1 2	Skin conductance responses to masked emotional faces are modulated by hit rate but not signal detection theory adjustments for subjective differences in the detection threshold
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4	Tsikandilakis Myron ¹
5	Peter Chapman ¹
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7	¹ School of Psychology, University of Nottingham
8	
9	Address for correspondence:
10	Tsikandilakis Myron
11 12 13	School of Psychology, University of Nottingham, <u>lpxmt2@nottingham.ac.uk</u> , <u>myron.tsikandilakis@nottingham.ac.uk</u> , <u>myron.tsikandilakis@kcl.ac.uk</u> , <u>ps202mt@gold.ac.uk</u> .
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Abstract

32 The biological preparedness model has been interpreted to suggest that survival and social communication related visual cues can elicit physiological changes without awareness 33 to enable us to instantly respond to our environment. Previous studies that tested this 34 hypothesis using skin conductance have reported some evidence for physiological changes in 35 response to masked emotional faces. In the current paper, we argue that this evidence is 36 subject to possible methodological confounds. These include the use of a universal masked 37 38 presentation threshold (e.g. 16.67 ms), the employment of possibly biased criteria such hit rates to measure meta-awareness and the assertion of overall guess-level target detection 39 40 using non-significance. In the current report, we attempt to address these issues and test 41 whether masked emotional faces can elicit changes in physiology. We present participants with subjectively adjusted masked angry, fearful, happy and neutral faces using hit rates and 42 signal detection theory measures. We assess detection performance using a strict Bayesian 43 criterion for guess-level target meta-awareness. Our findings reveal that hit rate adjustments 44 in the detection threshold allow higher skin conductance responses to happy, fearful and 45 angry faces but that this effect could not be reported by the same participants when the 46 adjustments were made using unbiased signal detection measures. Combined these findings 47 suggest that very brief biologically relevant stimuli can elicit physiological changes but cast 48 doubt to the extent that this effect can occur in response to truly unconscious emotional faces. 49

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Introduction

55	In the last 30 years psychological research achieved technological and methodological
56	advancements that enabled the scientific exploration of a very old and very interesting
57	question (Freud, 1915): Can we experience unconscious emotion? Contemporary research in
58	the area (Öhman & Soares, 1994) typically includes the presentation of very brief (6.25 to
59	83.33 ms) emotional stimuli (van der Ploeg et al., 2017) that are masked by neutral stimuli to
60	render the masked targets consciously imperceptible (Bachmann & Francis, 2013).
61	Participant responses to these targets are considered evidence for unconscious processing
62	(Axelrod et al., 2015).
63	The theoretical foundation for this unconscious processing stems from what
64	psychologists term the biological preparedness model (Mineka & Öhman, 2002; LeDoux,
65	2003). According to this model when we encounter particularly threat-related cues such as a
66	threatening animal or a fearful face (Brooks et al., 2012) we recruit a fast-subcortical
67	processing pathway to the amygdala (Liddell et al., 2006) that disseminates autonomic
68	nervous system arousal (van der Ploeg et al., 2017). The purpose of this pathway is to allow
69	us to instinctively adapt to important signals in our environment that require an imminent
70	response by eliciting automatic and involuntary physiological changes (van der Ploeg et al.,
71	2017).
72	Previous research tested this theoretical model using a variety of masking techniques
73	(Bachmann & Francis, 2013) and reported some evidence in support of this proposition (van
74	der Ploeg et al., 2017). Most previous studies (Esteves et al., 1994a; 1994b; Morris et al.,

751998; Lapate et al, 2014) employed skin conductance recordings (SCR) to assess the effect

- because SCR is a measure of sympathetic autonomic nervous system arousal (Carlson, 2014)
- that can record physiological responses that are not under conscious regulation (Öhman,

78 2005) - such as fight or flight responses (Flykt et al., 2007) - and is also relatively

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impenetrable to parasympathetic nervous system arousal artefacts (Cacioppo et al., 2007).

For example, Williams and colleagues (2006) reported a significance trend (p = .08) 80 81 for higher SCR in response to backwards masked fearful faces compared to neutral faces when presented for 16.67 ms but several follow-up studies failed to replicate this trend 82 (Nielsen & Kaszniak, 2006; Codispoti et al., 2009). In more recent studies, Najstrom and 83 Jansson (2007) reported that police officers (Mann et al., 2004; Correll et al., 2006; 84 McCasslin et al., 2006) experience higher SCR in response to backwards masked threatening 85 pictures for 6 ms compared to neutral pictures for 6 ms and Lapate and colleagues (2014) also 86 87 reported significant findings for higher SCR and decreased liking ratings for subsequently presented neutral targets (see also Winkielman et al., 2005) when participants were presented 88 with fearful faces using dichoptic masking (Maehara & Goryo, 2005) 89

90 These findings provide support for unconscious emotional processing (LeDoux, 91 2003) but pose several possible limitations (Lähteenmäki et al., 2015; p. 2-5). The most important possible confound in previous research is the employment of a universal threshold 92 for masked stimuli presentation (Pessoa et al., 2005a; 2005b). Previous studies presented 93 94 masked stimuli for 6.25 to 83.33 ms (van der Ploeg et al., 2017) relying on that other previous studies reported that overall target meta-awareness - the ability to respond if a target 95 was presented in a post-experimental or post-trial task (Erdelyi, 2004) - was not significantly 96 97 different than chance.

A possible issue with this approach is that previous research has also reported
between stimuli types (Calvo & Lundqvist, 2008) and between participants (Pessoa et al,
2005a; 2005b) differences in the ability to detect masked stimuli. For example, the happy
face superiority effect (Calvo & Lundqvist, 2008; p. 113-115) posits that positively valanced

102 masked faces such as happy faces are detected more accurately than other masked emotions because they portray more easily distinguishable facial characteristics. It is additionally 103 possible that participants will report subjective differences in meta-awareness for the 104 105 presented stimuli (Pessoa & Adolphs, 2010). Previous studies have reported substantial groups of *overachievers* - that could reliably discriminate the presence of a masked fearful 106 face at 16.67 and 33.33 ms - and underachievers - that could not discriminate the presence of 107 108 a masked fearful face even at 67 ms (Pessoa et al., 2005a; 2005b; 2017). This casts doubt to the extent that a universal threshold that is not adjusted for per participant and stimuli type 109 110 differences in target meta-awareness is sufficient for unconscious stimuli presentation.

111 Another possible issue is that previous research has reached a consensus in respect to unconscious processing as the inability to perform different than chance in discriminating or 112 detecting a masked target (Pessoa et al., 2005a; 2005b). In this context, chance-level 113 114 performance indicates that participants were guessing - that they were in a sense performing "like a blind person would" (Erdelyi, 2004; p.79) - and were not aware whether a face was 115 116 presented or not (Stanislaw & Todorov, 1999). The main problem with this guess-level criterion is that it is commonly assessed using hit rates (Brooks et al, 2012) and almost 117 unanimously asserted using non-significance to chance-level detection performance (Dienes, 118 119 2015).

The possible limitation with using hit rates is quite straight-forward (Lähteenmäki et al., 2015). Participants can employ subjective strategies for replying for target metaawareness. These strategies can be overly conservative - such as replying having seen a face only when they are beyond a shadow of a doubt certain a face was presented - or overly liberal - such as replying that they saw a face even when they are quite unsure if one was presented. This makes reporting chance-level performance using hit rates possibly unrepresentative of realistic target meta-awareness and previous research has strongly

recommended the employment of unbiased signal detection theory measures that can provide
a ratio between correct (hits) and incorrect (false alarms) responses (Stanislaw & Todorov,
1999) for the assessment of detection and discrimination tasks (Pessoa et al., 2005a; 2005b).

The issue with non-significance is that - irrespectively of using hit rates or signal 130 detection theory - chance-level performance is asserted based on insufficient statistical 131 analysis (Dienes, 2015). In simple terms, the methodological approach in previous research 132 (Brooks et al., 2012) is the calculation of overall hit rate performance or signal detection 133 theory performance (d', A', A'', A) and its comparison against absolute chance (HR = 50 %, 134 d' = .0, A' = .5). In case of non-significant findings, the researchers claim unconscious 135 processing. The problem with this approach is that overall performance being not 136 significantly different to chance - lack of evidence for the alternate hypothesis - is interpreted 137 as significantly at-chance - evidence for the null (Dienes, 2014; 2015). Further Bonferonni 138 139 corrected pairwise comparisons are non-sensical because the alpha corrections operate in favour of unawareness (Overgaard et al., 2013). Previous research has suggested that 140 141 Bayesian analysis should be undertaken to directly compare the null - evidence for chance level processing (B < 1/3) - to the alternate hypothesis - significantly different than chance (B 142 > 3) in addition to frequentist approaches (Dienes, 2015) but research in the current field has 143 not employed this method of assessment yet to assert unconscious processing (Van der Ploeg, 144 2017). 145

Given these possible limitations the aim of the current study was to introduce the necessary methodological developments to establish unconscious presentation of emotional faces and test if unconscious emotional faces can elicit changes in physiology. To meet these objectives, we pre-experimentally adjusted for subjective differences in the detection threshold (Pessoa et al., 2005a; 2005b) using hit rate and non-parametric signal detection theory measures (Van der Ploeg et al., 2017) and assessed detection performance using

combined frequentist and Bayesian criteria for meta-awareness (Dienes, 2015). Then we used
the pre-experimentally defined thresholds for masked stimuli presentation and explored if
masked angry, fearful, happy and neutral faces can elicit changes in physiology using skin
conductance recording.

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157 158 **Participants**

Methods

Twenty-five (fourteen female) participants gave informed consent to participate in the 159 current study. Mean age was 32.9 (SD = 7.2). The exclusion criteria for the current study 160 161 were history of head trauma, current or previous psychiatric diagnosis (self-report), and current or previous diagnosis of drug or alcohol abuse; self-report. The participants were 162 screened with the Sphere-12 mood questionnaire (Hickie et al., 2001). Participants with 163 164 scores at or below 1.0 were included. The participants were also screened using an on-line Alexithymia-Emotional Blindness questionnaire (Alexithymia, 2017) and participants with 165 scores that indicated possible traits (P > 94) or diagnosis (P > 112) for alexithymia were 166 excluded; data from a single participant were excluded from the study. We were able post-167 experimentally to contact several of the participants to acquire ethnic backwards information 168 169 via mail. Most of the participants that took part in the pilot (British: 70.59%; Greek: 17.64 %; 170 not responded: 11.76 %) and main experimental (British: 79.17 %; Italian: 12.5 %; Greek: 8.33 %) stages were white Caucasians recruited and were tested in the university of 171 Nottingham. The experiment was approved by the University of Nottingham, School of 172 Psychology Ethical Research Committee. 173

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- 176 Facial Stimuli
- 177

The facial stimuli were taken from the facial set created by Gur and colleagues (2002). A total of one-hundred photos per emotional category (angry, fearful, happy and neutral) were resized to a standard 1024x768 resolution, converted to greyscale and framed into pure white within a cropped circle (Height: 6 cm, Width: 4 cm). A total of 20 pattern blurs were also created, converted to greyscale, framed into pure white and framed within a cropped circle with the same dimensions using photoshop. Luminescence was averaged across all stimuli using Matlab SHINE.

185 Stimuli pre-Selection

186 The processed facial stimuli were preselected during a pilot pre-experimental stage. Processed faces were presented to a separate set of participants (n = 17) at fixation for one 187 second preceded by a fixation cross for three seconds. Pretarget baseline and maximum 188 189 deferral skin conductance (1-3 seconds) were recorded during the presentation. Seven seconds after each trial participants were assigned a stimuli classification, a stimuli intensity 190 191 and a stimuli ambiguity engagement task. They were allowed six seconds to choose what emotion the presented face was expressing. They made this response using their keyboard, 192 choosing from an on-screen list – angry (a), fearful (f), happy (h), surprised (s), neutral (n), or 193 194 other (o). Subsequently, they were asked to rate from one (not at all) to ten (extremely) the ambiguity and intensity of the presented faces. The order of stimuli was randomised and 195 participants were allowed six seconds to perform each task. An inter-trial blank screen period 196 197 of eight seconds was used to allow skin conductance responses to return to baseline.

We ran two different stages of stimuli pre-selection. We selected angry, fearful, happy and neutral stimuli that produced strict alpha significance criterion ($p \le .01$) for correct classification of emotional valence. Surprised facial expressions (Tottenham et al., 2009)

201 were initially intended to be part of this study (Duan et al., 2010). These were not included because the stimuli number that produced a statistically significant emotional type 202 recognition effect (n = 14) during the first stimuli pre-selection stage was smaller than the 203 required number of stimuli (n = 30). We chose from the available subset the thirty angry, 204 fearful and happy stimuli that reported the highest scores in a self-developed percentage 205 based metric (I.F. (%): Impact Factor) that took under equal consideration (50%) reports for 206 207 stimuli ambiguity and intensity, and maximum deferral skin conductance arousal (Appendix 208 1.1):

209 I.F. (%) =
$$\left(\frac{(10 - \text{Amb}^{1.}) + (\text{Int}^{.2})}{2}\right) * 50$$
 + $\left(\left(\frac{\text{SCR Maximum Deferral}^{3}}{\text{Max}\left\{\text{SCR Maximum Deferral for Stimuli Type}^{4}\right\}}\right) * 50$

The final stimuli set comprised of 30 angry, fearful and happy stimuli and a total of 60 210 211 Neutral faces. The faces were from both male (52.67%) and female actors (47.33%). The dataset (Gur et al., 2002) did not contain ethnic and cultural origin labels. The selected 212 213 stimuli were therefore, post-experimentally assessed using Noldus, Face Reader 6.1 (Noldus, 214 2017). The facial set comprised of Caucasian (58%), African (17.33%) and Asian (15.33%) actors. A small number of the stimuli (9.33%) were reported as unknown-other or did not 215 provide a sufficient certainty report (≥ 85 %) for ethnic origin. No further analysis was 216 conducted to explore cultural and ethnic origins effects for the current study (Tsikandilakis et 217 218 al., 2018; in preparation).

¹ Amb: Ambiguity using a one (not at all) to ten (extremely) scale. This item is reversed (10 - x).

² Int: Intensity using a one (not at all) to ten (extremely) scale.

³ SCR Maximum Deferral: Highest unambiguous increase of a phasic skin conductance response one to three second post stimulus with respect to pretarget baseline for the specific stimuli.

⁴ Max {SCR Maximum Deferral for Stimuli Type}: The score for the stimuli with the highest unambiguous increase in phasic skin conductance response one to three second post stimulus with respect to pretarget baseline for the specific emotional stimuli category (angry, fearful or happy).

- 220 Equipment and Programming
- 221

Two computers were used during the experimental stages; one for stimuli presentation and one for recording physiological arousal. The two computers were connected using a PCI parallel port adapter (latency < .1 s). Stimuli presentation was coded using the builder and code components in Psychopy v1.83 (Peirce, 2007). Stimuli were presented on an HD LED LENOVO monitor with 120 Hz (8.33 ms) refresh rate. An IO platform transmitted five-volt binary signals in five digital channels that distinguished stimuli type following signal onset.

228 Stimuli Presentation Validation Testing

A 4.17 ms refresh rate CANON G16 camera recorded a pilot run of the experiment 229 and the presentation content was assessed frame by frame. No instances of dropped frames 230 were found. A dropped frame report script with one frame (8.33 ms) tolerance threshold was 231 232 coded in Python and two pilot experimental diagnostic sessions were run. The presenting monitor reported no dropped frames and the prognostic dropped frame rate was 1 in 5000 233 trials. Experimental stages were subsequently run using dropped frames diagnostics and 234 frame rate performance diagnostics of the stimuli presenting monitor. At no point during the 235 running of the experiment were there any reports of dropped frames. 236

237 Skin Conductance Recording and Analysis

Skin conductance responses were measured from the left hand (index/first and
middle/second fingers; Banks et al., 2012) of each participant using skin conductance
electrodes with Biopac (Gel 101) skin conductance gel. The signals were received by a
BIOPAC Systems, EDA100C preamplifier in units of microSiemens and recorded in *AcqKnowledge* (Braithwaite et al., 2013). We used the higher end of recommended

specification for recording skin conductance (EDA channel sample rate: 2 Khz; acquisition
rate: 2000 samples/per-second; gain: x1000).

To make our data comparable with previous research that reported trends for 245 significance or significant results in response to masked emotional faces (van der Ploeg et al., 246 2017) we used the exact same analysis parameters. The presence of a phasic skin 247 conductance response was defined as an unambiguous increase $(.01 \ \mu S)$ with respect to each 248 pretarget baseline occurring 1-3 seconds post stimuli offset. The raw signal was processed 249 using the Derive Phasic EDA from Tonic and Dirac Delta (δ) functions. The data did not 250 require additional smoothing, filtering or transformations (Braithwaite, 2013; p. 1027-29). 251 252 Non-responders were included in the analysis.

253 Stage One: Per Participant and Stimulus Type Detection Threshold

254 Participants were invited in a laboratory space with controlled lighting and temperature. They were informed that they will be presented with brief emotional faces and 255 they will be asked to decide how many faces were presented after each trial. During this 256 stage, we presented a fixation cross for $3 (\pm 1)$ seconds in the middle of the screen. After the 257 cross, an angry, fearful, happy, or neutral face or a matched for luminescence pattern blur 258 259 was presented for 8.33 or 16.67 or 25 ms with backwards masking to a 108.33 ms neutral face. Twenty emotional faces for each duration, eighty pattern blur trials and fifteen neutral 260 261 masks showing actors who were not part of the masked stimuli subset were presented in total. 262 All stimuli were presented in randomised order. Five seconds after each trial an on-screen message asked participants to decide how many faces were presented on screen: "How many 263 faces did you see? Please press 1 for one or 2 for two". Participants were asked to reply 264 265 using the keyboard with their right hand. This stage was performed seven days before and at the exact same time of day as stage two. 266

267 Stage One: Data Processing

268	The individual per stimulus type detection threshold was calculated separately using
269	hit rates (percentage of true positives) and non-parametric signal detection theory (Zhang &
270	Mueller, 2005). For each participant, the duration of presentation (8.33 or 16.67 or 25ms) that
271	produced the smallest negative or positive overall detection performance difference to chance
272	per stimulus type was imported separately for hit rates and signal detection theory measures
273	to the main experiment (i.e. the duration for which the value of $[0.5 P_{threshold}]$ was closest to
274	.5). When participants reported an equal distance to chance between two thresholds (e.g.
275	16.67 ms: .45 and 35 ms: .55) the briefer duration was imported in the main stage.
276	Stage Two: Physiological Arousal in Response to Hit rate and Sensitivity index adjusted

277

Faces

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Participants were invited to the same laboratory space under identical experimental 279 280 conditions, including the same presenting monitor, response equipment, room temperature and room luminance. They were informed that they will be presented with brief emotional 281 faces while their physiology is measured. They were asked to complete two fifteen-minute 282 283 sessions with a five-minute interval break. In one of the sessions, participants watched masked emotional stimuli that were adjusted using hit rates for the duration of the masked 284 targets. In the other session, participants watched masked emotional stimuli that were 285 286 adjusted using signal detection theory for the duration of the masked targets. Session order was randomised. 287

In both sessions, we presented a fixation cross for $3 (\pm 1)$ seconds in the middle of the screen. After the cross, an angry or fearful or happy or neutral face or a pattern blur was presented at fixation with backwards masking to a 108.33 ms neutral face (Figure 1). Five novel stimuli per emotional category and twenty pattern-blur trials were presented in total.

Fifteen neutral masks were presented in total showing actors who were not part of the masked stimuli subset for either neutral or emotional masked faces for stage one or two of the experimental process. All stimuli were presented in randomised order and skin conductance responses were measured during the presentation. The participants were not assigned with an engagement task during this stage. After each trial, an eight seconds blank interval screen was presented to allow physiology to return to baseline.

298 Figure 1: Example of Stimuli Sequence with Fearful Masked Target



Results

307 Stage One: Hit Rate Thresholds

To explore if masked faces using hit rates were not-significantly different to chance 308 we run one-sample t-tests against absolute chance-level performance (50%) for overall and 309 per stimuli type target meta-awareness. Overall hit rate adjusted emotional faces (M = 49.53310 %, S.D. = 1.84 %) were not significantly different to chance (t (23) = 1.25; p = .22). The 311 same effect was reported separately for angry (M = 48.96 %, S.D. = 4.89 %; t (23) = 1.05; p 312 = .31), fearful (M = 49.58 %, S.D. = 3.88 %; t (23) = .53; p = .6), happy (M = 50.21 %, S.D. 313 = 4.29 %; t (23) = .29; p = .81) and neutral faces (M = 49.38 %, S.D. = 3.39 %; t (23) = .9; p 314 = .38). 315

To further explore these results, a uniform Bayesian analysis corrected for degrees 316 of freedom (df < 30; SE = (SE x $(1 + \frac{20}{dfxdf}))$ (Berry, 1996) was run using the Dienes 317 calculator (2014; 2015). We set the higher and lower bounds for chance-level hit rate 318 performance to a conservative -.5 (45%) and .5 (55%) criterion with 0 representing absolute 319 chance-level performance. Overall hit rate performance (S.E. = .37; B = .2) was significantly 320 at-chance. The same effect was reported for fearful faces (S.E. = .79; B = .23), happy faces 321 (S.E. = .89; B = .23), neutral faces (S.E. = .8; B = .26) but not angry faces (S.E. = 1; B = .43)322 suggesting that the latter was the only type that was insensitive to both competing hypothesis 323 324 (Figure 2; Individual Thresholds in Appendix 2.1).

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331 332 Figure 2: Overall and per stimulus type hit rate percentage performance for 8.33, 16.67, 25 ms and hit rate 333 adjusted faces (HRA). Midline indicates chance-level performance. Error bars for each score indicate Standard 334 Error of the mean.

335

Stage One: Signal Detection Theory Thresholds 336

To explore if masked faces using signal detection theory were not-significantly 337

different to chance we run one-sample t-tests against absolute chance-level performance (.5) 338

339 for overall and per stimuli type target meta-awareness. Overall signal detection theory

adjusted faces (M = .496, S.D. = .037) were not significantly different to chance (t (23) = .49; 340

p = .63). The same effect was reported for angry (M = .494, S.D. = .062; t (23) = .55; p = 341

.59), fearful (M = .494, S.D. = .061; t (23) = .53; p = .6), happy (M = .514, S.D. = .042; t (23) 342

= 1.62; p = .12) and neutral faces (M = .485, S.D. = .6; t (23) = 1.22; p = .24). 343

344	To further explore these results, a uniform Bayesian analysis corrected for degrees of
345	freedom (df < 30; SE = (SE x $(1 + \frac{20}{dfxdf}))$ (Berry, 1996) was run using the Dienes calculator
346	(2014; 2015). We set the higher and lower bounds for chance-level signal detection theory
347	performance to a conservative5 and .5 criterion with 0 representing absolute chance-level
348	performance. Overall signal detection theory performance (S.E. = $.008$; B = $.22$) was
349	significantly at-chance. Fearful faces (S.E. = $.013$; B = $.37$) and angry faces (S.E. = $.013$; B =
350	.38) showed trends for at-chance level processing and happy faces (S.E. = $.009$; B = $.73$), and
351	neutral faces (S.E. = $.013$; B = $.64$) were insensitive to both competing hypothesis (Figure 3;
352	Individual Thresholds in Appendix 2.2).





Figure 3: Participant threshold for each masked emotional stimulus for the signal detection theory session in
 stage two. SDTA refers to faces adjusted using signal detection theory (A) for the duration of masked stimuli
 presentation.

361 Stage Two: Skin Conductance Responses

To explore if hit rate adjusted emotional faces produced differences in skin
conductance a one-way repeated measures ANOVA was run with independent variable
Stimulus Type (angry, fearful, happy, neutral and pattern blur) and dependent variable
maximum deferral (1-3 seconds) skin conductance (μS) for hit rate adjusted faces. A main

366	effect of Stimulus Type was reported (F (1.64, 37.72) = 57.69 p <. 001; η^2 =.72; Greenhouse-
367	Geiser corrected). Bonferroni adjusted pairwise comparisons reported that SCR scores were
368	significantly higher for angry faces (M = $.034$, SD = $.015$) than for happy (M = $.018$, SD =
369	.007; p < .001, $d = 1.36$) and neutral faces (M = .01, SD = .007; p < .001, $d = 2.05$) and for
370	the pattern blur condition (M = .01, SD = .003; p < .001, $d = 1.34$).SCR scores were also
371	significantly higher for fearful faces (M = .045, SD = .022) than for angry (p < .01, d = .58),
372	happy (p < .001, $d = 1.65$), neutral faces (p < .001, $d = 2.14$) and for the pattern blur condition
373	(p < .001, $d = 2.22$). Happy faces were also higher for SCR than neutral faces (p = .001, $d =$
374	1.14) and the patter blur condition (p < .001, $d = 1.49$). Skin conductance responses were not
375	significantly different between different stimulus types for signal detection theory adjusted
376	emotional faces (F (2.47, 56.84) = 1.24, p = .3; η^2 = .05; Greenhouse-Geiser corrected)
377	suggesting that only hit rate adjusted angry, fearful and happy faces elicited higher skin
378	conductance scores in the current experimental setup (see also Appendix 3.1).

Discussion

In the current experimental design, we tested if subjective adjustments in the 380 381 threshold of presentation for masked emotional faces can elicit skin conductance responses. 382 We used hit rate and signal detection theory adjustments in the threshold of presentation and we also implemented a combined frequentist and Bayesian assessment of chance-level 383 384 detection performance. The frequentist analysis of detection performance showed that overall 385 and per stimulus type masked faces were not processed significantly different to chance. 386 Bayesian analysis of the same data revealed that both hit rate and signal detection theory 387 adjusted faces were overall significantly at-chance. Hit rate adjusted angry faces and signal detection theory adjusted happy and neutral faces were insensitive to both competing 388 hypothesis. For the physiological assessment our analysis revealed evidence for higher skin 389

conductance for masked angry, fearful and happy faces that were adjusted using hit rates.
Masked targets that were adjusted using signal detection theory measures did not report
significant differences in skin conductance between different emotional faces.

The biological preparedness theory (Mineka & Öhman, 2002) suggests that 393 particularly fear is an evolutionary important, encapsulated module. Fear responses according 394 to this model are elicited in response to preferentially pre-technological (Seligman, 1971) 395 396 survival threats that have phylogenetic and neural evolutionary precedence and are therefore, impenetrable to the more recent emergence of cognitive control (see also Lapate et al., 2014). 397 These threats include angry faces - as a mean for ingroup social submission - and fearful 398 399 faces - as an indication of unseen environmental danger - (Öhman, 2009), and elicit automatic and involuntary physiological responses before cognitive analysis of the fear-related stimulus 400 using a dedicated subcortical neural pathway (Brooks et al., 2012). A number of previous 401 402 studies (van der Ploeg et al., 2017) have tested this model using masked emotional faces and suggested that physiological changes to biologically relevant stimuli can also occur without 403 404 conscious target meta-awareness (Pessoa & Adolphs, 2010).

The current data support that at least the latter is not the case (van der Ploeg et al., 405 406 2017). As mentioned in the introduction, in the current report we addressed a number of possible confounds in previous research including subjective differences in the detection 407 threshold (Pessoa et al., 2005a; 2005b) and per stimuli type differences in the detection 408 threshold (Calvo & Lundqvist, 2008). We particularly noted that masked neutral faces for set 409 presentation thresholds (8.33 or 16.67 or 25 ms) were detected less accurately than other 410 stimuli types (Figure 2) possibly as a function of emotional congruence with the neutral mask 411 (Kim et al., 2010). Irrespectively of stimulus type, post the adjustments in the detection 412 threshold all masked targets were not significantly different to chance-level meta-awareness 413 and most stimuli types were significantly at-chance (Figure 2 and 3). This means that in the 414

415 current report, participants had approximately equal visual accessibility for different
416 emotional stimuli and that this accessibility was as close to chance as the experimental
417 parameters allowed using hit rates and signal detection theory.

As Erdelyi (2004) posits unconscious or masked or implicit or subliminal processing 418 419 (Dehaene et al., 2006) is based on empirical evidence using a dissociation paradigm where availability (ϵ) exceeds accessibility (α) such as that for $\alpha = 0$, $\epsilon > \alpha$. In the current context, 420 our results suggest that when visual accessibility is equal to zero using hit rates angry, fearful 421 422 and happy faces elicited higher skin conductance responses than neutral and non-facial pattern stimuli. When visual accessibility was equal to zero using unbiased signal detection 423 theory measures there were no significant differences in skin conductance responses between 424 different emotions. In simple terms, when participants individually and objectively responded 425 'like a blind person would' (Erdelyi, 2004; p. 79) we could not report evidence for subliminal 426 427 or unconscious physiological responses.

428 In respect to the biological preparedness model this suggests that - even if masked targets are physiologically processed before cognitive analysis (Mineka & Öhman, 2002) -429 they cannot be physiologically processed without conscious meta-awareness (Pessoa et al., 430 431 2005a; 2005b). These results also suggest that previous findings in the area (van der Ploeg et al., 2017) that have reported that target meta-awareness is not a necessary condition for 432 physiological responses to masked emotional faces might have been the outcome of 433 insufficient target masking (Kim et al., 2010) and that further methodological developments 434 435 such as signal detection theory (Pessoa et al., 2005a) subjective adjustments (Calvo & 436 Lundqvist, 2008) and analysis for chance-level significance (Dienes, 2015) were required to properly assess and assert unconscious processing. 437

438 Our report also poses a number of additional limitations that should be further
439 addressed (Tsikandilakis, Chapman & Peirce, 2017; in print). A basic limitation of the current

440 design is that we need to factor time as a possible variable in signal detection (Erdelyi, 2004). Pre-experimentally defining chance-level processing is indicative for participant meta-441 awareness but it does not imply that the implemented threshold might not vary from the 442 443 threshold definition to the physiological assessment stages. Physiological correlates of 444 awareness by condition such as further analysis of hits and misses (Pessoa et al., 2005a; 2005b) and subjective detection confidence reports (Overgaard et al., 2013) during the 445 446 physiological assessment stage are needed to further assess unconscious processing (Lau, 2008). The current results are also limited by our method of assessment and cannot address 447 448 whether further physiological measures such as heart rate or EMG, neural responses or behavioural responses will report the same effect when controlled for individual differences 449 450 in signal detection (Brooks et al., 2012; Lapate et al., 2014; van der Ploeg et al., 2017)

451

Conclusions

452 The current study is to our knowledge the first attempt in implementing subjective adjustments and Bayesian analysis for chance-level detection performance for the assessment 453 of physiological responses to masked emotional faces. Our findings suggest that brief angry, 454 455 fearful and happy emotional faces can elicit changes in skin conductance but that when these emotional faces are adjusted for subjective differences in target detection using unbiased 456 signal detection theory measures there are no differences in skin conductance responses 457 458 between different emotions. These findings cast doubt to the extent that we can physiologically respond to truly unconscious targets. 459

460

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- 604

- Appendix
- 1.1: 606
- 607

609 1.97) that were included in the final selection were not significantly different (F = 1.41, p =

Stimuli	Accuracy	Stimuli	Intensity	Stimuli	Ambiguity
Туре	(%)	Туре	(1 - 10)	Туре	(1 - 10)
Angry	78.67 (8.49)	Angry	6.68 (1.15)	Angry	5.12 (.99)
Fearful	79.74 (8.38)	Fearful	6.89 (1.13)	Fearful	5.45 (.92)
Нарру	82.67 (8.66)	Нарру	5.91 (1.19)	Нарру	5.14 (1)
Neutral	89.05 (8.19)			Neutral	3.55 (1.42)

610 .19) in I.F. (%) scores.

To explore the effect of emotional stimuli on skin conductance a repeated measures ANOVA was run with independent variable Stimuli Type (angry, fearful, happy and neutral) and dependent variable SCR (maximum deferral). The model reported a significant effect of Stimuli Type (p < .01; $\eta^2 = .56$) An additional repeated measures ANOVA was run with independent variable Stimuli Type (angry, fearful, happy and neutral) and dependent variable HR⁵ (maximum deferral BPM) scores. The model reported a significant effect of Stimuli Type (p < .01; $\eta^2 = .67$).

Adjusted	SCR			HR		619
P values	Fear	Нарру	Neutral	Fear	Нарру	Neutral
Anger	.15	.21	.00	.14	.52	.01 620
Fear		.18	.00		.09	.00621
Нарру			.01			.03

⁵ Heart Rate was measured during the preselection stage, but was not used in the analysis because heart rate responses were not included in the main experimental stage.



623 2.1:

624 Thresholds Hit Rates

Colu		Column		Column		Column		Column
mn1	Angry	2	Fearful	5	Нарру	8	Neutral	11
	HR	D	HR		HR		HR	
	I hreshol	Perform	I hreshol	Perform	I hreshol	Perform	Inreshol	Perform
1	u 16		u 16		u 16		u 25	
1	10	45	10	50	10	55	25	50
2	10	4J 50	10	4J 50	10	50	16	JU 15
	10	30	10	30	10	55	10	43
4 5	10	40	10	4J 50	10	50	10	/5
6	25	55	16	10	16	/5	25	50
7	16	50	16	50	16	50	25	50
, 8	25	55	25	50	16	55	25	55
9	25	60	16	50	16	55	16	45
10	16	45	16	55	16	40	16	45
11	16	40	16	55	25	50	16	50
12	16	50	16	50	16	50	16	50
13	16	55	16	45	16	45	16	45
14	16	55	16	50	16	55	16	45
15	16	50	16	55	16	45	25	50
16	16	50	16	45	16	45	25	55
17	16	45	16	50	16	50	25	50
18	16	50	16	50	16	55	25	55
19	16	45	16	45	16	55	16	50
20	16	50	16	55	16	50	16	50
21	16	45	16	50	16	50	16	50
22	16	50	16	50	16	50	16	50
23	16	50	16	55	16	45	16	45

	24	25	50	25	50	25	55	16	50
626									

2.2:

	Angry		Fearful		Нарру		Neutral	
	Threshold	А	Threshold	А	Threshold	А	Threshold	А
	(ms)		(ms)		(ms)		(ms)	
1	8.33 ms	.40	8.33 ms	.44	8.33 ms	.44	8.33 ms	.40
2	8.33 ms	.42	8.33 ms	.45	8.33 ms	.53	8.33 ms	.49
3	8.33 ms	.52	8.33 ms	.52	8.33 ms	.52	8.33 ms	.48
4	8.33 ms	.56	8.33 ms	.53	8.33 ms	.56	8.33 ms	.53
5	8.33 ms	.47	8.33 ms	.44	8.33 ms	.47	8.33 ms	.44
6	8.33 ms	.46	8.33 ms	.46	8.33 ms	.50	8.33 ms	.46
7	8.33 ms	.52	8.33 ms	.45	8.33 ms	.52	8.33 ms	.45
8	8.33 ms	.42	8.33 ms	.45	8.33 ms	.49	8.33 ms	.42
9	8.33 ms	.46	8.33 ms	.46	8.33 ms	.54	8.33 ms	.46
10	8.33 ms	.44	8.33 ms	.47	8.33 ms	.51	8.33 ms	.51
11	16.67 ms	.66	8.33 ms	.45	8.33 ms	.48	8.33 ms	.48
12	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.49
13	8.33 ms	.57	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54
14	8.33 ms	.53	8.33 ms	.53	8.33 ms	.53	8.33 ms	.49
15	8.33 ms	.50	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54
16	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.49
17	8.33 ms	.50	8.33 ms	.57	8.33 ms	.54	8.33 ms	.50
18	8.33 ms	.53	8.33 ms	.53	8.33 ms	.56	8.33 ms	.53
19	8.33 ms	.54	8.33 ms	.46	8.33 ms	.54	8.33 ms	.50
20	8.33 ms	.44						
21	8.33 ms	.42	8.33 ms	.49	8.33 ms	.49	8.33 ms	.42
22	8.33 ms	.54	8.33 ms	.46	8.33 ms	.46	16.67 ms	.70
23	8.33 ms	.41	16.67 ms	.72	8.33 ms	.45	8.33 ms	.45
24	8.33 ms	.46	8.33 ms	.46	8.33 ms	.50	8.33 ms	.43

630 Three participants (11, 22 and 23) scored zero for one stimulus type (angry, fearful and neutral) for 8.33 ms and the next available duration was imported in stage 2 (Zhang & Mueller, 2005).







633 Signal detection performance per availabe threshold including signal detection theory adjusted faces (SDAT).
 634 Midline represents chance-level performance. Bars show standard error of the mean.

636 3.1 Factorial ANOVA Analysis

Descriptive Statistics

_	Mean	Std. Deviation	Ν
Angry	.0336	.01508	24
Fear	.0448	.02161	24
Нарру	.0177	.00738	24
Neutral	.0104	.00675	24
Bubble	.0085	.00316	24
AngryA	.0056	.00517	24
FearA	.0055	.00518	24
НарруА	.0046	.00182	24
NeutralA	.0036	.00257	24
BubbleA	.0050	.00253	24

Mauchly's Test of Sphericity^a

Measure: MEASURE_1								
Within Subjects	Mauchly's W	Approx. Chi-	df	Sig.	Epsilon ^b			
Effect		Square			Greenhouse- Huynh- Lo		Lower-	
					Geisser	Feldt	bound	
Adjustment	1.000	.000	0		1.000	1.000	1.000	
Stimuli_Type	.071	56.714	9	.000	.483	.527	.250	
Adjustment *	001	50.040		000	444	400	050	
Stimuli_Type	.061	59.812	9	.000	.411	.438	.250	

Tests the null hypothesis that the error covariance matrix of the orthonormalized transformed dependent variables is proportional to an identity matrix.

a. Design: Intercept

Within Subjects Design: Adjustment + Stimuli_Type + Adjustment * Stimuli_Type

b. May be used to adjust the degrees of freedom for the averaged tests of significance. Corrected tests are displayed in the Tests of Within-Subjects Effects table.

643

644

Tests of Within-Subjects Effects

Measure: MEASURE_1

Source		Type III	df	Mean	F	Sig.	Partial Eta
		Sum of		Square			Squared
		Squares					
	Sphericity	.020	1	.020	98.611		
	Assumed					.000	.811
	Greenhouse-	.020	1.000	.020	98.611	.000	
Adjustment	Geisser						.811
	Huynh-Feldt	.020	1.000	.020	98.611	.000	.811
	Lower-bound	.020	1.000	.020	98.611	.000	.811
	Sphericity	.005	23	.000			
	Assumed						
Freer(Adjustment)	Greenhouse-	.005	23.000	.000			
Effor(Aujustment)	Geisser						
	Huynh-Feldt	.005	23.000	.000			
	Lower-bound	.005	23.000	.000			
	Sphericity	012	4	.003	50.613	.000	600
	Assumed	.013					.088
	Greenhouse-	.013	1.933	007	50.040	000	600
Stimuli_Type	Geisser			.007	50.613	.000	.088
	Huynh-Feldt	.013	2.107	.006	50.613	.000	.688
	Lower-bound	.013	1.000	.013	50.613	.000	.688

	Sphericity			6.313E-			
	Assumed	.006	92	005			
Error(Stimuli_Type)	Greenhouse-	.006	44.453				
	Geisser			.000			
	Huynh-Feldt	.006	48.457	.000			
	Lower-bound	.006	23.000	.000			
	Sphericity	.011	4	000	50 407	000	605
	Assumed			.003	52.407	.000	.095
Adjustment * Stimuli, Type	Greenhouse-	.011	1.643	007	52 407	000	605
Aujustitient Stittuli_Type	Geisser			.007	52.407	.000	.095
	Huynh-Feldt	.011	1.753	.006	52.407	.000	.695
	Lower-bound	.011	1.000	.011	52.407	.000	.695
	Sphericity	.005	92	5.233E-			
	Assumed			005			
	Greenhouse-	.005	37.792				
Error(Adjustment*Stimuli_Type)	Geisser			.000			
	Huynh-Feldt	.005	40.315	.000			
	Lower-bound	.005	23.000	.000			

Pairwise Comparisons

Measure: MEASURE_1

(I) Adjustment	(J) Adjustment	Mean Difference (I-J)	Std. Error	Sig.⁵	95% Confidence Interval for Difference ^b	
					Lower Bound	Upper Bound
1	2	.018*	.002	.000	.014	.022
2	1	018 [*]	.002	.000	022	014

Based on estimated marginal means

*. The mean difference is significant at the .05 level.

b. Adjustment for multiple comparisons: Bonferroni.

647 648

Pairwise Comparisons

Measure: MEASURE_1								
(I)	(J)	Mean Difference (I-	Std.	Sig. ^b	95% Confidence Interval for			
Stimuli_Type	Stimuli_Type	J)	Error		Difference ^b			
					Lower Bound	Upper Bound		
	2	006*	.002	.027	011	.000		
4	3	.008*	.001	.000	.004	.013		
1	4	.013*	.002	.000	.007	.018		
	5	.013*	.002	.000	.008	.018		

	1	.006*	.002	.027	.000	.011
2	3	.014*	.002	.000	.008	.020
	4	.018*	.002	.000	.011	.025
	5	.018*	.002	.000	.011	.025
	1	008*	.001	.000	013	004
2	2	014*	.002	.000	020	008
3	4	.004*	.001	.002	.001	.007
	5	.004*	.001	.000	.002	.007
4	1	013*	.002	.000	018	007
	2	018*	.002	.000	025	011
	3	004*	.001	.002	007	001
	5	.000	.001	1.000	002	.002
5	1	013*	.002	.000	018	008
	2	018*	.002	.000	025	011
	3	004*	.001	.000	007	002
	4	.000	.001	1.000	002	.002

Based on estimated marginal means

*. The mean difference is significant at the .05 level.

b. Adjustment for multiple comparisons: Bonferroni.