurement noise time-series for an individual satellite, SVID 3. In Figure 4.14, the
- satellite ascends to above 60-degrees in elevation at 01:29 UTC, on March 15, and
- reaches a maximum elevation equal to 68.9-degrees at 02:17 UTC. During this
- 2476 period, the satellite elevation angle is larger than all other satellites and is therefore
- 2477 assigned a relatively small 15.5% measurement noise amplification using the
- 2478 elevation-based stochastic model. In contrast, the scintillation-sensitive tracking jitter
- 2479 method amplifies the nominal pre-scintillation L2 signal measurement noise from
- 2480 4.3-mm up to 29.1-mm during the same period, which is more realistic given the
- 2481 strong scintillation scenario.

2482





Thus, high elevation satellites are not immune to ionospheric scintillation effects (Luo et al. 2018) and are especially problematic for elevation-based stochastic models when scintillation occurs for satellites observed at high elevation angles. Conversely, if only low elevation satellites are affected by scintillation, then the elevation-based stochastic approach may provide adequate measurement noise amplification for affected satellites to achieve high-accuracy positioning. For these reasons, mitigated and non-mitigated positioning may achieve similar performance under certain conditions even though the elevation-based approach does not aim tomitigate ionospheric scintillation effects.

To enable multi-GNSS scintillation-mitigated processing, Conker et al. (2000) tracking error models that are updated to use scintillation indices and signalto-noise ratio values for Galileo E1 and E5a signals. This approach uses data extracted from ISMR files that is provided for Galileo satellites. The tracking jitter repair methods developed for GPS signal processing were extended to Galileo signals. Receiver tracking loop parameters and PLL oscillator noise were fixed to the same values for both GPS and Galileo processing.

2503 **4.3.3** Relative tracking jitter approach

The transfer of ISMR-based scintillation data to other locations was first proposed using regional tracking jitter maps (Sreeja et al. 2011b) due to consistent scintillation outputs at multiple locations (Sreeja et al. 2011a). However, non-ISMR users that aim to incorporate tracking jitter data do not operate at the same precision as ISMRs, which are designed to resist ionospheric scintillation. Furthermore, non-ISMR users typically do not have access to the internal tracking loop parameters that define the measurement noise characteristics of tracking error model outputs.

2511 Missing tracking jitter data may occur in the presence of model outages, or 2512 when tracking jitter map products are queried outside the extents provided. These 2513 cases eliminate satellites that would otherwise be useable for positioning estimation, 2514 as the mitigated stochastic model is not available for all measurements. To address 2515 this concern, a mixed stochastic approach using a combination of mitigated and non-2516 mitigated models has been used previously (Elmas 2013; Luo et al. 2020). However, 2517 common satellite elevation-based and tracking jitter mitigated stochastic models are 2518 not compatible in all cases. Therefore, an innovative alternative representation of 2519 tracking jitter data is developed in this section to enable incorporation of ISMR-2520 based tracking jitter for non-ISMR users and to improve the mixed stochastic model 2521 for multi-GNSS positioning.

The first step to ensure compatibility between stochastic methods is the normalization of tracking jitter data with respect to the minimum (i.e., most precise) value in each epoch for each signal. This normalization replaces the original tracking jitter values with relative values, where the most precise value is scaled to equal 1.0

- and the remaining values are scaled relative to the minimum value. A single epoch is
 provided in Table 4.12, with GPS SVID 1 having the lowest DLL and PLL tracking
 jitter out of all other satellites in the epoch, primarily because it has the largest
 signal-to-noise ratio (51.5 dB-Hz) of all satellites in the epoch. Coincidentally, the
 same satellite is also at the maximum elevation angle (64.3-degrees) of all GPS
 satellites in the epoch.
- 2532
- 2533 2534

Table 4.12. Original, normalized, and scaled GPS L1 DLL and PLL tracking jitterfor station PRU2 on 14-Mar-2015 01:18 UTC.

| | Orig | Original Norma | | alized Scal | | ıled |
|------|--------|----------------|--------|-------------|--------|--------|
| SVID | L1 DLL | L1 PLL | L1 DLL | L1 PLL | L1 DLL | L1 PLL |
| | [m] | [mm] | [-] | [-] | [m] | [mm] |
| 1* | 0.055 | 3.047 | 1.000 | 1.000 | 1.113 | 2.117 |
| 3 | 0.068 | 3.056 | 1.231 | 1.003 | 1.369 | 2.124 |
| 4 | 0.145 | 3.341 | 2.629 | 1.097 | 2.925 | 2.322 |
| 7 | 0.273 | 3.446 | 4.956 | 1.131 | 5.514 | 2.395 |
| 9 | 0.136 | 3.141 | 2.473 | 1.031 | 2.751 | 2.182 |
| 11 | 0.085 | 3.076 | 1.533 | 1.010 | 1.706 | 2.137 |
| 16 | 0.163 | 3.182 | 2.961 | 1.044 | 3.294 | 2.211 |
| 23 | 0.064 | 3.057 | 1.164 | 1.003 | 1.295 | 2.124 |
| 31 | 0.151 | 3.156 | 2.733 | 1.036 | 3.041 | 2.193 |
| 32 | 0.078 | 3.067 | 1.416 | 1.007 | 1.575 | 2.132 |

Note: *minimum tracking jitter noise used for normalization

The tracking jitter normalization effect can be observed in Table 4.12, where 2536 2537 DLL and PLL values for SVID 1 values are equal to 1.0, as it is the most precise. All 2538 other DLL and PLL values are scaled using values greater than 1.0, as they are 2539 relatively less precise than SVID 1. From Table 4.12, it can be observed that the least 2540 precise DLL tracking jitter noise, i.e., SVID 7, is nearly five times larger than the 2541 most precise one, while the largest PLL tracking jitter noise is only 13.1% larger than 2542 the most precise PLL tracking jitter noise in the epoch. This is in agreement with the 2543 findings in the previous section, where GPS L1 tracking jitter was found to be 2544 relatively stable, especially for the PLL.

After normalized tracking jitter values are computed, the tracking jitter must be made consistent with satellite elevation-based stochastic model outputs in order to use both stochastic models together. This process first amplifies the code and carrier phase reference noise values using the elevation-based stochastic model applied to the maximum elevation angle observed in each epoch. In Table 4.12, this corresponds to an 11.3% noise amplification of the original 1.0-meter and 0.01-cycle respective code and carrier phase measurement reference noise values, as the maximum GPS satellite elevation angle in the epoch is only 64.3-degrees. Then, the normalized tracking jitter values are multiplied by the amplified elevation-based noise to scale the respective DLL and PLL tracking jitter relative to the most precise elevation-based noise values. As an example, the minimum normalized L1 PLL tracking jitter in Table 4.12 is equal to 1.0 prior to scaling and 2.117-mm after scaling. This is because the 2-mm elevation-based reference noise was amplified by

- 2558 11.3% and then multiplied by the normalized PLL tracking jitter value.
- 2559 Scaling the normalized tracking jitter essentially configures the lower noise 2560 boundary of the stochastic model because the most precise modelled noise values are 2561 used as an upper precision limit. However, the consistency between stochastic 2562 models at the upper noise boundary must also be addressed. In the previous section, it was shown that the GPS L2 signal can be modelled with several hundred times 2563 2564 more noise using the tracking jitter approach when compared to the satellite 2565 elevation-based model. Therefore, the final step of the proposed relative tracking 2566 jitter technique replaces large noise values with the absolute maximum noise from 2567 the elevation-based model. This corresponds to the minimum satellite elevation 2568 angle, which is configured by a satellite elevation mask used for PPP processing. In 2569 this case, a 10-degree satellite elevation mask was used. The elevation-based 2570 measurement noise amplification factor for a satellite observed at 10-degrees in elevation is equal to 5.76. Therefore, if a normalized and scaled tracking jitter noise 2571 2572 value exceeds this limit, then it is assigned the limit value (i.e., 5.76 times the 2573 respective code and carrier phase reference noise values in the zenith direction).

2574 The relative tracking jitter data presented in Figure 4.15 shows the modelled 2575 stochastic properties of individual Galileo and GPS satellites for individual signals 2576 observed at station PPTE on March 7, 2019. For the Galileo E5a signal in Figure 2577 4.15, the maximum noise limit is enforced for 92.3% of epochs from 23:31 UTC 2578 (March 7) to 00:10 UTC (March 8) due to large tracking jitter values exceeding the 2579 maximum elevation-based noise. During the same interval, the Galileo E1 signal 2580 experiences minor noise amplification up to 3.4-mm using the tracking jitter 2581 approach, while the corresponding elevation-based noise is more than 6-mm. In this 2582 case, where the elevation-based noise is larger than the tracking jitter noise, the 2583 stochastic properties of the Galileo E1 signal are assigned equal to the tracking jitter 2584 noise.





2586 2587

Figure 4.15. Relative tracking jitter (markers) and elevation-based (lines) carrier phase measurement noise for a Galileo (left) and GPS (right) satellite observed at station PPTE on March 7, 2019 (DOY 066).

An advantage of the relative tracking jitter method, using normalization then 2589 2590 scaling, is evident in Figure 4.15 at the beginning and end of the GPS SVID 7 2591 observation arc. During these periods, the elevation-based noise rapidly increases 2592 while tracking jitter noise remains at low values for the GPS L1 signal. It is assumed 2593 that the signal-to-noise ratio is a reasonable indicator of the true measurement noise 2594 and can be used to evaluate the two stochastic methods. Therefore, the stable 2595 measurement noise modelled by the tracking jitter approach is unnecessarily amplified by the elevation-based model, as the minimum GPS L1 signal-to-noise 2596 2597 ratio in the entire arc is 39.5 dB-Hz. For comparison, the corresponding GPS L2 2598 signal-to-noise ratio is below this minimum value for 51.5% of epochs in the arc, 2599 indicating that the true noise for the GPS L1 signal measurements is likely much 2600 lower than the GPS L2 signal.

2601 Another noteworthy period in Figure 4.15 is from 01:33 UTC to 03:00 UTC 2602 (March 8) for the Galileo satellite and from 23:31 UTC to 23:59 UTC (March 7) for 2603 the GPS satellite; note that hour 4 corresponds to 00:00 UTC on March 8. In these 2604 respective intervals, the Galileo and GPS satellites are at the maximum elevation of 2605 all satellites in each epoch. Therefore, the normalization and scaling steps of the 2606 relative tracking jitter technique can be observed in Figure 4.15, where the tracking 2607 jitter and elevation-based measurement noise are aligned when the respective satellites are at maximum elevation. This alignment between the stochastic models 2608 2609 ensures consistency when, for example, tracking jitter values are not available for a 2610 non-specialized GNSS receiver that aims to mitigate ionospheric scintillation effects 2611 using a tracking jitter-based modified stochastic model.

2612 4.3.4 Mitigated multi-GNSS performance

The six intervals in Figure 4.16 were identified as having the most frequent 2613 2614 strong scintillation occurrences (S4 > 0.5) in March 2019. In Figure 4.16, the local 2615 sunset time begins at approximately UTC midnight (00:00 UTC), with relatively 2616 calm scintillation conditions prior to the post-sunset interval. Note that the same 10-2617 degree elevation mask was applied to the scintillation index data to be consistent 2618 with the following positioning estimation. Starting at approximately UTC midnight, 2619 strong scintillation becomes more frequent and is severe enough to cause tracking 2620 jitter outages in each of the six intervals in Figure 4.16. However, tracking jitter 2621 outages occur for approximately one hour during the DOY 061-062 interval, while 2622 the DOY 060-061 interval required repairs during a six-hour period. Therefore, both 2623 scintillation strength and duration are varied in the selected intervals. 2624 Kinematic PPP using GPS L1C/A and L2P with Galileo E1C and E5 2625 measurements for the six intervals in March 2019, beginning at 20:00 UTC, was

processed for elevation-based (non-mitigated) and tracking jitter (mitigated)
stochastic models. Note that the Table 4.2 configurations were used with nominal
code measurement noise set equal to 1.0-meter for all satellites. The resulting 3D
positioning error time-series in Figure 4.17 and Figure 4.18 shows that the maximum
post-convergence errors occur when the elevation-based stochastic model is used.
Note that individual positioning error components are provided in Appendix D for

2632 each configuration. In terms of overall performance, the mean post-convergence 3D

2633 error improved when the tracking jitter stochastic model was used for five of the six

2634 intervals, with a 1.2-cm mean error increase (-14.0% improvement) during the DOY

2635 066-067 interval. For the remaining intervals, mean 3D positioning error improved
2636 by at least a mm-level and up to 3.3-cm (36.3% improvement). Thus, the mitigated

by at least a mm-level and up to 3.3-cm (36.3% improvement). Thus, the mitigated
GPS+Galileo positioning accuracy is either consistent with the elevation-based

2638 approach or achieves cm-level improvement, in terms of overall 3D post-

2639 convergence, kinematic PPP accuracy under moderate to strong low-latitude

2640 ionospheric scintillation conditions. However, it will be shown next that the tracking

2641 jitter approach offers excellent improvement in terms of the single-epoch worst-case

2642 position, relative to the standard elevation-based method.

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Figure 4.16. Station PRU2 GPS L1C/A and Galileo E1C signal amplitude (blue circles) and phase (magenta circles) scintillation indices and corresponding Conker et al. (2000) tracking jitter outages (blue plus and magenta cross markers, noted in top-left legend) during six intervals beginning at 20:00 UTC in March 2019 identified as having strong scintillation.



Figure 4.17. Elevation-based (left) and tracking jitter (right) stochastic models used
for kinematic PPP processing of GPS+Galileo measurements observed at station
PPTE on DOYs 060-061, 061-062, and 063-064 beginning at 20:00 UTC.

The remarkable performance of the modified tracking jitter approach is 2655 2656 highlighted during the DOY 063-064 interval in Figure 4.17 and the DOY 066-067 2657 interval in Figure 4.18, where respective maximum 3D positioning error improved 2658 by 16.6-cm (46.7% improvement) and 13.6-cm (37.4% improvement) relative to the 2659 elevation-based method. The sudden increase in the elevation-based 3D positioning 2660 error on DOY 063-064, after approximately 5-hours from the start of processing, is 2661 due to multiple ambiguity reinitializations for three satellites. In this case, ambiguity 2662 parameters for GPS satellites SVID 6 and SVID 7 are reinitialized in a single epoch

2663 due to low-quality post-fit measurement residuals, which resulted in subsequent 2664 ambiguity reinitialization for Galileo satellite SVID 1 in the same epoch. For the 2665 tracking jitter approach at the same epoch, only a single ambiguity parameter is 2666 reinitialized for GPS satellite SVID 28. Based on the superior 3D positioning 2667 performance for the tracking jitter approach in Figure 4.17, it can therefore be 2668 concluded that the ambiguity reinitializations for the elevation-based method were 2669 incorrect and weakened the positioning model. Furthermore, the evaluation of post-2670 fit measurement residuals relative to the corresponding modelled measurement noise 2671 is more successful when the elevation-based stochastic model is replaced with the 2672 new tracking jitter technique. Thus, it can be concluded that the tracking jitter 2673 technique estimates the true measurement noise better than the elevation-based 2674 approach under ionospheric scintillation conditions.

2675 Mitigated processing using the new tracking jitter technique additionally 2676 improved the maximum 3D position error by 9.7-cm (31.0% improvement) and 4.7cm (29.5% improvement) during the respective DOY 073-074 and DOY 075-076 2677 2678 intervals. Similar positioning performance was achieved during the DOY 060-061 2679 and DOY 061-062 intervals regardless of the stochastic model, with respective 2680 worst-case mitigated 3D error better than the non-mitigated by 2.3-cm and 0.6-cm. 2681 Thus, the tracking jitter mitigation method does not simply shift the worst-case 2682 positioning error to a new epoch and is consistent with an elevation-based stochastic 2683 approach in the absence of large positioning errors.

2684 In terms of precision, the standard deviation of the converged 3D positioning error was 2-mm worse (-4.3% improvement) for the tracking jitter method during the 2685 2686 DOY 066-067 interval and identical at the mm-level during the DOY 061-062 2687 interval relative to the elevation-based approach. The remaining intervals improved 2688 positioning precision by at least 25.6% (on DOY 060-061) and up to 44.9% (on 2689 DOY 063-064), when the tracking jitter method was used. Therefore, mitigated 2690 GPS+Galileo processing can at least achieve comparable precision as the elevation-2691 based approach, with the potential for large improvements when elevation-based 2692 processing is otherwise not adequate.



Figure 4.18. Elevation-based (left) and tracking jitter (right) stochastic models used
 for kinematic PPP processing of GPS+Galileo measurements observed at station
 PPTE on DOYs 066-067, 073-074, and 075-076 beginning at 20:00 UTC.

2697 4.3.5 Remarks

2698To evaluate scintillation-mitigated multi-GNSS kinematic PPP performance, GPS2699L1C/A and L2P with Galileo E1C and E5 measurements for the six intervals in2700March 2019, beginning at 20:00 UTC, were processed for elevation-based (non-2701mitigated) and tracking jitter-based (mitigated) stochastic models. The station2702selected for positioning estimation is a non-specialized GNSS receiver located within2703a few hundred meters from a specialized ISMR that was used to characterize

2704 scintillation conditions. Moderate to strong amplitude and phase scintillation 2705 conditions were observed during each of the evaluated periods. 2706 The nearby ISMR outputs were used in the Conker et al. (2000) tracking 2707 error models with a modified stochastic approach (Aquino et al. 2009) to mitigate 2708 ionospheric scintillation effects. First, the tracking error model outputs were 2709 extended to use Galileo signals that were in similar frequency bands as were used in 2710 PPP processing. Next, tracking error model limits were applied to make tracking 2711 jitter-based ionospheric mitigation techniques usable for all epochs. Innovative 2712 normalization and scaling methods were developed and applied to create relative 2713 tracking jitter. This process enabled compatibility between the tracking jitter and 2714 elevation-based stochastic models so that a mixed stochastic approach could be 2715 applied to the non-specialized receiver. 2716 In all six cases, the maximum 3D positioning error for the modified tracking 2717 jitter method was better than the elevation-based approach, with up to 46.7% improvement in the best case. The post-convergence maximum 3D positioning error 2718 2719 for the mitigated technique improved by 16.6-cm (46.7% improvement) and 13.6-cm 2720 (37.4% improvement) relative to the elevation-based method in the two best cases. 2721 The modified tracking jitter technique resulted in a reinitialization for a single 2722 ambiguity parameter at the worst single-epoch on DOY 063-064, while the 2723 elevation-based approach reinitialized multiple ambiguities. These reinitializations 2724 increased the nominal 5-10-cm 3D positioning error to more than 30-cm for the 2725 elevation-based method, while the maximum tracking jitter error remained below 20cm in the same interval. In addition, it was found that these reinitializations were 2726 2727 caused by disagreement between the post-fit measurement residuals and the 2728 measurement noise estimated by the stochastic models. Therefore, it is concluded 2729 that the measurement noise modelled using the tracking jitter approach is more

2730 realistic in some scenarios.

Four of six intervals achieved at least 25.6% precision improvement, in terms of the standard deviation of the post-convergence 3D positioning error time-series, when the tracking jitter method was used. In the best case, the tracking jitter approach improved precision by up to 44.9%. Remaining intervals had similar precision regardless of the stochastic method at an approximate mm-level. For these reasons, it is concluded that the modified tracking jitter approach at least offers

2737 similar 3D positioning precision as the elevation-based method and achieves better 2738 stability compared to the otherwise unreliable elevation-based method. 2739 In terms of overall performance, represented by the mean 3D positioning 2740 error, the mitigated GPS+Galileo positioning accuracy was consistent with the 2741 elevation-based approach at an approximate cm-level. The reliability, represented by 2742 the standard deviation of the 3D positioning error, improved by at least 25.6% for 2743 five of the six evaluated intervals when the mitigated GPS+Galileo configuration 2744 was used, with only 2-mm worse reliability for the remaining interval. These 2745 improvements indicate that multi-GNSS processing under some low-latitude 2746 scintillation conditions can be further enhanced, in terms of maximum error 2747 reduction, when a scintillation-sensitive stochastic model is used, even for a non-2748 specialized GNSS user. 2749 In the future, the repaired tracking jitter and relative approach would benefit 2750 from evaluation under additional scintillation conditions, including geomagnetic

storms and at high latitude. Additional non-ISMR users may benefit from the new

methods. For example, if these techniques are implemented in tracking jitter maps,

stochastic technique that enables a mixture of elevation-based and tracking jitter

then ionospheric scintillation mitigation coverage may be extended to additional

users or for low-cost receivers such as smartphones that may otherwise use a less-

realistic stochastic model under ionospheric scintillation conditions.

2757 **4.4 Summary**

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2758 The evaluation of multi-GNSS PPP performance resulted in average horizontal and 2759 vertical reliability, accuracy and precision improvements of at least 8.3%, 18.8% and 2760 27.9%, respectively, for GPS+GLONASS processing. Configurations involving 2761 Galileo achieved the greatest overall improvements: 19.8% vertical reliability for GPS+GLONASS+Galileo, 39.8% vertical accuracy for GPS+Galileo, and 52.7% 2762 2763 vertical precision for GPS+Galileo. Both configurations where Galileo 2764 measurements were included provided similar improvements for all evaluation 2765 metrics and consistently outperformed GPS-only and GPS+GLONASS processing. 2766 The reason for multi-GNSS improvements over the single-system GPS-only 2767 processing is due to the increased model redundancy for multi-GNSS processing. It 2768 was observed that the configurations using Galileo measurements achieved the best

average improvement over GPS-only processing and were up to 20% better than the
GPS+GLO configuration, despite GLO and GAL constellations having a nearly
identical average number of usable satellites.

2772 A new technique to repair tracking jitter outputs for a specialized scintillation 2773 monitoring GNSS receiver successfully enabled activation of a modified stochastic 2774 model for all epochs and improved the maximum 3D kinematic PPP error by 2775 approximately 50% under strong low-latitude ionospheric scintillation conditions for 2776 GPS-only processing. The same methods were then used to extend the repaired 2777 mitigation techniques to Galileo E1 and E5a signals. Relative tracking jitter was 2778 developed using normalization and scaling to configure the lower measurement noise 2779 limit to be compatible with a common elevation-based stochastic model. This 2780 method was then applied to mitigate ionospheric scintillation effects on kinematic 2781 PPP using GPS+GAL for a non-specialized GNSS receiver relative to elevation-2782 based processing. The new mitigation technique improved the worst-case (i.e., single epoch) 2783 3D positioning error up to 46.7% in one case where post-fit measurement residuals 2784 had better agreement with the tracking jitter noise, which resulted in more accurate 2785 2786 ambiguity reinitialization for the tracking jitter method. Improvement in positioning

2787 precision, in terms of the 3D positioning error standard deviation, was at least 25.6%

2788 for four of the six evaluated periods when the tracking jitter method was used. In

terms of overall performance, the average 3D positioning error was in agreement at a

2790 nominal cm-level regardless of the stochastic method used. Thus, the mitigation

technique is best suited to improve positioning precision and reduce worst-case

2792 positioning errors when an elevation-based stochastic model is otherwise unreliable.

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2794 Chapter 5

2795 Results on PPP with Atmospheric 2796 Corrections

2797 **5.1 Introduction**

2798 This chapter develops ionosphere-weighted and troposphere-corrected processing 2799 methods and evaluates kinematic PPP performance with respect to the standard 2800 cases. Although strategies developed herein aim to enhance real-time GNSS 2801 applications, precise satellite orbit and clock products are used as substitutes for real-2802 time data products that can be estimated with comparable precision as the precise 2803 products when multi-GNSS processing is used (Li et al. 2015). The target scenarios 2804 focus on severe weather events, such as increased ionospheric activity in a 2805 scintillation-affected low-latitude region and a tropospheric storm at a mid-latitude 2806 region. To achieve these objectives, the new methods are developed and evaluated 2807 separately for troposphere- and ionosphere-related studies. Regarding the ionosphere 2808 sections, RIM data generated from a low-latitude GNSS reference station network in 2809 Brazil and GIM data were used to compute TEC rate as input to a modified 2810 stochastic model. After biases are properly dealt with, standard and ionosphere-2811 weighted kinematic PPP models were evaluated under highly active ionospheric 2812 conditions. For troposphere-corrected processing, precise ZWD corrections were 2813 generated from a network of GNSS reference stations affected by a tropospheric 2814 storm event in the Netherlands. The ZWD corrections were then interpolated at user 2815 locations and kinematic PPP performance was evaluated using correction strategies 2816 that rely on progressively more information provided by the reference network.

2817 5.2 Ionosphere-weighted positioning

2818 This section evaluates positioning performance using a modified kinematic PPP 2819 stochastic model that relies on global and regional ionospheric map data for GNSS 2820 reference stations located in Brazil. Chapter 2 presents the challenges and strategies 2821 associated with using external ionospheric data to support high-accuracy positioning. 2822 To study the performance of ionosphere-weighted PPP, global and regional 2823 ionospheric products were used to compute between-epoch ionospheric delay 2824 gradients. Then, the resulting change in the ionospheric state was incorporated into a 2825 modified stochastic model. The resulting ionosphere-weighted configurations were 2826 evaluated under moderate to low latitude ionospheric activities to study positioning 2827 accuracy in terms of maximum and average positioning performance.

2828 5.2.1 Global and regional ionospheric map data at low latitudes

2829 The Brazilian Institute of Geography and Statistics (IBGE) Brazilian Network for

2830 Continuous Monitoring of the GNSS Systems (RBMC) RINEX data archive was

2831 used by Juliana Garrido Damaceno, of the Istituto Nazionale di Geofisica e

2832 Vulcanologia (INGV) as part of the TREASURE project, to generate a low-latitude

2833 RIM product from 01h to 23h UTC on DOY 015 in the year 2020. Figure 5.1 shows

- that the seven IBGE reference stations used to create the RIM are located in the
- 2835 north-eastern region of Brazil and are within ± 15 -degrees latitude from the
- 2836 geomagnetic equator. The RIM coverage is approximately centred on the State of
- 2837 Piaui, Brazil (8°S, 42°W) with a grid spacing of 1-degree in both latitude and
- 2838 longitude. The RIM extents cover longitudes from 55°W to 29°W and latitudes from
- 2839 17°S to 6°N with an update rate equal to 60-seconds.



Figure 5.1. Experiment region (top-right inset) and locations of IBGE reference
 stations (magenta diamonds) used to generate the RIM product for user stations
 (cyan circles), relative to the geomagnetic equator (green contour) and EIA
 ionization crests located near ±20-degrees geomagnetic latitude (annotated
 contours).

2846 The region studied is affected by harsh ionospheric conditions due to the low-2847 latitude location and corresponding EIA effects. Typical ionospheric scintillation 2848 conditions for the region are discussed in Chapter 4, which demonstrated that daily 2849 moderate to strong ionospheric scintillation occurs frequently. In Figure 5.2, it is 2850 shown that the beginning of the UTC day is relatively calm, with the onset of large 2851 ionospheric gradients starting at approximately 12h UTC. According to the hourly 2852 GIM data shown in Appendix F, these gradients are especially strong in the zenith 2853 direction during the post-sunset hours, at approximately 19h UTC. For the hours 2854 prior to and immediately after this critical time, the absolute ionospheric delay and 2855 associated gradients reach respective maximum values in the day. 2856 The vertical TEC (VTEC) values contained within the external ionospheric 2857 maps are provided at uniform time intervals and grid spacing at a representative ionospheric height equal to 350- and 450-km for respective RIM and GIM products. 2858 2859 Therefore, for each satellite and epoch, VTEC was calculated using bilinear 2860 interpolation of the grid points closest in time and space to the location where the 2861 receiver-satellite line-of-sight vector intersects the grid defined by each product. The 2862 computed VTEC was then mapped to slant TEC (STEC) for each receiver-satellite 2863 link using the well-known Mannucci et al. (1998) mapping function.



Figure 5.2. CODE GIM product interpolated to a uniform 2.5-degree grid on DOY
 15 in 2020 at 01h (upper), 12h (middle) and 24h (lower) UTC. The color scale
 represents TEC units within each grid cell and the approximate RIM extents are
 indicated by the white dashed box

2869 Station PITN receiver-satellite links were used to compute the Figure 5.3

- 2870 GPS L1 signal slant ionospheric delay time-series for GIM and RIM products.
- 2871 According to Figure 5.3, the GIM product contains less per-epoch variation relative
- to the RIM. This relative time stability of the GIM product data can be seen as
- 2873 smooth curves in the Figure 5.3 time-series for each satellite. The maximum single-
- 2874 epoch ionospheric delays in Figure 5.3 are 11.658- and 8.285-m, respectively, for
- 2875 GIM and RIM products. This difference is absolute maximum ionospheric delay is
- 2876 likely due to a higher 20-degree satellite elevation cutoff limit being used for the
- 2877 generation of the RIM product, compared to the 10-degree elevation threshold

- applied for the GIM product. In addition, the experimental RIM product relies only
- 2879 on GNSS measurements from within a relatively small region, while the GIM



2880 product incorporates GNSS reference stations on a global scale.



Figure 5.3. GPS L1 signal slant ionospheric delay using CODE GIM (upper) and
 experimental RIM (lower) products for all satellites above 10-deg in elevation
 (satellites are assigned unique colors) observed at station PITN from 01h to 23h
 UTC on DOY 015 in 2020.

2886 Outages for the RIM data occur for 2.27% of epochs and are counted when 2887 the RIM-computed STEC is either unavailable, due to a satellite's location below the 2888 map extents, or if a negative VTEC value is encountered. Slant ionospheric delays 2889 were available for all satellites at all epochs using the GIM data, while 540 slant 2890 delays were not available using the RIM. For example, although station PISR is 2891 within the RIM extents, a valid slant delay for some satellites above a 20-degree 2892 elevation mask failed to be computed because the pierce point coordinates were 2893 outside the map extents. This can be explained by the location of station PISR being 2894 located less than 10-degrees in latitude away from the southern boundary of the RIM 2895 extents. Satellites that experienced this failure were flagged with a zero-valued slant 2896 delay and excluded from the positioning filter until valid data were retrieved from 2897 the map. In addition, 29 negative STEC values were computed using the RIM

product and the corresponding satellites were therefore excluded from the filter at theaffected epochs.

2900 5.2.2 Satellite and receiver bias effects

Ionosphere-free code and carrier phase measurements for GPS L1 and L2 signals at a 2901 60-second epoch rate were processed for all user stations and network station PISR 2902 2903 (9° 1'S, 42° 42'W) using the custom RIM and standard CODE GIM products. If an 2904 undifferenced and uncombined model were used instead, then the precise satellite 2905 clock product, which contains the true satellite clock offset and ionosphere-free 2906 satellite code hardware delays, as shown in Equations 2.7 and 2.8, would require 2907 additional correction. However, in an ionosphere-free model, only satellite specific 2908 P1-C1 code bias corrections are required for receivers that store C1 measurements to maintain compatibility with the satellite clock products (Kouba 2015). Therefore, the 2909 2910 CODE monthly satellite DCB products were applied following the Bernese user 2911 guide (Dach et al. 2015) to eliminate satellite specific P1-C1 code biases from the 2912 individual measurements.

2913 After satellite hardware delay corrections are applied to code measurements, 2914 the Equation 2.11 slant ionospheric delay remains biased by an unknown geometryfree bias, $b_{r,GF}^{s}$, that consists of both satellite and receiver bias components. 2915 2916 However, because satellite-dependent biases were accounted for, the satellite 2917 superscript can be removed leaving only an unknown geometry-free receiver bias, 2918 that is, $b_{r,GF}$. Although both satellite and receiver differential P1-P2 bias information 2919 is provided for receivers involved in the GIM estimation process (Hernández-Pajares 2920 et al. 2009), none of the stations used in this study contained a priori receiver 2921 hardware delay information regardless of which ionospheric map product was used. 2922 This bias effect can be observed in the Figure 5.4 pre-fit pseudorange residuals using 2923 uncombined processing of pseudorange measurements with GIM and RIM 2924 ionospheric corrections applied.







2931 According to Equation 3.3, a frequency-dependent bias between two 2932 measurements in measurement vector z_k will appear as an offset at the first epoch in 2933 the corresponding pre-fit residuals. Then, if the biases are assumed to be time-2934 constant, the combined bias effect appears as a constant offset for pre-fit and post-fit 2935 residuals in the following epochs due to least-squares residual minimization. These 2936 effects are visible in Figure 5.4 for GIM- and RIM-fixed processing at the first epoch 2937 and afterward, with similar plots available for other stations in Appendix C. In the 2938 following, this time-constant nature of the internal bias present in the external 2939 ionospheric products enables the application of a modified ionosphere-weighted 2940 stochastic model for PPP without the need to consider the bias effects that are 2941 absorbed in the ionospheric map data.

2942 5.2.3 Modified stochastic model

Stochastic modelling is a critical component of parameter estimation that assignsmeasurement weights based on the modelled measurement quality. For example, an

2945 accurate stochastic model assigns low quality measurements less weight which 2946 contribute less to the related parameter estimation. Conventionally, the following 2947 stochastic model, formulated using the satellite elevation angle (E), is typically 2948 applied to GNSS parameter estimation:

$$\sigma_E^2 = \frac{\sigma_0^2}{\sin^2(E)} \tag{5.1}$$

where σ_0^2 is the *a priori* pseudorange or carrier phase measurement variance, *E* represents the satellite elevation angle, and σ_E^2 is the elevation-based variance component. The Equation 5.1 stochastic model is then used to compute measurement weights equal to the inverse of the variance. However, high elevation satellites, even above 50-degrees, that would normally receive a large weight value in Equation 5.1 may produce more noisy measurements than lower elevation satellites in the presence of strong ionospheric activity (Luo et al. 2018).

The rate of total electron content index (ROTI) characterizes the severity of ionospheric disturbances and is defined by Pi et al. (1997) as:

$$ROTI = \sqrt{\langle ROT^2 \rangle - \langle ROT \rangle^2} \tag{5.2}$$

where the <> operator is the average value within a period of time, for example, 5minutes for GNSS data stored at a 30-second epoch rate. Thus, Equation 5.2
represents the rate of TEC (ROT) change expressed in units of TECU/minute. In
terms of an external ionospheric map product, the following expression can be used
to compute the ROT for a satellite-receiver link at time *t*:

$$ROT_r^s(t) = STEC_r^s(t) + b_{GF} - (STEC_r^s(t - \Delta t) + b_{GF})$$
(5.3)

where $STEC_r^s$ is the slant TEC, interpolated from either global or regional ionospheric map products that are contaminated by a time-constant geometry-free receiver bias term b_{GF} . Due to the time-constant nature of the bias parameter, only the time-difference of the $STEC_r^s$ term remains after Equation 5.3 is evaluated. Therefore, internal biases within the GIM and RIM products can be ignored if

2968 Equation 5.3 is used to compute the ROT at each epoch.

Precise ROT can be computed at each epoch for dual-frequency GNSS users that apply Equation 2.9 to carrier phase measurements (Cai et al. 2013; Cherniak et al. 2018; Luo et al. 2022). However, this method fails if carrier phase cycle slips are not detected or remain uncorrected because cycle slips artificially increase the computed TEC rate. This is especially problematic during periods of increased ionospheric activity as cycle slips are more frequent and can be further amplified in the presence of geomagnetic storms. Thus, the following ionospheric component, σ_I^2 , of a modified elevation-angle stochastic model was developed by Luo et al. (2022) to amplify measurement variance using ROT:

$$\sigma_I^2 = \cos^2\left(\frac{ROT - T_{min}}{T_{max}} \cdot \frac{\pi}{2}\right); T_{min} \le ROT < T_{max}$$
(5.4)

where T_{min} and T_{max} are minimum and maximum ROT thresholds equal to 0.5- and 15-TECU/minute, respectively. Note that in Luo et al. (2022), approximately 99.9% of ROT data evaluated for 240 IGS stations were below T_{max} and remaining data greater than T_{max} were removed from the PPP solution. In this thesis, ROT is set equal to T_{max} when ROT exceeds T_{max} to reduce the amount of rejected measurement data that may weaken the positioning solution if removed data worsen the satellite geometry.

Although Equation 5.4 was originally developed to use ROT derived from dual-frequency measurements for a single receiver, this thesis innovatively applies the same approach to ROT derived from externally provided GIM and RIM data. This approach eliminates artificial ROT amplification that is caused by carrier phase cycle slips, as the user's carrier phase measurements are not used to compute ROT. The new method modifies the stochastic model by Luo et al. (2022) using the following combination of stochastic model components:

$$\sigma^{2} = \sigma_{E}^{2} + \sigma_{E}^{2} \cdot \sigma_{I}^{2}; ROT_{max} < T_{min}$$

$$\sigma_{I}^{2} = 0; ROT < T_{min} \text{ or } ROT \ge T_{max}$$
(5.5)

where ROT_{max} is the maximum ROT for all usable satellites in an epoch and σ^2 is 2992 the code or carrier phase measurement variance comprised of both elevation-based 2993 (σ_F^2) and ionospheric-based (σ_I^2) variance components. The Equation 5.5 modified 2994 stochastic model was developed to become active only when $ROT_{max} \ge T_{min}$. Thus, 2995 2996 the standard elevation-based stochastic model in Equation 5.1 is applied without 2997 modification when the condition $ROT_{max} < T_{min}$ is met. In other words, the 2998 modified stochastic model is only active when the ionosphere is active. When the 2999 ionospheric noise component is active in Equation 5.5, the standard elevation-based 3000 stochastic model becomes modified with the addition of an amplification factor 3001 based on the ionospheric noise component scaled by the elevation-based factor. 3002 The modified stochastic model components are represented by the Figure 5.5 3003 measurement weights, computed as the inverse of the respective measurement

variance functions, for valid ranges of satellite elevation angles and ROT values. It can be seen from Figure 5.5 that this strategy amplifies the *a priori* zenith measurement variance (σ_0^2) by a factor of two relative to the elevation-only approach when the ionospheric variance component (σ_I^2) is at its maximum. Note that this scale factor is nearly the same as the empirically derived factor used by Luo et al. (2022).



Figure 5.5. Elevation (left) and ionospheric (right) weighting values as respective
 functions of satellite elevation angle and ROT.

3013 The Equation 2.7 and Equation 2.8 ionosphere-free PPP functional models

- 3014 were used for respective carrier phase and pseudorange measurement processing.
- 3015 These models were used along with the Table 5.1 configurations and default Table
- 3016 3.2 strategies to process 22h of measurements at each user station and at station
- 3017 PISR, which was used in the generation of the RIM product. Therefore, the RIM-
- 3018 based processing for station PISR is not affected by interpolation errors and offers
- 3019 the best possibility of success when using the experimental RIM product.
- 3020 Table 5.1. Ionosphere-corrected POINT software configurations and estimation
 3021 strategies.

| GNSS processing | Configurations/strategies |
|----------------------------------|---|
| Constellation: signals | GPS: L1C/A, L2P or L2C |
| Measurement weighting | Standard: elevation-based (Mohammed 2017) |
| | Modified: elevation- and ROT-based |
| Satellite code biases | CODE P2C2 and P1C1 DCB products |
| A priori pseudorange precision | L1C/L2P: 30.0/30.0 cm |
| A priori carrier phase precision | L1/L2: 0.01/0.01 cycles (2.0/2.5 mm) |

3022

3023 **5.2.4 Positioning performance and evaluation**

3024 The standard elevation-based and the modified stochastic models were used for 3025 kinematic PPP processing of GPS L1 and L2 measurements observed at stations BAPA, PILF, PITN, and PISR during the 22-hour period from 01:00 to 23:00 UTC 3026 3027 on January 15, 2020. Although this time interval is not aligned with a solar or 3028 geomagnetic storm event (Kp index ≤ 2), the region is near the geomagnetic equator 3029 and is affected by large ionospheric gradients due to the local EIA ionization crests. 3030 Ionospheric scintillation effects were not studied in this evaluation, though the region 3031 is frequently affected by moderate to strong scintillation beginning near the post-3032 sunset period each day. To eliminate large errors that occur during the initial 3033 convergence period, only data after 2-hours of processing, i.e., 03:00 UTC, were 3034 used in the following analysis and statistics. Thus, post-convergence positioning 3035 accuracy is evaluated for the standard and modified stochastic models. However, positioning errors during the initial convergence period were found to be similar 3036 3037 regardless of the stochastic model applied.

3038 The Figure 5.6 positioning error time-series for station BAPA shows that 3039 achievable positioning accuracy is nearly identical, at the mm-level, regardless of 3040 which stochastic model is used. In both cases, the maximum 3D positioning error 3041 occurs at 15:30 UTC and is equal to 13.8-cm. Additionally, the mean 3D positioning 3042 error is equal to 3.0-cm in both cases and individual positioning error components 3043 are also in agreement at the mm-level or better. Therefore, it can be concluded from 3044 Figure 5.6 that the modified stochastic model did not improve the overall or worst-3045 case positioning performance at station BAPA. On the other hand, the consistency 3046 between positioning performance for each stochastic method indicates that the 3047 modified model approach does not hinder the user when compared to the standard 3048 model.





Figure 5.7. Station PIFL standard (top row) and modified (lower row) stochastic
 model positioning error component (left column) and 3D positioning error (right
 column) time series.

3070 The station PITN positioning error time-series in Figure 5.8 is similar to the 3071 station PIFL performance, where the modified stochastic model outperforms the 3072 standard stochastic model at the maximum 3D error epoch. In this case, the post-3073 convergence 3D positioning error reached a maximum of 26.4-cm at 20:51 UTC 3074 using the standard stochastic model, while the modified model reached only 15.1-cm 3075 3D error at the same epoch. This 11.3-cm 3D position error improvement 3076 corresponds to a 42.8% improvement at the worst epoch of the elevation-based 3077 processing. In terms of overall positioning performance, the mean 3D positioning 3078 error was 3-mm better for the standard stochastic model configuration. Therefore, 3079 the modified approach reduced the large errors that occurred using only satellite 3080 elevation in the stochastic model, while increasing the overall 3D positioning error 3081 by a negligible mm-level.

3066





Figure 5.8. Station PITN standard (top row) and modified (lower row) stochastic
 model positioning error component (left column) and 3D positioning error (right
 column) time series.

3088 Contrary to the previously evaluated stations, the positioning performance for 3089 station PISR degraded slightly in terms of maximum and overall positioning 3090 accuracy using the modified stochastic model approach. However, at the worst-case 3091 epoch (21:54 UTC), the modified stochastic method increased the 3D positioning 3092 error by only 2.0-cm. This slight degradation is within the tolerance reported by Luo et al. (2022) which reported worse positioning accuracy, up to 5.0-cm, for 3093 3094 approximately 14% of the evaluated stations when smoothed geometry-free carrier 3095 phase measurements were used in a modified stochastic model. Therefore, the 3096 implemented stochastic model based on ionospheric map ROT data and with further 3097 modifications are in agreement with previous research outcomes, where only a cm-3098 level worsening of positioning performance may occur. The modified approach 3099 increased the mean positioning error by 3.0-mm compared to the standard stochastic 3100 model due to a cm-level error amplification during the 10:30-12:00 UTC period.



| 3122 | improvement) at the single worst epoch for station PISR, which is within the |
|------|---|
| 3123 | accepted general tolerance (Luo et al. 2022). These improvements demonstrate that |
| 3124 | the modified stochastic approach did not simply shift the worst-case positioning |
| 3125 | accuracy to a new epoch. In addition, the modified GIM-based ionosphere-weighted |
| 3126 | stochastic model can successfully reduce maximum kinematic 3D positioning error |
| 3127 | under large ionospheric gradients. |
| 3128 | |
| | |

3129 Table 5.2. 3D kinematic PPP error comparison for standard elevation-based and
 3130 ionosphere-weighted stochastic models.

| Mean / maximum 3D error [cm] | | | | | |
|------------------------------|----------------|----------------|-----------------|--|--|
| Station | Standard model | Modified model | Improvement [%] | | |
| BAPA | 3.0 / 13.8 | 3.0 / 13.8 | 0.0 / 0.0 | | |
| PIFL | 8.2 / 29.7 | 7.7 / 20.3 | +6.1 / +31.6 | | |
| PITN | 6.2 / 26.4 | 6.5 / 19.7 | -4.8 / +25.4 | | |
| PISR | 4.8 / 15.1 | 5.1 / 17.1 | -6.3 / -13.2 | | |

3132 The GNSS measurement data were reprocessed for each station using the 3133 RIM product data as input to the modified stochastic model. Extreme ROT 3134 variability, up to 45 TECU/minute, of the experimental high-resolution RIM product 3135 exceeded the upper ROT limit in the modified stochastic model for 88.8% of all 3136 epochs. Thus, this highly variable ROT deactivated the ionospheric variance 3137 component of the modified stochastic model for most epochs resulting in estimation 3138 that used primarily the standard elevation-based stochastic model. For this reason, 3139 the 3D position error time-series in Figure 5.10 shows that the RIM-based stochastic 3140 model performs similar to the standard model, with nearly identical worst-case 3141 positioning accuracies. Although the highly experimental RIM product was unable to 3142 fully use the modified stochastic model, the 3D positioning results demonstrate that 3143 the new method is robust and can achieve similar performance as an elevation-based 3144 approach when noisy ROT data are used as inputs.


3160 caused deactivation of the modified stochastic model.

3161 **5.2.5 Remarks**

An alternative modified stochastic model was developed using the Luo et al. (2022)
framework that adapted the standard GNSS measurement stochastic model using

3164 ionospheric information. The new approach presented herein amplifies GNSS 3165 measurement uncertainty according to the ROT computed from GIM or RIM product 3166 data instead of using ROT computed from dual-frequency carrier phase 3167 measurements. This approach eliminates possible artificial ROT discontinuities that 3168 occur in the presence of carrier phase cycle slips that are especially frequent and 3169 problematic for high-accuracy GNSS positioning at low latitudes. 3170 Kinematic PPP performance was evaluated for four low latitude GNSS 3171 reference stations using the new stochastic model and a standard satellite elevation-3172 based model. The worst-case 3D positioning error was improved by 52.5% and 3173 42.8% at stations PIFL and PITN, respectively, using the GIM-based modified 3174 stochastic model, while the mean positioning accuracy agreed to the mm-level for 3175 both stochastic models. Furthermore, the maximum 3D positioning error for all 3176 epochs using the modified stochastic model improved by 9.3- and 6.7-cm, 3177 respectively, for stations PIFL and PITN. Therefore, the modified stochastic model 3178 that used GIM-based noise amplification successfully reduced the overall and single-3179 epoch maximum positioning error and did not degrade the overall positioning 3180 performance. For comparison, the alternative technique given by Luo et al. (2022) 3181 achieved approximately 13% improvement in overall 3D position error under 3182 geomagnetic storm conditions globally. The positioning performance was nearly unchanged when the GIM-based 3183 3184 modified stochastic model was used for stations BAPA and PISR. The high-3185 precision cm-level positioning performance for station BAPA, located beyond 20-3186 degrees geomagnetic latitude, achieved mm-level consistency for all positioning 3187 error components regardless of which stochastic model was used. Meanwhile, station 3188 PISR positioning performance degraded by 2.0- and 3.0-cm at the respective worst-3189 case epoch and for the mean 3D error, which is below the Luo et al. (2022) 3190 tolerance. Therefore, it can be concluded that the ionospheric-map-based modified 3191 stochastic model is best suited for reducing large positioning errors that may occur 3192 during kinematic PPP processing. In addition, although positioning performance 3193 degraded by a few centimetres for one station, the alternative GIM-based modified 3194 stochastic approach improved the worst-case 3D positioning error up to tens of 3195 centimetres.

3196 The same modified stochastic approach was used to reprocess data for each 3197 station using ROT derived from RIM product data. This strategy revealed that the 3198 experimental ROT was highly variable, up to 45 TECU per minute, which resulted in 3199 deactivation of the modified stochastic approach for an average of 88.8% of all 3200 epochs for all evaluated stations. Therefore, positioning performance using the RIM 3201 product was nearly identical to the standard elevation-based model in terms of both 3202 worst-case and mean positioning accuracy. Furthermore, the enhanced spatial and 3203 temporal resolution of RIM-based products, and potential unknown errors, likely 3204 amplified the ROT and caused the deactivation of the modified stochastic model. 3205 Future research may reveal benefits for multi-epoch smoothing of ROT 3206 computed from ionospheric map data, especially if highly variable ROT is computed 3207 from experimental RIM data products. The effect of spatial and temporal resolution 3208 of ionospheric map products on ROT may help optimize RIM product generation. 3209 Although the Luo et al. (2022) modified stochastic method requires dual-frequency 3210 measurements to compute ROT, the new modified stochastic model uses ROT 3211 computed via ionospheric map products and therefore does not restrict usage to dual-3212 frequency users. Thus, future research may evaluate the modified stochastic model 3213 for single-frequency positioning users or extend to undifferenced and uncombined 3214 functional models that do not contain GNSS measurements at multiple frequencies 3215 for all epochs due to partial loss of lock. 3216

3217 5.3 Troposphere-corrected positioning

3218 This section evaluates kinematic PPP accuracy using tropospheric corrections 3219 estimated by a reference GNSS network. Chapter 2 introduced strategies to reduce 3220 tropospheric effects and identified challenges of positioning under active 3221 tropospheric conditions. Then, Chapter 3 presented to estimation method that 3222 incorporates dynamic model time constraints into the GNSS functional model. To 3223 study the performance of troposphere-corrected PPP, data from a GNSS reference 3224 network were selected to correspond with a strong tropospheric storm event that 3225 passed over the Netherlands. These reference stations were separated into network 3226 and user categories and network stations were used to estimate precise zenith wet 3227 tropospheric delays that were later used as corrections for user stations. In this 3228 framework, deterministic, partially stochastic, and fully stochastic correction 3229 configurations are developed and positioning accuracy is evaluated to demonstrate 3230 the benefits and disadvantages of each method.

3231 5.3.1 Data and approach

3232 Strategies to reduce tropospheric effects typically use *a priori* hydrostatic (i.e., dry) 3233 correction models (Saastamoinen 1972; Leandro et al. 2006) and estimation of zenith 3234 and horizontal gradient of wet delay components (Bar-Sever et al. 1998). However, 3235 rapid changes in atmospheric water vapor caused by heavy rainfall can amplify 3236 tropospheric asymmetry effects (Ma and Verhagen 2020) and reduce positioning 3237 accuracy (Ma et al. 2021). Therefore, data were selected to correspond with a 3238 tropospheric storm event that passed over the Netherlands on June 22, 2017. The 3239 cloud map in Figure 5.11 shows that the storm approached from the northwest at 14h 3240 UTC and travelled uniformly across the Netherlands by 20h UTC. This storm was 3241 accompanied by heavy rainfall and caused a sudden change in the atmospheric water 3242 vapor, as conditions were clear and calm prior to the arrival of the storm.



Figure 5.11. Tropospheric storm event (orange arrow) passing over the Netherlands
 on June 22, 2017, from 14h to 20h UTC, with station BRD2 from the Netherlands
 GNSS network annotated.

- To study the sudden change in the tropospheric state and effects of kinematic PPP performance, RINEX data for a GNSS reference network in the Netherlands were downloaded from the Dutch Kadaster online database
- 3250 (http://gnss1.tudelft.nl/dpga/rinex/). The 16 stations shown in Figure 5.12 were 3251 selected and 24h RINEX data files containing measurements of GPS L1 and L2 3252 signals were downloaded from 00h-24h UTC on the same day as the storm event. 3253 Although more stations are available in the reference network, the respective 19-km 3254 and 50-km minimum and maximum inter-station spacing of Figure 5.12 stations 3255 enabled evaluation under a range of correction conditions. 3256 The processing strategy in Figure 5.13 was applied to the 12 network and 4 3257 user stations in Figure 5.12 to estimate, interpolate, then apply a priori tropospheric 3258 zenith wet delay corrections for the user stations using deterministic, partially, and 3259 fully stochastic configurations. Results were then compared to standard kinematic 3260 PPP that operates without external information related to the troposphere. For the 3261 network processing component, precise ZWD was independently estimated at each 3262 network station using the Equation 2.12 ionosphere-free functional model configured
- 3263 for static PPP. It is assumed that the network has access to meteorological data or
- 3264 weather forecast information and can adjust parameter estimation constraints
- 3265 accordingly. Therefore, in this study, the static PPP estimation uses a loose ZWD
- 3266 time constraint equal to one thousand times the value typically used for kinematic

- 3267 PPP processing in preparation for the approaching storm. This relatively loose ZWD
- 3268 constraint does not weaken the static PPP model to the same extent as a kinematic
- 3269 PPP model, as the estimated positioning components are modelled as time-constant
- 3270 (static) parameters, which strengthens the positioning model.



- **Figure 5.12.** Selected GNSS reference stations in the Netherlands, where the 12
- 3273 network (triangles) and 4 user (squares) stations used in the study are colored
- 3274 according to respective ellipsoid height values.

3275



Figure 5.13. Process diagram to estimate ZWD corrections using static PPP and to
 apply deterministic, partially stochastic, and fully stochastic corrections for
 kinematic PPP.

- 3280 In a kinematic PPP model, positioning components are modelled as time-
- 3281 dynamic parameters that are not constrained in the time domain. Therefore, the

relatively weak kinematic PPP model is unable to loosen additional parameter time
constraints without further weakening the overall model. For this reason, kinematic
PPP users typically apply tight ZWD parameter constraints and assume that the
representative atmospheric water vapor changes slowly in time. In addition, it is
assumed that the standard kinematic PPP user does not have access to meteorological
information that could potentially be used to adjust ZWD constraints.
After precise ZWD estimation, Kriging (Oliver and Webster 1990)

3289 interpolation was used to estimate ZWD and propagate ZWD uncertainties at the 3290 user positions. The interpolation was done in collaboration with Hongyang Ma of 3291 Delft University of Technology, as part of the TREASURE project. The Kriging 3292 algorithm uses a weighted average approach to interpolate data from known 3293 locations to unknown locations. Although other weighted average interpolation 3294 algorithms are available, for example, inverse-distance weighting, the Kriging 3295 technique estimates weights using covariance information computed from distance 3296 data. In this case, the distance data used to estimate weighting values were computed 3297 from respective network-user and inter-network station separations. The resulting 3298 weight values were then used to interpolate the network-estimated ZWD to the user 3299 stations using a weighted average calculation. Interpolation uncertainties were also 3300 estimated as part of the Kriging interpolation processing and were provided along 3301 with the interpolated ZWD data.

3302 The four user station positions were estimated using standard kinematic PPP 3303 and with the three Figure 5.13 correction strategies applied. Interpolation of 3304 tropospheric parameters between network stations accumulates errors when station 3305 height differences increase, as the tropospheric ZWD and ZHD components depend 3306 on respective station ellipsoid heights. However, the largest ellipsoidal height difference between any two stations in Figure 5.12 is 53.6-meters, while the 3307 3308 maximum height difference between adjacent stations is 22.9-meters (stations EIJS-3309 ROE2). Therefore, it is assumed that differences between modelled and estimated 3310 tropospheric delay caused by station elevation differences is much smaller than the 3311 interpolation errors due to the relatively small station height variability for the GNSS 3312 stations in the region. In all processing configurations, aside from the deterministic 3313 approach, a residual tropospheric parameter is estimated and is assumed to absorb 3314 residual effects caused by station elevation differences. The same mapping functions 3315 that convert from slant to zenith directions of the reference stations as part of the

| 3316 | network estimation were used to convert from zenith of users to slant directions to |
|------|---|
| 3317 | compute measurement corrections. |
| 3318 | The kinematic PPP configurations for user stations are organized in Table 5.3 |
| 3319 | in terms of complexity for both the network and user processing requirements. All |
| 3320 | configurations in Table 5.3 begin with the Equation 2.12 ionosphere-free PPP model |
| 3321 | and vary based on the definition of the estimated ZWD parameter and the ZWD time |
| 3322 | constraint. For the standard kinematic PPP user that does not use any a priori |
| 3323 | network-estimated ZWD information (i.e., without corrections), the Equation 2.12 |
| 3324 | model is used directly with a tightly constrained ZWD parameter. In the remaining |
| 3325 | Table 5.3 configurations, the network-estimated ZWD is applied in the user |
| 3326 | processing. |
| | |

3328**Table 5.3.** Application of network-estimated ZWD corrections for a kinematic PPP3329user in terms of the estimated user ZWD parameter and dynamic model constraint.

| Configuration | ZWD definition | Process uncertainty [m ² /hour] | ZWD constraint |
|----------------------|----------------|---|----------------|
| Standard | ZWD | 6.0·10 ⁻⁴ | Tight |
| Deterministic | - | - | Not estimated |
| Partially stochastic | Residual ZWD | $6.0 \cdot 10^{-4}$ | Tight |
| Fully stochastic | Residual ZWD | $6.0 \cdot 10^{-4} - 4.5 \cdot 10^{-3}$ | Variable |

3330

The deterministic configuration in Table 5.3 removes the ZWD parameter in 3331 3332 Equation 2.12 from the user's model, as the network-estimated ZWD is assumed to 3333 be free from errors. For the partially stochastic configuration, the user assumes that 3334 the interpolated ZWD contains errors, yet no uncertainty information is provided by 3335 the network. Therefore, the ZWD parameter must remain in the user model and is 3336 interpreted as a residual ZWD parameter that represents ZWD errors due to 3337 interpolation and other modelling effects. The partially stochastic configuration does 3338 not use any information regarding the network-estimated ZWD precision. Thus, the 3339 same time constraint that is used for the standard kinematic PPP model is applied to 3340 tightly constrain the residual ZWD in the partially stochastic configuration. 3341 The fully stochastic configuration extends the partially stochastic approach 3342 by incorporating network-estimated ZWD precision information in the user model. 3343 For this technique, the time constraint of the user's residual ZWD parameter 3344 becomes variable to represent the time-dependent nature of the network-estimated 3345 ZWD precision. The original ZWD precision data were multiplied by a scale factor

equal to $1 \cdot 10^{-4}$ that converted the average network-estimated ZWD precision within 3346 the first hour of processing to approximately equal the tightly constrained ZWD 3347 3348 parameter value used for standard kinematic PPP processing. Consequently, the fully 3349 stochastic technique estimates a residual ZWD parameter with a similar average 3350 process uncertainty as the ZWD parameter in the PPP model during the first hour of 3351 processing when tropospheric conditions are stable. However, the variable residual 3352 ZWD parameter uncertainty is approximately seven times larger, at maximum, 3353 compared to the average value during the first hour. Thus, the residual ZWD 3354 parameter constraint is relaxed in the fully stochastic model when the network-3355 estimated ZWD precision is low.

3356 Although each configuration in Table 5.3 estimates horizontal tropospheric 3357 gradients, only the fully stochastic approach applies modification to the associated 3358 gradient parameter process uncertainty values. In this case, a new scale factor that is 3359 equal to the ratio between the standard PPP ZWD and gradient parameter uncertainty values (i.e., $3 \cdot 10^{-6}$: $1 \cdot 10^{-5}$) is applied to the network-estimated ZWD precision data. 3360 3361 Therefore, the average process uncertainty for tropospheric gradient parameters is 3362 nearly identical for the fully stochastic and other configurations during the first hour, 3363 i.e., many hours prior to the arrival of the storm.

3364 **5.3.2 Positioning performance evaluation**

3365 The same network estimation approach was applied to process the user stations and estimate reference ZWD values at each epoch. The ZWD time-series at each user 3366 3367 station in Figure 5.14 indicates agreement between estimated and interpolated ZWD. 3368 Note that the ZWD estimated by static PPP for the user stations was not used in any 3369 of the processing steps other than the Figure 5.14 evaluation. The tropospheric storm 3370 effects are evident by the ZWD fluctuations in Figure 5.14 after approximately the 3371 first four hours where conditions are relatively stable. Then, large ZWD variations 3372 begin at approximately 04h UTC and end around 22h UTC, with slightly different 3373 timing depending on the station location relative to the approach or departing 3374 stormfront. A similar pattern occurs at each station during the 16h-22h UTC interval, 3375 where the estimated ZWD value rapidly changes, up to 15-cm in a two-hour time 3376 interval at station BRD2.



Figure 5.14. Estimated (blue) and interpolated (orange) ZWD time-series and RMS
 error at user stations: BRD2 (top-left), IJMU (top-right), ROE2 (lower-left), and
 ZWO2 (lower-right).

The overall interpolated ZWD agreement, in terms of RMS error with respect 3381 3382 to the estimated ZWD, is 1.4-cm to 2.0-cm for the entire time interval in Figure 5.14 3383 at each of the four user stations. This 6-mm difference in overall correction accuracy 3384 indicates that ZWD correction quality is nearly the same for each user station when 3385 evaluated over the entire interval. However, each station contains shorter periods 3386 where the instantaneous interpolated ZWD error reaches up to at least 4.0-cm, with 3387 the largest absolute error in a single epoch equal to 5.5-cm at stations BRD2 and ZWO2. These larger single-epoch errors are likely due to interpolation limitations, as 3388 3389 the ZWD estimated using static PPP is typically at a mm-level precision, in terms of 3390 formal uncertainty.

The Figure 5.15 positioning error time-series for station BRD2 demonstrates the per-epoch kinematic PPP performance without corrections and with deterministic, partially stochastic, and fully stochastic corrections. All configurations achieve 5-cm initial convergence of the horizontal positioning component errors within one hour of processing. Furthermore, all positioning error components remain below 5-cm error for the first five hours of processing. For these reasons, the positioning performance evaluations do not use any data within the first two hours of
processing to exclude the initial convergence period and to focus on positioning
performance affected by the storm event.

3400 It can be observed from Figure 5.15 that the tightly constrained tropospheric 3401 parameter used for kinematic PPP at station BRD2 without tropospheric corrections 3402 does not allow sufficient variability in the estimated ZWD parameters when a sudden 3403 change in tropospheric conditions occurs. However, if the user is not aware of 3404 nearby storms or is unable to modify their ZWD parameter constraint, then the 3405 tightly constrained ZWD parameter deteriorates the achievable kinematic PPP user 3406 accuracy and precision. This is demonstrated in Figure 5.15 where the initial cm-3407 level positioning accuracy begins to worsen at approximately 05h UTC due to the 3408 arrival of the storm, as indicated by the increased ZWD variability in Figure 5.14.

3409 The worst single-epoch, non-corrected vertical positioning error for station 3410 BRD2 in Figure 5.15 reaches -16.3-cm during 16h-18h UTC, while the vertical 3411 positioning error range is 28-cm in the same interval. This time period corresponds 3412 to the sudden 15-cm decrease in the estimated ZWD in Figure 5.14 for the same 3413 station. A similar abrupt 10-cm worsening of vertical positioning accuracy is also 3414 found in the 10h-12h UTC interval where the reference ZWD rapidly decreases by 3415 nearly 6-cm within one hour. Additionally, horizontal positioning accuracy also 3416 deteriorates to worse than 5-cm during the same rapidly changing ZWD time 3417 intervals.









Figure 5.16. Maximum of horizontal (left) and vertical (right) hourly RMS error 3437 3438 from 03h-24h UTC for user stations using each processing configuration. 3439 The Figure 5.16 results were used to generate horizontal and vertical worst-3440 case hourly RMS improvements in Table 5.4 with respect to the configuration that 3441 did not use ZWD corrections. Deterministic and partially stochastic configurations 3442 were unable to consistently improve the worst-case horizontal accuracy and, in some 3443 cases, increased the RMS errors by 1- to 2-cm, resulting in negative improvement at 3444 two stations. However, the fully stochastic configuration reduced horizontal errors at 3445 all stations, resulting in at least 15.0% and up to 45.5% improvement. This indicates 3446 that the variable tropospheric gradient process noise implemented for the fully 3447 stochastic approach is a key factor to improve horizontal performance. Moreover, the 3448 stochastic properties of tropospheric corrections can be used to improve not only 3449 vertical but also horizontal positioning accuracy if the network-estimated ZWD

3450 precision is incorporated in the user's ZWD and gradient parameter process

3451 uncertainty.

3452 Table 5.4. Improvements of the maximum hourly RMS error for horizontal and
 3453 vertical positioning components for troposphere-corrected configurations with
 3454 respect to the non-corrected configuration for each user station.

| | Horizontal/vertical maximum hourly RMS improvement [%] | | |
|--------------|--|----------------------|------------------|
| User station | Deterministic | Partially Stochastic | Fully Stochastic |
| BRD2 | 6.7/29.8 | 6.2/30.4 | 45.5/25.3 |
| IJMU | -18.4/59.3 | -8.8/22.9 | 35.1/32.1 |
| ROE2 | 20.3/32.2 | 32.3/66.2 | 15.0/64.1 |
| ZWO2 | -38.4/9.6 | -23.0/32.7 | 41.0/23.8 |

3455

3456 Regardless of the tropospheric-corrected configuration in Table 5.4, all user 3457 stations achieve better vertical positioning accuracy compared to the non-corrected 3458 configuration, resulting in 9.6% to 66.2% improvement. This indicates that errors 3459 associated with the user's ZWD parameter primarily affect the vertical positioning 3460 error component, which is reasonable, as the ZWD parameter maps tropospheric wet 3461 delay to the zenith direction. The most consistent improvements in Table 5.4 are for 3462 station ROE2, where horizontal and vertical accuracy improved by at least 15.0% 3463 and 32.2%, respectively, for all troposphere-corrected configurations. This initially 3464 seems inconsistent with the maximum RMS error in the Figure 5.14 corrections 3465 being for station ROE2. However, the overall correction quality is less important 3466 than the per-epoch accuracy when the worst-case positioning accuracy is evaluated. 3467 For example, although the correction RMS error for station ZWO2 is the minimum 3468 of the four user stations, during the 08h-10h UTC interval the correction error 3469 reaches up to 5.5-cm. This is supported by the relatively poor performance in Table 3470 5.4 for station ZWO2. Finally, the positioning errors for each configuration were 3471 found to be in agreement at a nominal cm-level during the initial three hours of 3472 processing. Therefore, because this period was prior to the arrival of the storm, it is 3473 concluded that the modified processing does not harm the kinematic PPP user's 3474 performance under calm tropospheric conditions.

3475 5.3.3 Remarks

In order to study troposphere-corrected kinematic PPP performance, GPS L1 and L2 3476 3477 signal data from a GNSS reference station network in the Netherlands that coincided 3478 with a rapidly developing storm event were evaluated relative to standard kinematic 3479 PPP results. Stations were first separated into network and user components, where 3480 static PPP was used to estimate precise ZWD and ZWD precision at each station 3481 using time constraints that were three orders of magnitude more relaxed than the 3482 tight constraints typically applied for standard kinematic PPP processing. Kriging 3483 interpolation of network-estimated ZWD data was then used to estimate precise 3484 ZWD and ZWD uncertainty at user stations, in collaboration with Hongyang Ma of 3485 Delft University of Technology.

3486 The interpolated tropospheric corrections were evaluated relative to network 3487 processing applied for the user stations. The resulting interpolation errors were found 3488 to be from 1.4-cm to 2.0-cm, in terms of overall RMS, and up to 5.5-cm 3489 instantaneous error. In addition, the sudden storm event caused the previously stable 3490 ZWD at the user stations to change by up to 7.2-cm in a one-hour time interval, with 3491 similar trends and ZWD variability throughout the day. For the standard kinematic 3492 PPP processing that uses a tight ZWD parameter uncertainty constraint, horizontal 3493 and vertical positioning errors achieved a cm-level positioning accuracy after initial 3494 convergence and prior to the arrival of the storm. Then, respective horizontal and 3495 vertical positioning errors increased to more than 5-cm and 15-cm as the storm 3496 arrived.

3497 To reduce the tropospheric storm effects, data for the user stations were 3498 processed using three proposed troposphere-corrected configurations: (1) 3499 deterministic, (2) partially stochastic, and (3) fully stochastic. The deterministic 3500 correction method assumes that corrections completely represent the user's ZWD 3501 without error, while partially stochastic uses a typical time-constant process noise to estimate a residual ZWD parameter. The fully stochastic approach estimates a 3502 3503 residual ZWD parameter and additionally uses a variable process noise equal to the 3504 correction precision multiplied by a scale factor that converts the nominal ZWD 3505 precision to equal the tightly constrained ZWD parameter uncertainty applied in the 3506 standard PPP configuration. The network-estimated ZWD precision was also

incorporated in the horizontal gradient parameter uncertainty for the fully stochasticmethod using a similar scaling procedure.

3509 Hourly RMS of horizontal and vertical positioning errors were computed for 3510 each configuration to evaluate the achievable accuracy under the challenging 3511 conditions. For horizontal positioning accuracy, only the fully stochastic 3512 configuration improved performance at all user stations, with at least 15.0% 3513 improvement. This indicates that the stochastic properties of the network-estimated 3514 ZWD corrections used in the horizontal gradient parameter time constraint can 3515 improve horizontal positioning accuracy under active tropospheric conditions. For 3516 vertical positioning accuracy, all troposphere-corrected configurations outperformed 3517 the configuration without corrections, resulting in improvements from 9.6% to 3518 66.2%. Therefore, the standard PPP user can achieve better worst-case vertical 3519 positioning accuracy if precise *a priori* tropospheric wet delay information is 3520 available. If ZWD precisions are available, then it is recommended that the fully 3521 stochastic approach is used to achieve both the horizontal and vertical positioning 3522 accuracy benefits.

3523 In the future, data from more GNSS reference station networks with a 3524 different configuration of network and user stations shall be studied to evaluate new 3525 reference and user station geometry. Also, the effect of absolute ZWD correction 3526 accuracy and precision on kinematic PPP performance requires further investigation. 3527 Research using similar troposphere-corrected strategies in an integer ambiguity 3528 resolution PPP model would be a valuable contribution, as the troposphere is 3529 estimable in an absolute sense and is therefore less complex for users to directly 3530 incorporate in their model.

3531 **5.4 Summary**

This chapter develops ionosphere-weighted and troposphere-corrected processing methods and evaluates kinematic PPP performance with respect to the standard approaches. To achieve these objectives, a new modified ionosphere-based stochastic model was developed and tested using standard GIM product data and experimental RIM data using a low-latitude GNSS reference station network in Brazil. Then, kinematic PPP accuracy was evaluated relative to a standard elevationbased stochastic approach to investigate positioning performance of the modified

- 3539 model under highly active equatorial ionospheric conditions. For troposphere-
- 3540 corrected processing, precise ZWD corrections were generated from a network of
- 3541 GNSS reference stations affected by a tropospheric storm event in the Netherlands.
- 3542 The ZWD corrections were then interpolated at user locations and kinematic PPP
- 3543 performance was evaluated using correction strategies that rely on progressively
- 3544 more information provided by the reference network that includes dynamic model
- 3545 constraints on estimated tropospheric components.
- 3546
- 3547

3548 Chapter 6

3549 Conclusions, Suggestions and Future3550 Research

3551 **6.1 Summary**

3552 Over the past twenty years, high-accuracy positioning users have preferred PPP 3553 when nearby reference stations are unavailable or are not feasible to deploy. Thus, 3554 many commercial and scientific applications have recently explored multi-3555 constellation PPP and benefitted from the gradual accuracy and precision 3556 improvements of externally provided satellite clock and orbit products to achieve 3557 cm-level global positioning accuracy. Aside from these well-known advantages, PPP 3558 accuracy may be jeopardized in the presence of strong atmospheric disturbances, as 3559 the typical PPP user does not receive any external information regarding atmospheric 3560 conditions. Therefore, this thesis aims to improve kinematic PPP performance under the effects of ionospheric scintillation, strong ionospheric gradients, and extreme 3561 3562 tropospheric events by using external atmospheric information.

3563 This thesis extends the improved multi-GNSS positioning performance 3564 achieved by Marques et al. (2018) and Dabove et al. (2020) to include the state-of-3565 the-art Galileo constellation in the functional model and reduce kinematic PPP errors 3566 under low latitude ionospheric scintillation conditions. The approach quantitatively 3567 evaluates single- and multi-GNSS GPS, GLONASS, and Galileo combinations in 3568 terms of positioning accuracy, reliability, and precision for a low latitude GNSS 3569 reference station for days in March 2019 and 2020. In the experiment, ionospheric 3570 scintillation conditions are categorized as weak, moderate, and strong using nearby 3571 ISMR data outputs. One of the contributions for this thesis is the superior kinematic

3572 PPP performance under low latitude ionospheric scintillation when Galileo3573 measurements are included in the multi-GNSS functional model.

3574 The modified stochastic model approach by Aquino et al. (2009) was 3575 originally developed for GPS-only processing to mitigate ionospheric scintillation 3576 effects by using Conker et al. (2000) tracking error model outputs as measure 3577 uncertainties. This thesis develops improvements to the mitigation strategy and uses 3578 the Conker et al. (2000) outputs for multi-GNSS processing. To support the methods 3579 develop herein, the worst multi-GNSS positioning scenarios identified in the 3580 evaluation experiment were processed using the modified mitigation strategy. The 3581 main modifications to the Aquino et al. (2000) method first disregards the signal that 3582 is most likely to fail at the tracking loop level and then set limits on the output 3583 tracking error model values. Then, relative tracking jitter information is developed 3584 using normalization and scaling so that a non-specialized GNSS receiver user can 3585 apply a mixed stochastic model to mitigate ionospheric scintillation effects.

3586 Although the ionosphere-free combination of dual-frequency GNSS 3587 measurements eliminates 99.9% of the ionospheric delay, positioning performance 3588 may degrade under harsh ionospheric conditions due to carrier phase cycle slips and 3589 data outages caused by receiver tracking loop failures. Therefore, Luo et al. (2022) 3590 developed a modified stochastic model that amplifies measurement uncertainty 3591 based on the ROTI computed from the geometry-free combination of dual-frequency 3592 carrier phase measurements. In this thesis, an ionospheric-weighted approach is 3593 developed using the Luo et al. (2022) methods with ROT computed from GIM and 3594 RIM data products. The major innovation for the new strategy demonstrates an 3595 alternative method to improve kinematic PPP performance that is not affected by 3596 carrier phase cycle slips and is suitable for measurements on any number of 3597 frequencies. In addition, challenges of using an experimental RIM-based product are 3598 discussed and strategies are presented to avoid problems that will possibly be 3599 encountered when a high-rate ionospheric product is used.

Finally, a tropospheric storm event that rapidly changed the atmospheric water vapor was studied to investigate the reduced positioning accuracy that can occur under these effects (Ma and Verhagen 2020; Ma et al. 2021). The study first estimated precise tropospheric zenith wet delay corrections and correction precision using static PPP processing for GNSS reference stations in the Netherlands with relatively loose tropospheric time constraints. Then, the correction and precision data

3606 were spatially interpolated at user station locations using Kriging interpolation, 3607 which is a weighted average estimation technique that relies on inter-station 3608 distances to compute weights. Afterward, horizontal and vertical kinematic PPP 3609 accuracy was evaluated using a standard ionosphere-free model and with 3610 deterministic, partially stochastic, and fully stochastic tropospheric corrections. The 3611 main innovation was to incorporate correction precision data into the ZWD and 3612 horizontal tropospheric gradient parameters estimated by the user. 3613 In this chapter, the conclusions of the thesis are presented with quantitative 3614 support of the method developed herein referenced to the appropriate sections. Major contributions to the experiments and scientific community developed throughout the 3615 3616 thesis conclude this chapter.

3617 6.2 Conclusions on multi-GNSS ionospheric scintillation evaluation 3618 and mitigation

3619 Methods to reduce errors caused by ionospheric scintillation effects generally fit at 3620 least one of the following categories: (1) using multi-GNSS processing to increase 3621 the number of satellites and strengthen the positioning model (Dabove et al. 2020; 3622 Liu et al. 2018; Marques et al. 2018), (2) modification of cycle slip thresholds to 3623 reduce false detections (Zhang et al. 2014; Luo et al. 2020), and (3) apply a modified 3624 stochastic model that provides more realistic measurement noise under scintillation 3625 conditions (Aquino et al. 2009; Guo et al. 2021; Vani et al. 2019). In this thesis, the multi-GNSS approach is used to evaluate and reduce kinematic PPP errors caused by 3626 3627 low latitude ionospheric scintillation by using high-power, low-noise Galileo 3628 measurements. Then, the modified stochastic model method is extended to include 3629 multi-GNSS measurements for ionospheric scintillation mitigation. In addition, the 3630 standard mitigation technique using tracking jitter in a modified stochastic model is 3631 modified to use relative tracking jitter data that addresses the upper and lower 3632 modelled measurement noise limits and can be used together with a standard 3633 elevation-based stochastic approach.

3634 6.2.1 Single- and multi-GNSS PPP performance under low-latitude 3635 scintillation

3636 Low latitude ionospheric scintillation conditions recorded at a ISMR station were 3637 used to verify local post-sunset scintillation conditions at a nearby station located in 3638 Brazil where kinematic PPP was performed. Multi-GNSS measurement 3639 configurations using GPS and either GLONASS or Galileo, or both, were processed 3640 to evaluate kinematic PPP performance under low latitude ionospheric scintillation 3641 with respect to GPS-only processing. Horizontal and vertical reliability, accuracy 3642 and precision evaluations revealed degraded GPS-only positioning at post-sunset 3643 hours and positive improvement, on average, for all multi-GNSS configurations for 3644 all evaluation metrics compared to GPS-only. 3645 The overall respective maximum daily reliability, accuracy and precision 3646 improvements were: 14.8% vertically using GPS+GLO+GAL, 39.8% vertically 3647 using GPS+GAL and 52.7% vertically using GPS+GAL configurations, respectively. 3648 In addition, configuration that included Galileo measurements differed in 3649 improvement by up to only 5.3% for all evaluation metrics. The GPS+GLO 3650 configuration provided up to 20% less accuracy and precision improvement when 3651 compared to the corresponding GAL configurations. 3652 Improved multi-GNSS performance is theoretically supported by the 3653 increased number of usable satellites, thus improving the model redundancy for 3654 multi-GNSS configurations. Therefore, with a similar number of usable satellites 3655 available, the better improvements for GPS+GAL compared with GPS+GLO 3656 processing is due to the superior noise properties of the Galileo E5 signal used in the 3657 E1/E5 combined observable, as opposed to the noisy GLONASS R1/R2 3658 measurements.

3659 6.2.2 Multi-GNSS ionospheric scintillation mitigation at low latitude

GPS L1C/A and L2P with Galileo E1C and E5 measurements for six periods in
March 2019, beginning at 20:00 UTC, were processed for elevation-based (nonmitigated) and tracking jitter (mitigated) stochastic models. The resulting 3D
positioning error time-series showed the elevation-based model performed worse
than the tracking jitter-based method, in terms of maximum position error, for each

of the six periods. In the two best cases, the post-convergence maximum 3D
positioning error for the mitigated technique improved by 16.6-cm (46.7%
improvement) and 13.6-cm (37.4% improvement) relative to the elevation-based
method. Furthermore, four of six periods achieved at least 29.5% improvement at the
single worst-case epoch when the tracking jitter method was used, with small
positive improvements for the remaining periods.

3671 In terms of overall performance, represented by the mean 3D positioning 3672 error, the mitigated GPS+Galileo positioning accuracy was consistent with the 3673 elevation-based approach at an approximate cm-level. The reliability, represented by 3674 the standard deviation of the 3D positioning error, improved by at least 25.6% for 3675 five of the six evaluated intervals when the mitigated GPS+Galileo was used, with 3676 only 2-mm worse reliability for the remaining interval. In summary, when the 3677 elevation-based stochastic model was used, the tracking jitter mitigated approach 3678 performed at either a comparable level or offered improvements in the worst-case 3679 relative to the non-mitigated elevation-based model.

In the future, the repaired tracking jitter and relative approach would benefit from evaluation under other scintillation conditions, including geomagnetic storms and at high latitude. The techniques developed were also shown to mitigate scintillation for a non-ISMR user and shall therefore be studied in the context of tracking jitter maps that can possibly extend coverage to additional users or for lowcost receivers such as smartphones.

3686 6.3 Conclusions on positioning with external atmospheric delay 3687 information

Tropospheric effects are typically addressed in GNSS processing by *a priori* hydrostatic (i.e., dry) correction models (Saastamoinen 1972; Leandro et al. 2006) and estimation of zenith and horizontal gradient of wet delay components (Bar-Sever et al. 1998). However, rapid changes in atmospheric water vapor caused by heavy rainfall is especially problematic and can amplify tropospheric asymmetry effects (Ma and Verhagen 2020) and reduce positioning accuracy (Ma et al. 2021). Therefore, GNSS data were selected to correspond with a tropospheric storm event

3695 to evaluate standard PPP against the developed deterministic, partially stochastic, 3696 and fully stochastic methods under the extreme storm conditions. 3697 The well-known ionosphere-free functional model that enables high-accuracy 3698 PPP lacks ionospheric information, which may be important for applications such as 3699 atmospheric monitoring or for use in a modified stochastic model (Luo et al. 2022). 3700 Ionospheric information can typically be derived directly from dual-frequency GNSS 3701 measurements or indirectly from GIM data products. The modified stochastic 3702 approach developed by Luo et al. (2022) uses the direct method which relies on 3703 continuous carrier phase measurements that are free from cycle slip effects. 3704 However, in challenging ionospheric environments, these conditions are not 3705 guaranteed and single-frequency GNSS users are unable to form the required 3706 measurement combination to estimate ionospheric information. Therefore, an 3707 alternative modified stochastic model strategy, based on the Luo et al. (2022) 3708 method, was developed to reduce PPP error using GIM-based and RIM-based data 3709 products.

3710 6.3.1 Ionosphere-weighted processing

The Luo et al. (2022) framework was used to develop an alternative modified
stochastic model that uses ionospheric information from either GIM or RIM data
products. This new method amplifies GNSS measurement uncertainty using the ROT
computed from the externally available ionospheric map data and therefore does not
rely on the dual-frequency carrier phase measurements observed by the user receiver.
This approach eliminates possible artificial ROT discontinuities that occur in the
presence of carrier phase cycle slips.

3718 The new stochastic model and a standard satellite elevation-based model 3719 were evaluated to study kinematic PPP performance. In the best case, the GIM-based 3720 modified stochastic model approach improved the maximum 3D positioning error by 3721 up to 52.5% (9.3-cm). In all cases, the average 3D positioning error was consistent at 3722 an approximate mm-level regardless of the stochastic method applied. For two 3723 stations, the positioning performance was nearly unchanged using the GIM-based 3724 model and any minor accuracy reductions were within the Luo et al. (2022) 3725 tolerance. Therefore, it can be concluded that the ionospheric-map-based modified 3726 stochastic model is best suited for reducing large positioning errors.

3727 The same evaluations were repeated using ROT computed from an experimental RIM product using the alternative modified stochastic model. The RIM 3728 3729 product had much larger ROT values, up to 45 TECU per minute, which deactivated 3730 the modified model for an average of 88.8% of all epochs. This was caused by the 3731 noisy ROT data within the RIM product, compared to a relatively smooth GIM 3732 product. Therefore, positioning performance using the RIM product was nearly 3733 identical to the standard elevation-based model in terms of both worst-case and mean 3734 positioning accuracy. This indicates the external correction information needs to be 3735 smoothed and verified to be in good quality to reduce time-variability and local 3736 spikes in order to be used in the modified ionosphere-weighted stochastic model.

3737 6.3.2 Troposphere-corrected processing

3738 A rapidly developing storm event that passed over the Netherlands was selected to 3739 study troposphere-corrected kinematic PPP performance. GNSS reference stations 3740 were separated into network and user categories, where network stations estimated 3741 precise ZWD corrections and correction precision using static PPP processing with 3742 relatively loose time-constraints for the ZWD parameter uncertainty. Then, Kriging 3743 interpolation was used to estimate precise ZWD and ZWD precision at user station 3744 locations. The RMS of the interpolated tropospheric corrections with respect to the 3745 user-estimated versions were found to be 1.4-cm to 2.0-cm and up to 5.5-cm 3746 instantaneous error.

3747 The storm event caused the previously stable ZWD at the user stations to 3748 suddenly change by up to 7.2-cm in a one-hour time interval, which is much greater 3749 than the typical ZWD parameter constraint allows in standard PPP processing. Thus, 3750 for standard PPP without any external tropospheric information, horizontal and 3751 vertical positioning errors achieved a cm-level positioning accuracy after initial 3752 convergence and prior to the arrival of the storm. Then positioning errors degraded 3753 to more than 15-cm upon the arrival of the storm. To mitigate these errors, GPS L1 3754 and L2 measurement data for the user stations were processed using three proposed 3755 troposphere-corrected configurations: (1) deterministic, (2) partially stochastic, and 3756 (3) fully stochastic. The deterministic configuration assumes that the networkestimated ZWD information is free from error, while the stochastic configurations 3757 3758 estimate a residual ZWD parameter to account for remaining errors. The fully

3759 stochastic approach further incorporates network-estimated ZWD correction 3760 precision in the user's stochastic model for the residual ZWD and horizontal 3761 tropospheric gradient parameters' time constraints. 3762 For horizontal positioning accuracy, in terms of hourly RMS, only the fully 3763 stochastic configuration improved performance at all user stations, from 15.0% to 3764 45.5%. This indicates that the stochastic properties of the network-estimated 3765 tropospheric corrections used in the ZWD gradient time constraint can consistently 3766 improve horizontal positioning accuracy under tropospheric storm conditions. For 3767 vertical positioning accuracy, all troposphere-corrected configurations outperformed

the configuration without corrections, resulting in improvements from 9.6% to

376966.2%. Therefore, the standard PPP user can achieve better worst-case vertical

3770 positioning accuracy if *a priori* tropospheric wet delay information is provided by

3771 the network. If ZWD precisions are available, then it is recommended that the fully

3772 stochastic approach is used to achieve both the horizontal and vertical positioning

accuracy benefits.

3774 6.4 Key innovations and contributions to knowledge

The critical findings, innovations, and contributions to the scientific knowledge base are as follows:

3777 1. Demonstrated benefits of using multi-GNSS processing with modernized 3778 Galileo signals to combat low latitude ionospheric scintillation effects on 3779 kinematic PPP. A thorough evaluation of kinematic PPP performance 3780 affected by low latitude ionospheric scintillation was presented. The 3781 quantitative evaluation of positioning accuracy, reliability, and precision 3782 revealed that GPS+Galileo configurations achieved comparable performance 3783 as the GPS+GLONASS+Galileo combination. Furthermore, the multi-GNSS 3784 combinations that included Galileo successfully reduced the large positioning 3785 errors caused by the harsh low latitude ionospheric scintillation environment.

Mitigated low-latitude ionospheric scintillation effects for a non specialized GNSS user using repaired receiver tracking error in a mixed stochastic model. Receiver tracking error model outages were identified and repaired, then outputs were extended for multi-GNSS processing using Galileo signals. The modified stochastic model approach was extended to a

3791 non-specialized GNSS user using an innovative relative tracking jitter 3792 approach, which was used simultaneously with a standard elevation-based 3793 strategy in a mixed stochastic model. Despite excellent improvement when 3794 multi-GNSS configurations are used, results showed that further mitigation 3795 of ionospheric scintillation effects can be achieved using the new approach 3796 that was developed to be suitable for non-ISMR users. 3797 3. Mitigated ionospheric gradient effects using a new modified stochastic model that incorporates GIM product data to amplify measurement 3798 3799 **uncertainty.** An alternative approach to amplify measurement uncertainty 3800 using GIM-based data was developed and tested to eliminate the dependency 3801 on potentially problematic GNSS measurement data. In addition to the 3802 standard GIM data, an experimental RIM data product was also tested and 3803 found to be problematic for the modified stochastic method due to highly variable ROT at most epochs, as a result of the noisy experimental RIM data. 3804 3805 These findings helped to set limits on the activation of the modified 3806 stochastic model in order to avoid potential degradation and restore 3807 positioning performance similar to the standard elevation-based model. 3808 4. Enhanced kinematic PPP accuracy under extreme tropospheric storm 3809 conditions using network-estimated tropospheric corrections and 3810 correction precision for affected users. The rapidly varying and highly 3811 asymmetric tropospheric state was evaluated using progressively more 3812 external tropospheric information. For the first time, a fully stochastic approach was developed and tested, where precise ZWD corrections and 3813 3814 correction precision were incorporated into the kinematic PPP functional 3815 model. The estimable tropospheric parameter time constraints of the user, as 3816 part of the Kalman filter dynamic model, were modified using the networkestimated corrections precision in a so-called fully stochastic strategy. This 3817 approach allowed the otherwise tightly constrained tropospheric parameters 3818 3819 to become more variable based on the correction precision and thus improve 3820 positioning accuracy during the storm event.

3821 6.5 Recommendations for future studies

In the scope of this thesis and the research conducted herein, the recommendationsand outlooks for future studies are as follows:

- 1. Evaluation of multi-frequency and undifferenced/uncombined functional 3824 3825 model performance under low latitude ionospheric scintillation 3826 conditions. The research carried out in this thesis used dual-frequency 3827 measurements to form an ionosphere-free functional model for kinematic 3828 PPP configurations. However, triple- or multi-frequency ionosphere-free 3829 combinations are available to study in the current multi-GNSS environment. 3830 2. Ionospheric scintillation mitigation using undifferenced/uncombined 3831 multi-GNSS functional model. The standard Conker et al. (2000) tracking 3832 error model outputs are available for dual-frequency GPS L1 and L2 3833 pseudorange and carrier phase measurements. Therefore, the mitigation 3834 strategy introduced by Aquino et al. (2009) is possibly more suitable to use in 3835 an undifferenced/uncombined functional model where measurements are 3836 treated independently, unlike the ionosphere-free model that requires 3837 uncertainty propagation from the individual measurement noise to the 3838 combined noise. This strategy may help eliminate some of the problems that 3839 occur when the Conker et al. (2000) outputs amplify the measurement 3840 uncertainty to many orders of magnitude beyond the typical values output by 3841 an elevation-based stochastic model.
- 3842 3. Enhanced RIM data products. The RIM data used in this thesis for 3843 ionosphere-weighted processing was generated as requested with an 3844 extremely high temporal resolution. In the future, other RIM products 3845 generated using different temporal and spatial resolutions can be tested with 3846 the modified stochastic strategy to investigate interpolation effects on the 3847 positioning performance. Lastly, smoothing of the RIM data in the time 3848 domain will likely improve the achievable positioning accuracy, as the 3849 resulting ionospheric slant delays would approach the smoothness of the 3850 GIM data.
- 3851
 4. Enhanced tropospheric storm mitigation. In this thesis, the tropospheric
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 asymmetry and rapidly increasing ZWD conditions. Further research would

| 3854 | benefit by studying more storm events and using different reference station |
|------|--|
| 3855 | spacing and geometry. Furthermore, tuning of the fully stochastic method |
| 3856 | may result in improved mitigation if more realistic tropospheric correction |
| 3857 | information is provided to the user. Different interpolation techniques, other |
| 3858 | than Kriging, may improve positioning performance and require additional |
| 3859 | testing and validation. Lastly, a dedicated horizontal tropospheric gradient |
| 3860 | product estimated by the network processing and interpolated to user |
| 3861 | locations may further improve the horizontal positioning accuracy under |
| 3862 | asymmetric tropospheric conditions. |
| | |

| 3864 | References |
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| 4556 | |

4558 Appendix A: PPP correction model effects

- 4559 The following figures show the positioning effect of correction models that are
- 4560 commonly applied to single-receiver positioning such as PPP. In these examples, the
- 4561 vertical positioning error component is given for static PPP over a 24-hour period
- 4562 with individual correction models disabled.







4566



4568 **Figure A.2.** Vertical kinematic PPP errors wrt. full-model processing over a 24-hour 4569 period with solid earth tide corrections enabled and disabled.





4572 Figure A.3. Vertical kinematic PPP errors wrt. full-model processing over a 24-hour
4573 period with pole tide corrections enabled and disabled.







4576 Figure A.4. Vertical kinematic PPP errors wrt. full-model processing over a 24-hour
 4577 period with carrier phase wind up corrections enabled and disabled.



⁴⁵⁸⁴ Appendix B: Professional development⁴⁵⁸⁵ and accomplishments during this PhD

| 4586 | TREASURE secondments | | | |
|------|--|--|--|--|
| 4587 | Collaboration among TREASURE partners occurred during TREASURE | | | |
| 4588 | secondments that took place during the PhD: | | | |
| 4589 | • Hosted by Alezi Teodolini, February-March, 2018, São Paulo, Brazil | | | |
| 4590 | • Hosted by Curtin University, August-September, 2018, Perth, Australia | | | |
| 4591 | • Hosted by Oregon State University, May-July, 2019, Oregon, United States | | | |
| 4592 | | | | |
| 4593 | TREASURE workshops | | | |
| 4594 | Stages of this research were presented at the following TREASURE workshops | | | |
| 4595 | during the development of this PhD: | | | |
| 4596 | • Initial Developments and Interaction with Academia and Industry, April 17- | | | |
| 4597 | 18, 2018, Rome, Italy | | | |
| 4598 | • A response to user needs in PPP and RTK, May 21-22, 2019, Toulouse, | | | |
| 4599 | France | | | |
| 4600 | • Appraisal of scientific and technological output, May 11-13, 2020, Webinar | | | |
| 4601 | • The Ultimate Real Time EGNSS Solution: achievements and the near future, | | | |
| 4602 | October 19-21, 2020, Webinar. | | | |
| 4603 | | | | |
| 4604 | TREASURE training | | | |
| 4605 | Training opportunities organized by TREASURE provided key technical | | | |
| 4606 | developments and discussions with academic and industry leaders in GNSS | | | |
| 4607 | technologies: | | | |
| 4608 | • GNSS, EGNSS and related high accuracy positioning techniques and | | | |
| 4609 | applications, September 11-15, 2017, Nottingham, United Kingdom | | | |
| 4610 | • Transferable skills week, November 12-16, 2018, Nottingham, United | | | |
| 4611 | Kingdom | | | |

| 4612 | • <i>PPP and RTK error modelling: the challenges for ambiguity resolution,</i> |
|------|---|
| 4613 | November 19-22, 2018, Bath, United Kingdom |
| 4614 | • State of the art of EGNSS high accuracy positioning: what can Galileo bring |
| 4615 | to the table?, December 4-8, 2019, Torino, Italy |
| 4616 | |
| 4617 | Other achievements |
| 4618 | Conference presentation |
| 4619 | • AGU Fall Meeting, December 9-13, 2019, San Francisco, US |
| 4620 | Weaver, B., Aquino, M., Vadakke-Veettil, S. (2019). G13A-04 - |
| 4621 | Exploiting Multi-GNSS Measurements to Improve Precise Point |
| 4622 | Positioning (PPP) Performance Under Scintillation Conditions. |
| 4623 | Dissemination |
| 4624 | • Farming by Satellite competition runner-up, in collaboration with |
| 4625 | TREASURE fellows, December 4-6, 2018 Marseille, France. |
| 4626 | • I'm an engineer get me out of here! Space zone winner (2019). |
| 4627 | |
| 4628 | |
| | |

Appendix C: Pseudorange bias effects using GIM- and RIM-fixed processing

The following figures show the time-constant pseudorange bias effects for user
stations that constrained the GIM and RIM data products in the ionosphere-weighted
experiment.



4635Figure C.1. Station BAPA GIM (top row) and RIM (bottom row) constrained4636pseudorange pre-fit residuals (blue markers), with mean (red dashed line) $\pm 3\sigma$ (red4637dotted lines) for GPS L1 (left column) and L2 (right column) signals at the first (t=1)4638and all other (t>1) epochs.





Figure C.3. Station PIFL GIM (top row) and RIM (bottom row) constrained pseudorange pre-fit residuals (blue markers), with mean (red dashed line) $\pm 3\sigma$ (red dotted lines) for GPS L1 (left column) and L2 (right column) signals at the first (t=1)and all other (t>1) epochs.

Appendix D: Multi-GNSS ionospheric scintillation mitigation positioning error component time-series

The following figures show local north (green lines), east (blue lines), and up (red
lines) positioning error component time-series for tracking jitter mitigated (right
columns) and elevation-based non-mitigated (left columns) stochastic models for
low-latitude station PPTE during six intervals identified as having strong
scintillation in March 2019. Note that positioning error mean and standard deviation
shown in the legend of each figure are computed after two hours of processing (black
dashed line) to exclude initial convergence errors.



4661 Figure D.1. Elevation-based (left) and tracking jitter (right) stochastic models used
4662 for kinematic PPP processing of GPS+Galileo measurements observed at station
4663 PPTE beginning on March 1, 2019, 20:00 UTC.





Figure D.2. Elevation-based (left) and tracking jitter (right) stochastic models used for kinematic PPP processing of GPS+Galileo measurements observed at station PPTE beginning on March 2, 2019, 20:00 UTC.







4676 Figure D.4. Elevation-based (left) and tracking jitter (right) stochastic models used
 4677 for kinematic PPP processing of GPS+Galileo measurements observed at station
 4678 PPTE beginning on March 7, 2019, 20:00 UTC.



4681 Figure D.5. Elevation-based (left) and tracking jitter (right) stochastic models used
4682 for kinematic PPP processing of GPS+Galileo measurements observed at station
4683 PPTE beginning on March 14, 2019, 20:00 UTC.



PPTE beginning on March 16, 2019, 20:00 UTC.

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4688
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Appendix E: Integer ambiguity resolutionand the LAMBDA method

4692 This appendix provides details related to integer ambiguity resolution and the 4693 LAMBDA method, adapted from Teunissen et al. (1999). First, double differenced 4694 GNSS models are presented, in terms of geometry-preserving and geometry-free 4695 combinations, as these models are typically used in relative positioning techniques 4696 such as RTK. The corresponding variance-covariance matrix and mixed integer 4697 model is then provided. Lastly, the key steps and techniques for integer ambiguity 4698 resolution using decorrelation and estimation are given, as these steps are critical 4699 components of the LAMBDA technique.

4700

4701 Double-differenced GNSS models

Double-differenced short baseline GNSS models eliminate the receiver and satellite
dependent errors, along with atmospheric effects. In the case of a geometry-free
model, the ranges are estimated and satellite coordinates are not needed. The doubledifferenced, geometry-free model for one epoch and *m* satellites is:

4707 where the ionospheric parameter (ι_1) is either included or neglected for respective 4708 long- and short-baseline processing.

4709 In the geometry-based model, user coordinates are estimated and satellite
4710 coordinates are required. The double-differenced, geometry-based model for one
4711 epoch and *m* satellites is:

4712
$$\begin{pmatrix} \varphi_1 \\ \varphi_2 \\ p_1 \\ p_2 \end{pmatrix} = \left(\begin{bmatrix} \lambda_1 I_{m-1} \\ & \lambda_2 I_{m-1} \end{bmatrix} \begin{bmatrix} G & -\mu_1 I_{m-1} \\ G & -\mu_2 I_{m-1} \\ G & \mu_1 I_{m-1} \\ G & \mu_2 I_{m-1} \end{bmatrix} \right) \begin{pmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \\ \begin{bmatrix} g \\ l_1 \end{bmatrix} \end{pmatrix} + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

where the parameter *G* is a matrix contains the unit direction (line-of-sight) vectors,between the receiver and satellite.

4716 Variance-covariance matrix

- 4717 The variance-covariance (VCV) matrix of the double differenced GNSS model can
- 4718 be easily found from the well-known propagation of error in a series of *n*

4719 observations: $\sigma_A = \sigma_B \sqrt{n}$ (Ghilani 2017). This simplified version of errors in a sum

4720 relies on the assumption that errors for each observed value are identical. Given the

- 4721 following double differenced observation vector y, the resulting VCV matrix (Q_{yy}) is
- 4722 expressed as:

4723
$$y = \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ p_1 \\ p_2 \end{pmatrix}; \ Q_{yy} = \begin{pmatrix} 2\sigma_{\phi_1}^2 C & & \\ & 2\sigma_{\phi_2}^2 C & \\ & & 2\sigma_{p_1}^2 C \\ & & & 2\sigma_{p_2}^2 C \end{pmatrix}$$

4724 where the matrix C, size (m-1) x (m-1), is due to double differencing. The following 4725 expression expands the contents of the double differencing matrix:

4726
$$C_{(m-1)x(m-1)} = \begin{pmatrix} 2 & 1 & \cdots & 1 \\ 1 & 2 & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & 2 \end{pmatrix}$$

4727 Mixed-integer GNSS model

Unmodeled and random errors in the GNSS model result in an estimated real-valued
number of full wavelengths, therefore, the integer number becomes ambiguous. If
the integer ambiguity can be determined and removed from the GNSS model, then
the extremely precise carrier phase measurements become equivalent to extremely
precise pseudorange measurements. Therefore, the following mixed-integer GNSS
observation model separates integer and real-valued parameters:

4734
$$y = Aa + Bb + e, \ a \in Z^n, \ Q_{yy}$$

4735 In this model, the integer-valued ambiguities (*a*) are separated from the real valued

4736 baseline coordinates and other unknowns (b). This mix-integer model is typically a

- 4737 critical step in integer ambiguity resolution process for both PPP-RTK and RTK
- 4738 models.

4739

4740 Integer ambiguity resolution

4741 Ambiguities successfully resolved to integer values achieve the highest precision of

4742 estimable parameters in the underlying GNSS model. Although integer ambiguity

- 4743 resolution can become quite complex, the general process can be described with
- 4744 three straightforward steps:

- 1. **Float solution.** Estimate the position and carrier phase (float) ambiguities.
- 4746 $\begin{pmatrix} \hat{a} \\ \hat{b} \end{pmatrix}; \quad \begin{pmatrix} Q_{\hat{a}\hat{a}} & Q_{\hat{a}\hat{b}} \\ Q_{\hat{b}\hat{a}} & Q_{\hat{b}\hat{b}} \end{pmatrix}$

| 4747 | 2. | LAMBDA method. Estimate integer ambiguities (\breve{a}) using the stochastic |
|------|----|---|
| 4748 | | properties of the float ambiguities (\hat{a}) and real-to-integer ($\mathbb{R}^n \to \mathbb{Z}^n$) mapping |
| 4749 | | function, S. |
| | | |

 $4750 \qquad \qquad \breve{a} = S(\hat{a}); \ S: \mathbb{R}^n \to \mathbb{Z}^n$

4751 3. **Fixed solution.** Update position estimates using fixed ambiguities. 4752 $\check{b} = \hat{b} - Q_{\hat{b}\hat{a}}Q_{\hat{a}\hat{a}}^{-1}(\hat{a} - \check{a})$

- 4753
- 4754 Regarding step 2, three main methods are used to fix float ambiguities to integer
 4755 values: integer rounding, bootstrapping, and least squares. Each method has a
 4756 probabilistic success rate that can be used to decide if ambiguities should remain as
 4757 float values or can be updated to fixed values.
- 4758

4759 Integer rounding

- 4760 The simplest method to fix float ambiguities to integer values is by rounding,
- 4761 denoted by [.], to the nearest integer: $\breve{a} = [\hat{a}]$. Therefore, in integer- (z-) space, $\breve{a} =$
- 4762 z if the following criteria is met:

4763
$$z - \frac{1}{2} \le \hat{a} \le z + \frac{1}{2}$$

4764 The probability of successfully fixed float ambiguities using the integer4765 rounding estimator is:

4766
$$P(\breve{a}_R = a) = \int_{S_a} f_{\hat{a}}(x) dx = \int_{S_a} \frac{1}{\sqrt{|Q_{\hat{a}\hat{a}}|} (2\pi)^{\frac{1}{2}n}} e^{\left(-\frac{1}{2}||x-a||^2_{Q_{\hat{a}\hat{a}}}\right)} dx$$

4767

4768 Integer bootstrapping

4769 Integer bootstrapping combines rounding with sequential conditional least squares

- 4770 and considers some of the correlation between float ambiguities. The integer
- 4771 bootstrapped solution is:

4772
$$\breve{a}_{i} = \left[\hat{a}_{i} - \sum_{j=1}^{i-1} \sigma_{i,j|J} \sigma_{j|J}^{-2} (\hat{a}_{j|J} - \breve{a}_{j})\right]$$

 $\breve{a}_i = \begin{bmatrix} \hat{a}_{i|I} \end{bmatrix}$

4774 The following steps describe the general bootstrapping process:

4775 1. Round the most precise float ambiguity to the nearest integer value.

4776
$$\breve{a}_1 = [\hat{a}_1]$$
47772. Correct the remaining real-valued estimates by their correlation with the
previous (integer rounded) ambiguity.4779 $\hat{a}_2 - \sigma_{\hat{a}_2,\hat{a}_1}\sigma_{\hat{a}_1}^{-2}(\hat{a}_1 - \breve{a}_1)$ 47803. Round the next real-valued ambiguity to the nearest integer.4781 $\breve{a}_2 = [\hat{a}_2 - \sigma_{\hat{a}_2,\hat{a}_1}\sigma_{\hat{a}_1}^{-2}(\hat{a}_1 - \breve{a}_1)]$ 47824. Repeat steps 2 and 3 until all components have been adjusted.4783 $\breve{a}_i = \left[\hat{a}_i - \sum_{j=1}^{i-1} \sigma_{i,j|J}\sigma_{j|J}^{-2}(\hat{a}_{j|J} - \breve{a}_j)\right]$ 4784When the float ambiguity VCV matrix is decomposed as $Q_{\hat{a}\hat{a}} = LDL^T$, the4785bootstrapped estimator can be expressed as:4786 $\breve{a} = [\hat{a} + (L^{-1} - I_n)(\hat{a} - \breve{a})]$ 4787The probability of successfully fixed float ambiguities using the integer

4788 bootstrapping estimator is:

4789
$$P(\breve{a}_B = a) = \prod_{i=1}^{n} \left[2\Phi\left(\frac{1}{2\sigma_{\hat{a}_{i|I}}}\right) - 1 \right]$$

4790 Note that the performance of bootstrapping is always better or equal to

4791 rounding: $P(\breve{a}_B = a) \ge P(\breve{a}_R = a)$.

4792

4793 Ambiguity decorrelation

The Z-transformation is necessary to improve the precision of the highly correlated
float ambiguities. The simplest Z-transformations are referred to as wide-lane
transformations which form carrier phase observables with long wavelengths and a
modified (inflated) variance matrix.

The ambiguity variance matrix $(Q_{\hat{a}\hat{a}})$ completely controls the ambiguity success rate. Therefore, the optimal Z-transformation is the one that decorrelates the ambiguities as much as possible. The nearly diagonal transformed ambiguity matrix $(Q_{\hat{z}\hat{z}} = ZQ_{\hat{a}\hat{a}}Z^T)$ increases the success rates of the integer estimators since no further optimization can be accomplished through re-parameterization.

4803 To visualize the decorrelating Z-transformation, imagine a two-dimensional 4804 ambiguity vector and its corresponding highly correlated variance matrix which 4805 defines an extremely elongated error ellipse. In essence, a series of area-preserving

4806 Z-transformations sequentially push the "width" and "height" of the error ellipse 4807 until it is as circular as possible. 4808 The entries in the Z-transformation matrix must be integers. The determinant 4809 of Z must equal ± 1 . The form of a two-dimensional Z-transformation is given as 4810 follows: $Z_{i} = \begin{bmatrix} \alpha_{i} & 1 \\ 1 & 0 \end{bmatrix}, \qquad Q^{i} = \begin{bmatrix} \sigma_{1}^{2}(i) & \sigma_{12}(i) \\ \sigma_{21}(i) & \sigma_{2}^{2}(i) \end{bmatrix}, \qquad \alpha_{i} = -[\sigma_{21}(i)\sigma_{1}^{-2}(i)]$ 4811 4812 The goal is to find the Z-transformation matrix (Z) which is a series of 4813 products of individual Z-transformations. The process to find Z is described below: 1. Construct Q^1 : 4814 $Q_{\hat{a}\hat{a}} = Q^{1} = \begin{bmatrix} \sigma_{1}^{2}(1) & \sigma_{12}(1) \\ \sigma_{21}(1) & \sigma_{2}^{2}(1) \end{bmatrix}$ 4815 4816 2. Compute α_1 : $\alpha_1 = -[\sigma_{21}(1)\sigma_1^{-2}(1)]$ 4817 4818 3. Compute Z_1 : $Z_1 = \begin{bmatrix} \alpha_1 & 1 \\ 1 & 0 \end{bmatrix}$ 4819 $= \begin{bmatrix} -[\sigma_{21}(1)\sigma_{1}^{-2}(1)] & 1\\ 1 & 0 \end{bmatrix}$ 4820 4. Construct O^2 : 4821 $O^2 = Z_1 O^1 Z_1^T$ 4822 $= \begin{bmatrix} -[\sigma_{21}(1)\sigma_{1}^{-2}(1)] & 1\\ 1 & 0 \end{bmatrix} \begin{bmatrix} \sigma_{1}^{2}(1) & \sigma_{12}(1)\\ \sigma_{21}(1) & \sigma_{2}^{2}(1) \end{bmatrix} \begin{bmatrix} -[\sigma_{21}(1)\sigma_{1}^{-2}(1)] & 1\\ 1 & 0 \end{bmatrix}^{T}$ 4823 $= \begin{bmatrix} \sigma_1^2(2) & \sigma_{12}(2) \\ \sigma_{21}(2) & \sigma_2^2(2) \end{bmatrix}$ 4824 4825 5. Compute α_2 $\alpha_2 = -[\sigma_{21}(2)\sigma_1^{-2}(2)]$ 4826 4827 6. Compute Z_2 $Z_2 = \begin{bmatrix} \alpha_2 & 1 \\ 1 & 0 \end{bmatrix}$ 4828 $= \begin{bmatrix} -[\sigma_{21}(2)\sigma_1^{-2}(2)] & 1\\ 1 & 0 \end{bmatrix}$ 4829 4830 7. Compute Z-transformation matrix $Z = Z_i Z_{i-1} \dots Z_1$ 4831 $= Z_2 Z_1$ 4832

$$4833 \qquad \qquad = \begin{bmatrix} \alpha_2 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \alpha_1 & 1 \\ 1 & 0 \end{bmatrix}$$

$$4834 \qquad \qquad = \begin{bmatrix} \alpha_2 \alpha_1 & \alpha_2 \\ \alpha_1 & 1 \end{bmatrix}$$

4835 Care must be taken to ensure the Z-transformation does not destroy the 4836 integer nature of the ambiguities, i.e. $|Z| = \pm 1$. For example, ambiguities 4837 parameterized as wide-lane and narrow-lane are not admissible (|Z| = -2) while wide-

4838 lane and an uncombined ambiguity is admissible (
$$|Z| = -1$$
).

4839
$$WL + UC = \begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \rightarrow Z = \begin{bmatrix} -1 & 1 \\ 1 & 0 \end{bmatrix} \rightarrow |Z| = -1$$

4840
$$WL + NL = \begin{bmatrix} -1 & 1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} \rightarrow Z = \begin{bmatrix} -1 & 1 \\ 1 & 1 \end{bmatrix} \rightarrow |Z| = -2$$

The decorrelating Z-transformation eliminates discontinuity in the ambiguity
spectrum and helps prevent search halting. Higher dimension ambiguity spaces can
be decorrelated by repeating the application of two-dimensional transformations.

4844

4852

4845 Integer least squares (ILS)

4846 Least squares applied to the mixed-integer GNSS model with integer ambiguity
4847 constraints can be solved by orthogonal decomposition, then simplification. The ILS
4848 estimator has the following properties:

 $P(\breve{a}_{ILS} = a) \ge P(\breve{a}_B = a) \ge P(\breve{a}_R = a)$

• Better performance than both rounding and bootstrapping.

- 4850
- Requires an integer search step.

$$\breve{a}_{LS} = \arg\min\left||\hat{a} - z|\right|_{Q_{\widehat{a}\widehat{a}}}^2$$

4853 • Z-invariant: $\breve{z}_{ILS} = Z\breve{a}_{ILS}$.

4854
$$P(\breve{z}_{ILS} = z) = P(\breve{a}_{ILS} = a)$$

4855
$$\check{b}_{ILS} = \hat{b} - Q_{\hat{b}\hat{a}}Q_{\hat{a}\hat{a}}^{-1}(\hat{a} - \breve{a}_{ILS})$$

4856
$$\breve{b}_{ILS} = \hat{b} - Q_{\hat{b}\hat{z}}Q_{\hat{z}\hat{z}}^{-1}(\hat{z} - \breve{z}_{ILS})$$

The integer least squares solution is comprised of two main parts: (1) integer ambiguity search and (2) the ambiguity decorrelation. The ambiguity search space is ellipsoidal and bounded by a positive constant χ^2 value. When the (decorrelated) bootstrapped estimator is used to compute χ^2 , the search space becomes very small and guarantees at least one ILS solution is contained within the search space. 4862 Ambiguity decorrelation (Z-transformation) reduces the elongation of the search4863 space and increase the search efficiency.

4864 The ILS success rate is not easy to compute since it requires the evaluation of 4865 a multivariate integral. The approximate ILS success rate is calculated by:

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$$P(\check{z}_B = z) \le P(\check{a}_{ILS} = a) \le P\left(\chi_{n,0}^2 \le \frac{c_n}{ADOP^2}\right)$$

4867
$$ADOP = |Q_{\hat{a}\hat{a}}|^{\frac{1}{2n}}, \quad c_n = \frac{\left(\frac{n}{2}\Gamma\left(\frac{n}{2}\right)\right)^{\overline{n}}}{\pi}, \Gamma(\mathbf{x}) = \text{gamma function}$$

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⁴⁸⁷⁰ Appendix F: Global ionospheric map ⁴⁸⁷¹ product visualization

4872This appendix provides global ionospheric map (GIM) product data from the Center4873for Orbit Determination in Europe (CODE), operated by the Astronomical Institute4874of the University of Bern (AIUB), for each hour on January 15, 2020. In the4875following figures, the map color scale corresponds to total electron content units4876(TECU), where 1 TECU = 10^{16} electrons per square meter, which corresponds to4877approximately 16-cm of range delay for the GPS L1 frequency.

4878 Note that equatorial ionization anomaly effects are typically visible at low 4879 latitude regions, within ± 20 -degrees from the geomagnetic equator. In the most 4880 extreme cases, the resulting plasma fountains appear as two distinct orange and red 4881 areas on either side of the geomagnetic equator.

4882 The figures described above are provided in time-ascending order below, 4883 where each figure corresponds to the first epoch in each UTC hour of the day:



180° W135° W 90° W 45° W 0° 45° E 90° E 135° E180° E



180° W135° W 90° W 45° W 0° 45° E 90° E 135° E180° E



180° W135° W 90° W 45° W 0° 45° E 90° E 135° E 180° E







180° W135° W 90° W 45° W 0° 45° E 90° E 135° E 180° E





CODG-2020/1/15 : 7 hour(s) [UTC]

4891

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E



4892

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E180 $^{\circ}$ E





 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E180 $^{\circ}$ E



 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E



CODG-2020/1/15 : 10 hour(s) [UTC]


4898

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E

CODG-2020/1/15 : 15 hour(s) [UTC]



4897

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E



CODG-2020/1/15 : 13 hour(s) [UTC]



4901

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E





 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E





4904

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E



4903

 180° W135 $^{\circ}$ W 90 $^{\circ}$ W 45 $^{\circ}$ W 0 $^{\circ}$ 45 $^{\circ}$ E 90 $^{\circ}$ E 135 $^{\circ}$ E 180 $^{\circ}$ E



CODG-2020/1/15 : 19 hour(s) [UTC]



180° W135° W 90° W 45° W 0° 45° E 90° E 135° E 180° E

