



What agricultural practices are most likely to deliver 'sustainable intensification' in the UK?

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Abstract:	<p>Sustainable intensification is a process by which agricultural productivity is enhanced whilst also creating environmental and social benefits. We aimed to identify practices likely to deliver sustainable intensification, currently available for UK farms but not yet widely adopted. We compiled a list of 18 farm management practices with the greatest potential to deliver sustainable intensification in the UK, following a well-developed stepwise methodology for identifying priority solutions, using a group decision-making technique with key agricultural experts. The list of priority management practices can provide the focal point of efforts to achieve sustainable intensification of agriculture, as the UK develops post-Brexit agricultural policy, and pursues the second Sustainable Development Goal, which aims to end hunger and promote sustainable agriculture. The practices largely reflect a technological, production-focused view of sustainable intensification, including for example, precision farming and animal health diagnostics, with less emphasis on the social and environmental aspects of sustainability. However, they do reflect an integrated approach to farming, covering many different aspects, from business organization and planning, to soil and crop management, to landscape and nature conservation. For a subset of ten of the priority practices, we gathered data on the level of existing uptake in English and Welsh farms through a stratified survey in seven focal regions. We find substantial existing uptake of most of the priority practices, indicating that UK farming is an innovative sector. The data identify two specific practices for which uptake is relatively low, but which some UK farmers find appealing and would consider adopting. These practices are: prediction of pest and disease outbreaks, especially for livestock farms; staff training on environmental issues, especially on arable farms.</p>

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63

64

65 **Abstract**

66

67 Sustainable intensification is a process by which agricultural productivity is enhanced whilst
68 also creating environmental and social benefits. We aimed to identify practices likely to
69 deliver sustainable intensification, currently available for UK farms but not yet widely
70 adopted. We compiled a list of 18 farm management practices with the greatest potential to
71 deliver sustainable intensification in the UK, following a well-developed stepwise
72 methodology for identifying priority solutions, using a group decision-making technique with
73 key agricultural experts. The list of priority management practices can provide the focal point
74 of efforts to achieve sustainable intensification of agriculture, as the UK develops post-Brexit
75 agricultural policy, and pursues the second Sustainable Development Goal, which aims to end
76 hunger and promote sustainable agriculture. The practices largely reflect a technological,
77 production-focused view of sustainable intensification, including for example, precision
78 farming and animal health diagnostics, with less emphasis on the social and environmental
79 aspects of sustainability. However, they do reflect an integrated approach to farming,
80 covering many different aspects, from business organization and planning, to soil and crop
81 management, to landscape and nature conservation. For a subset of ten of the priority
82 practices, we gathered data on the level of existing uptake in English and Welsh farms
83 through a stratified survey in seven focal regions. We find substantial existing uptake of most

84 of the priority practices, indicating that UK farming is an innovative sector. The data identify
85 two specific practices for which uptake is relatively low, but which some UK farmers find
86 appealing and would consider adopting. These practices are: prediction of pest and disease
87 outbreaks, especially for livestock farms; staff training on environmental issues, especially on
88 arable farms.

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90

91 **Introduction**

92 Sustainable Intensification (SI) is generally considered a process by which agricultural
93 productivity is enhanced without negatively impacting the environment, preferably also
94 creating social and environmental benefits (Gunton et al. 2016; Struik and Kuyper 2017;
95 Weltin et al. 2018). Developed initially in an African context in the 1990s (Clay, Reardon,
96 and Kangasniemi 1998; Pretty 1997; Reardon et al. 1997), the term ‘sustainable
97 intensification’ (SI) has become increasingly popular in scientific and policy discourses. Two
98 reviews by Bernard and Lux (2017) and Mahon et al. (2017) have assessed the prominence of
99 different SI discourses over time. Both reviews highlight the prominence of a productivist
100 lens, in other words, SI aims to increase agricultural production in order to feed a rapidly
101 growing global population. This productivist lens, often described in combination with a
102 desire to increase food security, is noticeable in scientific reports and journal articles, as well
103 as in policy documents released in the last decade (Elliott and Firbank 2013; Foresight 2011;
104 Franks 2014; Garnett et al. 2013; Lal 2016; The Royal Society 2009; Tilman et al. 2011).
105 Major policy initiatives, such as Defra’s Sustainable Intensification Research Platform¹, and a

¹ www.siplatform.org.uk

106 wider Sustainable Intensification Research Network² funded by the Biotechnology and
107 Biological Sciences Research Council, have recently explored the potential for SI in the UK
108 and elsewhere.

109 Over the last two decades, debate has focused on whether SI is an oxymoronic term, or rather
110 whether it represents a useful paradigm shift in global agriculture (Mahon et al. 2017;
111 Rockstrom et al. 2017). Indeed, the critical debate over the usefulness of the term has become
112 so intense that some have questioned whether it is helpful at all in a scientific context
113 (Gunton et al. 2016; Petersen and Snapp 2015). Much of the research agrees that SI
114 represents a goal rather than a defined aim; something to work towards rather than a set target
115 to be achieved (Gunton et al. 2016; Pretty and Bharucha 2014; Godfray 2015; Struik and
116 Kuyper 2017). Furthermore, the scientific and policy communities generally accept that the
117 aim of SI is to increase production without degrading the natural environment, although many
118 articles suggest that political and social implications need to be more readily discussed
119 (Gunton et al. 2016; Struik and Kuyper 2017). Struik and Kuyper (2017) argue that SI is
120 better conceived as two separate processes – sustainable intensification of the low input
121 agriculture of the global south, and sustainable de-intensification of the industrialised
122 agriculture of the north. Gunton et al. (2016) suggest the following all-encompassing
123 definition of SI: ‘changes to a farming system that will maintain or enhance specified kinds
124 of agricultural provisioning while enhancing or maintaining the delivery of a specified range
125 of other ecosystem services measured over a specified area and specified time frame’.

126 Since SI is generally considered to be a goal, rather than a defined aim, methods for
127 achieving it are relatively undefined (Petersen and Snapp 2015; Mahon et al. 2017; Wezel et
128 al. 2015). In a review of indicators used to measure SI, Mahon et al. (2017) found that many
129 are very loosely defined, which has led to an under-appreciation of social implications, and a

² <https://sirn.org.uk>

130 lack of specificity over the rationale, scale, and farm type for which SI is proposed. Many
131 research articles on SI have focused on debating the usefulness of the term, and on refining
132 definitions, at the expense of developing a set of SI practices that could lead to practical
133 gains. We do not suggest that there is a set of practices through which SI can solely be
134 achieved, but rather that progress towards realising practical benefits can be made while a
135 concept is evolving (Owens 2003; Weltin et al. 2018). For example, Weltin et al. (2018)
136 propose an action-oriented conceptual framework to support identification of region-specific
137 SI practices, based on participatory processes.

138 This paper focuses on the question of how SI may be delivered at farm scale in a UK context.
139 The aim of this exercise was to identify specific practices with potential to deliver SI on UK
140 farms. We aimed to identify practices that are considered feasible, commercially viable, with
141 clear environmental or social benefits combined with improved productivity or profitability,
142 but which are not currently widely practised. In the current national policy context of the re-
143 configuration of UK agricultural policy following exit from the European Union, ‘sustainable
144 production’ that combines improved productivity with environmental enhancement is likely
145 to be a policy goal (Defra 2018). This constitutes SI as we define it, so it is useful to identify
146 a list of practices that could deliver progress towards SI relatively easily. The practices can
147 also be used as part of the UK’s effort to achieve the second Sustainable Development Goal,
148 ‘Zero Hunger’. This goal includes a target to ‘ensure sustainable food production systems and
149 implement resilient agricultural practices that increase productivity and production, that help
150 maintain ecosystems and that progressively improve land and soil quality’ by 2030 (UN
151 General Assembly 2015).

152 Some of these identified priority practices have been the focus of research on study farms
153 associated with Defra’s Sustainable Intensification Research Platform, and potentially could
154 be promoted or incentivised by government, through new agricultural policy. We hope that

155 our research will stimulate further studies into what SI actually means in terms of farm
156 practice and how it can be delivered.

157 **Methods**

158 **Prioritisation**

159 The prioritisation of SI practices was carried out following well-developed methods for
160 collaborative solution scanning and prioritisation (Sutherland et al. 2011; Dicks et al. 2013;
161 Sutherland et al. 2014). We describe three stages as follows:

162 *Stage 1:* An initial long list of specific practices was drawn up collectively by 45 members of
163 the Sustainable Intensification Research Platform (Defra SIP: <http://www.siplatform.org.uk/>).
164 Defra SIP is a multi-partner research programme exploring the opportunities and risks of SI
165 from a range of perspectives and landscape scales across England and Wales, funded by the
166 UK Government's Department for Environment Food and Rural Affairs (Defra) and the
167 Welsh Government. The group of participants, listed in Appendix 1, included 21 academic
168 researchers, five research farm managers, nine business representatives, eight Non-
169 Governmental Organisation (NGO) representatives, and two Government representatives
170 (Defra and the Welsh Government). All participants are actively working on aspects of
171 agricultural sustainability. The researchers represented a range of relevant disciplines,
172 including sociology, human geography, economics, engineering, environmental sciences and
173 life sciences (including, for example, ecology, plant genetics, agronomy, animal breeding and
174 nutrition).

175 Each participant suggested practices that could deliver SI, which was defined as follows: 'A
176 change in farm management that improves both farm-scale productivity and the farmed
177 environment. Practices could be neutral for one and beneficial for the other. For example,

178 they might increase yields with no negative environmental or social impact, or reduce
179 pollution with no impact on productivity. Any change in farm management that causes a
180 reduction in productivity, social or environmental status at farm scale is not included.’ This
181 definition implicitly allows for trade-offs at field scale, within a farm. Such a trade-off
182 happens, for example, if land taken out of production (field-scale loss of yield) generates
183 ecosystem service benefits such as enhanced pollination, which increase yields on the
184 remaining productive land, as demonstrated by Pywell et al. (2015).

185 The resulting long list was organised under the nine elements of Integrated Farm
186 Management (IFM; as defined by LEAF
187 http://www.leafuk.org/leaf/farmers/LEAFs_IFM/Whatisifm.eb): Organisation and Planning;
188 Soil Management and Fertility; Crop Health and Protection; Pollution Control and By-
189 Product Management; Animal Husbandry; Energy Efficiency; Water Management;
190 Landscape and Nature Conservation; Community Engagement.

191 This initial list was then circulated through the networks of the authors listed, using a
192 snowballing process, until three people had returned it without adding any new items. All
193 consultees were invited to add or amend practices on the list. The final list contained 110
194 practices, among which all nine elements of Integrated Farm Management were represented
195 by between four (Community Engagement) and 23 (Crop Health and Protection) practices.

196 *Stage 2:* Forty-one of the initial participants (see table A1) selected their top ten practices
197 from the long list of 110, using the online survey software Qualtrics. Each was asked to select
198 ten practices with the maximum potential to deliver SI, being currently feasible to implement
199 on UK farms (i.e. not potential opportunities for the future) but not yet widely adopted, in
200 their opinion or experience. Participants were given complete flexibility over how their top
201 10 were spread across the IFM elements.

202 These votes were counted, and the list ranked according to number of votes for each practice.
203 No practices were removed at this stage. Participants were also given a further opportunity to
204 suggest additional practices.

205 *Stage 3:* 36 of the initial participants (see Table A1) met in a workshop in Cambridge on 21
206 November 2014. The full list of practices was provided to all participants, printed in rank
207 order according to the number of votes (highest first). New practices added during Stage 2
208 were also presented for consideration.

209 Participants were divided into three parallel working groups of 12, each with similar
210 representation of the different sectors (research, Government, NGO, business, farm
211 management). Each group worked independently to identify the 10 options from the long list
212 with the maximum potential to deliver farm-scale SI, with the help of an experienced
213 facilitator who was also a participant, and a rapporteur who was not. The following
214 characteristics of each practice were used by the group to guide discussions and make their
215 judgement:

- 216 (i) Benefits to productivity (ratio of outputs to inputs); can also be benefits to yield or
217 profitability
- 218 (ii) Benefits to the environment or socio-economic status of the farm business
- 219 (iii) Feasibility to implement on commercial farms
- 220 (iv) Potential for roll-out (i.e. currently available in the UK, but not widely adopted).

221

222 Original wording was retained, but alternative wordings or clarifications could be suggested
223 for later discussion by the whole group. During discussions, facilitators suggested that the
224 selected set of priority options should ideally be spread across the nine IFM categories, and

225 continually reminded delegates that none of the priorities should lead to declines in
226 productivity or environment/social benefits.

227 The votes from stage 2 were used as a guide to help elimination. The process proceeded by
228 first eliminating all those in the list that received 0 or 1 votes in stage 2, then categorising all
229 remaining practices into 'yes', 'no' or 'maybe', according to whether the group felt they
230 should be in the top ten. All 110 items on the list, plus 14 that had been added at stage 2, were
231 given space for discussion as needed. Finally, each group voted by show of hands on the
232 practices labelled 'yes'. Each participant was allowed ten votes, and the ten practices with the
233 most votes comprised the top ten.

234 In a closing session of the workshop, the three parallel groups came together to discuss any
235 alternative wording suggestions and agree a final list that included any practice selected in the
236 top ten by any of the groups.

237

238 **Survey of uptake**

239 To test attitudes of farmers towards the priority practices, we included questions in a wider
240 baseline survey conducted in 2015 as part of Defra's Sustainable Intensification Research
241 Platform (Morris, Jarrett, et al. 2017). Seven study areas were chosen on the basis of existing
242 research investment in the area, availability of data, potential for building a network of
243 collaborating farmers and stakeholders and link to agricultural research farms (Winter et al.
244 2014) . These areas are not expected to be representative of farming in England and Wales,
245 but they reflect many of the key agricultural land use types and locations (Figure 1).

246 Using the June Agricultural Survey Register (2013 – data provided by Defra and The Welsh
247 Government), farmers grouped by 'robust farm type' were selected. Six farm types were

248 chosen (Arable, Dairy, Lowland Grazing, Less Favoured Areas, Grazing, Mixed, General
249 Cropping), focusing on the farms that covered the vast majority of agricultural land in
250 England and Wales. Together, these farm types represented 96% of all farmland in England,
251 in June 2015 (Defra 2017). The sample of farms in each survey area was stratified to reflect
252 the main farm types in each area. Any robust farm types accounting for less than 10% of the
253 case study area population were excluded. Farms were selected to give good geographical
254 coverage of each area. In addition, to be included in the sample each holding had to meet the
255 criteria of being a ‘commercial holding’ as well as farming a minimum of 20 ha. Registered
256 holders were sent an opt-out letter giving five working days to opt out of being telephoned to
257 be invited to take part in an interview. 220 farmers (approximately 14% of the original
258 sample) chose to opt out and a further 611 (38%) were uncontactable (including those who
259 never answered the phone or where contact details were incorrect), leaving an effective
260 sample of 782.

261 As part of the survey, farmers were provided with a list of ten of the priority practices
262 identified in the workshop, and asked to select from the following options – (1) already
263 practising it, (2) would consider increasing/introducing practice of it, (3) would not consider
264 doing it, (4) not applicable to my farm. A subset of the longer list of 18 SI practices was used
265 for the survey, based on previous experience of conducting farmer interviews, which suggests
266 lists of more than 10 items do not work well in a questionnaire. A sample of ten of the
267 practices was selected to represent the full range of available IFM elements and a balance
268 across suitable farm types.

269 As the practices are not equally applicable across different farm types (Table 1), we analysed
270 the data separately for arable farms, and livestock farms, according to the farm type, with
271 farms classed as ‘mixed’ being considered in both groups. We used Pearson chi-squared tests
272 to evaluate whether practices were used, not used or would be considered more than would be

273 expected by chance. Practices with the greatest potential for SI would be those that a larger
274 than expected number of farmers say they would consider, but which a smaller than expected
275 number of farmers are already practising. Analyses were conducted in R version 3.2.2 (R
276 Core Team 2015), using the ‘vcd’ and ‘vcdExtra’ packages (Meyer, Zeileis, and Hornik
277 2006; Friendly 2016).

278 **Results**

279 The 18 priority SI interventions selected by the group are listed in Table 1. This list includes
280 any practice selected in the top ten by one or more of the workshop groups. Figure 2 shows
281 how the priority practices are distributed among the nine elements of Integrated Farm
282 Management. All except one element - community engagement – are represented by at least
283 one practice, but the focus of these practices is on animal husbandry, crop health and soil.

284 *Survey results*

285 From 782 farmers contacted, 244 farmers were interviewed face-to-face for the survey, a
286 response rate of 31.2%.

287 Table 2 shows the distribution of the 244 farm respondents by robust farm type. Defra’s data
288 protection rules prevent us from breaking these numbers into separate study areas, as some
289 farms could potentially be identifiable, with fewer than five farms of that type in an area. This
290 is because each study area has a preponderance of particular farm types. For example, Eden
291 and Henfaes and Conwy have mostly livestock farms, while the Morley and Wensum area
292 has mostly arable. This results in a strong statistical association between study area and farm
293 type ($\chi^2 = 277.32$, $p = 9.999 \times 10^{-5}$, using Monte Carlo simulation). Analysis of farm types in
294 the sample compared to data in the Defra June Survey of Agriculture and Horticulture

295 indicates that, with very few exceptions, the respondents are broadly representative of their
296 study area in terms of farm type (Morris, Jarrett, et al. 2017).

297 Responses to the question on uptake of practices are shown in Tables 3 and 4. The practices
298 differ in their applicability to different farm types (as shown in the ‘Applicability’ column in
299 Table 1), so we summarise the data separately for livestock (Table 3) and arable (Table 4)
300 farms. Mixed farms are included in both groups, while the single farm categorised as ‘other’
301 is excluded from further analysis.

302 Farm type classification is based on the predominant enterprise types within a farm business³.
303 It does not mean for example, that all Cereals farms exclude livestock. While practices may
304 be classified as ‘Arable only’ and ‘Livestock only’ (Table 1), the potential applicability of
305 these practices to individual farms of a particular type will differ, depending upon the
306 enterprise scale and importance relative to each overall farm business. For example, 42.1%
307 of farmers whose holdings were classified as livestock (Table 3) said they were using, or
308 would consider using minimum or no-tillage (intervention: Till). Conversely, 55.8% of
309 farmers whose holdings were classed as arable (Table 4) said they were re-seeding pasture, or
310 would consider doing so. These are much higher percentages than the proportion of those
311 farms that was classified as ‘mixed’ in the livestock and arable groups ($17/165 = 10.3\%$;
312 $17/95 = 17.8\%$ respectively). These results indicate the range of enterprise types within real
313 farm businesses. Hence, we consider the full set of 10 interventions for both livestock and
314 arable farms in the remaining analysis.

315 Pearson chi-squared tests on the data presented in Tables 3 and 4, excluding the ‘not
316 applicable’ answers, showed that among farmers who thought the practice was applicable on
317 their farm, almost all practices were used significantly more, less, or both more and less, than

³ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/365564/fbs-uk-farmclassification-2014-21oct14.pdf

318 would be expected by chance, at a significance level of $\alpha = 0.05$ (Table 5). These patterns are
319 presented graphically in Figure 3, which illustrates how the proportions of each answer
320 differed from expected values for each practice, if the farmers answered the question
321 randomly.

322 Figure 3 shows a general pattern of more uptake than expected by chance across the
323 practices. For arable farms, nine of the 10 practices were practiced substantially more than
324 expected, as shown by the large, positive residual bars. The most widely used practices were
325 ‘Grow crop varieties with increased tolerance...’ and ‘Reduce tillage to minimum or no till’
326 among arable farmers; ‘Improve animal nutrition’ and ‘Reseed pasture’ among livestock
327 farmers, and ‘Improve the use of agriculturally marginal land for natural habitats’ across all
328 the farm types in the survey.

329 Only two practices were reported as ‘already in use’ less than expected by chance – ‘Predict
330 disease and pest outbreaks’ and ‘Adopt precision farming’ – both on livestock farms, and this
331 was only significantly different from random for the former.

332

333

334 **Discussion**

335 In this paper, we present a set of priority practices at farm scale that could be targeted to
336 promote sustainable intensification (SI) in UK farms. They were selected by a mixed group
337 of 45 stakeholders, following a rigorous prioritisation process, based on standard methods to
338 reduce bias and give each individual an equivalent voice.

339 Looking across the whole set of 18 practices, they cover most elements of Integrated Farm
340 Management (see Figure 2), but with a greater focus on crops, animals, soil and inputs, than
341 on other elements. Only one element – community engagement – did not emerge at all in the
342 priority practices. There were practices in the original long list related to this element,
343 including ‘Hold public engagement activities’, ‘Provide educational opportunities to schools
344 and colleges’ and ‘Maintain public rights of way’, but these were not prioritised as practices
345 with high potential for SI. The focus on productivity-related elements, with less focus on
346 social and environmental elements, reflects the productivist lens through which SI is usually
347 understood.

348 Technological solutions feature highly across the priority interventions, whereas only one of
349 the 18 relates to natural habitats, wildlife or ecosystem services, although there were many
350 such practices in the original long list. For example, ‘Wildflower strips’, ‘Grass margins or
351 beetle banks for pest control’, and ‘Reduce cutting of hedgerows’ were all ultimately rejected
352 by the groups. The dominance of technology may partly reflect the composition of the
353 stakeholder group, and the prominence of the ‘Agri-tech’ agenda being promoted by the UK
354 government at the time of the workshop. However, technology has been seen as crucial to SI
355 at least since the Royal Society report in 2009 (The Royal Society 2009). The report notes,
356 for example, that SI : “... requires technologies and approaches that are underpinned by good
357 science. Some of these technologies build on existing knowledge, while others are completely

358 radical approaches, drawing on genomics and high-throughput analysis.”, setting the scene
359 for much of the discussion and research investment around SI that has followed.

360 Our 18 priority practices correspond well to Weltin et al. (2018)’s ‘agronomic development’
361 and ‘resource use efficiency’ fields of action for SI, those relevant at farm, rather than
362 regional/landscape scale. Almost all the SI approaches defined by Weltin et al. in these areas
363 are represented in our set of practices, with the exception of biotechnology and genetic
364 engineering. Since Welton et al.’s framework was based on a systematic literature review of
365 349 papers, over 20 years of research, this fit to their framework adds considerable strength
366 to our set of priority practices.

367 It is likely that a different group of stakeholders would select a slightly different set of
368 priority practices, but we made a concerted effort to represent a wide range of different
369 viewpoints and expertise, and for many of the practices there was strong agreement. This is
370 illustrated by the fact that only 18 priority practices emerged when three separate groups
371 selected their top ten in the workshop, indicating substantial overlap between the groups.

372

373 *On the uptake of 10 selected SI interventions*

374 The most surprising point about the data on uptake of the 10 selected practices is how widely
375 practiced they seem to be in the study areas, given that they were selected as practices
376 thought to be ‘currently available in the UK, but not widely adopted’ (Criterion (iv) used
377 during the process). Seven of the 10 practices were already being used by more than half the
378 surveyed arable farmers (Table 4), and seven of the 10 practices were already being used by
379 one quarter or more of the livestock farmers (Table 3). The most widely used practice was
380 actively managing natural habitats on marginal land for wildlife or ecosystem service benefits

381 (used by 76% of livestock farmers, 86% of arable farmers in England and Wales). Minimum
382 or no till agriculture was used by 81% of arable farmers (Table 4), while 73% of livestock
383 farmers said they were improving animal nutrition to optimise productivity and reduce the
384 environmental footprint of livestock systems (Table 3).

385 The recent history of these practices clearly has a role in explaining their level of uptake.
386 Practices with higher uptake rates such as reduced tillage have been advocated for decades
387 (e.g., a range of industry reports since 2002 advocating reduced tillage are cited in Townsend,
388 Ramsden, and Wilson 2016), whereas precision farming and predicting pest and disease
389 outbreaks rely on big data and could be considered more recently available to farm
390 businesses.

391 There is support from elsewhere for high uptake of at least some of these practices. In a
392 recent survey of 271 farmers from seven European countries, including 20 UK farms (Maria
393 Kernecker, Andrea Knierim, and Wurbs 2017), 77% of farmers said they experimented on
394 their farms. Cover cropping, including green manure, trying new crop varieties and rotations
395 and testing new cultivation techniques, including tillage and soil management methods, were
396 frequently mentioned among experiments being conducted. These authors classed 130 (48%)
397 of the 271 farmers surveyed across seven European countries as 'adopters' of Smart Farming
398 Technologies (explicitly including precision agriculture), based on their attitudes and
399 preferences, although the proportion of adopters varied by country. This is not dissimilar
400 from the uptake rate for precision farming reported for arable farms here (51%, Table 4).
401 These findings support the survey results here, in indicating that European and UK farmers
402 are innovative and keen to adopt new practices to improve sustainability and productivity.

403 Estimates from the Defra-funded Farm Business Survey (FBS) in England (specifically the
404 Fertiliser Usage module capturing data on 1329 farm businesses in 2015/16⁴) also provide
405 some support for the uptake rates in our survey, although tend to be lower. They show that
406 21% of farmers carried out some form of precision agriculture, with 23% using soil nutrient
407 software packages to determine fertiliser application rates. This compares with 19% and 51%
408 of livestock and arable farmers, respectively, in our survey using precision farming. In
409 relation to livestock farming, 58% of farm businesses had temporary and/or permanent grass,
410 which included clover or legumes in grass swards, with 63% of farmers adjusting fertiliser
411 application rates to account for the nitrogen fixation within these swards. These proportions
412 are relatively close to the 70% of livestock farmers in our survey who said they already
413 ‘Reseed pasture for improved sward nutrient value and / or diversity’.

414 There are, however, at least three reasons why our survey might have over-estimated the UK-
415 wide uptake of the practices identified. One possible explanation for the apparent high uptake
416 of some practices is that the descriptions of them were too broad or generic, encompassing a
417 spectrum of practices, with some farmers remaining close to conventional practice and others
418 at the technological frontier. There is no doubt that interpretations of most of the practices
419 vary among farmers and researchers. Care was taken when designing the survey to use
420 farmer-friendly language, and this included piloting the survey within the farming community
421 (Morris, Jarrett, et al. 2017). Even so, it is almost impossible to communicate complex
422 actions in clear concise wording that can only be interpreted a single way. The interpretations
423 of farmers may thus not reflect the practice that was considered by the group not to be widely
424 adopted. For example, minimum till agriculture is widely adopted, whereas no till agriculture
425 is less widely adopted in the UK, yet the wording ‘Reduce tillage to minimum or no till’
426 (Table 1) does not distinguish between these and so the data do not separate them. Data on

⁴ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/612286/fbs-fertiliseruse-statsnotice-04may17.pdf

427 tillage practices in winter wheat grown across England, collected as part of the Crop Monitor
428 project (Fera Science Ltd 2018) show that only 46% of this crop by area was established
429 using reduced tillage methods in 2015, with 41% using reduced cultivation and 5% direct
430 drilled, with no tillage. Townsend et al. (2016) also estimated that 46% of English arable
431 farmers use some form of reduced tillage. The farmers who said they use reduced tillage
432 methods in our survey could have been using them experimentally, on a single field or a
433 small proportion of their land.

434 Similarly, 'Improve the use of agriculturally marginal land for natural habitats to provide
435 benefits such as soil improvement, pollution control or pollination, and allow wildlife to
436 thrive' is a broad statement that encompasses a range of possible approaches (Table 1). The
437 focus of discussion at the workshop was on selecting marginal land for wildlife, with a view
438 to enhancing production-related ecosystem services, thereby optimising productivity as part
439 of the habitat management process (Power 2010; Bommarco, Kleijn, and Potts 2013; Pywell
440 et al. 2015). However, the final wording of the practice does not capture this nuance
441 particularly well. As written, it could easily be interpreted more broadly, as simply providing
442 natural habitat for wildlife, which many UK farmers are doing voluntarily under agri-
443 environment schemes such as Entry Level Stewardship. In 2015, when the survey took place,
444 57% of all English farmland was under Entry Level Stewardship (calculated using the total
445 area of farmland from the June Agriculture Survey (Department for Agriculture 2017), and
446 the area under Entry Level Stewardship from the UK Biodiversity indicator on agri-
447 environment scheme uptake (JNCC 2018).

448 In both examples, more explicit answer options would be needed to establish what
449 respondents had understood each intervention to mean. In the case of the practice related to
450 natural habitats, where motivations for the action are also important, qualitative or semi-
451 structured interviews might also be necessary. Were the farmers managing natural habitat as

452 an active element of farming for ecosystem service delivery, as implied under ecological
453 intensification, or more passively, in response to voluntary government incentives providing
454 additional income at low cost? Previous studies on the motivations of farmers to take up agri-
455 environment schemes or environmental management have repeatedly demonstrated that
456 farmer attitudes to the environment and wildlife, along with utilitarian motivations, such as
457 payment rate and ease of fit within existing farm practice, are important in explaining uptake
458 of environmental measures (Defrancesco et al. 2008; Sattler and Nagel 2010; Sutherland
459 2010). This evidence tends to support the view that the practice of maintaining natural
460 habitats is widely used for other reasons than the way it was intended here, when selected as a
461 priority practice for SI.

462 In another example, there might be highly variable opinions as to what precision agriculture
463 entails, ranging from a £700 Geographical Positioning System aid, to a large machine auto-
464 guidance system giving variable rates of input. Kernecker et al. (2017) found a range of
465 interpretations among European farmers for what are considered 'Smart Farming
466 Technologies', from real time diagnostics using drones or satellites to improvements in
467 irrigation technology.

468

469 A second, alternative interpretation to explain why practices considered not widely adopted
470 by this group of stakeholders turned out to be widely adopted by this set of farmers, is that
471 the stakeholders were not well informed. Perhaps our results represent a disconnect between
472 the world of agricultural research and the actual business of farming, or an exaggeration in
473 the perception of farmers' reluctance to take up new practices. Poor links between research
474 and practice in UK farming were recently identified as an issue by Rose et al. (2018). It
475 should not be the case for the process reported here, since the group who proposed and
476 selected the practices (see Table A1) included several people directly involved in managing

477 farms or providing farm advice, and many others whose day-to-day research work is deeply
478 embedded with agricultural industry.

479 Conversely, it is possible that the high uptake of innovative SI practices in our dataset reflects
480 particularly good links between research and farm practice in our study areas. These seven
481 areas were chosen on the basis of having local research farms and/or well-connected farmer-
482 stakeholder networks. However, the datasets discussed above imply that at least some of
483 these practices are widely adopted across England and Wales.

484 A third plausible explanation for reported high uptake rates is that the farmers responding to
485 our survey were a biased, self-selected set of farmers interested in, and enthusiastic about, SI.

486 There is some evidence to suggest this is not the case. The surveyed farmers were also asked
487 questions about their understanding and level of engagement with SI (discussed in Morris et
488 al. (2017)). Many showed very low awareness and poor understanding of the concept,
489 indicating they are not a self-selected group of farmers engaging with sustainability issues.

490 Coupled with the high uptake figures for the priority practices reported here, this raises a
491 question about whether the concept itself matters, when the farming community is innovating
492 to improve productivity and social and environmental benefits anyway.

493 If the greatest potential for SI is reflected by a larger than expected number of farmers saying
494 they would consider a particular practice, then 'Predict pest and disease outbreaks' on
495 livestock farms, and 'Provide training for farm staff on how to improve sustainability /
496 environmental performance' on arable farms are where efforts should be focused to enable
497 innovation. However, although statistically significant, the positive residuals are relatively
498 small in both cases (Figure 3), so no practice shows very high potential for rapid increases in
499 uptake on this basis. Also, this conclusion makes the implicit assumption that stated
500 intentions can predict actual future behaviour, which is known not always to be true.

501 'Predict pest and disease outbreaks' is also in current use on livestock farms less than would
502 be expected by chance, potentially making a stronger case for it to be prioritised for
503 promotion. The same is not true for staff training on arable farms, which is already used
504 slightly more than expected.

505 For predicting pests and diseases, some kind of decision support tool is likely to be required.
506 As examples, online tools are available for both arable and livestock farmers in the UK to
507 support decision-making around disease and pest control, based on monitoring and
508 forecasting of current problems (<https://cereals.ahdb.org.uk/monitoring.aspx>;
509 <http://www.nadis.org.uk/>).

510 Rose et al. (2016) recently described 15 factors influencing the uptake and use of decision
511 support tools by UK farmers and farm advisers. The factors include cost, ease of use,
512 performance, peer recommendation and level of marketing. Any, several, or all of these
513 factors could explain the difference in use of pest/disease prediction between arable and
514 livestock farms in our survey (Figure 3).

515

516 The majority of farmers in our survey do not train staff on how to improve sustainability or
517 environmental performance. Indeed, most (62% of livestock farms and 37% of arable farms)
518 saw this practice as 'not applicable'. For some farms, this could be because they have very
519 few, if any, staff. It could also be because the focus of training is on compliance with
520 legislation, and environmental training is not an obligation, therefore not considered a
521 priority. This is a concern, because SI is a knowledge- and data-intensive process (Rural
522 Investment Report for Europe (RISE) 2014). Experiential knowledge and training are crucial
523 to promulgating its practice in the farming industry, and both have been shown to improve
524 the implementation of environmental measures on farms (Lobley et al. 2013; McCracken et

525 al. 2015; Waddington et al. 2014). We suggest that policymakers keen to enable SI consider
526 ways to encourage or incentivise sustainability training for farm staff.

527

528 In summary, this set of priority practices for SI provides policy makers, researchers and
529 farmers with a starting point for thinking about how to implement SI in practice. It does not
530 represent a blueprint for a SI strategy, because different sets of practices are appropriate for
531 different production systems, and another set of stakeholders, at a different time, would be
532 likely to have chosen a different set. However, together with data on uptake on existing
533 farms, this can provide some strategic guidance on which practices might be useful to
534 promote through education, awareness-raising and incentives.

535

536

537

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686

687

688 **Table 1. Priority practices for Sustainable Intensification (SI).** Codes in the final column
 689 indicate those 10 practices from the longer list of 18 for which we have survey data. These
 690 codes are used in Tables 3 and 4, and Figures 1 and 2. IFM = Integrated Farm Management.

SI practice	Applicability	IFM element	Included in survey data
1. Grow crop varieties with increased tolerance to stresses such as drought, pests or disease	All	Water/ Crop health	CropVar
2. Reduce tillage to minimum or no till	Arable only	Soil	Till
3. Incorporate cover crops, green manures and other sources of organic matter to improve soil structure	Arable only	Soil	SoilOM
4. Improve animal nutrition to optimise productivity (and quality) and reduce the environmental footprint of livestock systems	Livestock only	Animal husbandry	Animal Nutrition
5. Reseed pasture for improved sward nutrient value and / or diversity	Livestock only	Animal husbandry	Reseed Pasture
6. Predict disease and pest outbreaks using weather and satellite data, and use this information to optimise inputs	All	Husbandry/ Crop health	Predict Pest
7. Adopt precision farming: using the latest technology (e.g. GPS) to target delivery of	All	Water/ Crop health/	Precision Farming

inputs (water, seeds, pesticides, fertilisers, livestock manures)		Soil/Pollution control	
8. Monitor and control on-farm energy use	All	Energy efficiency	Energy Use
9. Improve the use of agriculturally marginal land for natural habitats to provide benefits such as soil improvement, pollution control or pollination, and allow wildlife to thrive	All	Landscape & nature	Natural Habitats
10. Provide training for farm staff on how to improve sustainability / environmental performance	All	Organisation & planning	Staff training
11. Use soil and plant analysis with technology to use fertiliser more efficiently	All	Pollution control	
12. Plant legumes - includes peas and beans, for forage and other products	All	Soil	
13. Use animal health diagnostics to enhance livestock productivity and animal welfare	Livestock	Animal husbandry	
14. Keep more productive / prolific livestock - genetics, breeding technologies (Essential Breeding Values, Artificial Insemination, Embryo Transfer)	Livestock	Animal husbandry	

15.	Controlled traffic farming to minimise soil compaction and energy use	All	Soil	
16.	Reduce the risks associated with pesticide use by adopting IPM techniques	All	Crop health/ Husbandry	
17.	Optimise grazing management to reduce bought-in feeds and increase nitrogen use efficiency	Livestock	Husbandry/ Pollution control	
18.	Benchmarking of environmental, in addition to financial, performance	All	Organisation & planning	

691

692

693 **Table 2 Number of surveyed farms classified in each farm type** according to the June
 694 Agricultural Survey Register (2013)

Farm type	Classification for practices uptake data	Number of farms
Less Favoured Area grazing	Livestock	71
Lowland grazing	Livestock	59
Dairy	Livestock	18
Mixed	Livestock and arable	17
General cropping	Arable	16
Cereals	Arable	62
Other	Excluded	1
Total		244

695

696

697 **Table 3 Uptake of ten priority Sustainable Intensification practices on 165 livestock or**
 698 **mixed farms in England and Wales.** Number of farmers is shown, with proportions of all
 699 farmers for each practice in brackets.

Practice	Using	Would consider	Would not consider	Not applicable	Total
CropVar	46 (27.9%)	27 (16.4%)	13 (7.9%)	79 (47.9%)	165
Till	41 (25.0%)	28 (17.1%)	19 (11.6%)	76 (46.3%)	164
SoilOM	65 (39.6%)	21 (12.8%)	18 (11.0%)	60 (36.6%)	164
AnimalNutrition	120 (72.7%)	24 (14.5%)	14 (8.5%)	7 (4.2%)	165
ReseedPasture	115 (69.7%)	25 (15.2%)	18 (10.9%)	7 (4.2%)	165
PredictPests	23 (14.1%)	46 (28.2%)	46 (28.2%)	48 (29.4%)	163
PrecisionFarming	32 (19.4%)	51 (30.9%)	38 (23.0%)	44 (26.7%)	165
EnergyUse	62 (37.6%)	42 (25.5%)	29 (17.6%)	32 (19.4%)	165
NaturalHabitats	125 (75.8%)	21 (12.7%)	12 (7.3%)	7 (4.2%)	165
StaffTraining	23 (14.1%)	21 (12.9%)	18 (11.0%)	101 (62.0%)	163

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702 **Table 4 Uptake of ten priority Sustainable Intensification practices on 95 arable or**
 703 **mixed farms in England and Wales.** Number of farmers is shown, with proportions of all
 704 farmers for each practice in brackets.

Practice	Using	Would consider	Would not consider	Not applicable	Total
CropVar	70 (74.5%)	19 (20.2%)	3 (3.2%)	2 (2.1%)	94
Till	76 (80.9%)	7 (7.5%)	5 (5.3%)	6 (6.4%)	94
SoilOM	57 (60.0%)	27 (28.4%)	8 (8.4%)	3 (3.2%)	95
AnimalNutrition	36 (37.9%)	10 (10.5%)	8 (8.4%)	41 (43.2%)	95
ReseedPasture	45 (47.4%)	8 (8.4%)	19 (20.0%)	23 (24.2%)	95
PredictPests	52 (54.7%)	23 (24.2%)	16 (16.8%)	4 (4.2%)	95
PrecisionFarming	48 (50.5%)	30 (31.6%)	8 (8.4%)	9 (9.5%)	95
EnergyUse	55 (57.9%)	19 (20.0%)	12 (12.6%)	9 (9.5%)	95
NaturalHabitats	82 (86.3%)	6 (6.3%)	3 (3.2%)	4 (4.2%)	95
StaffTraining	27 (28.7%)	23 (24.5%)	9 (9.6%)	35 (37.2%)	94

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708 **Table 5 Results of Pearson's Chi Squared tests on each practice and farm type.** Answers
 709 were significantly different from random for all but two of the practices – PrecisionFarming
 710 and StaffTraining on Livestock farms. These insignificant test results are shown in italics.

Practice	Livestock/mixed farms		Arable/mixed farms	
	χ^2	<i>p</i> -value	χ^2	<i>p</i> -value
CropVar	19.14	0.000	79.85	0.000
Till	8.34	0.015	111.43	0.000
SoilOM	39.94	0.000	39.80	0.000
AnimalNutrition	130.08	0.000	27.11	0.000
ReseedPasture	111.13	0.000	30.08	0.000
PredictPests	9.20	0.010	24.02	0.000
PrecisionFarming	<i>4.68</i>	<i>0.096</i>	28.00	0.000
EnergyUse	12.47	0.002	37.14	0.000
NaturalHabitats	149.78	0.000	132.15	0.000
StaffTraining	<i>0.61</i>	<i>0.736</i>	9.08	0.011

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713 **Appendix 1: List of participants and their roles in the prioritisation process**714 **Table A1** ‘Sector’ column indicates the type of organisation each participant represents.

715 ‘Role’ column indicates whether the participant took part in stage 1 (initial listing, including

716 consultation with wider networks), stage 2 (online voting for top ten) and/or stage 3

717 (prioritisation down to top 18 at workshop).

First name	Last name	Affiliation	Sector	Role
Frederic	Ang	University of Reading	Research	1,2,3
Steve	Aston	Defra	Government	1,2,3
Nick	Birch	James Hutton Institute	Research	1,2,3
Nigel	Boatman	FERA	Research	1,2,3
Liz	Bowles	Soil Association	NGO	1,2,3
Gillian	Butler	University of Newcastle	Research	1,2
David	Chadwick	Bangor University	Research	1,2,3
Lynn	Dicks	University of Cambridge	Research	1,2,3
Alex	Dinsdale	URSULA agriculture	Business	1,2,3
Sam	Durham	National Farmers’ Union	NGO	1,3
John	Elliott	ADAS	Business	1,2,3
Leslie	Firbank	University of Leeds	Research	1,2,3

First name	Last name	Affiliation	Sector	Role
Andrea	Graham	National Farmers' Union	NGO	1,2
Mark	Hodgkinson	CN Seeds Ltd	Business	1,2
Phil	Howell	NIAB	Research	1,2
Stephen	Humphreys	Bayer	Business	1,2,3
Phil	Jarvis	GWCT/Allerton	NGO	1,2,3
Dewi	Jones	Welsh Government	Government	1,2,3
Daniel	Kindred	ADAS	Business	1,2,3
Stuart	Knight	NIAB	Research	1,2,3
Alastair	Leake	GWCT/Allerton Project	Farming	1,2
Michael	Lee	Rothamsted Research: North Wyke and the University of Bristol	Research	1,2,3
Carlo	Leifert	University of Newcastle	Research	1,2,3
Kim	Matthews	AHDB Beef and Lamb	Business	1,2,3
Alice	Midmer	LEAF	NGO	1,2,3
Mark	Moore	Agco	Business	1,2,3
Simon	Mortimer	University of Reading	Research	1,2,3

First name	Last name	Affiliation	Sector	Role
Charles	Murray	Harper Adams	Research	1,3
Keith	Norman	Velcourt	Business	1,2,3
Stephen	Ramsden	University of Nottingham	Research	1,2,3
Dave	Roberts	SRUC	Research	1,2,3
David	Rose	University of Cambridge	Research	1
Laurence	Smith	Organic Research Centre	Research	1,3
Richard	Soffe	Duchy College	Research	1,2,3
Chris	Stoate	GWCT/Allerton	Farming	1,2,3
William	Sutherland	University of Cambridge	Research	1,2,3
Bryony	Taylor	CABI	NGO	1,2,3
Richard	Tiffin	University of Reading	Research	1,2
Dave	Tinker	IAgrE	NGO	1,2,3
Mark	Topliff	AHDB	NGO	1,2,3
Susan	Twining	ADAS	Business	1,2
John	Wallace	Morley Farm	Farming	1,2,3
David	Watson	Newcastle University Farm	Farming	1,2

First name	Last name	Affiliation	Sector	Role
Pryor	Williams	Bangor University	Research/Farming	1,2,3
Paul	Wilson	University of Nottingham	Research	1,2,3

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For Review Only

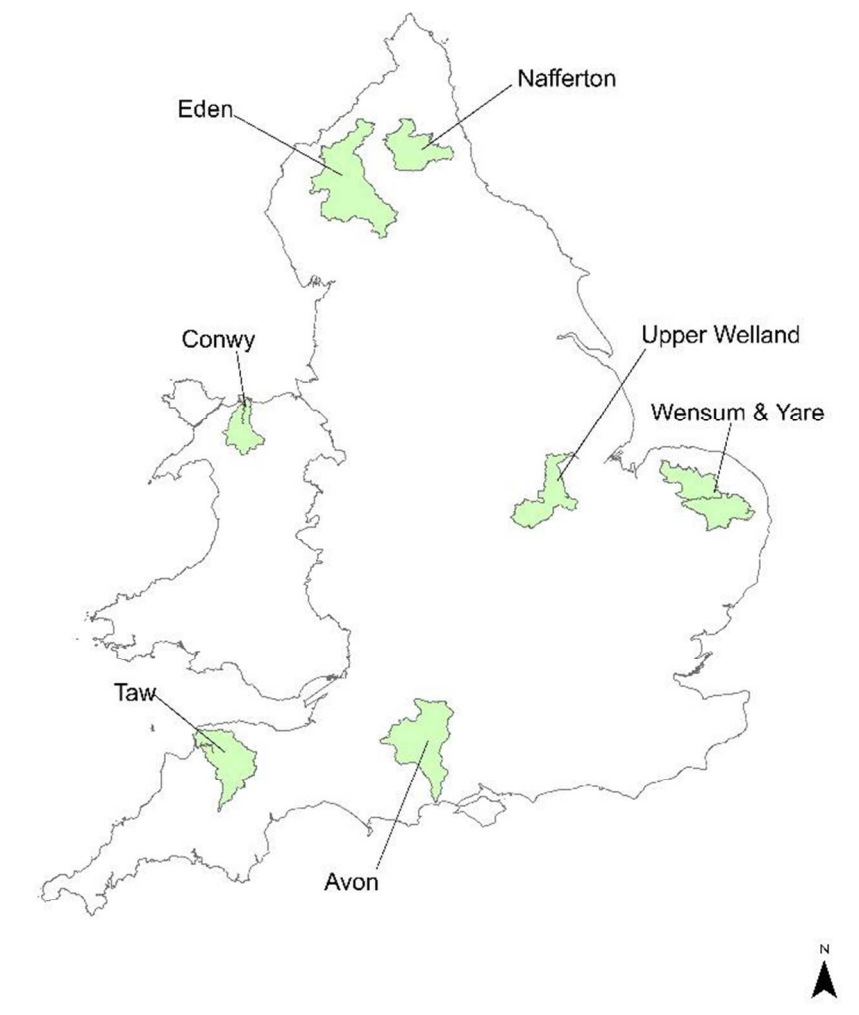


Figure 1 – Study areas for farm survey

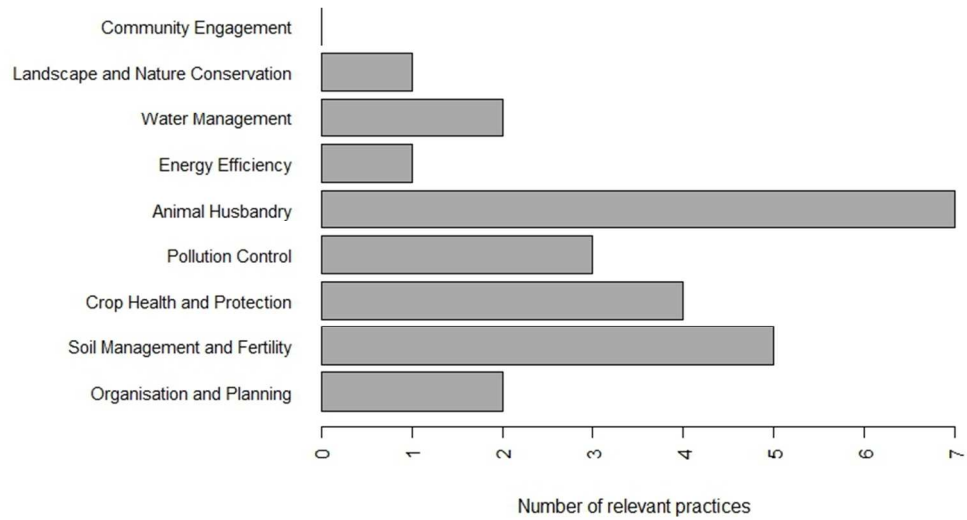


Figure 2 Distribution of priority SI practices among the nine elements of Integrated Farm Management. Some practices apply to more than one element, as shown in Table 1.

225x143mm (96 x 96 DPI)

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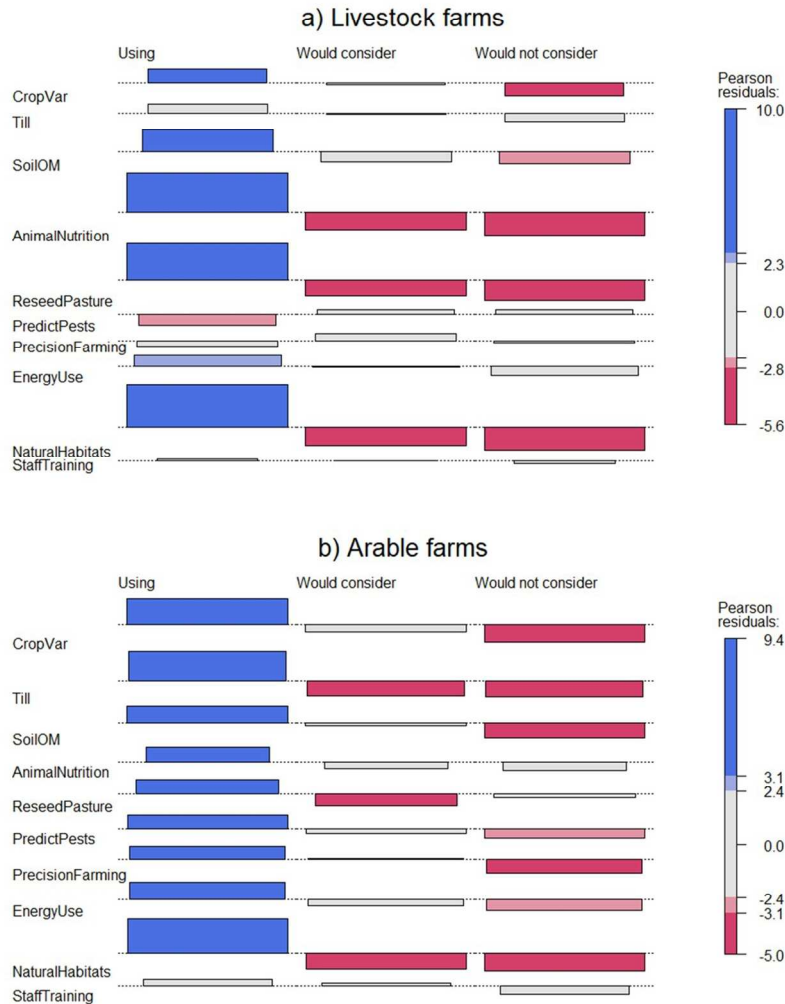


Figure 3 Visualization of contingency tables for each practice, showing the survey responses for a) dairy, lowland grazing, Less Favoured Area grazing or mixed farms and b) general cropping, cereals or mixed farms. Each plot indicates deviations from the expected values, if there was no preference for any answer. Shading indicates residuals based on Pearson’s chi-squared tests conducted for each practice separately (see Table 5 for test results). Each rectangle has (signed) height proportional to the residual and width proportional to the square root of the expected counts, so that the area of the box is proportional to the difference in observed and expected frequencies. The dotted baseline for each practice represents zero residual, where the number of respondents matched the expected value. Practice labels are aligned with their lowest residual value.

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