A new method for classifying galaxy SEDs from multiwavelength photometry

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ABSTRACT
We present a new method to classify the broad-band optical–near-infrared spectral energy distributions (SEDs) of galaxies using three shape parameters (super-colours) based on a principal component analysis of model SEDs. As well as providing a compact representation of the wide variety of SED shapes, the method allows for easy visualization of information loss and biases caused by the incomplete sampling of the rest-frame SED as a function of redshift. We apply the method to galaxies in the United Kingdom Infrared Telescope Infrared Deep Sky Survey Ultra Deep Survey with 0.9 < z < 1.2, and confirm our classifications by stacking rest-frame optical spectra for a fraction of objects in each class. As well as cleanly separating a tight red sequence from star-forming galaxies, three unusual populations are identifiable by their unique colours: very dusty star-forming galaxies with high metallicity and old mean stellar age; post-starburst galaxies which have formed ≳10 per cent of their mass in a recent unsustained starburst event; and metal-poor quiescent dwarf galaxies. We find that quiescent galaxies account for 45 per cent of galaxies with log M*/M⊙ > 11, declining steadily to 13 per cent at log M*/M⊙ = 10. The properties and mass function of the post-starburst galaxies are consistent with a scenario in which gas-rich mergers contribute to the growth of the low- and intermediate-mass range of the red sequence.

Key words: methods: statistical – galaxies: fundamental parameters – galaxies: luminosity function, mass function – galaxies: photometry – galaxies: statistics – galaxies: stellar content.

1 INTRODUCTION
The extraction of physical properties from the observed spectral energy distributions (SEDs) of galaxies lies at the heart of gaining a complete understanding of how galaxies form and evolve. At low redshift (z ≲ 0.1), vast numbers of high-quality, moderate-resolution spectra have revolutionized our measurement of the physical properties of galaxies (York et al. 2000). At high redshift, such high-quality and extensive spectroscopy remains prohibitively expensive, but photometric surveys continue to expand at a rapid pace, in wavelength coverage, area on the sky and depth.

As both observations and spectral synthesis models have improved, directly observable quantities such as number counts, luminosity functions and observed-frame colours are commonly replaced with derived (physical) quantities: mass functions, star formation rates and rest-frame colours. This process has greatly improved our ability to compare with galaxy evolution models, and helped us to narrow down the dominant physical processes leading to the observed galaxy population over a large fraction of cosmic time. The fitting of models to the multiwavelength SEDs of galaxies to obtain physical properties is now a standard tool in extragalactic astrophysics, with many teams developing a wide variety of tools, many of which are publicly available (e.g. da Cunha, Charlot & Elbaz 2008; Noll et al. 2009; Acquaviva, Gawiser & Gualtieri 2011; Walcher et al. 2011 for a recent review).

While SED fitting has become the method of choice for estimating the physical properties of galaxies, it has two primary disadvantages: (1) it relies on the accuracy of spectral synthesis models (Conroy 2013), and (2) galaxies are fitted independently from one another, so excluding additional information provided by the existence of...
a population of similar objects. Colour–colour diagrams provide a method of displaying large sets of SEDs on two-dimensional diagrams, and classifying galaxies into groups based on observational (rather than physical) properties. With careful selection of colours, this method can retain most of the physical information in the full SED (e.g. UVJ; Wyatts et al. 2007; Williams et al. 2009). However, rest-frame colour–colour diagrams still rely on spectral synthesis models to perform the $K$-corrections, while observed-frame colour–colour diagrams suffer significant degeneracies between redshift and physical properties for the vast majority of the galaxy population (e.g. Lane et al. 2007).

The aim of this paper is to investigate a third method: a rest-frame (redshift-independent) colour–colour diagram that combines the available information from all the observed bands, but is not constrained by fits to spectral synthesis models. We use a principal component analysis (PCA) of a nominal set of spectral synthesis models to calculate the optimal linear combination of filters needed to separate populations with distinct optical-to-near-infrared (NIR) SEDs. The advantages of this method over traditional colour investigations are that it (i) can determine equivalent linear combinations for galaxies over a wide redshift range, thus removing the problem of shifting bands; (ii) reduces the dimensionality of the data set, eliminating the need for large numbers of colour–colour diagrams, while providing colour measurements of higher signal-to-noise ratio (SNR) than that can be obtained from only two magnitudes; (iii) provides observed quantities which are not constrained to fit the stellar population synthesis models; (iv) allows easy visualization of the error incurred on measuring the true SED shape of galaxies (and thus physical quantities such as the mass-to-light ratio) due to poor sampling of the SED, as a function of both redshift and SED shape; (v) allows a clear visual comparison between the SED shapes of observed galaxies and the models that could be used to subsequently fit for physical parameters, thereby allowing the identification of populations for which a poor model fit will lead to incorrect parameter estimation, helping us to understand these objects rather than removing them from samples due to their bad $\chi^2$.

The goals of the method presented in this paper are similar in spirit to the PCA spectral indices developed by Wild et al. (2007), where the 4000 Å region of optical spectra was studied. The PCA identified three well-known features as being optimal for describing the full shape of the spectrum (the strength of the 4000 Å break, Balmer absorption lines and the Ca H&K lines). The PCA derived spectral indices benefit from a greatly enhanced SNR over traditional indices, by allowing information from all pixels to contribute, including both multiple correlated lines and the shape of the continuum. The accuracy and improved visualization of the complete data set afforded by these indices have allowed the identification of post-starburst galaxies from low-resolution VIMOS VLT Deep Survey (VVDS) spectra (Wild et al. 2009) and a complete evolutionary sequence of starbursts into the post-starburst phase sequence in higher resolution Sloan Digital Sky Survey (SDSS) spectra (Wild, Heckman & Charlot 2010).

The data set used to test the method is the Ultra Deep Survey (UDS), a deep, large-area NIR survey and the deepest component of the United Kingdom Infrared Telescope (UKIRT) Infrared Deep Sky Survey (UKIDSS; Lawrence et al. 2007). The field has deep optical observations from the Subaru XMM–Newton Deep Survey (SXDS; Furusawa et al. 2008) and mid-IR coverage from the Spitzer UDS Legacy Program (SpUDS, PI: Dunlop). A significant advantage of the SXDS/UDS field for this study is the large number of homogeneously observed spectra, taken as part of the UDSz project using a combination of the VIMOS and FORS2 instruments on the European Southern Observatory (ESO) VLT (ESO Large Programme 180.A-0776, PI: Almaini). These spectra are generally of insufficient SNR to make robust measurements of the stellar continuum features for individual galaxies, but can be stacked to provide a useful verification of the PCA derived classifications.

This paper is arranged as follows. In Section 2, we present the method used to derive the optimal combination of filters to parametrize the shapes of rest-frame optical–NIR SEDs. We apply the method to galaxies in the UKIDSS UDS survey at $0.9 < z < 1.2$ in Section 3, to reveal the physically distinct populations that can be identified from broad-band photometric data sets. We compare with traditional $K$-corrected colour–colour and colour–magnitude diagrams, and stacked rest-frame optical spectra. In Section 4, we present the stacked SEDs, and the luminosity and mass functions of the galaxies by class. We use spectral synthesis models to measure the average physical properties of each class, highlighting which regions of colour space are not well fitted by the models. Finally, in Section 5 we discuss how the method can be used to compare and contrast different stellar population synthesis models, investigating the impact of nebular emission lines and constrain the dust attenuation law of galaxies. We also calculate the fraction of true quiescent galaxies as a function of stellar mass at $z \sim 1$, and discuss the implications of our results for using post-starburst galaxies and low-metallicity quiescent galaxies to improve our understanding of the evolving galaxy population.

The optimal linear combination of filters (eigenvectors) derived in this paper is provided in digital format, suitable for surveys using the same filter set as used here, together with the IDL code for reading and applying them. Where necessary we assume a cosmology with $\Omega_M = 0.3$, $\Omega_{\Lambda} = 0.7$ and $h = 0.7$. All magnitudes are on the AB system. Stellar masses are calculated assuming a Chabrier initial mass function (IMF) and are defined as the stellar mass at the time of observation: i.e. $M^* = \int \text{SFR}(t)(1 - R(t))dt$, where $R$ is the fraction of mass in stars returned to the interstellar medium (ISM) due to supernovae and mass-loss.

## 2 PCA OF EXTRAGALACTIC PHOTOMETRIC DATA SETS

PCA and similar techniques have become a popular tool for QSO and galaxy spectral analysis (Connolly et al. 1995; Glazebrook, Ofer & Deeley 1998; Heavens, Jimenez & Lahav 2000; Madgwick et al. 2003; Yip et al. 2004; Wild & Hewett 2005; Berk et al. 2006; Lu et al. 2006; Tojeiro et al. 2007; Wild et al. 2007; Allen et al. 2013). They serve several purposes, for example, the exploration of a data set to uncover unknown trends, the reduction of dimensionality to speed up model fitting, the optimal separation of physically distinct components, and the combination of correlated lines and features into high SNR spectral indices.

These techniques also have great potential for the analysis and interpretation of photometric data sets; however, their application is not trivial due to the different redshifts of the galaxies. Moderate-resolution extragalactic spectra can be de-redshifted to a common wavelength grid by performing minimal resampling, provided the redshift range covered by the sample is small enough and the wavelength range of the spectra is large enough to give sufficient overlap in wavelength space. On the other hand, the regions of a galaxy’s rest-frame SED sampled by a photometric data set depend on the galaxy’s redshift, each filter sums a large number of spectral features into a single data point and large wavelength regions can remain entirely unsampled. For this reason, application of multivariate statistical techniques to investigate extragalactic photometric data sets
is limited. Berta et al. (2013) used a Gaussian mixture model to group galaxies with similar SEDs and identify outliers [following the method presented in Connolly et al. (2000)] and Dale et al. (2007) performed a PCA on K-corrected colours to investigate the main variations in galaxy SEDs.

Our aim is to derive an optimal combination of colours (eigenvectors) that describe the full shape of galaxy SEDs using a minimal number of variables (principal component amplitudes). Often it is possible to calculate these optimal combinations from the data set itself; however, in the case of extragalactic photometric data this is a complex problem, as individual galaxies each contribute only a small number of observed points. We therefore begin our analysis with a large sample of model SEDs that reasonably cover the colour space of observed galaxies. While it is important that the models make a reasonable attempt at covering the full range of galaxy SED shapes, it is not important for them to have the correct relative number density or realistic physical properties. From these models, we build a large grid of observed-frame colours using all the photometric bands in our survey and at all redshifts of interest. This will enable us to calculate the projection of every real galaxy SED on to the eigenvectors regardless of the redshift of the galaxy or filter coverage.

The models are only used to define the linear combination of filters that optimally describe the shape of the SEDs. Observed SEDs, or other models, can be projected on to these linear combinations without any requirement for the original models to be ‘correct’. Naturally, the model derived eigenvectors will not be perfectly optimal for the observed SEDs, in the sense that they will not contain as high a fraction of the variance of the data set as for the models from which they were derived. However, at least in the optical-to-NIR wavelength range studied here, galaxy SED shapes are well enough understood that eigenvectors derived from any reasonable set of models or real data will be very similar. The approach described here forces a complete separation between observables (galaxy SED shapes) and model derived properties (mass-to-light ratios, dust contents, metallicities, etc.).

2.1 Building a super-sampled colour grid

We begin with a library of \( \sim 44,000 \) ‘stochastic burst’ model SEDs built from Bruzual & Charlot (2003) stellar population synthesis models with stochastic star formation histories: exponentially declining star formation rates with inverse decay rates between 0 and 1 Gyr\(^{-1}\), with \( \delta \)-function bursts of star formation randomly superposed with varying strengths and ages. The models have a flat prior on metallicities between one tenth and twice solar, and a flat prior on formation time between 0 and 6 Gyr (the age of the Universe at the lowest redshift we are interested in). Dust attenuation is included using the Charlot & Fall (2000) prescription, where stars older than \( 10^7 \) yr suffer a fixed fraction (\( \mu \sim 0.3 \)) of the total effective attenuation (\( \tau_V \)). The models have a Gaussian prior on the total effective dust attenuation centred on \( \tau_V = 1 \), which leads to between 0 and 6 mag of attenuation in the V band for stars younger than \( 10^7 \) yr, and between 0 and 1.8 mag of attenuation for older stars. Similar libraries have been widely used in the literature to determine the physical properties of galaxies (Kauffmann et al. 2003; Gallazzi et al. 2005; Salim et al. 2007; da Cunha et al. 2008). Altering the priors for the model grid was found to have no significant effect on the output from the PCA, so long as a reasonable range of SED shapes was included. Ultimately, this occurs because a limited number of physical processes contribute to the optical-to-NIR SED of galaxies. In this wavelength range, the origin of the SED shapes is reasonably well understood; we therefore do not expect the use of different spectral synthesis models to have a significant impact on the first few eigenvectors. Obtaining such a robust result for ultraviolet or far-infrared wavelength regions would be more challenging.

Nebula emission lines are not included in the models, as this remains an uncertain procedure. We tested the effect of their inclusion using a limited set of the strongest lines, with amplitude based on the number of ionizing photons output from the stellar population synthesis model, and standard line ratios for star-forming galaxies. Their addition was found not to have a significant effect on the eigenvectors. Emission line contamination in the data may subsequently be identified as regions of colour space where the models and data disagree (see Section 5.2). We emphasize that these stochastic burst models do not need to be a perfect representation of the data: any models can be projected on to the eigenvectors if they are subsequently deemed more appropriate to determine physical parameters from the data.

We convolve these model SEDs with the SXDS, UKIDSS UDS and SpUDS filter sets (Subaru B, V, R, i, z; UKIRT J, H, K; SpUDS IRAC 3.6 and 4.5 \( \mu m \)), progressively shifting the model SEDs to redshifts of \( 0.9 < z < 2.0 \) in steps of \( \Delta z = 0.01 \). Additionally, the ESO Y band, HST 125 W (J) and 160 W (N) filters are included as placeholders, but not used in the analysis presented in this paper. Smaller redshift steps were tested but led to no improvement. This redshift range was chosen to be physically interesting (where the majority of stellar mass in the Universe is formed), and also to include redshift regimes where the SED shapes are both well and less well constrained. Any redshift range could be chosen depending on the science question being asked. The resulting flux matrix is truncated at rest wavelengths of between 3000 \( \AA \) and 1.5 \( \mu m \), to avoid more uncertain regions in spectral synthesis models, giving a ‘super-sampled’ matrix of 935 data points. Some examples of model galaxy SEDs filtered in this way are shown in Fig. 1. Each filter at each redshift is represented by a dot placed at the effective rest-frame wavelength of the filter. Gaps indicate rest-frame wavelength

![Figure 1. Examples of super-sampled model SEDs used to derive the optimal linear combination of filters needed to describe the shape of galaxy SEDs. Each point represents the normalized flux of the model galaxy SED.](https://academic.oup.com/mnras/article-abstract/440/2/1880/1020809/A-new-method-for-classifying-galaxy-SEDs-from)
The first three principal component amplitudes alone provide a compact representation of the shape of all the input SEDs, accounting for 99.98 per cent of the variance in SED shapes in our model data set. ‘Colours’ are the simplest linear combination of filters that can be used to describe the shape of galaxy SEDs. From now on, we will refer to the principal component amplitudes as ‘super-colours’ (SCs): while colours are the combination of two filters weighted equally, super-colours combine multiple filters with an optimally defined weighting scheme (the eigenvectors).

The super-colours can be thought of as the ‘amount’ of each eigenvector contained within each galaxy SED. The mean SED is an averagely blue galaxy (top panel of Fig. 2), which can be made bluer or redder by adding or subtracting some of the first eigenvector (second panel). The second eigenvector alters the strength of the 4000 Å or Balmer break. The third eigenvector is also associated with the shape of the SED around 4000 Å. This tells us that to first order a model galaxy SED can be described by its overall slope (colour); to second order the exact shape of the 4000 Å break region is important. The PCA formally quantifies these well-known properties of galaxy SEDs.

In Fig. 3, the super-colours of the ~44 000 stochastic burst model SEDs are shown, with regions of super-colour space colour coded by the mean physical parameters of the models lying in that region. From top left to bottom right, we show the r-band light-weighted mean stellar age, metallicity, total effective dust attenuation and fraction of stars formed in starbursts in the last 1 Gyr. As expected, the first super-colour (SC1) correlates primarily with both mean stellar age and dust content – this is a well-known degeneracy in photometric studies of galaxies. Both the second and third super-colours (SC2 and SC3) correlate with metallicity, and provide additional information on age and dust, which can break the degeneracy between these parameters in some cases. For example, very highly attenuated, high-metallicity, old galaxies are identifiable at low SC1, SC2 and SC3, and old, low-metallicity galaxies can be isolated at low SC1 and high SC3. Another interesting region of colour space is the population of mixed metallicity objects at high SC2, which contains galaxies that have undergone a significant burst of star formation in the last 1 Gyr (post-starburst galaxies).

### 2.2 The reality of sparse sampling

Up to this stage, we have shown how the principal component amplitudes of a photometric data set can be thought of as ‘super-colours’ which provide a compact representation of the shape of galaxy SEDs. We have not yet addressed the core problem: in reality, each galaxy is observed at a single redshift, with only a handful of filters covering the wavelength range of interest. To demonstrate the effect of ‘sparse sampling’ the array, we assign each of our ~44 000 stochastic burst model SEDs a random redshift in the range used to build the super-sampled array (0.9 < z < 2.0). Each SED is observed with between five and seven filters, depending on redshift, that partially cover the full wavelength range. We project this sparsely sampled data vector onto the eigenvectors, using the ‘gappy-PCA’ technique of Connolly & Szalay (1999), weighting the observed bins by 1/σ² and the unobserved bins by zero, where σ is the error on the observed data point. This technique estimates the principal component amplitudes in the presence of noisy and gappy data by minimizing the error in the reconstructed spectrum, over the full range in wavelength, weighted by the variance of the observed bins (filters). In this mock data set, we set σ to be constant in all observed bins. An additional effect caused by the sparse sampling of the data is that the precise flux normalization

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1 See Murtagh & Heck (1987) for an introduction to the mathematics of PCA. The int. code for performing PCA, and attempts to homogenize different formalisms, is available for download from [http://www-star.sand.ac.uk/~vw8/downloads](http://www-star.sand.ac.uk/~vw8/downloads). Two similar multivariate analyses were attempted, non-negative matrix factorisation and independent component analysis (ICA), however, PCA provided the most satisfactory results when applied to noisy and gappy data.
Figure 3. Super-colour (SC) diagrams coded by the mean physical properties of the model galaxies in each bin, for SC1/2 (left) and SC1/3 (right). From top to bottom and left to right: log of $r$-band light-weighted mean stellar age (yr), metallicity (relative to solar), total effective $V$-band optical depth affecting stars younger than $10^7$ yr ($A_V$, where $A$ is attenuation in magnitudes) and fraction of stellar mass formed in bursts in the last Gyr.

Figure 4. An example of how a model SED ‘observed’ at a redshift of 1.915 with five photometric bands (diamonds) can be reconstructed from the mean and first three eigenvectors. The amplitudes of the super-colours measured for this galaxy are given above the eigenvectors. The reconstructed SED (blue) is almost indistinguishable from the underlying input SED (black). A vertical offset has been applied to the mean and eigenvectors for clarity.

The accuracy to which the input SED can be recovered will depend on the filter set, the galaxy redshift and shape of the SED. In Fig. 5, we show the error on the super-colours for our mock catalogue of $\sim 44000$ stochastic burst model SEDs, sparse sampled with redshifts randomly assigned in the range $0.9 < z < 2.0$. The solid line (a) compares the super-colours measured from the super-sampled and sparse-sampled array, but assuming that we know the flux normalization. The dotted line (b) includes the effect of unknown flux normalization, and the dashed line (c) shows the effect of errors on the redshifts. To model the effect of photometric redshifts, we assume a normal distribution of redshift errors, with a $1\sigma$ width of 0.06 (percentage error of 7 and 3 per cent at $z = 0.9$ and 2.0).

Figure 5. The distribution of errors on the super-colours caused by incomplete and inaccurate information (see the text for more details on each scenario): (a) the SED is sparse sampled but the normalization is known; (b) the SED is sparse sampled with unknown flux normalization; (c) additionally including redshift errors. During these tests, model galaxies are assigned random redshifts between 0.9 and 2.0 and ‘observed’ with the SXDS/UDS filter set. Redshift errors were drawn from a Gaussian distribution with $\sigma_z = 0.06$.

It is clear that both the sparse-sampled nature of the data and flux normalization. This will never be the case with observed galaxy SEDs, but is included to emphasize the importance of fitting for the unknown normalization as an additional step in solving for the principal component amplitudes.

We take a fixed width for the distribution of redshift errors, which is the variance of the distribution of redshift error for 282 galaxies with spectra presented in Section 3.2. In reality, photometric redshift errors depend on redshift, and catastrophic outliers exist. We do not attempt to model all eventualities here, which will depend on the data set being studied.
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Figure 6. Errors on the super-colours caused by sparse sampling, unknown normalization and redshift errors, as a function of redshift and mean stellar age of the SED. During these tests, model galaxies are assigned random redshifts between 0.9 and 2.0 and ‘observed’ with the SXDS/UDS filter set. Redshift errors were drawn from a Gaussian distribution with $\sigma_z = 0.06$. Overplotted lines indicate the 16th, 50th and 84th percentiles of the distributions.

the unknown normalization affect our ability to recover the SED shape; however, redshift errors at the level found in photometric data sets have no further significant impact on the measured super-colours. The 16th and 84th percentiles of the distributions (equivalent to $1\sigma$ errors for a Gaussian distribution) when all effects are included (histogram c of Fig. 5) are 0.28 and 0.31 for SC1, 0.18 and 0.19 for SC2, and 0.17 and 0.18 for SC3. There are no systematic offsets.

In Fig. 6, we show the errors on the super-colours when all effects are included (histogram c), as a function of redshift and SED shape (parametrized by the light-weighted mean stellar age of the model). For the available filters in the UDS, we obtain accurate super-colours, and can therefore reliably recover the SED shapes, in the redshift ranges of $0.9 < z < 1.2$ and $z > 1.7$. In the low-redshift range ($0.9 < z < 1.2$), the 16th and 84th percentiles are 0.14 and 0.13 for SC1, 0.14 and 0.14 for SC2, and 0.09 and 0.1 for SC3. The percentage errors are 1.2 per cent for SC1, 7 per cent for SC2 and 15.8 per cent for SC3. This figure highlights how, for certain redshift ranges, the ability to constrain the shape of a galaxy SED is significantly impaired by gaps in wavelength coverage of the broad-band filters. Clearly, the physical parameters determined from SED fits of galaxies at $1.2 < z < 1.7$ observed with the UDS filter set will be less well constrained than at other redshifts.

The younger SEDs suffer larger errors, due to the lack of strong features in their SED. The older SEDs suffer from a systematic offset in SC1 and SC2, at the level of about 1 per cent. This is unlikely to be a dominant source of error in the analysis of a real data set, but is worth keeping in mind if highly accurate ages of old stellar populations are required from SED fitting.

In Fig. 7, we show examples of the fractional error between the input and recovered SEDs, for the same five randomly selected model SEDs as shown in Fig. 1. The black trace shows the effect of only using three eigenvectors, the blue trace includes the effect of sparse sampling and unknown flux normalization, and the red trace additionally includes the effect of redshift errors. The red trace sits on top of the blue trace, showing that photometric redshift errors do not significantly impair our ability to measure SED shapes. From top to bottom, the redshifts of the mock galaxies are 1.50, 1.63, 1.47, 1.97 and 1.49. The red diamonds indicate the effective wavelengths of the filters used in the sparse-sampled cases.

3 APPLICATION TO GALAXIES IN THE SXDS/UDS

The UDS is a deep, large-area NIR survey centred on RA = 02:17:48, Dec. = −05:05:57 with deep optical observations from the SXDS (Furusawa et al. 2008) and mid-IR coverage from the SpUDS (PI: Dunlop). The effective wavelengths and depths of the observations for the filters used to calculate the super-colours of $0.9 < z < 1.2$ galaxies in this paper are given in Table 1. We use a K-band selected catalogue based on the eighth UDS data release (DR8), but update the photometry to DR10 and include new $z'$-band observations (Furusawa et al., in preparation; Bowler et al. 2012). The total survey area with full optical, NIR and mid-IR coverage and with conservative masking of diffraction spikes is $0.607 \text{deg}^2$.
Table 1. $5\sigma$ limiting depths in AB magnitudes (3 arcsec aperture) of the SXDS (optical) and UDS (near-IR) photometry used to measure the super-colours of $0.9 < z < 1.2$ galaxies, and the effective wavelengths of the filters (Fukugita et al. 1996).

<table>
<thead>
<tr>
<th>Band</th>
<th>$R$</th>
<th>$i'$</th>
<th>$z'$</th>
<th>$J$</th>
<th>$H$</th>
<th>$K$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Depth</td>
<td>26.4</td>
<td>26.3</td>
<td>25.6</td>
<td>24.9</td>
<td>24.4</td>
<td>24.6</td>
</tr>
<tr>
<td>$\lambda_{\text{eff}}$ (µm)</td>
<td>0.6507</td>
<td>0.7646</td>
<td>0.9011</td>
<td>1.2483</td>
<td>1.6319</td>
<td>2.2010</td>
</tr>
</tbody>
</table>

Photometric redshifts were calculated by fitting the observed photometry ($BVRI'z'JHK$ and 3.6 and 4.5 µm when available) with synthetic and empirical galaxy templates using the code based on the public package HYPERZ (Bolzonella, Miralles & Pelló 2000). Further details are given in Cirasuolo et al. (2007, 2010). While it would be possible to solve for both the super-colours and photometric redshifts in a single step, we decided to focus on recovering the SED shapes in this paper, and use the well-tested photometric redshifts calculated from a standard code with the full set of available filters.

We select 39,683 sources with $K < 23$, of which 6912 lie in the redshift range $0.9 < z_{\text{phot}} < 1.2$. We select this redshift range for this initial analysis for four reasons: (1) the errors on the super-colours due to sparse sampling of the SEDs are minimal given the current set of filters available in the UDS field (see Section 2.2); (2) there are a large number of spectra with coverage of the 4000 Å rest-frame region that are available to test our method (see Section 3.2); (3) the strongest emission lines do not intercept narrow filters which may cause biases in the measured super-colours; (4) we do not require the IRAC photometry which is less reliable than the optical and NIR photometry.

We calculate the super-colours for the observed galaxies in exactly the same way as for the model galaxies (equation 2), with errors derived from the depths in each band (Table 1). Bins with no information are given zero weight. In the following subsections, we include all galaxies, regardless of the formal $\chi^2$ value for the photometric redshift fit or the distance between the observed super-colours and closest model galaxy. Excluding objects based on a goodness of fit to models can lead to biases in the samples when the models are not a perfect representation of the data.

### 3.1 Super-colours: data versus models

In Fig. 8, we show the distribution of the first three super-colours for the UDS galaxies, using both a number density representation (left-hand panel) and plotting individual points (central panel). To facilitate comparison between the stochastic burst models used to
build the eigenvectors and the data, we show the distribution of model colours in the right-hand panel. In the right-hand panel, we overplot the position of UDS galaxies which have spectra (Section 3.2), colour coded according to their position in super-colour space.

Although there are no truly discrete populations, separation of colour–colour space into classes will allow us to stack galaxies with similar SEDs to study their average properties in more detail. Comparing to the mean model parameters shown in Fig. 3, we can identify a tight red sequence to the upper left in SC1 versus SC2. The more diffuse blue cloud spreads across the full range of SC1, with mean stellar age decreasing with increasing SC1. We colour code the red-sequence galaxies as red and split the blue cloud into three classes from cyan to blue to purple with decreasing mean stellar age. The position of these blue-cloud boundaries is arbitrary.

More unusual classes of galaxies can also be isolated. Galaxies that lie below and to the left of the red sequence in SC1/2 and SC1/3 are expected to have very high dust contents; we colour code them brown to distinguish them from normal blue-cloud or red-sequence galaxies. Galaxies that have had a recent burst of star formation (post-starburst) form a distinct sequence entering the top right of the red sequence in SC1 versus SC2; they are colour coded orange. The boundary between the red and post-starburst populations is determined empirically from comparison to the spectra (see Section 3.2 and Appendix A) to ensure that the photometric selection is as close as possible to a traditional spectroscopic selection. Finally, we identify a population of red-sequence galaxies with high SC3 (green points), which are consistent with low-metallicity red-sequence galaxies.

The stochastic burst model stellar populations shown in the right-hand panel are not intended to reproduce the relative number density of different populations; however, their wide range of star formation histories has been constructed to allow full coverage of the colour distribution of real galaxies as far as possible. There is no a priori reason that the data and models should lie in the same region of colour–colour space, as the data are not forced to fit the models as is the case when traditional K-corrections are performed. Differences between model and data colour distributions could occur for many reasons: incorrect star formation histories of the models; incomplete or incorrect stellar population models (lack of particular types of stars, incorrect evolutionary tracks); incomplete or incorrect model SEDs (wrong assumed dust attenuation, lack of emission lines); zero-point offsets in the photometry; photometric redshift errors.

The fact that the observed galaxies are largely contained within the model distribution indicates that the spectral synthesis models used to build the eigenvectors are sufficiently accurate to reproduce the SEDs of most galaxies at $z \sim 1$.

Looked at another way, this figure shows that current rest-frame optical–NIR photometric observations of the vast majority of $z \sim 1$ galaxies cannot drive further substantial improvement in the models. However, there are three small offsets between model and data which suggest where improvements in the models might be made: the dustiest class has slightly higher SC1 values than is possible with the models; the youngest blue-sequence galaxies have higher SC3 values than is typically seen in the models; there is a population of red objects with very high SC3 values. In Section 5, we will investigate the reasons for each of these offsets with respect to possible observational errors or improvements in the population synthesis models.

There are a small number of galaxies with super-colours that scatter away from the main sample. All objects have been included in this plot, regardless of the goodness of fit of the first three eigenvectors to the observed points, or the formal errors on the principal component amplitudes or photometric redshifts. Two interesting populations appeared during our investigations of the outliers. First, some of the outliers falling below the blue sequence have X-ray detections, or are identified as spectroscopic broad-line AGN (Ueda et al. 2008; Simpson et al. 2012; Akiyama et al., in preparation). The strong UV continuum from the AGN causes the objects to have blue SEDs and acts to reduce the strength of the Balmer break (or obliterate it entirely in many cases). Secondly, the output from some photometric redshift catalogues tested during initial studies for this paper caused a large scatter of objects to the upper right (small SC1 and large SC2). This was found to be caused by ‘aliasing’ effects, whereby galaxies close to a certain redshift are all forced on to a similar, but incorrect redshift, due to the presence of a strong discontinuity in the SED. While we have verified that the photometric redshift catalogue used in this paper does not suffer from strong aliasing biases, photometric redshift errors remain a leading candidate for causing the outliers in super-colour space.

### 3.2 Comparison to medium-resolution spectra

A significant advantage of the SXDS/UDS field for this first super-colour study is the large number of homogeneously observed spectra, taken as part of the UDSz project (ESO Large Programme 180.A-0776, PI: Almaini) using a combination of the VIMOS and FORS2 instruments on the ESO VLT. 2881 optically brighter systems ($i < 24$ or $V < 25$) were targeted with the VIMOS LR Blue grism (4.5 h exposure) and LR Red grism (2.6 h exposure), with a spectral resolution of $R = 180$ and 210, respectively. 802 fainter, redder systems ($i < 25$ or $V > 24$) were targeted with the FORS2 300I grism (5.5 h exposure), with a spectral resolution of $R = 660$.

Details of the reduction are given in Bradshaw et al. (2013) for VIMOS and in Curtis-Lake et al. (2012) and McLure et al. (2013) for FORS2.

From the complete catalogue, we select 752 galaxies with secure redshifts and photometric matches in our masked UDS field, of which 335 lie in the redshift range $0.9 < z_{\text{phot}} < 1.2$. We further remove objects with spectral per-pixel SNR $< 2$ and rest-frame wavelength coverage that does not extend red enough to include 4150 Å. This results in a final sample of 282 moderate-quality spectra with coverage of the 4000 Å break region. The spectra are not all of high enough quality to provide useful stellar continuum information for individual objects, but they can be stacked to confirm our super-colour-based SED classifications. For the purposes of spectral stacking, we convolved the FORS2 spectra to a resolution of $R = 200$ to match the VIMOS spectra and rebinned all spectra on to a common wavelength scale using a linear interpolation. The stacks were built using an arithmetic mean, with each spectrum normalized by its median flux. A weighted mean was also calculated, but did not improve results.

The availability of rest-frame optical spectra for galaxies in most of our super-colour defined classes provides an easy way to verify the method. One advantage of optical spectra over broad-band photometry is that dust does not substantially change the strength of the 4000 Å break or absorption lines, and therefore the age of the stellar population can be ascertained much more accurately. In Fig. 9, we show the stacked spectra of galaxies in each super-colour class, over the full wavelength range (left-hand panel) and zooming in on the 4000 Å break region (right-hand panel). From the highly star-forming SF1 class to the red sequence, we see the expected steady decrease in [O iii] emission line strength, decrease in blue/UV flux, increase in 4000 Å break strength and strengthening of the Ca H & K absorption lines, all indicating that specific star formation rate
We note that some authors extrapolate from the model colours in an attempt to reproduce WWJ09 and UJ colours from the best-fitting model.

Stacked UDSz spectra from each of the super-colour defined galaxy classes as indicated in the top left of each panel. The number of galaxies included in each stack is also given. On the left the full wavelength range is plotted, on the right we zoom in on the 4000 Å region.

This allows us to position the super-colour demarcation line between post-starburst and red-sequence galaxies such that all the spectroscopically identified post-starburst galaxies (4) lie in the post-starburst super-colour class. Galaxies with lower SC1 and SC2 values have optical spectra that were consistent with being in the red sequence as defined by the VVDS spectral indices. One galaxy has spectral indices placing it in between the red-sequence and post-starburst classes, and this galaxy also lies to the red end of the post-starburst class in super-colour space. We set the boundary to include this object, although this means that our photometrically selected post-starbursts may extend to slightly older ages than the spectroscopically selected post-starbursts in WWJ09.

### 3.2 Selecting post-starburst galaxies

129 galaxies have spectra with sufficient SNR to measure their spectral indices using the method developed by Wild et al. (2009, hereafter WWJ09) for galaxies in the VVDS (see Appendix A).

#### 3.2.1 Selecting post-starburst galaxies

In recent years, the rest-frame (K-corrected) UVJ colour–colour diagram has been the preferred method to separate red quiescent from blue star-forming and dusty star-forming galaxies (e.g. Wuyts et al. 2007; Williams et al. 2009; Ilbert et al. 2013), and to identify ‘young red-sequence’ or post-starburst galaxies (Whitaker et al. 2012). It is therefore valuable to compare the PCA derived super-colours with the UVJ colours. For each galaxy in our sample, we perform a traditional K-correction by identifying the best-fitting stochastic burst model SED, and then measuring the rest-frame U − V and V − J colours from the best-fitting model.5 To reproduce previous results from the literature, we use the Bessell (1990) U and V filters, and the UKIRT J filter which is on the Mauna Kea system (Tokunaga, Simons & Vacca 2002). The resulting UVJ diagram is shown in the left-hand panel of Fig. 11, with objects colour coded by the super-colour SED classification described in the previous subsection.

It is not a surprise to see that the two methods produce very consistent classifications between the major classes: as with optical spectra, PCA has identified the major variations of galaxy SEDs to be exactly those that astronomers have identified by eye. The advantage of the PCA is the combination of many filters into single colours to improve robustness and signal-to-noise, the independence from model fitting and the ability to identify higher order variations as seen in the third component.

5 We note that some authors extrapolate from the model colours in an attempt to allow for poor model fits (e.g. Williams et al. 2009; Whitaker et al. 2011, following Rudnick et al. 2003). We do not attempt to reproduce this method here and it is not simple to assess the success of the extrapolation as a function of redshift, SED type and assumed model templates.
There are some details of this comparison that are worth highlighting. First, for blue-cloud galaxies, the cuts of constant SC1 slice across the UVJ colour distribution almost perpendicular to the axis of the blue cloud in UVJ colour space. This illustrates how PCA rotates colour–colour space to combine colours which are correlated (i.e. colours that provide equivalent information). Secondly, the post-starburst population is found at the bluest end of the red sequence in the rest-frame UVJ colour–colour diagram, as suggested by Whitaker et al. (2012); however, their cut at V − J < 0.95 includes a majority of objects that we are unable to confirm as post-starburst galaxies based on our comparison to the UDSz spectra. Low-metallicity red-sequence galaxies scatter throughout the red-sequence and SF3 classes; this colour combination does not allow the separation of this class. Finally, the very tight red sequence identified by the PCA is considerably more extended in UVJ colour space, and has significant overlap with the low-level star-forming galaxies.

In the right-hand panel of Fig. 11, we show the colour–stellar mass diagram of the galaxies in our catalogue. Stellar masses are calculated from the stellar mass-to-light ratio of the best-fitting spectral synthesis model and the flux normalization at 1 μm output from the PCA. When the V − J colour is not considered, the red sequence is clearly contaminated with dusty star-forming galaxies and older/dustier/more metal rich star-forming galaxies. The so-called green valley between blue and red sequences is comprised of predominantly older/dustier/more metal rich star-forming galaxies, but also contains a large fraction of the post-starburst galaxies (see also Wong et al. 2012). The low-metallicity class lies predominantly at the low-mass end of the red sequence. We will compare the stellar masses functions of the different classes in Section 4.2.

4 RESULTS

In the previous sections, we presented a method to define linear combinations of filters that optimally describe the shapes of galaxy SEDs. We applied this method to galaxies in the SXDS/UDS field, and qualitatively confirmed our interpretation of the physical properties of each class by stacking rest-frame optical spectra. In this section, we stack the SEDs of the galaxies in each class, and fit spectral synthesis models to obtain quantitative physical properties. We then construct luminosity and mass functions of each class.

4.1 Stacked SEDs and average physical properties

The UDS galaxies cover a wide range of redshifts; therefore, while a single galaxy is observed at only approximately six sparsely sampled wavelength points, the full wavelength range is observed within the sample. In Fig. 12, we exploit this to show the average SEDs of each class. The number of 0.9 < z < 1.2 galaxies in each class is shown in each panel in parentheses. This figure highlights the distinctly different SED shapes that have been identified by the PCA. The post-starburst sample is shown at the reddest end of the red sequence in the rest-frame UVJ diagram. The red sequence clearly extends to low levels of star formation in the UDS galaxies with 0.9 < z < 1.2. We show the 0.9 < z < 1.2 sample as black points: the smaller red sequence in the rest-frame UVJ colour space. This illustrates how the separation of this class. Finally, the very tight red sequence identified by the PCA is considerably more extended in UVJ colour space, and has significant overlap with the low-level star-forming galaxies.

We calculate the typical super-colours of each class from the median normalized flux of all (0.9 < z < 1.2) galaxies which contribute to each wavelength bin, and take the 16th and 84th percentiles as estimates of the errors on these fluxes. The resulting typical super-colours of each class are given in Table 2 and the reconstructed SEDs are overplotted in each panel of Fig. 12.

For each of these typical SEDs, we calculate the probability distribution function (PDF) of derived (model) parameters, using the stochastic burst models as a prior. The method is similar to that described in detail in e.g. Kauffmann et al. (2003) and Gallazzi et al. (2005) for fitting models to SDSS data using spectral indices. The 16th, 50th and 84th percentiles of the PDFs are given in Table 3 for a selection of parameters, and the full PDFs are shown in Fig. 13. The reduced χ^2 of the best-fitting model indicates the ability, or otherwise, of the models to fit the observed SED shape. In the case of the dusty galaxies and low-metallicity galaxies, this value is greater than unity, meaning the models provide a poor fit to these
Figure 12. Combined SEDs for each super-colour defined galaxy class, created from the individual photometry of all galaxies in the class, normalized and shifted to the rest frame. From left to right and top to bottom: the three star-forming classes (SF1 to SF3), red-sequence, post-starburst, dusty star-forming and low-metallicity quiescent galaxies. The black (grey) points indicate fluxes contributed by galaxies in the low- (high-) redshift sample split at $z = 1.2$. In this paper, we analyse only the low-redshift sample where spectra are available and the errors on the super-colours are smaller; the high-redshift sample is included in this figure only for illustration. A much larger scatter in the combined SEDs is apparent in the high-redshift sample, reflecting the increased errors on the SCs and photometric redshifts. The number of $0.9 < z < 1.2$ galaxies in each sample is given in parentheses in each panel. The PCA reconstruction of the median SED of each class is overplotted as a coloured line. The super-colours corresponding to these reconstructions are given in Table 2. The central and lower-right panels overplot the PCA reconstructed SEDs for some of the classes, to allow easy comparison between the SED shapes.

With respect to the fixed dust attenuation law, fixed metallicities, parametrized star formation histories and need to account for the variations between spectral synthesis models, we do not interpret these trends further.

Turning to the post-starburst, low-metallicity and dusty classes, the super-colours and errors show that these have statistically different SED shapes compared to the more usual classes, at high significance (Table 2). The post-starburst class is clearly identified from the SED fit as the only class with a significant recent burst.

Table 2. Average super-colours of each galaxy class, derived from the fits to the combined SEDs for $0.9 < z < 1.2$ galaxies presented in Fig. 12. The second column gives the number of contributing galaxies.

<table>
<thead>
<tr>
<th>Class</th>
<th>Number</th>
<th>SC1</th>
<th>SC2</th>
<th>SC3</th>
</tr>
</thead>
<tbody>
<tr>
<td>SF1</td>
<td>1608</td>
<td>30.2 ± 0.9</td>
<td>−1.0 ± 0.9</td>
<td>0.9 ± 0.5</td>
</tr>
<tr>
<td>SF2</td>
<td>2583</td>
<td>4.0 ± 1.0</td>
<td>−1.2 ± 0.9</td>
<td>0.5 ± 0.5</td>
</tr>
<tr>
<td>SF3</td>
<td>1396</td>
<td>−16.9 ± 0.5</td>
<td>−3.6 ± 0.4</td>
<td>−0.4 ± 0.3</td>
</tr>
<tr>
<td>Red</td>
<td>779</td>
<td>−19.3 ± 0.3</td>
<td>−0.4 ± 0.3</td>
<td>0.8 ± 0.2</td>
</tr>
<tr>
<td>PSB</td>
<td>78</td>
<td>−7.1 ± 0.5</td>
<td>4.2 ± 0.5</td>
<td>0.3 ± 0.5</td>
</tr>
<tr>
<td>Dusty</td>
<td>320</td>
<td>−27.3 ± 0.2</td>
<td>−7.2 ± 0.2</td>
<td>−1.9 ± 0.2</td>
</tr>
<tr>
<td>Low-met</td>
<td>133</td>
<td>−17.0 ± 0.4</td>
<td>−0.9 ± 0.4</td>
<td>2.9 ± 0.3</td>
</tr>
</tbody>
</table>

Because of the poor model fits and small errors on the data afforded by the stacking of many galaxies, the PDFs for the dusty and low-metallicity classes are dominated by a single ‘closest’ model spectrum. When estimating the physical parameters of these two classes, we therefore increased the errors on their super-colours by a factor of 1.5. This allowed a sufficient number of models to lie within the error range to obtain an estimate of the typical parameters of a range of the closest matching models. The precise factor chosen makes no significant difference to the results, which should be taken as indicative only.
Table 3. Typical physical properties of each galaxy class, estimated from a library of 440 000 BC03 spectral synthesis models. For each parameter, the 16th, 50th and 84th percentiles of the PDF are given. Note that for the dusty and low-metallicity samples the high reduced $\chi^2_{\nu}$ value indicates that the models are unable to fit the shape of the SED and the derived parameters and errors should be treated with caution.

<table>
<thead>
<tr>
<th>Class</th>
<th>$\chi^2_{\nu}$</th>
<th>age_{wr}/Gyr</th>
<th>age_{wm}/Gyr</th>
<th>Z/Z⊙</th>
<th>$\tau_V$</th>
<th>$F_{\text{burst}}$</th>
<th>log($M/L_V$)</th>
<th>log($M/L_K$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SF1</td>
<td>0.7</td>
<td>0.4, 0.4, 0.4</td>
<td>0.8, 0.8, 1.0</td>
<td>0.8, 1.2, 1.3</td>
<td>0.2, 0.2, 0.5</td>
<td>0.0, 0.0, 0.1</td>
<td>$-0.61, -0.61, -0.55$</td>
<td>$-0.90, -0.89, -0.80$</td>
</tr>
<tr>
<td>SF2</td>
<td>0.1</td>
<td>0.7, 1.0, 1.3</td>
<td>1.3, 1.6, 2.5</td>
<td>1.2, 1.5, 1.7</td>
<td>0.2, 0.5, 0.8</td>
<td>0.0, 0.0, 0.0</td>
<td>$-0.34, -0.28, -0.22$</td>
<td>$-0.79, -0.70, -0.64$</td>
</tr>
<tr>
<td>SF3</td>
<td>0.3</td>
<td>1.6, 2.1, 2.4</td>
<td>2.2, 3.1, 3.6</td>
<td>1.6, 1.7, 1.9</td>
<td>0.8, 1.1, 1.7</td>
<td>0.0, 0.0, 0.0</td>
<td>$0.12, 0.15, 0.21$</td>
<td>$-0.57, -0.52, -0.48$</td>
</tr>
<tr>
<td>Red</td>
<td>0.2</td>
<td>1.3, 1.8, 2.4</td>
<td>1.6, 2.2, 3.1</td>
<td>0.5, 1.0, 1.5</td>
<td>1.1, 1.4, 2.4</td>
<td>0.0, 0.0, 0.1</td>
<td>$0.03, 0.12, 0.21$</td>
<td>$-0.59, -0.50, -0.40$</td>
</tr>
<tr>
<td>PSB</td>
<td>0.2</td>
<td>0.7, 1.0, 1.6</td>
<td>0.8, 1.3, 2.5</td>
<td>0.5, 1.4, 2.4</td>
<td>0.2, 0.4, 0.7</td>
<td>$-0.25, -0.16, -0.10$</td>
<td>$-0.74, -0.62, -0.52$</td>
<td></td>
</tr>
<tr>
<td>Dusty</td>
<td>1.6</td>
<td>0.7, 1.0, 1.6</td>
<td>1.3, 1.6, 2.5</td>
<td>1.5, 1.9, 1.9</td>
<td>2.1, 2.7, 4.9</td>
<td>0.0, 0.0, 0.0</td>
<td>$0.57, 0.60, 0.66$</td>
<td>$-0.42, -0.42, -0.38$</td>
</tr>
<tr>
<td>Low-met</td>
<td>17.8</td>
<td>2.7, 3.2, 3.8</td>
<td>3.1, 3.6, 4.5</td>
<td>0.4, 0.6, 0.8</td>
<td>0.2, 0.8, 1.7</td>
<td>0.0, 0.0, 0.0</td>
<td>$0.09, 0.18, 0.24$</td>
<td>$-0.43, -0.37, -0.30$</td>
</tr>
</tbody>
</table>

Column (1): class name.
Column (2): reduced $\chi^2_{\nu}$ of the best-fitting BC03 stochastic burst model.
Columns (3)–(9): 16th, 50th and 84th percentiles of the PDF of physical (derived) parameters: $r$-band light-weighted age; mass-weighted age; metallicity; total effective $V$-band optical depth due to dust attenuation; fraction of stars formed in a starburst in the last Gyr; log mass-to-light ratio in the $V$ and $K$ bands (we assume solar AB absolute magnitudes of 4.8 and 5.18 in the $V$ and $K$ bands, respectively).

Figure 13. PDFs of a selection of physical parameters for each of the super-colour classes. From left to right: $r$-band light-weighted age (Gyr); mass-weighted age (Gyr); metallicity ($Z/Z⊙$); total effective $V$-band optical depth of the dust seen by young stars inside birth clouds; time of the last burst of star formation (Gyr); fraction of stars formed in a starburst in the last Gyr; log mass-to-light ratio in the $V$ band and in the $K$ band.

mass fraction. The fraction of stars formed in a burst in the last 1 Gyr is not well constrained by the super-colours, but is larger than $\sim 10$ per cent. While the post-starburst class is well fitted by the models, the conclusions we can draw about the physical properties of the dusty and low-metallicity classes are based on extrapolation from the closest models. The dusty galaxies are fitted with models with total effective $V$-band absorption optical depth of the dust seen by young stars inside birth clouds of $\tau_V \sim 2$, which is significantly
higher than all other classes. Their fitted mean stellar age is similar to the blue-cloud galaxies (SF2), although the stacked optical spectra show a closer match to the SF3 class. We note that the old, metal-rich nature of this population is almost certainly a selection effect: only the oldest, most metal rich dusty star-forming galaxies will be uniquely identifiable at the very reddest end of the blue sequence. Younger and less metal rich dusty galaxies will be indistinguishable from normal blue-sequence galaxies with broad-band photometry alone. The low-metallicity class is fitted with models with a metallicity of less than solar. Interestingly, the mean stellar ages of this population are the oldest of all classes. This suggests that we are able to select the oldest, most metal poor quiescent galaxies at a given redshift from SED shape alone.

4.2 Luminosity and mass functions

In Fig. 14, we present the rest-frame 1 μm luminosity functions and stellar mass functions of the different galaxy classes, accounting for volume effects using the $V_{\text{max}}$ method. This weights each galaxy by $V/V_{\text{max}}$, where $V_{\text{max}}$ is the maximum volume in which the galaxy may be observed in the spectroscopic survey (Schmidt 1968). We use the best-fitting stochastic burst model for each galaxy in order to calculate how the apparent magnitude changes as a function of redshift, and therefore the volume in which a galaxy of that SED shape and absolute magnitude would be seen. To avoid being dominated by incompleteness correction, we only show bins where the median visible volume of galaxies in the bin is greater than 60 per cent of the total survey volume. It is important to recall that the spectral synthesis models do not provide a good fit to the dusty star-forming galaxies, low-metallicity galaxies and some of the extreme starbursts and therefore the mass-to-light ratios have greater uncertainties than for other classes, even though for individual galaxies the fit is formally acceptable. This may result in stellar masses and volume corrections that are biased in an unknown way due to the failure of the models to match their colours. We have chosen to present luminosity functions at 1 μm as this is the normalization point of the PCA, to avoid model-dependent extrapolation. $K$-band luminosity functions are additionally presented in Appendix B to facilitate direct comparison with other results in the literature (e.g. Cirasuolo et al. 2010).

Three populations dominate at high stellar masses in almost equal proportions ($log M^* > 11$): the red-sequence, high mean stellar age blue-cloud (SF3) and dusty star-forming classes. Within the blue cloud, we see the well-known trend between mean stellar age and stellar mass. The ‘normal’ blue-cloud class (SF2) dominates the population at masses below $log M^* \sim 10.5$, and galaxies in the youngest class (SF1) have predominantly low masses ($log M^* < 10$). Turning to the unusual classes, the mass distribution of the dusty star-forming galaxies closely matches that of the red-sequence galaxies, and is different from any of the other star-forming classes. The post-starburst galaxies have predominantly intermediate masses ($log M^* < 10.75$) and their mass distribution is uniquely rather flat. The low-metallicity red-sequence galaxies have a stellar mass function that climbs steadily towards low mass ($log M^* < 10$).

In the right-hand panel, we combine all of the quiescent and star-forming classes into two independent luminosity functions, to compare with similar analyses in the literature. We will return to this figure in Section 5.4 below.

5 DISCUSSION

Previous analyses of galaxy SED shapes in broad-band photometric surveys can be split into two categories: SED fitting and rest-frame colour–colour diagrams. In this paper, we have presented a third alternative which allows a fresh look at both interesting populations of galaxies and the ability of spectral synthesis models to fit all SED types.

In this section, we discuss the impact that different stellar population models, inclusion of emission lines and changing the dust attenuation law would have on our results and the implications for future improvement of spectral synthesis models and SED-fitting codes. We then summarize the implications of our results for three science topics that could be investigated further using this method.

5.1 BC03 versus Maraston models

One key difference between some stellar population models is the relative strength of emission from the asymptotic giant branch (AGB) phase of stellar evolution, which primarily alters the optical-to-NIR flux ratio of galaxies with stars younger than 2 Gyr.
A new method for classifying galaxy SEDs

Attempts to positively identify AGB features in the NIR of integrated stellar populations have had mixed results (see Kriek et al. 2010; Zibetti et al. 2013, compared to Melbourne et al. 2012; Martins et al. 2013). In agreement with Kriek et al. (2010) and Zibetti et al. (2013), we see no evidence for a deviation of colours from those predicted by the BC03 models in post-starburst galaxies (Fig. 12 and Table 3), although our SED analysis does not extend as far into the NIR as these two studies. The positive identification of AGB features by both Melbourne et al. (2012) and Martins et al. (2013) is done in galaxies with younger mean stellar ages, i.e. star-forming and starburst galaxies. The super-colours can be used to investigate the potential impact of different assumptions about this late stellar evolutionary phase on the SED shapes of galaxies.

In the left-hand panels of Fig. 15, we compare the super-colours of the AGB-light BC03 stellar population synthesis models with the AGB-heavy models of Maraston (2005, hereafter M05). For the purposes of this investigation, we focus on simple models with exponentially declining star formation histories with an e-folding time of 1 Gyr and no dust attenuation. A range of metallicities are shown. As the stellar population ages, the overall blue-to-red colour (SC1) does not vary significantly between the two models, but the same is not true for the features measured by SC2 and SC3 (the shape of the 4000 Å break region). The largest difference occurs in the normal star-forming galaxies, particularly at sub- and super-solar metallicities. Unfortunately, this region has the least well constrained stellar population balance, and it will be difficult to break the degeneracy between the thermally pulsing asymptotic giant branch (TP-AGB) star contribution and star formation history from photometry alone.

The lower panel shows how SC3 increases as metallicity decreases in the red sequence. The black error bar indicates the mean colour of the low-metallicity class (the error on SC1 is insignificant on this scale). In contrast to the BC03 models, the M05 models reach a high enough value of SC3 to fit the low-metallicity class, although the reason for this difference is unclear. Further testing with realistic star formation histories would be required to see whether M05 models can provide an improved overall fit to this class than the BC03 models, for which a reduced $\chi^2_\nu$ of 17.8 was obtained.

While the BC03 models used in this paper extend down to 1/10th solar, the extreme low metallicity (1/1000th solar) M05 model included in this figure highlights another area where careful interpretation of the SED shapes is needed: at ages larger than $\sim4$ Gyr extreme low metallicity galaxies have SC1/2 colours consistent with a post-starburst population. While the UVJ post-starburst selection method may include low-metallicity quiescent galaxies, the third super-colour allows us to cleanly separate these two interesting populations.
5.2 The impact of nebular emission lines

Narrow emission lines and blue continuum emission can arise from either H\alpha regions in sites of active star formation or from obscured AGN. Their emission during SED fitting has been shown to bias the physical properties of galaxies with very high specific star formation rates (e.g. Schaerer & de Barros 2009). While nebular continuum emission is not expected to be strong in the optical and NIR wavelength range studied here, strong nebular emission lines may be present. In the central panels of Fig. 15, we investigate the impact of line emission on the super-colours, by adding the strongest optical emission lines to the spectra before calculating the observed-frame broad-band fluxes. The model emission lines were not attenuated by dust, thus giving the maximum possible impact.

The open circles show the impact on the super-colours due to emission from star formation regions. The He line strength was estimated from the number of ionizing photons from the UV stellar continuum below 912 Å and the hydrogen Balmer series were included up to He assuming Case B recombination line ratios (Osterbrock & Ferland 2006). Strong metal lines were included using dust attenuation-corrected line ratios observed for local star-forming galaxies of appropriate metallicity from Pacifici et al. (2012) \([\text{O}\,\text{iii}]\,\lambda\lambda\,4960,\,5008,\,\text{[O}\,\text{ii}]\,\lambda\,3727,\,3730,\,\text{[N}\,\text{ii}]\,\lambda\,6550,\,6585,\,\text{[S}\,\text{ii}]\,\lambda\,6718,\,6733\). We observe a small increase in SC1 and SC2 in young galaxies when emission lines from star formation are included. The shift is most significant in SC2 for very young, low-metallicity galaxies. Only a small effect is observed on SC3.

The crosses in the central panel of Fig. 15 show the effect of emission from obscured (narrow-line) AGN by including a fixed line luminosity independent of the age of the stellar population. We assume a fiducial AGN [O iii] luminosity of 10^4 L_☉ (Heckman et al. 2004) and include strong metal lines with line ratios typical for narrow-line AGN in the local Universe (Kewley et al. 2006). The impact of these lines is most significant on the intermediate-age population, causing a small shift in SC1.

Comparing to Fig. 8, it is found that emission lines are not able to explain the high SC3 values of some of the youngest starbursts, nor are they able to explain the high SC3 values of the low-metallicity class of galaxies (black error bar in Fig. 15). However, it is possible that the impact of emission lines is greater at certain redshifts, when the positioning of the filters happens to coincide with the strongest lines. The super-colours provide a new method for investigating this effect in much more detail in the future.

5.3 The impact of the assumed dust attenuation law

In the right-hand panel of Fig. 15, we investigate the sensitivity of the super-colours to the assumed shape of the dust attenuation law. We compare the colours of the exponentially declining model attenuated using the Charlot & Fall (2000, hereafter CF00) prescription employed in the stochastic burst models and by the Calzetti et al. (2000) attenuation law. The latter attenuation law lies at the grey end of the range of attenuation laws found in local star-forming galaxies (Wild et al. 2011), but is generally used for high-redshift galaxies. Note that the CF00 prescription is parametrized by the effective optical depth in the V band towards stars younger than 10^7 yr, which is independent of the mean stellar age of the population. On the other hand, the Calzetti prescription is parametrized by the effective optical depth in the V band towards all stars. This makes it difficult to directly compare the evolution in colours for a fixed dust content over a wide age range. For old stellar populations, the Calzetti value is a factor of ~3 lower than the CF00 value for the same amount of dust. As the dusty star-forming class has an old mean stellar age, we normalize the attenuation laws to best compare the oldest populations. At younger ages, the Calzetti attenuated models will have lower effective attenuation than the CF00 attenuated models.

In the upper-right panel of Fig. 15, we can clearly see the dust-age degeneracy, with dusty star-forming galaxies moving to lower SC1 values at all ages. Interestingly, the Calzetti attenuation law has a much larger impact on the SC2 values than the CF00 attenuation law. In the lower panel, we see the dust–metallicity degeneracy, with dustier galaxies moving to lower SC3 at all ages.

In Section 4, we noted that the mean SED of the dusty star-forming class of galaxies was not well fitted by the stochastic burst models with the CF00 dust attenuation. The black error bar in these figures shows their mean super-colours. The models attenuated by the Calzetti law provide a good match in all three super-colours for a single model (2 Gyr, 2.5 Z_☉, τ_V,Calzetti = 1.2). While this does not advocate the use of the Calzetti dust attenuation law for all blue-sequence galaxies in the high-redshift Universe, it does show that constraints might be placed on the shape of the attenuation law for certain classes of galaxies. It is possible that the slightly greyer attenuation law is preferred for the high-metallicity, old, dusty galaxies, because the high dust column densities will lead to observed optical depths close to unity over a wide range of wavelengths.

5.4 The fraction of quiescent galaxies

The evolving fraction of quiescent galaxies with redshift is a key constraint on galaxy evolution models. Observationally, this measurement has been approached in two ways, using rest-frame colour selection (e.g. Williams et al. 2009; Ilbert et al. 2013) or results from SED fitting (e.g. Moustakas et al. 2013). In Section 4.2 and Fig. 14, we presented the super-colour version of the red–blue bimodal mass function. The blue-cloud mass function is composed of all of our star-forming classes, including the dusty star-forming galaxies. The red-sequence mass function combines the red, post-starburst and low-metallicity classes. From this we calculate that quiescent galaxies account for 45 per cent of galaxies at high masses (log M* > 11), declining steadily to 25 per cent by log M* = 10.5 and 13 per cent by log M* = 10.

This result contrasts significantly with Ilbert et al. (2013), who find a quiescent fraction of around 80 per cent at log M* > 11 and similar redshifts (0.8 < z < 1.1) in the COSMOS field. They also find a declining fraction with stellar mass, although only reaching ~20 per cent at log M* = 10. On the other hand, our results are in better agreement with those of Moustakas et al. (2013) using the PRIMUS survey who find an almost constant fraction of quiescent galaxies, at a little over 50 per cent, at masses of log M* > 11.

The difference between these results may in part be due to cosmic variance, as well as differences in how stellar masses are measured. The super-colour diagrams present a competitive way to measure a robust quiescent fraction, properly accounting for dust attenuation, as well as errors in the quantification of the SED shape caused by incomplete sampling of the wavelength range afforded by broad-band filters.

5.5 Post-starburst galaxies

The clearest class of unusual galaxies identified in the super-colours are the post-starburst galaxies. The excess of A and F stars in this class gives rise to both the unique SED shape with the strong Balmer break and strong Balmer absorption lines that are traditionally used...
to select these galaxies from spectroscopic surveys. In Section 3.1, we defined the demarcation line between the post-starburst and red-sequence galaxies by comparing spectral indices measured from available optical spectra in the UDSs surveys with a previous analysis of VVDS spectra by WWJ09 (see also Appendix A). This ensures that our photometric selection is similar to the WWJ09 sample, although we noted that it may extend to slightly older ages.

SED fitting does not tightly constrain the mean burst mass fraction of the super-colour selected post-starbursts (Fig. 13), but the PDF is consistent with a value larger than 10 per cent, similar to that measured by WWJ09.

WWJ09 detected 16 post-starburst galaxies with \( \log M^* > 9.75 \) at \( 0.5 < z < 1.0 \), giving a number density of \( 1 \times 10^{-4} \) Mpc\(^{-3}\). In this paper, using photometric detection in the UDS field (covering a very similar survey volume to the VVDS), we detect 69 post-starburst galaxies with \( \log M^* > 9.75 \) at \( 0.9 < z < 1.2 \) and measure a slightly lower number density of \( 0.45 \times 10^{-4} \). Assuming Poisson errors alone, we find fewer post-starburst galaxies at \( z \sim 1 \) than at \( z \sim 0.7 \) at a significance of around 2\( \sigma \). Allowing for cosmic variance and the large completeness corrections involved in the analysis of the spectroscopic VVDS survey, together with the uncertainty in the relative positioning of the demarcation line separating post-starburst galaxies from blue and red sequence in spectroscopic and photometric surveys, erodes the significance of this discrepancy. Overall we conclude that, while we tentatively detect an increase in the number of post-starburst galaxies between a mean redshift of 1 and 0.7, this is not significant within the errors.

Whitaker et al. (2012) used the narrow-band NEWFIRM survey to detect ‘young quiescent’ post-starburst galaxies using a traditional UVJ colour selection, finding a number density of \( 3 \times 10^{-5} \) Mpc\(^{-3}\) at \( z \sim 1 \) and factor of 10 decrease in numbers between \( z = 2 \) and 0.5. However, the mass limit of their sample (\( \log M^* > 10.7 \)) is an order of magnitude higher than that in the VVDS and UDS surveys studied here. For an equivalent mass limit (using the same IMF), we find 10 post-starburst galaxies and a number density of \( 6 \times 10^{-6} \) Mpc\(^{-3}\), which is significantly lower. However, this is not surprising, as in Section 3.3 we showed that Whitaker et al. (2012) include a large fraction of galaxies that we class as red sequence, based on comparison to optical spectra.

6. Low-metallicity quiescent galaxies

A new class of objects identified by their third super-colour are the metal-poor quiescent galaxies (Fig. 8). These are of potential interest, because an \(~\sim 4\) Gyr old galaxy at \( z \sim 1 \) has a formation time at \( z > 3 \). It opens the intriguing possibility that the detection of metal-poor quiescent galaxies at \( z \sim 1 \) could provide useful constraints on the properties of the very first generation of galaxies, complementary to attempts at direct detection using their blue UV slopes (\( \beta \)) at \( z > 6 \) (e.g. Bouwens et al. 2010; Dunlop et al. 2012).

This class of galaxies does not contribute significantly to the red-sequence luminosity or mass functions except at the very lowest masses (Fig. 14), and they are indistinguishable from red-sequence galaxies in traditional colour–colour diagrams.

Fitting BC03 stochastic burst models to the stacked SED of this class shows that they have sub-solar metallicities and mean stellar ages of \(~\sim 3\) Gyr. There are several possibilities for the poor fit of the BC03 stochastic burst models to this class of objects. First, it is possible that the models simply do not reach low enough metallicities (the lowest metallicity is 1/10th solar). There could be missing components in the stellar library and/or evolutionary tracks. The M05 models have the higher SC3 values required, although the old ages of these galaxies mean that the TP-AGB phase is unlikely to be responsible for this. Alternatively, the stochastic burst models do not have the correct star formation histories to describe these populations. On the other hand, we found no significant colour difference between Salpeter and Chabrier IMFs. We also investigated the possibility that these objects were contaminants with incorrect photometric redshifts, by removing objects with very poorly constrained photometric redshifts. The physical parameters of the sample did not alter significantly, and the reduced \( \chi^2 \) increased, indicating that incorrect redshifts are not the cause. Ultimately, follow-up spectroscopy is needed to confirm the nature of this class of objects.

6. SUMMARY AND CONCLUSIONS

In this paper, we developed a new method to study the SED shapes of galaxies, allowing comparison between galaxies at different redshifts while retaining independence from spectral synthesis models.

The aim was to develop a method that allowed us to (i) stack SEDs of galaxies at different redshifts with the same SED shapes to better constrain mean physical properties; (ii) compare observed colours of galaxies without relying on the spectral synthesis models to be accurate, unlike traditional K-corrections; (iii) easily visualize loss of information and biases caused by the incomplete sampling of the rest-frame SED as a function of redshift and SED shape; (iv) investigate the potential of broad-band photometric data sets for revealing unusual classes of galaxies.

Application to the UDS field for \( 0.9 < z < 1.2 \) galaxies results in a very clean and tight red sequence, and a blue cloud that is extended primarily by mean stellar age and dust content. Metallicity is orthogonal to the age–dust super-colour, meaning metallicity can be constrained for blue-sequence galaxies for an assumed star formation history and set of stellar population models. The reddest end of the blue sequence is comprised of extremely dusty galaxies that are also metal rich and with old mean stellar ages. A strong post-starburst population stands out clearly in SED colour space, providing a clean method to identify galaxies which have recently undergone a massive starburst (\(~\geq 10\) per cent by mass) which has quenched, without the need for expensive spectroscopy.

We found that the BC03 spectral synthesis models provide a good description of the SED shapes for the vast majority of \( z \sim 1 \) galaxies, meaning that rest-frame optical-to-NIR broad-band photometry is not sufficient to distinguish more complex star formation histories and dust attenuation laws than used here. However, we also identified some SED shapes that are not well covered by the models: low-metallicity dwarf quiescent galaxies and massive dusty star-forming galaxies. Here we summarize our conclusions.

(i) In modern multiwavelength broad-band photometric surveys with a wide wavelength coverage, the sparse sampling of galaxy SEDs caused by the redshifts of the galaxies does not necessarily cause a dramatic loss of information on their underlying SED properties.
shape. We show that, with currently available bands in the UDS, SED shapes can be recovered robustly in the redshift ranges of $0.9 < z < 1.2$ and $z > 1.7$.

(ii) Broad-band multiwavelength photometry can detect post-starburst galaxies with similar properties to those detected in high-redshift spectroscopic surveys such as the VVDS. We detect a similar number density of post-starbursts more massive than $\log M^*/M_\odot > 9.75$ between $0.9 < z < 1.2$ (UDS, this paper) and $0.5 < z < 1$ (VVDS, WWJ09).

(iii) Dusty star-forming galaxies can be uniquely identified, but by selection they are high-metallicity ($\sim 2Z_\odot$), old (mass-weighted mean age $\sim 2$ Gyr) and very dusty (total effective optical depth $\tau_V \sim 3$, approximately equivalent to a observed optical depth to the stellar continuum of $\tau_V \sim 1$). These galaxies have a mass function that is close to the red-sequence population in shape; however, we emphasize that this is purely a selection bias as only highest metallicity, oldest and dustiest galaxies are distinguishable to the red end of the blue cloud.

(iv) For the dustiest star-forming class, we find better consistency with models attenuated by dust using the Calzetti et al. (2000) dust attenuation law than with the CF00 law. This does not support the use of the Calzetti law for all high-redshift star-forming galaxies, however. For extremely dusty galaxies, a greyer dust attenuation curve may be caused by the high opacities to all stars at all wavelengths.

(v) We tentatively identify a new class of very low metallicity quiescent galaxies, which have lower luminosities and masses than typical red-sequence galaxies. This class is not distinguishable using traditional colour–colour diagrams.

Broad-band photometry contains considerable information about the physical properties of galaxies, but simple K-corrected rest-frame colour–colour diagrams fail to capture a significant fraction of the information and can be biased by poor model fits. Physical properties derived from SED fitting are prior dependent, and physical properties will be biased when models do not fit the SED shape of the data. Such biases are difficult to spot when errors on individual galaxies are large, and individual galaxies are fitted in isolation with no way to group objects with similar properties prior to fitting. The method presented here provides a complementary approach to visualize the SED shapes of galaxy populations, allowing the stacking of populations with the same SED shapes. We have shown it to be useful in identifying populations with interesting physical properties, as well as identifying areas where the spectral synthesis models need some improvement.

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APPENDIX A: POST-STARBURST SPECTRA IN THE UDSZ

In Fig. A1, we show the spectra of the five super-colour selected post-starburst galaxies which have UDSz spectra. In the left-hand panel, the full wavelength range of the spectra is shown and in the right-hand panel we focus on the region over which the spectral indices are measured. The bottom spectrum has been observed with the FORS instrument, and the resolution has been downgraded to match that of the VIMOS observations. Overplotted are the reconstructed spectra using the first three VVDS spectral indices, and in Table A1 are the IDs, positions, redshifts and VVDS spectral indices for these objects. All spectra have sufficient SNR that the PCA spectral indices developed in WWJ09 for VVDS spectra can be measured on individual objects as well as on the stacked spectrum, although unfortunately the strength of the more traditional H$_\alpha$ absorption line is not robustly constrained. We find that 4/5 would have been classified as a post-starburst galaxy by WWJ09. The final one lies closer to the spectroscopically defined red sequence, which is consistent with its slightly redder super-colours.

APPENDIX B: THE $K$-BAND LUMINOSITY FUNCTION

In the main paper, we present rest-frame 1 $\mu$m luminosities, which are within the observed wavelength range of the data, and therefore do not require extrapolation using spectral synthesis models. To enable direct comparison with previous work, we show in Fig. B1 the rest-frame $K$-band luminosity function of each class, with $K$-band luminosity of each galaxy extrapolated using the best-fitting BC03 stochastic burst spectral synthesis models to the first three super-colours of each galaxy.
Table A1. Details of the five super-colour selected post-starburst galaxies with spectra from the UDSz survey. PC1\textsubscript{VVDS} and PC2\textsubscript{VVDS} are the VVDS resolution spectral PCA indices calculated using the eigenvectors and method presented for galaxies in the VVDS survey by WWJ09.

<table>
<thead>
<tr>
<th>UDSz-ID</th>
<th>RA</th>
<th>Dec</th>
<th>z\textsubscript{phot}</th>
<th>z\textsubscript{spec}</th>
<th>SC1</th>
<th>SC2</th>
<th>SC3</th>
<th>PC1\textsubscript{VVDS}</th>
<th>PC2\textsubscript{VVDS}</th>
</tr>
</thead>
<tbody>
<tr>
<td>39205</td>
<td>34.536512</td>
<td>−5.1441217</td>
<td>0.98</td>
<td>1.01</td>
<td>−3.5 ± 0</td>
<td>4.9 ± 0</td>
<td>−0.1 ± 0</td>
<td>−0.11 ± 0.04</td>
<td>0.85 ± 0.04</td>
</tr>
<tr>
<td>46929</td>
<td>34.538982</td>
<td>−5.0721098</td>
<td>1.05</td>
<td>1.06</td>
<td>−1.7 ± 0</td>
<td>6.9 ± 0</td>
<td>0.3 ± 0</td>
<td>0.17 ± 0.08</td>
<td>0.74 ± 0.09</td>
</tr>
<tr>
<td>45319</td>
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<td>1.17</td>
<td>1.15</td>
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<td>5.1 ± 0</td>
<td>0.2 ± 0</td>
<td>−0.02 ± 0.10</td>
<td>0.83 ± 0.09</td>
</tr>
<tr>
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<td>1.3 ± 0.1</td>
</tr>
<tr>
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<td>0.87 ± 0.07</td>
<td>0.37 ± 0.06</td>
</tr>
</tbody>
</table>

Figure B1. The $K$-band luminosity functions of the complete sample (black) and individual classes as labelled (colours). The dotted line shows the fit to the measured luminosity function at $z = 1$ from Cirasuolo et al. (2010) for an earlier data release of the same field. Overall agreement is good, with differences consistent within the errors.

SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

The optimal linear combination of filters (eigenvectors) derived in this paper are provided in digital format, suitable for surveys using the same filter set as the UDS, together with IDL code for reading and applying them.


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