

1 **Opinion Paper**

2 **A canopy conundrum: can wind-induced movement help to increase crop productivity**
3 **by relieving photosynthetic limitations?**

4

5 Running Title: Wind Movement and Productivity

6

7 Authors:

8 Alexandra J. Burgess¹, Jonathon A. Gibbs² and Erik H. Murchie^{1*}

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10 ¹Division of Plant and Crop Science, School of Biosciences, University of Nottingham, Sutton
11 Bonington Campus, LE12 5RD, UK

12 ²School of Computer Science, University of Nottingham, Jubilee Campus, NG8 1BB, UK

13

14 Emails: alexandra.burgess@nottingham.ac.uk, jonathon.gibbs1@nottingham.ac.uk,
15 erik.murchie@nottingham.ac.uk

16

17 *Corresponding Author: Erik Murchie

18 Tel: +44 (0)115 951 6234

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20 Date of Submission: 13 November

21 2018

22 Number of Figures: 4 (Figs 2 & 3 colour in print and online)

23 Word Count: 5975

24 **Highlight**

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26 Wind-induced movement is a ubiquitous property of all crops yet has not been accounted for
27 with reference to photosynthesis. We put forward the opinion that we should manipulate crop
28 biomechanical properties specifically to improve wind-induced light patterning which in turn
29 will enhance dynamic photosynthesis.

30 **Abstract**

31 Wind-induced movement is a ubiquitous occurrence for all plants grown in natural or
32 agricultural settings and in the context of high, damaging wind speeds it has been well studied.
33 However, the impact of lower wind speeds (that do not cause any damage) on mode of
34 movement, light transmission and photosynthetic properties has, surprisingly, not been fully
35 explored. This is likely to be influenced by biomechanical properties and architectural features
36 of the plant and canopy. A limited number of eco-physiological studies have indicated that
37 movement in wind has the potential to alter light distribution within canopies, improving
38 canopy productivity by relieving photosynthetic limitations. Given the current interest in
39 canopy photosynthesis is timely to consider such movement in terms of crop yield progress.
40 This opinion article sets out the background to wind-induced crop movement and argues that
41 plant biomechanical properties may have a role in the optimisation of whole canopy
42 photosynthesis via established physiological processes. We discuss how this could be achieved
43 using canopy models.

44

45 **Key Words**

46 Canopies, Crops, Movement, Photosynthesis, Productivity, Wind, Yield, Dynamic

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50 **Introduction: setting out the problem**

51

52 It is well known that high wind speeds can cause physical damage to crops and result in
53 substantial losses to yield. ‘Fatal’ events in high winds such as canopy lodging resulting from
54 stem breakage have received attention and as a result there has been much progress in
55 understanding the underlying mechanisms (Kashiwagi *et al.*, 2008b; Berry and Spink, 2012;
56 Piñera-Chavez *et al.*, 2016a). However physical movement of a plant canopy resulting from
57 much lower wind speeds (for example up to 6 m s⁻¹) that do not threaten damage to the plant
58 is a common feature of field settings, yet its contribution to global crop yield has been
59 overlooked. Evidence from both natural and agricultural systems has suggested that
60 perturbations at such low wind speeds may be small but still have the potential to significantly
61 influence whole canopy photosynthesis by altering the light available to photosynthetic tissue
62 lower in the canopy (Roden and Percy, 1993a,b; Roden, 2003; Burgess *et al.*, 2016). The
63 range of consequences of wind movement for plant function are also far- reaching, including
64 effects to both the biotic and abiotic environment and the microclimate surrounding plants; all
65 of which translate to differences in productivity. However, despite its ubiquitous nature, there
66 are many fundamental questions remaining and wind-induced movement remains an unknown
67 factor in terms of photosynthetic productivity. Recent increased interest in canopy
68 photosynthesis means that it is timely to consider movement in terms of crop yield progress.
69 In this paper we put the problem in context, drawing in part from existing knowledge from eco-
70 physiological studies and focus on light as a substantial factor with consideration of others.
71 We will not consider high speeds that result in reductions in size, lodging and damage although
72 we do make reference to these factors, in terms of how features with their prevalence may
73 influence overall movement (see (Baker *et al.*, 1998; Cleugh *et al.*, 1998; Berry *et al.*, 2003,
74 2007; de Langre, 2008). We discuss the ways in which mathematical modelling and computer
75 vision can be applied to this problem (Burgess *et al.*, 2016). We largely refer to a canopy as a
76 crop monoculture such as wheat or rice and analyse ways in which plant biomechanical
77 properties could be altered to enhance productivity in these species.

78

79 The lack of understanding on the influence of low wind speeds on crops is partly due to the
80 complexity of techniques required for measurement and analysis. Wind-induced movement is
81 a stochastic process, determined by many different factors, making it difficult to quantify and

82 measure, or to link to light patterning and photosynthetic yield. Whilst movement within a
83 canopy may at first appear simple, in reality movement caused by wind is highly complex and
84 difficult to describe mathematically. It involves interactions between multiple types of plant
85 organs, with varying physical properties, and the specific environmental conditions present.
86 For example: leaves can bend or twist around different axes (partly dependent and constrained
87 by growth angle and water status); leaves are displaced at different rates in relation to each
88 other; the biomechanical properties of individual structures will change throughout growth and
89 development; wind speed and direction are very complex and can change rapidly over short
90 time scales, with large variation in eddy size, frequency and distribution; and solar angle
91 changes throughout the day and year meaning that the light patterns will alter even if wind
92 speed and direction remains the same. Wind properties above the canopy can be very different
93 to those within the canopy and hence different leaves will be subject to very different forces.
94 An overview of some of these different factors determining movement during wind is given in
95 Figure 1.

96 **The diverse effects of wind on plant biology**

97 Wind speeds of a sufficient magnitude can affect plant development, structure and function,
98 resulting in reductions in plant size (dwarfing), changes in stem thickness, leaf size and shape
99 and damage to plant surfaces (Grace, 1977, 1988; Ennos, 1997; Smith and Ennos, 2003; de
100 Langre, 2008; Onoda and Anten, 2011). High winds can also cause stem breakage and lodging
101 (for a more comprehensive review see (Berry *et al.*, 2003, 2007). As well as altering the abiotic
102 interactions of the canopy, wind can also alter biotic interactions including insect activity and
103 population growth plus the development and dispersal of pests and diseases within cropping
104 systems (Aylor, 1990; Moser *et al.*, 2009; Shaw, 2012). The wind profile depends upon the
105 structure of the vegetation or other objects within the air flow; which in turn determines the
106 structure and size of eddies (de Langre, 2008). There are additional effects of wind caused by
107 heat and mass transfer, disturbance of CO₂, O₂ and water vapour gradients (de Langre, 2008),
108 the full effect of which will be dependent upon local environmental conditions (Grace, 1988;
109 Burgess *et al.*, 2016). Wind speeds can alter heat and mass transfer which impact upon
110 transpiration and leaf temperature, in turn affecting photosynthesis via stomatal conductance.
111 This process should not be underestimated but in general there is thought to be minimal impact
112 on leaf and canopy photosynthesis by the low to moderate wind speeds observed under optimal
113 growing conditions via this mechanism (Grace, 1988). The effect of wind speeds on boundary
114 layer conductance can be complex but generally a reduction in the mean thickness of the

115 boundary layer occurs with an increase in air flow (Downs and Krizek, 1997). Consequently,
116 the reduction in the vapour pressure gradient between leaf material and the air can lead to a
117 reduced stomatal conductance, transpiration rate and thus higher water use efficiency. Higher
118 temperatures may exacerbate this effect. We also recognise that canopy microclimate is
119 affected by wind movement, for example intra-canopy humidity levels and CO₂ gradients
120 caused by photosynthetic draw-down can be affected by wind and air movement (Buchmann
121 and Ehleringer, 1998). Previous studies have shown the beneficial impact of wind-induced
122 movement in agroforestry systems, whereby reduced wind movement brought about by tree
123 presence can lead to an increased humidity within the crop canopy as less water vapour is
124 removed (Wu and Dalmacio, 1991; Nuberg and Bennell, 2009).

125

126 **Diversity within plant canopy movement**

127 In reality, it is convenient to divide plant movement into two types: first, that predominantly
128 affecting the structural support (i.e. stem, branch, root system); and second, that affecting the
129 leaves. This is due to the different properties, position and connectivity of each. The
130 contribution of each to overall movement will depend on the local conditions. At low wind
131 speeds, leaf movement is expected to dominate due to their low mass and high surface area
132 whereas at higher wind speeds, stem or branch movement will become more dominant and leaf
133 movement may decrease, with leaves becoming more ‘streamlined’ (e.g. (Speck, 2003). The
134 biomechanical properties of the structures will also determine movement. The response of a
135 branch or an isolated leaf to wind depends upon its length, surface area, tensile strength and
136 mass. For stem structures, low strength and a large mass can lead to breakage, whereas for
137 leaves, mass and surface area will influence movement, particularly fluttering (or equivalent)-
138 type movement. In tree species, the tensile strength of the petiole will determine how far a leaf
139 may bend or whether the leaf may break off at the junction between the petiole and the branch
140 (Derzaph and Hamilton, 2013). The range of motion or risk of breakage will also depend upon
141 the strength of the leaf blade; which is in turn related to the strength of the vein and thus the
142 water status of the leaf (Derzaph and Hamilton, 2013; Gonzalez-Rodrigues *et al.*, 2016).

143

144 Whilst analysis of movement in trees has been undertaken (e.g. (Roden and Percy, 1993*a,b*;
145 Rudnicki *et al.*, 2001; Roden, 2003; Sellier *et al.*, 2006; Moore and Maguire, 2008; Rodriguez
146 *et al.*, 2008; Der Loughian *et al.*, 2014; Tadrast *et al.*, 2014; Gonzalez-Rodrigues *et al.*, 2016),
147 crop canopies arguably present an equally, or even more challenging scenario due to the

148 position of individual plants within a dense community, and thus the interaction of plants with
149 their neighbours (Doaré *et al.*, 2004). For example, individual plants in dense stands
150 demonstrate some mutual support by physical contact, restricting individual stem movement.
151 Furthermore, plant motion and the associated light environment is dependent upon canopy
152 architectural features which are difficult to accurately represent and model in 3-dimensions
153 (3D), especially when confounded by other environmental variables. Difficulties in computer
154 vision with respect to 3D modelling via computer vision arise from challenges posed by
155 occlusion, parallax (the differing appearance of an object from two distinct views), calibration
156 and the processing of large datasets. It is tempting to consider active approaches, in which light
157 is projected from a laser into the scene (Gibbs *et al.*, 2017). The often highly reflective surfaces
158 of leaves, however, make this problematic, as projected light is often reflected away from the
159 imaging device. Biologically relevant data relating realistic canopy architecture, light dynamics
160 and short-scale photosynthetic responses in the canopy setting are scarce.

161

162 **Strategies for measuring canopy movement and its physiological effects**

163 Movement of leaf material and corresponding changes in light levels can occur over rapid
164 timescales (sub-second) and plant 3D spatial structures are so complex that the capacity to
165 accurately and comprehensively sense and log motion and light levels will be limited. Attention
166 must be given to the fact that the physical presence of any sensors (such as light sensors) may
167 influence the canopy properties. Hence the correct positioning and size of sensors will be
168 critical and practical constraints are unavoidably imposed by the need to provide sufficient
169 spatial resolution (high numbers of sensors) with accurately recorded positions in 3D space in
170 order to track each leaf light history accurately. Within an experimental field plot, it is
171 impossible to record all features of a moving canopy at all positions within that canopy with
172 very high spatial resolution e.g. physical leaf and stem movement, leaf microenvironment, leaf
173 light absorption. Occlusion is a particular problem for the imaging methodology.
174 Measurements of photosynthesis during canopy movements e.g. with gas exchange or
175 fluorescence is possible but suffers from the same self-evident limitations.

176

177 Given this, the ‘conundrum’ from the paper title should be solvable by a combination of
178 sufficient plant and canopy measurements combined with accurate mechanical models of
179 canopy movement, in part informed by imaging and tracking of real canopies linked with well
180 parameterised models of photosynthesis. Validation of theories will be difficult to achieve

181 without a means of quantifying movement in the field so that it may be meaningfully linked to
182 yield, biomass and radiation use efficiency measurements. Whole canopy chambers such as
183 those described in (Song *et al.*, 2016) are promising for assessing the impact of different plant
184 properties on canopy productivity but it remains to be seen if realistic movement is achievable
185 in a combined space. It seems possible that larger scale field-based techniques such as eddy
186 flux, combined with computer vision techniques for tracking movement could be recruited to
187 analyse the impact of movement on canopy photosynthesis, albeit over longer time scales
188 (Groenendijk *et al.*, 2011).

189

190 **Wind and the canopy light environment**

191 Canopy productivity depends upon the integrated performance of photosynthetic elements,
192 their local light environment combined with their biochemical and physiological properties
193 (Horton, 2000; Sinoquet *et al.*, 2001; Valladares and Niinemets, 2007; Zhu *et al.*, 2010;
194 Matloobi, 2012). Architectural features such as leaf area index and density, clumping, leaf
195 angle and leaf dimensions determine the patterning of light within a canopy (Hirose, 2005;
196 Song *et al.*, 2013; Burgess *et al.*, 2015, 2017a). In terms of whole canopy photosynthesis, the
197 most efficient architecture is one in which all the leaves are evenly illuminated at quantum flux
198 densities which either approach or saturate photosynthesis (Valladares and Niinemets, 2007).
199 This is often achieved using a combination of a high leaf area index and erect leaf stature, so
200 as to avoid light saturation at the top of the canopy but allow efficient penetration to lower
201 canopy layers (Hirose, 2005; Zhu *et al.*, 2010; Song *et al.*, 2013; Burgess *et al.*, 2015). Such
202 canopy principles have been applied to static canopies (e.g. (Song *et al.*, 2013; Burgess *et al.*,
203 2015, 2017b,a; Townsend *et al.*, 2017), but movement has not been fully or realistically
204 addressed in this context (Burgess *et al.*, 2016). In cereal crops, movement is a highly complex
205 behaviour determined by a multitude of factors including stem and leaf mechanical properties,
206 height, ear size, leaf properties (e.g. stiffness, weight, shape and angle), tiller number, dry
207 matter partitioning and planting density (Figure 1).

208

209 A small number of studies have shown that wind-induced movement is highly effective in
210 altering the light environment within the canopy both in terms of overall amount of light but
211 also the temporal pattern of light penetration. This principle can be visualised in Figure 2,
212 which indicates the fate of different light rays on an idealised crop plant. (Burgess *et al.*, 2016)

213 used 3D reconstructions of rice canopies in different configurations to reflect movement in
214 wind. When used in combination with a ray tracing algorithm Song *et al* (2013) found that such
215 simulated movement can increase light distribution and modelled canopy photosynthesis up to
216 17% above a static canopy. This is due to the movement providing more opportunities for
217 photon penetration as the canopy shifts between different configurations. In a constantly
218 moving canopy, it is more likely that any given leaf surface will receive an appropriate period
219 of high light and thus be more likely to be able to maintain a higher photosynthetic induction
220 state. This is analogous (though arguably more complex) than previous work on natural
221 systems. A high frequency of movement of leaves in light winds, known as flutter or twisting,
222 can result in greater penetration of light to lower layers. This can be seen in tree species such
223 as Aspen (Roden and Pearcy, 1993*a,b*; Roden, 2003; de Langre, 2008). The effect of isolated
224 leaf movement can be visualised in Figure 3, where rapid movement of a ‘distributor’ leaf will
225 alter the probability that a direct ray of light will reach a ‘recipient’ leaf. Within tree and
226 broadleaf species, this is predominantly caused by rotation about the petiole (Derzaph and
227 Hamilton, 2013) and has the effect of producing very short, rapid bursts of light (sun flecks)
228 on the sub- second scale. Visually similar movements can also be seen within cereal canopies,
229 and we propose that this type of behaviour can be used to optimise canopy productivity. Here
230 we will refer to them simply as isolated leaf movements rather than flutter, which is a specific
231 term that may not refer to the type of movement capable by cereals.

232

233 **The effect of movement on plant integrated biochemical capacity**

234 The integrated photosynthetic yields available to a plant are determined by the duration and
235 frequency of light events across all of the photosynthetic surfaces, therefore any changes to
236 these light dynamics can impact on productivity as previously described (Retkute *et al.*, 2015;
237 Burgess *et al.*, 2016; Townsend *et al.*, 2017). As such, manipulating plant movement to
238 facilitate light distribution within a canopy, or manipulating the metabolic features of plants
239 enabling them to optimally respond to a change in light, should provide key targets for future
240 crop improvement (see below). Work by Caldwell (1970) first predicted that changes in leaf
241 angle brought about by wind could influence whole plant photosynthesis. Photosynthesis is a
242 multi-component process that does not perfectly track fluctuating light and frequently presents
243 ‘lag’ according to the kinetics of component processes which can limit integrated carbon gain
244 and canopy biomass (Walters and Horton, 1994; Athanasiou *et al.*, 2010; Retkute *et al.*, 2015;
245 Kromdijk *et al.*, 2016; Taylor and Long, 2017). The ‘induction state’ of photosynthesis can be
246 thought of as the maintenance of enzyme activity, thylakoid energisation, metabolite pool sizes

247 and stomatal aperture in a state that can support high photosynthesis. Attaining this state takes
248 time and the leaf will revert to a lower state of induction once transferred to darkness or lower
249 light. For example, Rubisco activation exerts strong limitation during photosynthetic induction
250 (Percy, 1990) due to its slow rates of recovery from low light events (Salvucci and Anderson,
251 1987). Recent work has shown that the dynamics of stomatal aperture changes in response to
252 environmental stimuli should imposes a substantial limitation on carbon gain in fluctuating
253 light via the lag during low to high light transitions. Notably there should also be a decline in
254 water- use efficiencies during high to low transitions as stomata remain open and transpiring
255 whilst photosynthesis declines due to light limitation (Lawson and Blatt, 2014; McAusland *et al.*,
256 2016; Matthews *et al.*, 2018). The acclimation status of leaves within a canopy also
257 determines their ability to utilise sun flecks effectively (Athanasίου *et al.*, 2010; Retkute *et al.*,
258 2015; Townsend *et al.*, 2017). The amplitude and frequency of switching between high and
259 low light will determine the “drag” effect of photosynthetic induction: a higher frequency can
260 lead to a higher integrated photosynthetic rate (Retkute *et al.*, 2015) and can be related directly
261 to intrinsic processes such as Rubisco activation state (Roden and Percy, 1993*a,b*; Roden,
262 2003). This is especially important in dense canopies where it can be predicted that unless sun
263 flecks are frequent or of sufficient duration, the induction time is too low to adequately exploit
264 any periods of high light (Retkute *et al.*, 2015; Townsend *et al.*, 2017). Optimal productivity
265 would require tracking changes in environmental conditions in real time and matching the
266 biochemical capacity and physiological state to such conditions that a leaf section directly
267 experiences (Retkute *et al.*, 2015; Kromdijk *et al.*, 2016; Ruban, 2017). As outlined below, we
268 propose that modes of movement already seen in nature, such as aspen leaf flutter, could be
269 transferred to crop species to provide a means of maintaining lower leaves in a higher state of
270 induction and thus increase the integrated photosynthetic rate.

271 **Modelling wind-induced movement**

272 Canopy models are essential for understanding how spatio-temporal shifts in light and other
273 environmental variables influence photosynthesis, growth and yield. One of the limitations has
274 been the ability to accurately describe ‘real’ canopies in the field and to model light fluxes
275 within them, but this is being overcome (Song *et al.*, 2013; Burgess *et al.*, 2015, 2017*a,b*;
276 Townsend *et al.*, 2017). Dynamic photosynthesis is the focus of more and more studies and
277 models even though the computational power required for its assessment is quite large. Despite
278 this, the number of dynamic empirical and mechanistic models of photosynthesis capable of
279 handling light fluctuations and making future predictions is increasing and it is then a case of

280 applying these models to the light fluxes that are induced by canopy movement (Porcar-Castell
281 and Palmroth, 2012; Retkute *et al.*, 2015; Harbinson and Yin, 2017; Townsend *et al.*, 2017;
282 Morales *et al.*, 2018). Models of individual processes are also becoming more sophisticated
283 e.g. for photoprotection (Zaks *et al.*, 2012). The accuracy of this may depend on sufficient
284 model parameterisation. A recent striking example demonstrated how an earlier prediction
285 from dynamic canopy light fluctuations (Zhu *et al.*, 2004) led to an experimental validation
286 and improvement of crop biomass and yield through manipulation of a photosynthetic process
287 (recovery from photoprotection) (Kromdijk *et al.*, 2016).

288

289 Whilst the mathematical infrastructure is becoming increasingly available to cope with the
290 types of rapid dynamic shifts observed in natural and agricultural canopies described here, the
291 limitation of accurately assessing the effects of wind movement on crop canopies seems to be
292 in the difficulty of generating dynamic mechanical models of crop canopies and the 3D and
293 ‘4D’ (i.e. 3D over time and space) descriptions that are required model light dynamics. Firstly,
294 it is important to achieve a plant description that can accurately mimic a wide range of
295 movements. These movements can be captured, or predicted, via different methods and can be
296 broadly split into computer vision-based approaches or biomechanical based approaches, each
297 of which is discussed below.

298

299 **Computer vision approaches to modelling movement**

300 Two broad approaches can be used to gather a description of plant geometry. These are rule-
301 based approaches, which apply a series of generative rules based on manual measurements of
302 plants and image-based approaches, which use actual visual descriptions of a target plant in the
303 form of 2D images (Remondino and El-hakim, 2006). The latter rely upon computer vision
304 techniques and tools to extract the required information from the available image data. Image-
305 based methods are further categorised as either active, in which some form of controlled
306 radiation is projected onto the plant, or passive, in which only natural illumination is used. Both
307 methods have been applied to controlled and field-based environments. Active methods are
308 significantly more expensive and require specialist hardware. Passive techniques are typically
309 portable and low cost, recording data using radiation already present in the scene. Light
310 Detection and Ranging (LiDAR), also known as Laser Scanning, can be classified as active
311 whereas Space Carving, Shape-from-Silhouette, Shape-from-Shading, Shape-from-Contour,
312 Stereo vision and Structure from Motion are passive approaches, commonly using standard

313 hand-held cameras to acquire data (Kender, 1981; Horn and Brooks, 1989; Cryer and Shah,
314 1999; Seitz, 2000; Wahl, 2001; Tan *et al.*, 2007; Pound *et al.*, 2014; Gibbs *et al.*, 2017, 2018).

315

316 Data obtained using computer vision methods, such as 2D images and 3D point clouds, can aid
317 the detection of motion by matching features between views and tracking their position over
318 time (Yang *et al.*, 2011). For 2D movement, features of interest (for example leaf or ear tips)
319 can be detected for each frame within a video and matched, producing a 2D movement path
320 for each. One such example of this is the movement of a single organ, for example a leaf. A
321 video can be made of a moving leaf which is segmented from the background. Frames can be
322 split and the difference between each frame (i.e. the position of the leaf in frame i subtracted
323 from the leaf in $i+1$) will reveal the difference (i.e. the movement) in the leaf over time, in this
324 case over two frames. Applying this principle across all frames within a video can allow a
325 spline to be fitted and thus the path of the leaf can be described. This principle can be applied
326 to any plant organ and even scaled up to a canopy in a field setting given the accurate detection
327 of a given feature.

328

329 Whilst the 2D tracking approaches described above could be used to gather a general
330 description of movement, more accurate modelling will rely on the determination of plant
331 geometry and motion in 3D. This can be achieved using image-based approaches by
332 positioning, for example, multiple cameras around a target plant, or canopy. The same principle
333 can be applied as above, but multiple frames are captured at the same point in time from
334 different viewpoints, which can then be matched across each of the frames. By matching
335 features in 2D across multiple frames, the 3D position of each feature can be estimated.
336 Continuing to do this over time enables a 4D model of features to be produced which represent
337 the motion of the full organ, plant or canopy. Such descriptions could be combined with light
338 modelling approaches (e.g. ray tracing (Song *et al.*, 2013)) in order to assess alterations in light
339 dynamics brought about through movement. Further applications are also possible such as the
340 comparison of different modes of movement; modelling disease or pest spread or; predicting
341 the effects of climate change. For more details see Burgess *et al.*(2016) and references within.

342

343 **Biomechanical approaches to modelling movement**

344 In order to accurately model movement of a crop stand, biomechanical properties of individual
345 organs, the whole plant, and, ultimately, the whole canopy must be known (de Langre, 2008).

346 A number of models exist that simulate movement, ranging in complexity from simple, to more
347 complex descriptions (e.g. Berry *et al.*, 2003; Doaré *et al.*, 2004; Tadríst *et al.*, 2014; Gonzalez-
348 Rodrigues *et al.*, 2016; Tadríst *et al.*, 2018). Models are often created based on vibration
349 analysis of a single plant (de Langre, 2008; Der Loughian *et al.*, 2014). This allows the
350 measurement of displacement, local deformation or rotation in order to determine modal
351 frequencies and can be captured via a number of different methods, as described in de Langre
352 (2008). Such techniques are suitable for large plants, and have commonly be applied to study
353 movement in trees (e.g. (Sellier *et al.*, 2006; Moore and Maguire, 2008; Rodriguez *et al.*, 2008;
354 Der Loughian *et al.*, 2014; Tadríst *et al.*, 2014; Gonzalez-Rodrigues *et al.*, 2016). We argue
355 here that crop plants actually provide a more complex modelling scenario as the presence of
356 plants within a community (i.e. the canopy) means that the characteristics of movement and
357 interactions between individuals are homogenised, and reliant on the specific structure of the
358 community as a whole. Whilst some models have been generated to cover specific aspects of
359 movement in crops (e.g. Berry *et al.* 2003), the lighter weights of organs and softer tissues
360 combined with contact and collisions with neighbouring vegetation mean that the methods
361 applied to tree species are often not appropriate. Furthermore, trees often contain several orders
362 of regular branching; a much contrasting architectural system to that present in crop species.

363

364 Obtaining a geometrical description of a plant or organ is the first step towards characterising
365 movement and can be achieved using the computer vision- based approaches described above,
366 although manual measurements are also required. Mechanical properties will differ depending
367 upon the plant of study; for example, the structure of broadleaf species is highly contrasting to
368 that of cereals. Other considerations are the mass, stiffness and damping of individual organs;
369 all of which will vary depending upon the specific architectural properties and local conditions
370 (de Langre, 2008). Firstly, the distortion of a leaf must be characterised. Leaves are often
371 represented as a tapered inextensible elastic rod that is stiffer and anchored at the base. Similar
372 representations of a fixed structure can also be applied to branches or petioles (Niklas, 1991;
373 Vogel, 1992). The rod is subject to gravity, intrinsic curvature and drag forces in the presence
374 of wind; the full effect of which will depend upon turbulence (Finnigan, 2000). For crops such
375 as wheat, the leaf will be attached to a stem structure, which will have its own distinct mode of
376 movement. Stems have often been modelled as a mono- or bi-dimensional oscillating rods,
377 with complexity of models ranging from isolated stem movements (Farquhar *et al.*, 2000;
378 Niklas and Speck, 2001), a set of discrete stem movements (Farquhar *et al.*, 2003), or a

379 community of moving stems which include collisions (i.e. plant-to-plant contacts) between
380 neighbours (Doaré *et al.*, 2004).

381

382 **The influence of breeding on crop canopy movement**

383 Selection for modern crop varieties has occurred over centuries within the field setting, thus
384 wind is likely to have already had an influence on selection pressure. The switch in plant height
385 from tall to small varieties in the mid 20th century (part of the ‘Green Revolution’) was brought
386 about through the introduction of dwarfing genes (Monna *et al.*, 2002; Hedden, 2003; Pearce
387 *et al.*, 2011). Reduced stature enabled an increase in harvest index, improved responsiveness
388 to nitrogenous fertilisers and a reduced risk of lodging. This latter trait is a result of a reduction
389 of the centre of gravity of the plant body, thus increasing the natural frequency of the stem
390 movement, plus exposure to smaller drag forces (Onoda and Anten, 2011; Piñera-Chavez *et al.*,
391 2016; Hirano *et al.*, 2017). Selection has also been targeted at traits that permit a higher
392 planting density, including changes in stature, leaf and tiller number (Duvick, 2005*a,b*). This
393 latter feature is important because the increased proximity of neighbouring plants provides
394 additional support to individual plant structures through elastic collisions between material
395 (Doaré *et al.*, 2004). Whilst these alterations were not selected in order to improve movement,
396 *per se*, they will inadvertently have altered the primary mode of movement present. In fact, it
397 is feasible that these alterations will have selected against the optimal movement for canopy
398 productivity. For example, it can be predicted that a flexible and elastic supporting structure
399 will permit the greatest penetration of light to lower canopy layers and extend the period of
400 time that lower leaves will be exposed to higher light intensities, thus increasing the ability for
401 lower leaves to acclimate and maintain high induction rates (Athanasidou *et al.*, 2010; Retkute
402 *et al.*, 2015; Townsend *et al.*, 2017). However, a reduction in plant height combined with an
403 increase in the strength of structural support may have the opposite effect, leading to reduced
404 elasticity and more rapid movements of the stem. Therefore, selection for improved movement
405 will also probably require consideration of such conflicting considerations.

406

407 **Can we improve photosynthesis and yield?**

408 As we move further into the 21st century, photosynthesis is increasingly considered as a key
409 limitation to achieving theoretical crop yield maxima (Long *et al.*, 2006, 2015; Murchie *et al.*,
410 2009; Zhu *et al.*, 2010). It has long been argued that photosynthesis per unit leaf area has not

411 undergone genetic improvement during breeding and the improvements in photosynthesis per
412 unit ground area over time were a result of improvements to other physiological and
413 morphological traits such as nutrition and leaf area index. Recent research suggests that
414 different aspects of leaf photosynthesis remain a viable target for improvement if genetic
415 diversity is sufficient. This includes traits that are important in order to fully exploit the
416 proposed improvements to biomechanical properties i.e. maintenance of photosynthesis
417 induction state and optimisation of photoprotection in rapid light fluctuations. It seems then
418 that multiple traits must be targeted in order to realise the optimal ideotype for a given
419 environment (i.e. Figure 4: Reynolds *et al.*, 2000; Murchie *et al.*, 2009).

420

421 The integration of photosynthetic properties of individual leaves into a 3D canopy is thought
422 to be suboptimal for a number of reasons that are related to the efficiency with which radiation
423 is distributed vertically. The number of approaches to improve this is expanding rapidly, with
424 optimality dependent upon changes in multiple interacting traits. Vertical leaf orientations
425 improve penetration while optimising photosynthetic saturation (Long *et al.*, 2006); reduced
426 chlorophyll content e.g. via reducing antenna size aids penetration while not affecting
427 saturation levels (Slattery *et al.*, 2017; Song *et al.*, 2017; Walker *et al.*, 2018); dynamic
428 responses of photosynthesis and photoprotection to light reduces the time lag in response to
429 change (Kromdijk *et al.*, 2016); and optimising nitrogen distribution matches photosynthetic
430 capacity to the available light levels (Hikosaka, 2016; Hikosaka *et al.*, 2016; Muryono *et al.*,
431 2017; Townsend *et al.*, 2017). Here we propose the addition of canopy movement properties
432 to this list, which may provide a simple way to extend and modify the light distribution in a
433 canopy by using existing biomechanical variation in major crops that has not previously been
434 considered in this context (e.g. (Wang and Li, 2006; Berry *et al.*, 2007; Burgess *et al.*, 2016;
435 Piñera-Chavez *et al.*, 2016b). Maximum canopy productivity could be achieved by altering
436 plant mechanical properties to favour beneficial responsiveness in low wind, which is likely to
437 be commonplace even if not continuous. Biomechanical properties that allow small but rapid
438 movement could include altered stem and leaf strength, sheath or petiole flexibility, leaf blade
439 width and length. The ideal plant type for a cereal crop could be viewed as having rapidly
440 moving leaves at the top of the canopy, perhaps similar to the flutter type, and reduced
441 movement lower in the canopy. It can be predicted that increased stem and leaf stiffness is
442 likely to increase the frequency of motion, which in turn will shorten the duration of light
443 periods (i.e. sun flecks). The type of movement beneficial to a plant will depend upon multiple
444 factors including the crop chosen and its physiology; the range of movement available

445 dependent upon existing architectural constraints; and other negative impacts to yield (see
446 above). Substantial variation for morphological and biomechanical properties exists in crop
447 plants for traits including stem strength, leaf size and leaf angle (Falster and Westoby, 2003;
448 Wang and Li, 2006; Kashiwagi *et al.*, 2008a). The impact of such movement may be affected
449 by the way that the leaf boundary layer and stomatal conductance are affected.

450

451 Furthermore, optimal movement will require balance between different traits and their
452 perceived conflicts. For example, resistance against failure (such as lodging), requires a trade-
453 off between stem properties. Increasing the strength of the stem cross section may reduce the
454 risk of stem buckling or splitting but increase the risk of anchorage failure (Farquhar and
455 Meyer-Phillips, 2001). A second example is leaf size and thickness: an increase in both
456 parameters has been considered beneficial in the improvement of rice photosynthesis,
457 especially at high leaf angles (Horton, 2000; Wang *et al.*, 2012). However, this trend could
458 reduce frequency and responsiveness to light winds unless successfully compensated for by
459 alternative traits such as increased leaf number or an altered mechanical property that permits
460 greater rotation around the ligule region. It may be easier to replicate flutter-like movement in
461 smaller leaves at the top of the canopy and thus shift larger leaves to mid and lower regions
462 where less movement is required. The uppermost leaves then have a dual role as effective
463 distributors of light in addition to photosynthesising at high rates (Figure 3). Such
464 compensation seems feasible because optimal light distribution is predicted to be a major
465 limitation to crop yield (see above). A flexible and elastic supporting structure may permit the
466 greatest penetration of light to lower canopy layers and extend the period of time that lower
467 leaves will be exposed to higher light intensities, thus increasing the ability of lower leaves to
468 acclimate and maintain high induction rates (Athanasίου *et al.*, 2010; Retkute *et al.*, 2015;
469 Townsend *et al.*, 2017). However, a reduction in plant height combined with an increase in the
470 strength of structural support, which are desirable properties to prevent yield loss through
471 lodging, will have the opposite effect, leading to reduced elasticity and more rapid movements
472 of the stem.

473

474 Geographic location and growing season are other important considerations when selecting the
475 optimal plant ideotype, with climatic conditions, including wind speed and direction, plus light
476 conditions being dependent upon latitude, altitude and the topography/exposure of the growing
477 site. This means that an optimised structure will not be suitable for all environments, and thus
478 an understanding of the local conditions combined with how they will influence canopy

479 movement will be required. For example, in environments with high wind speeds, and thus at
480 increased risk of lodging, improvements to cereals or other lodging- susceptible plants can be
481 achieved by biomechanical changes to the upper part of the canopy alone.

482

483 It can be predicted that the optimal response to movement will be linked to the photosynthetic
484 capacity and kinetic properties of a plant. For example, we anticipate that faster movement in
485 the upper layers of the canopy will lead to an overall higher state of photosynthetic induction.
486 (Roden and Pearcy, 1993*a,b*; Roden, 2003). This characteristic of frequent but high amplitude
487 shifts may alter photoprotective requirements and demand even higher capacity and more rapid
488 relaxation kinetics of non-photochemical quenching which has recently been shown to be
489 achievable (Kromdijk *et al.*, 2016; Hubbart *et al.*, 2018). Such traits could also provide initial
490 lines from which to improve; with altered movement targeted at lines that will be most able to
491 utilise and exploit the new light environment. Genetic variation in photosynthetic induction
492 rates is likely to be present to provide this platform.

493

494 This also raises the intriguing question as to whether the evolution of mechanical properties
495 might have coincided with the evolution of dynamic photosynthetic efficiency. A dense canopy
496 with steep light extinction and severe light limitation at the base will require a certain amount
497 of movement to act as an efficient distributor of light and maintain photosynthetic induction
498 states. Hence optimal responsiveness of photosynthesis to the type of fluctuating light
499 dynamics caused by movement may have co-evolved and become mutually dependent. The
500 high leaf area index in post-green revolution types compared pre-green revolution may provide
501 an agricultural analogy. As long as the constraints of any given environment are fully
502 characterised and considered, manipulation of biomechanical properties of plants, combined
503 with improved biochemical responses to changes in light levels, can be used as a means to
504 improve whole canopy productivity and thus provide a route for future crop improvement.

505 **Acknowledgments**

506 We wish to thank Dr Michael Pound, Dr Simon Preston, Professor Tony Pridmore (University
507 of Nottingham) and Professor Oliver Jensen (University of Manchester) for their useful
508 discussion on this topic. The authors receive funding from the Biotechnology and Biological
509 Research Council [grant number BB/R004633/1]. We thank an anonymous reviewer of a
510 previous manuscript for the term ‘canopy conundrum’.

511

512

513 **Figure Legends**

514

515 **Figure 1:** Schematic representation of movement in a theoretical plant and properties
516 determining the mode of movement.

517

518 **Figure 2:** The fate of light rays in a simplified plant canopy subject to wind- induced
519 perturbation. Three configurations are shown and colours represent alternate penetration
520 patterns according to these configurations: blue rays penetrate lower in the canopy, red rays do
521 not reach as far and black rays reach the same position, relative to the static configuration. In
522 this example, movement presents incident photons with more opportunities to pass through the
523 canopy making it more likely that any given leaf surface area will receive a period of high light.

524

525 **Figure 3:** The fate of light ray distribution between a distributor and recipient leaf as a result
526 of movement in a broadleaf versus a cereal canopy. Different configurations are shown for
527 each canopy type and colours represent alternate penetration patterns according to these
528 configurations: blue rays penetrate lower in the canopy, red rays do not reach as far and black
529 rays reach the same position, relative to the static configuration. In this example, movement
530 presents incident photons with more opportunities to move past the distributor leaf making it
531 more likely that a recipient leaf will receive a period of high light.

532

533 **Figure 4:** Summary of possible traits that could be targeted to improve light absorption and
534 conversion in canopies.

535

536 **Figure 1**

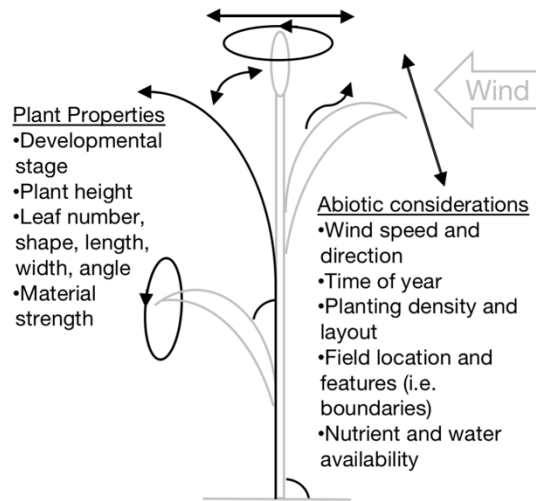


Figure 1: Schematic representation of movement in a theoretical plant and properties determining the mode of movement.

537

538

539

540 **Figure 2**

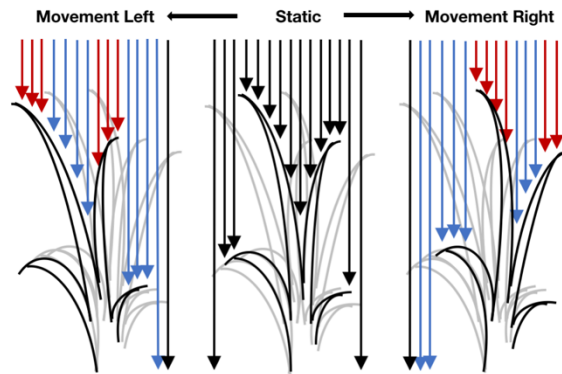


Figure 2: The fate of light rays in a simplified plant canopy subject to wind- induced perturbation. Three configurations are shown and colours represent alternate penetration patterns according to these configurations: blue rays penetrate lower in the canopy, red rays do not reach as far and black rays reach the same position, relative to the static configuration. In this example, movement presents incident photons with more opportunities to pass through the canopy making it more likely that any given leaf surface area will receive a period of high light.

541

542

543

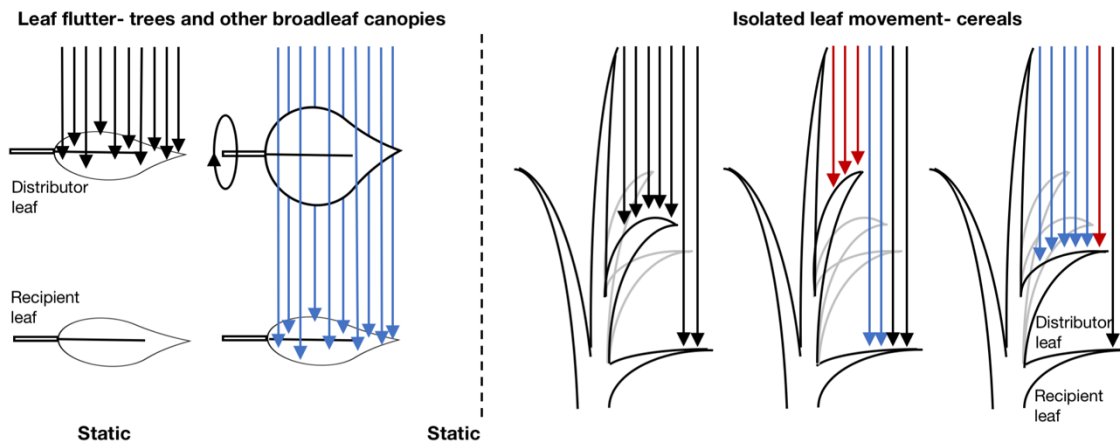


Figure 3: The fate of light ray distribution between a distributor and recipient leaf as a result of movement in a broadleaf versus a cereal canopy. Different configurations are shown for each canopy type and colours represent alternate penetration patterns according to these configurations: blue rays penetrate lower in the canopy, red rays do not reach as far and black rays reach the same position, relative to the static configuration. In this example, movement presents incident photons with more opportunities to move past the distributor leaf making it more likely that a recipient leaf will receive a period of high light.

545

546

547

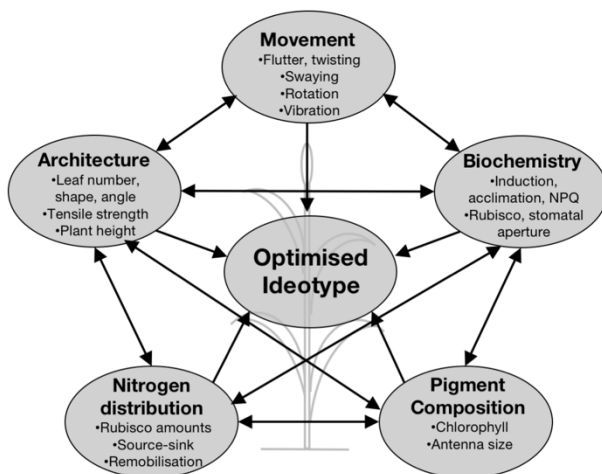


Figure 4: Summary of possible traits that could be targeted to improve light absorption and conversion in canopies.

549

550

551

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