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# **Outcomes after acute Intracerebral Haemorrhage**

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## **Abstract**

Primary Intracerebral haemorrhage is a severe form of stroke with poor prognosis attributed to haematoma characteristics. High blood pressure is present during the acute phase of intracerebral haemorrhage and associated with poor outcome in part through expansion of haematoma.

Data from the 'Efficacy of Nitric Oxide in Stroke trial' (ENOS) was used to analyse the performance characteristics of qualitative and quantitative descriptors of intracerebral haematoma. The results showed that formal measurement of haemorrhage characteristics and visual estimates are reproducible. Intracerebral haemorrhage volumes measured using the modified ABC/2 formula were significantly lower compared to standard ABC/2 and computer assisted semi-automatic segmentation.

In 629 patients with intracerebral haemorrhage presenting within 48 hours, the effect of blood pressure lowering with transdermal glyceryl trinitrate was assessed. Glyceryl trinitrate lowered blood pressure, was safe but did not improve functional outcome. In a small group of patients treated within 6 hours, glyceryl trinitrate improved functional outcome.

Analysis of 246 patients with acute intracerebral haemorrhage from ENOS was undertaken to assess whether there were any differences in functional outcome among those who continued prior antihypertensive drugs during the immediate stroke period compared to those assigned to stop temporarily for 7 days. The results were neutral indicating that there was no benefit in those who continued treatment.

Data of 1,011 patients with intracerebral haemorrhage in hyperacute trials from the VISTA collaboration showed differences in baseline characteristics and functional outcomes among patients from various ethnic backgrounds.

A systematic review was updated to assess the effect of 26 randomised controlled trials that aimed to alter blood pressure within one week of acute stroke. The results showed that blood pressure reduction did not improve functional outcome irrespective of stroke type. When examined by time, treatment within 6 hours appeared to benefit but the number of patients were small and more studies are needed. The analysis also showed that continuing prestroke antihypertensive drugs in the immediate period after stroke did not benefit and might be harmful.

In summary, this thesis provides new information on parameters used to estimate intracerebral haematoma, relationship between management of blood pressure and outcomes after haemorrhagic stroke. The work supports testing of whether very early blood pressure lowering after ictus is beneficial as is being undertaken in ongoing randomised controlled trials. Adjusting for ethnic differences may further identify patients in whom treatment may confer measurable advantage.

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## List of abbreviations

3-D	Three dimensional
ACA	anterior cerebral artery
ACE-I	angiotensin converting enzyme inhibitor
ADP	adenosine diphosphate
AF	Atrial fibrillation
ARA	angiotensin receptor antagonist
ARR	absolute risk reduction
BI	Barthel Index
BP	blood pressure
CA	cerebral autoregulation
CBF	cerebral blood flow
CCB	calcium channel blocker
CEA	carotid endarterectomy
CI	confidence interval
CPP	cerebral perfusion pressure
CT	computed tomography
CTA	computed tomography angiography
CVP	central venous pressure
DBP	diastolic blood pressure
DICOM	Digital Imaging and Communications in Medicine
DVT	deep vein thrombosis
ENOS	Efficacy of Nitric Oxide in Stroke trial
EQ-5D	European quality of Life-5 Dimensions questionnaire
EQ-VAS	European quality of life-visual analogue scale
FFP	fresh frozen plasma
GCS	Glasgow Coma Scale
GTN	glyceryl trinitrate
HR	heart rate
HE	haematoma expansion
HUS	health utility status
ICC	intraclass correlation coefficient
INR	International Normalised Ratio
ICH	intracerebral haemorrhage
ICP	intracranial pressure
IVH	intraventricular haemorrhage
LACS	lacunar syndrome

LDL	low density lipoprotein
mRS	modified Rankin scale
MAP	mean arterial pressure
MCA	middle cerebral artery
MD	mean difference
MIS	minimally invasive surgery
MMSE	Mini mental state examination
MRI	magnetic resonance imaging
NO	nitric oxide
NIHSS	National Institutes of Health Stroke Scale
OCSP	Oxfordshire Community Stroke Project
OEF	oxygen extraction fraction
OR	odds ratio
PACS	Partial Anterior Circulation Syndrome
PCA	posterior cerebral artery
PCC	prothrombin cell concentrate
PET	positron emission tomography
POCS	Posterior circulation stroke syndrome
r-FVIIa	recombinant Factor VIIa
ROI	Region of Interest
RCT	Randomised controlled trial
RR	relative risk
r-tPA	recombinant tissue plasminogen activator
SAE	serious adverse event
SAS	semi-automatic segmentation
SBP	systolic blood pressure
SD	standard deviation
SPSS	Statistical software for social science
SSS	Scandinavian Stroke Scale
TACS	Total anterior circulation stroke syndrome
TICS	Telephone interview for cognitive status
TIA	Transient Ischaemic Attack
TOAST	Trial of Org 10172 in Acute Stroke Treatment
UK	United Kingdom
ZDS	Zung Depression score

# **Chapter 1 - Background**

## **1.1 Introduction**

'Stroke' as a condition includes a range of clinical and etiological syndromes. It is defined as a 'rapidly developed clinical sign of focal or global disturbance in cerebral function, lasting more than 24 hours or until death with no apparent cause other than of vascular origin'.<sup>1</sup> This includes cerebral infarction, intracerebral and subarachnoid haemorrhage but excludes transient ischaemic attack, subdural and extradural haemorrhage and infarction or haemorrhage due to infection or malignancy. If the symptoms resolve in less than 24 hours, for epidemiological purposes the syndrome is defined as a transient ischaemic attack (TIA). Most TIA's resolve within 60 minutes.<sup>2</sup> The cut-off point of 24 hours is useful and valid when there is no permanent damage to brain tissue or retina.<sup>3</sup>

Stroke is a worldwide condition and is the third most cause of death after heart disease and cancer.<sup>4</sup> In the UK, stroke accounts for 11% of all deaths.<sup>5</sup> Nearly 150,000 patients are affected in the UK every year. Stroke costs the UK around £8.2 billion a year and the estimated cost for treating each patient is around £30,000 in the first five years.<sup>6</sup> Survivors

can be severely disabled and heavily reliant for self-care.<sup>5</sup> In a population based study, two-fifth of stroke survivors after five years were more disabled than they were few months after stroke.<sup>7</sup>

## **1.2 Incidence and prevalence**

Incidence is defined as the number of first strokes occurring in a population per unit time. The incidence of stroke and TIA combined exceeds incidence of acute coronary syndrome.<sup>8</sup> Stroke can occur at any age. In developed countries, one in every 20 adults (>14 years) is affected by stroke.<sup>9</sup>

The risk of stroke increases with age with most patients aged above 65 years. With increase in each year, the risk of fatal and non-fatal stroke increases by around 10% in men and women.<sup>10</sup> This is due to effects of ageing on the vascular system. Men are at a higher risk of compared to women. One in every four men and one in five women aged 45 can expect to have a stroke if they lived upto their eighties.<sup>11</sup>

The risk of dying from stroke is higher in women.<sup>12</sup> This is due to the higher age of onset of stroke in women and longevity.<sup>13</sup>

The incidence and prevalence vary according to geography

and ethnicity.<sup>14, 15</sup> A higher incidence of stroke in blacks is probably due to poor control of hypertension and small vessel disease.<sup>15-17</sup>

Prevalence of stroke is the number of all individuals affected by stroke at a particular time and can be derived from stroke registers.<sup>18</sup> With developments in stroke prevention such as antiplatelets, antihypertensive agents and lipid lowering agents, the number of survivors has increased in the last few years. One year after a stroke, 30% of patients will have died, 30% are independent and 40% will be dependent for self-care.<sup>19</sup> One in six people who survive a first-stroke will have a recurrent stroke over the next five years, so strategies for secondary prevention should be initiated soon after the index event.<sup>20</sup>

### **1.3 Stroke subtypes and classification**

Eighty-five percent of acute stroke is ischaemic, ten-fifteen percent is haemorrhagic and five percent includes sub-arachnoid haemorrhage. In blacks, the incidence of primary intracerebral haemorrhage (ICH) is higher.<sup>16</sup>

The only reliable method used to differentiate between ischaemic and haemorrhagic stroke is by scanning the brain using computerised tomography (CT) or magnetic resonance imaging (MRI). Further subclassification is useful and has implications with regards to prevent recurrent stroke. In addition, very early identification of different subtypes is useful when therapy such as thrombolysis in large vessel occlusion is guided by the classification.

### **1.3.1 Oxford Community Stroke Project (OCSP) Classification**

The Oxford Community Stroke Project (OCSP) classification defines four subtypes according to clinical presentation.<sup>21, 22</sup> It is based on characteristic pattern of symptoms attributed to a vascular territory and has prognostic significance. This approach has the advantage that it is performed by the bedside and classifies patients even when brain imaging is normal.<sup>23</sup>

#### **1.3.1.1 Total Anterior Circulation Syndrome (TACS)**

This is defined by a combination of higher cerebral dysfunction, homonymous visual field defect and ipsilateral motor and or sensory deficit. These are consistent with middle

cerebral or anterior cerebral artery (ACA) infarction or haematoma in the lobes. Prognosis is poor with 39% dead in a month and 60% at one year.<sup>21</sup>

### **1.3.1.2 Partial Anterior Circulation Syndrome (PACS)**

A PACS is caused by occlusion of one of the branches of the middle cerebral or anterior cerebral artery or a lobar haemorrhage.<sup>22</sup>

PACS consists of one of the following:

- 2 out of 3 of TACS syndrome
- Higher cerebral dysfunction
- Monoparesis

Risk of recurrence is very high but prognosis is better than TACS; 15% are dead and 30% independent at one year.<sup>21</sup>

### **1.3.1.3 Lacunar stroke (LACS)**

LACS is usually due to an infarct in upto 90% of cases.<sup>24, 25</sup>

Each of the five classical lacunar syndromes has a distinct symptom complex. Symptoms may be suddenly, progressively or in a fluctuant manner. If severe hemiplegia alternates repeatedly with normal function, the phenomenon is called

'capsular warning syndrome'.<sup>25</sup> Occasionally, cortical infarction or haemorrhage can mimic lacunar infarcts, but true cortical signs (dysphasia or inattention) are always absent.

- Pure motor stroke or hemiparesis; most common lacunar syndrome; 33-50% of cases (affecting the face, arm or leg on one side). The lesion usually occurs in the posterior limb of the internal capsule, pons and corona radiata.
- Ataxic hemiparesis; second most frequent lacunar syndrome; usually affects the leg more than arm. Hence it is also known as homolateral ataxia or crural paresis. The lesion is in one of the posterior limb of the internal capsule, pons, corona radiata, red nucleus and lentiform nucleus.<sup>25</sup>
- Dysarthria/clumsy hand; the main symptoms are dysarthria and weakness of the hand in particular when writing. The lesion may occur in the pons, anterior limb or genu of internal capsule, corona radiata, basal ganglia, thalamus or cerebral peduncle.
- Pure sensory stroke marked by persistent or transient numbness, tingling, pain, burning or unpleasant sensation on one side of the body. The location of the

lesion may be in the contralateral thalamus, internal capsule, corona radiata or midbrain.

- Mixed sensorimotor stroke; this syndrome involves hemiparesis or hemiplegia with ipsilateral sensory loss. The lesion occurs in the thalamus and adjacent posterior internal capsule or lateral pons.

Thirty percent of patients affected by lacunar stroke are dependent at one year.<sup>21</sup>

#### **1.3.1.4 Posterior circulation stroke (POCS)**

This is defined by any of

- Ipsilateral cranial nerve palsy with contralateral motor deficit
- Bilateral sensory or motor deficit
- Disorder of conjugate eye movement
- Cerebellar dysfunction
- Isolated homonymous hemianopia<sup>22</sup>

The lesion may be an infarct or haemorrhage including the occipital lobe, brain stem or thalamus. Risk of stroke recurrence is high with 19% dead at one year.<sup>21</sup>

Following brain imaging, patients are further classified as infarction (TACI, PACI, LACI and POCI) or haemorrhage (TACH, PACH, LACH and POCH).

### **1.3.2 Trial of Org 10172 (TOAST) classification**

The Trial of Org 10172 (TOAST) system is used to categorise ischaemic stroke based on clinical features and results of investigations.<sup>26</sup> This is as follows:

#### **1.3.2.1 Large-artery atherosclerosis**

Large-artery atherosclerosis accounts for 50% of ischemic stroke. Atherosclerosis is a chronic inflammatory disease of large and medium size arteries. Atherosclerotic plaques consist of cholesterol rich necrotic core surrounded by smooth muscle cells and fibrous tissue. Atherosclerosis can cause problems through two mechanisms. The atheromatous plaque may rupture, exposing its centre to platelets and the coagulation pathway is activated. This may cause arterial blockage at the site of thrombus or cause emboli to travel distally. Secondly, the large plaque may cause narrowing of the artery, which may result in ischaemia of distal tissue. This causes conditions such as stroke, myocardial infarction or an ischaemic limb.

Advancing age, male sex, lipid abnormalities, cigarette smoking, hypertension, diabetes mellitus, heavy alcohol consumption and obesity increase the risk of atherosclerosis. Other factors that are also associated include increased serum homocysteine levels, hormone replacement therapy, haemostatic factors and family history.

#### **1.3.2.2 Cardio-embolism**

Cardio-embolism accounts for about 30% of ischaemic stroke. This includes patients when arterial occlusions occur either due to vegetation, fibrin debris or platelet aggregates that originate from the heart. Cardiac arrhythmia, in particular atrial fibrillation (AF) is the commonest cause of cardio-embolism. In patients with non-valvular AF, the absolute risk of stroke increases is 5% per year.<sup>27, 28</sup> Also, thrombo-embolism can occur in the presence of damaged myocardium, after myocardial infarction. Less common causes of stroke in this category include patients with prosthetic heart valves, mitral regurgitation, mitral valve prolapse and tumours in the heart.

### **1.3.2.3 Small vessel disease**

Small vessel disease accounts for 20% of all stroke. The precise mechanism in small vessel disease that causes stroke is not clear.<sup>24</sup> It is thought that stroke is due to microatheroma and thrombosis of a single, deep perforating vessel in the internal capsule, basal ganglia or thalamus.<sup>24</sup> The risk factors for lacunar stroke may be different from large vessel stroke with diabetes and hypertension most common; cigarette smoking, excess alcohol consumption are less common.<sup>29</sup>

### **1.3.2.4 Stroke of other determined etiology**

The majority of ischaemic strokes can be classified in one of the previous categories. Rarely, stroke can occur in patients who are young and have no obvious risk factors. Amongst these are causes including vasculopathies, inherited errors of metabolism and genetic disorders.

### **1.3.2.5 Stroke of undetermined etiology**

In some patients, no clear cause for stroke can be determined despite extensive diagnostic evaluation. It is thought that stroke occurs from an unknown cardiac source, paradoxical

embolism or non-occlusive atherosclerotic plaque in the aortic arch, cervical or vertebral arteries.<sup>30</sup> These strokes are classified as stroke of undetermined etiology or cryptogenic stroke.

#### **1.4 Diagnosis**

Stroke is a clinical diagnosis and recognition in the acute stage is important for the following reasons:

- It enables to exclude 'stroke mimics' such as migraine, epilepsy, anxiety, hypoglycaemia, posterior reversible encephalopathy syndrome (PRES) and rare presentations such as cognitive behavioural disorders.
- Next, it helps to plan acute interventions such as thrombolysis or surgical evacuation of haematoma. Some subtypes of stroke caused by proximal intracranial artery occlusion may be appropriate for endovascular intervention.
- Localisation helps to direct further investigations and follow up. If a cardioembolic cause is suspected, tests such as echocardiogram or 24-hour holter monitoring will be performed. In contrast, cardiac investigations may be limited if a lacunar etiology is presumed.
- It allows the clinician to anticipate and treat complications related to a stroke subtype, such as brain

stem compression with hydrocephalus related to primary intracerebral haemorrhage.

- Last, making the correct diagnosis will lead to the appropriate secondary intervention.

All patients who have had a stroke or TIA should have full blood count, clotting screen, electrolytes, glucose, lipid profile and 12 lead electrocardiogram. Further tests depend on age, risk factors, and possibility of recovery. Younger patients are more likely to have an identifiable cause of stroke and may need serological, immunological, genetic and thrombophilia screening.

#### **1.4.1 Diagnostic Imaging**

Current guidelines recommend that brain imaging should be undertaken as soon as possible but immediately (within 1 hour) if any of the following apply:

- Indications for thrombolysis or early anticoagulation
- Decreased level of consciousness
- Unexplained progressive or fluctuant symptoms
- On anticoagulants or known bleeding disorder
- Papilloedema, neck stiffness or fever
- Severe headache at onset of symptoms<sup>31, 32</sup>

Even in those patients without these indications, immediate scanning improves outcomes and has shown to be cost-effective.<sup>31</sup>

In patients with TIA, brain imaging should be performed if the vascular territory or pathology is not clear.<sup>33</sup> Scanning, preferably diffusion-weighted MRI should be done urgently in 'high risk' patients with an ABCD<sup>2</sup> score of 4 or more, or with two or more TIA's in a week.<sup>33</sup>

Computed Tomography (CT) or Magnetic Resonance Imaging (MRI) is vital to differentiate between ischaemic and haemorrhagic stroke since treatment differs for the two types.<sup>31</sup> The posterior fossa of the brain is better visualised on MRI scan and in such patients, the imaging of choice.<sup>34</sup> MRI identifies a broader range of acute and chronic cerebrovascular pathologies such as anatomy, cerebral blood flow, perfusion, old or new lesions and hence, may replace CT in the future to aid decisions about acute intervention and further management.<sup>35</sup>

A number of infarcts may not be detected with CT in the early phase, and as such a normal CT does not exclude the diagnosis of ischaemic stroke.<sup>36</sup> Diffusion weighted MRI

imaging is more sensitive than non-contrast CT to identify early ischaemic changes identified as bright lesions and is especially useful in patients with minor stroke symptoms. Combined multimodal parenchymal, perfusion or angiography with CT or MRI is useful to identify tissues at risk of infarction that is salvageable (an estimate of the ischaemic penumbra) and may respond to recanalisation therapy even beyond three hours after onset of stroke.<sup>34, 37</sup>

Both CT and MR imaging can detect intracerebral haemorrhage accurately in the early stage.<sup>38 39</sup> CT scanning is used as first line because it is cheap, quicker to perform and readily available.<sup>34</sup> In comparison with MRI, claustrophobic patients or those with pacemakers in situ tolerate CT scans better. Upto a week, CT is reliable in detecting haemorrhage and after this the haemorrhage loses the white hyperdense appearance to a dark hypodense appearance. MRI has an advantage over CT scan in detecting micro bleeds, indicative of underlying vascular disease and a risk factor for recurrent lobar ICH.<sup>38</sup> After haemorrhage, haemosiderin, which is a breakdown product of haemoglobin is stored indefinitely in macrophages and can be detected months or years after the initial event by gradient-recalled echo MRI.<sup>40</sup>

The 'hyperdense artery' (MCA) sign and the 'dot' sign associated with acute embolic occlusion may be identified on CT scan as increased attenuation of the proximal portion of the MCA or its distal branches in the sylvian fissure.<sup>41, 42</sup> In acute intracerebral haemorrhage, the 'swirl' sign is sometimes seen on nonenhanced CT scans as a heterogenous collection of clotted blood (hyperattenuating) and a small 'swirled' configuration of non-clotted blood, which appears hypoattenuating.<sup>43</sup> Recent studies have indicated the presence of 'spot sign' as a predictor of haematoma enlargement in the acute phase.<sup>44 45, 46</sup> It is visualised as small enhancing foci of 'bright spots' depicting active bleeding.<sup>44, 47</sup>

Both CT and MR angiography are useful in detecting arterial rare causes of stroke such as dissection or vasculitis. Catheter angiography may be considered in patients with subarachnoid haemorrhage, abnormal calcifications, blood in unusual locations such as sylvian fissure and isolated intraventricular haemorrhage (IVH) to investigate for underlying aneurysm or arteriovenous malformation.<sup>48</sup>

## **1.5 Primary and secondary stroke prevention**

Prevention of stroke may be primary- in individuals with no previous history of stroke or transient ischaemic attack (TIA), and secondary prevention in those who have already had such an event. The key to stroke prevention is identification of risk factors both by a patient and the clinician treating the patient. Non-modifiable risk factors include age, sex, ethnicity and family history. Modifiable risk factors include hypertension, diabetes, hyperlipidemia, atrial fibrillation, smoking, obesity and carotid disease. Each of these modifiable risk factors are discussed in the following sections.

### **1.5.1 Lifestyle**

Considerable benefit in lowering risk is noted upon smoking cessation and should be recommended for all patients with stroke or TIA.<sup>49</sup> As compared with nonsmokers, cigarette smokers have a two to three time risk of both ischaemic and haemorrhagic stroke after control for age, blood pressure, coronary artery disease and other risk factors.<sup>50</sup> The proportional risk increases with the number of cigarettes smoked per day.<sup>51</sup> Passive smoking also increases the risk of ischaemic stroke.<sup>50</sup> Treatment options for smoking cessation

include counselling, nicotine replacement, antidepressants such as bupropion or nortriptyline and the partial nicotinic acetylcholine receptor agonist varenicline.<sup>52-54</sup>

Daily alcohol consumption in small or moderate amounts is protective against total and ischaemic stroke whereas heavy consumption (more than 60 gm) is associated with increased risk of both ischaemic stroke (OR 1.64, 95%CI 1.39-1.93) and intracranial haemorrhage (OR 2.18, 95% CI 1.48-3.20).<sup>55</sup> A diet with increased content of fruits and vegetables, reduced intake of sodium, increased intake of potassium and low content of saturated fat lower blood pressure and therefore may benefit reducing risk of stroke.<sup>56</sup> Vascular risk factors such as hypertension, diabetes, hypercholesterolemia are more in common in obese individuals effecting a three fold increased risk of ischaemic stroke.<sup>57</sup> Weight reduction targeting a body mass index 18.5 to 24.9 kg/m<sup>2</sup> results in better control of these factors and lower the risk of stroke.<sup>57</sup>

### **1.5.2 Antihypertensive therapy**

Although few treatments have created ethical dilemmas as profound as managing blood pressure in acute stroke, hypertension treatment in the context of primary and secondary prevention is robust and has most impact amongst

all modifiable risk factors.<sup>51</sup> In primary prevention, antihypertensive treatment lowers systolic and diastolic blood pressure and reduces the risk of stroke.<sup>58</sup> In comparison to the older antihypertensive agents ( $\beta$ blockers, diuretics), treatment initiated with newer blood pressure lowering drugs (CCB's, ARB's) lower the incidence of stroke.<sup>58</sup>

In two large trials enrolling patients with a history of cerebrovascular disease, the use of Indapamide both alone or in combination with Perindopril was effective in lowering blood pressure and significantly reduced recurrent stroke.<sup>59, 60</sup> The HOPE study showed that in patients, particularly at high risk of fatal or nonfatal cardiovascular events, lowering blood pressure with Ramipril was safe and effective in reducing the risk of stroke (32%), myocardial infarction (20%) and cardiovascular death (26%).<sup>61</sup> A systematic review of all trials (excluding one study) revealed that blood pressure lowering reduced the odds of recurrent stroke by nearly 25%.<sup>58</sup> Current guidance recommends lowering and maintaining blood pressure below 130/80, except in those patients with bilateral carotid stenosis for whom a systolic target in the range 130-150 is appropriate.<sup>33</sup> After a stroke or TIA, treatment should be initiated before hospital discharge or at 2 weeks whichever is earlier. The algorithm to treat is based on classifying

patients with essential hypertension into low and high renin subgroups.<sup>62</sup> More than a single drug is usually required to achieve good quality control.<sup>63</sup>

For patients, younger than 55 years and not of African or Afro-Caribbean origin, the first choice initial therapy is with an ACE inhibitor or an ARB blocker.<sup>32</sup> In those aged 55 years and over, or of Afro-Caribbean ethnicity of any age, treatment should begin with a long acting calcium channel blocker or thiazide class diuretic.<sup>63</sup>

### **1.5.3 Antiplatelet therapy**

Antiplatelet therapy decreases stroke incidence in patients at high risk of atherosclerosis and in those with known symptomatic cerebrovascular disease. In primary prevention without previous disease, aspirin is of uncertain value whereas in secondary prevention, aspirin given within 48 hours of onset of presumed ischaemic stroke reduces the risk of recurrent ischaemic stroke and increases the odds of making a complete recovery (OR 1.06, 95% CI 1.01 to 1.11).<sup>64, 65</sup> Two randomised controlled trials comparing the efficacy of combination therapy using aspirin and dipyridamole than aspirin alone showed sufficient evidence to prefer the combination regimen over aspirin alone for the primary

composite end point of death from all vascular causes, non-fatal stroke, non-fatal myocardial infarction or major bleeding complication.<sup>66, 67</sup>

In preventing stroke recurrence, combined therapy of aspirin and dipyridamole does not differ in effect when compared to another antiplatelet agent, clopidogrel (ADP receptor binder).<sup>68</sup> When clopidogrel is added to aspirin, the short-term benefits of lower vascular events were offset by a higher risk of bleeding in three trials but not seen in a recent trial performed in China.<sup>69-72</sup> Current guidelines in the UK recommend clopidogrel at a dose of 75 mg daily for patients with ischaemic non- cardioembolic stroke or TIA and in those intolerant to clopidogrel, aspirin 75 mg daily and dipyridamole 200 mg twice a day.<sup>33</sup>

If two antiplatelet agents are superior to one, then intensive triple antiplatelet treatment may be better still in the acute phase; this concept was recently tested in a large trial and the results showed increased risk of bleeding with triple therapy.<sup>73</sup>

#### **1.5.4 Anticoagulation**

Atrial Fibrillation (AF) increases with age, causes ~15% of all strokes and is associated with strokes with higher morbidity and mortality when compared with non-AF related ischaemic stroke.<sup>51, 74</sup>

Evidence shows that in patients with nonvalvular AF comparing adjusted-dose warfarin and no treatment, the absolute reduction in annual stroke decreases from 4.5% in control patients to 1.4% in patients randomised to warfarin.<sup>57</sup> Also, the efficacy of aspirin is substantially weaker than that for warfarin.<sup>75</sup> Warfarin is known to be relatively safe, with an annual rate of major bleeding of 1.3% compared to 1% for patients taking aspirin or no treatment.<sup>51</sup>

Experts recommend commencing anticoagulation with warfarin immediately following a TIA once haemorrhage is excluded whilst treatment should be deferred for at least 7 days after minor stroke.<sup>76</sup> Once initiated, INR should be maintained between 2.0-3.0 for optimal effect.<sup>77</sup> For patients where treatment with warfarin is proven impractical or poorly controlled, or results in an allergy or intolerance, a direct

thrombin inhibitor (such as dabigatran) or factor Xa inhibitor (such as rivaroxaban or apixaban) should be used.<sup>33</sup>

There is no evidence supporting stroke prevention using treatment with anticoagulation in those patients with ischaemic non-cardioembolic stroke.<sup>78</sup>

### **1.5.5 Carotid endarterectomy**

Carotid endarterectomy (CEA) reduces the risk of stroke in patients with recent symptomatic stenosis.<sup>79</sup> Analysis of data from three trials involving 6092 patients shows that CEA is highly beneficial in those patients with 70-99% stenosis (ARR 16.0%) without near-occlusion and of some benefit in those with 50-69% symptomatic stenosis (ARR 4.6%).<sup>80</sup> The results do not recommend surgery in patients with near-occlusions. Benefit from surgery is greatest when performed within two weeks after the last symptomatic event.<sup>80</sup> By comparison, meta-analysis has shown that carotid stenting is no more effective than CEA in symptomatic patients and therefore not recommended.<sup>81, 82</sup>

### **1.5.6 Lipid lowering therapy**

Although observational studies have not shown an association between cholesterol levels and stroke incidence, trials using

statins have found reduction in risk of stroke associated with lowered lipid levels.<sup>83-85</sup> In one of these studies involving patients with a previous stroke or TIA, Atorvastatin used at a dose of 80 mg demonstrated an absolute risk reduction of 2.2% and significantly lowered LDL cholesterol of 53% from baseline.<sup>86</sup> There were more incidence of haemorrhagic strokes in those treated with Atorvastatin and suggests a possible association between low cholesterol levels and brain haemorrhage.<sup>87</sup> Therefore, careful consideration should be given when administering statins to those patients who may have had a haemorrhagic stroke. In comparison, for patients with ischaemic stroke or TIA unless contraindicated, treatment should be initiated with Simvastatin at a dosage of 40 mg daily.<sup>33</sup>

### **1.6 The role of hypertension in the pathophysiology of acute stroke**

Hypertension is the commonest risk factor in the development of ischaemic and haemorrhagic stroke.<sup>88</sup> The relationship between BP and stroke risk is linear, continuous and independent, with the risk increasing continuously above a BP of 115/75 mm Hg.<sup>89</sup> Patients with hypertension are 3 to 4 times more likely to have a stroke than normotensives.<sup>90</sup> In

particular, a 2 mm Hg rise in systolic BP in middle age is associated with a 10% increased risk of stroke.<sup>90</sup>

Increase in both systolic and diastolic components of BP are associated with stroke and treatment reduces the risk of first and recurrent stroke.<sup>58</sup> In addition, high pulse pressure, an indicator of arterial stiffness and defined as the difference between systolic and diastolic blood BP is associated with reduction in cerebral blood flow and a 20% increase in risk of stroke.<sup>90, 91</sup>

Of recent, variability in blood pressure has been recognised as a risk factor for stroke.<sup>92</sup> In patients with TIA, visit-to-visit variation in systolic BP is more predictive of stroke risk than mean systolic BP.<sup>92</sup> Also, within drug- class effects on variation in blood pressure may account for differences in preventing risk of stroke;<sup>93</sup> calcium-channel blockers, non-loop diuretics are effective in lowering BP variability whereas, angiotensin-converting enzyme (ACE) inhibitors, angiotensin-receptor blockers and beta blockers increase BP variability.<sup>93</sup>

Several mechanisms have explained the contribution of hypertension in acute ischaemic stroke. High intraluminal pressures lead to extensive alteration in the endothelium and

smooth muscle function in the cerebral vasculature.<sup>94</sup> As a result, increase in permeability of the blood brain barrier causes local or widespread cerebral oedema. With endothelial damage, blood cell- endothelial interaction is altered, promoting leucocyte adhesion and thrombus formation. In addition, longstanding hypertension accelerates atherosclerosis, which causes cerebral infarction related to stenosis and embolism from intra and extracranial blood vessels, the aorta and the heart. The causal mechanisms of hypertension in lacunar stroke are unclear because of methodological inconsistencies and lack of pathological studies.<sup>95, 96</sup> Perivascular oedema and thickening, arteriolar wall inflammation and disintegration is common, whereas vessel occlusion is rare.<sup>96, 97</sup>

## **1.7 Cerebral blood flow and cerebral autoregulation**

### **1.7.1 Cerebral blood flow**

The brain is vulnerable to any vascular insult, as it requires a constant supply of oxygen and glucose to meet its high-energy demand.

Cerebral blood flow (CBF) depends on the cerebral perfusion pressure (CPP)- which is the difference between the mean

arterial pressure (MAP) and intracranial or central venous pressure (CVP) whichever is the highest.

The absolute level of CBF is the same in healthy normotensive individuals and in patients with uncomplicated essential hypertension i.e. around 50 ml/100 g/min. This varies from 20 ml/100g/min in white matter to 70 ml/100g/min in grey matter and decreases by approximately 0.5% every year.<sup>98</sup>

## **1.7.2 Cerebral autoregulation**

### **1.7.2.1 Mechanisms**

Cerebral Autoregulation (CA) can be defined in terms of arteriolar calibre changes as blood pressure or perfusion pressure varies.<sup>99, 100</sup> Under normal circumstances, these changes regulate cerebral blood flow between mean arterial pressure of 60 to 150 mmHg.<sup>101</sup>

CA is regulated by several mechanisms including intrinsic myogenic vasomotor responses, arterial oxygen and carbon dioxide levels, metabolites such as adenosine, nitric oxide, endothelin and neurogenic control, in particular the sympathetic system related to extrinsic innervation of parenchymal arteries and intrinsic innervation of intra-parenchymal arterioles.<sup>102, 103</sup>

Chronic hypertension stimulates the growth of smooth muscle within the intracranial vessels. The altered thickness enables the vessels to tolerate a higher intraluminal pressure protecting the blood brain barrier and shifting the cerebral autoregulation curve to the right. The increased resistance can compromise collateral blood flow in the 'border zone' regions or to an area distal to an occlusion thereby increasing the risk of ischaemic events.<sup>104</sup>

### **1.7.3 Cerebral blood flow and metabolism in acute stroke**

As an initial response to focal ischaemia, intracranial resistance vessels dilate to increase CBF. After maximum threshold of vasodilatation is achieved, further decrease in CPP results in a fall in CBF. In the initial period, oxygen delivery to the brain is maintained by increasing the extraction fraction from the blood.<sup>105</sup> As CBF falls, oxygen extraction is increased and functional consequences of reduced CBF occur when oxygen extraction fraction (OEF) is maximal.<sup>106, 107</sup> When CBF falls below a critical level (approximately 10-12ml/100 gm/min) irreversible neuronal injury occurs.<sup>108</sup>

In the acute phase just after infarction, CBF is extremely low or even absent in the centre of the lesion.<sup>109</sup> In the periphery, exists a 'penumbra' region, trying to maintain perfusion by

extracting more oxygen from the blood. It implies that if blood flow is restored to this region within a specific duration, tissue recovery is possible.<sup>105</sup> Therefore treatment such as thrombolysis will be most effective when given early.<sup>110, 111</sup> Once the clot material is lysed, ischaemia is followed by hyperaemia but invariably the tissue involved is irreversibly lost.<sup>106</sup> In the days following infarction, CBF again decreases, and adapts itself to the lower or even absent oxygen consumption of the lesion.<sup>106</sup>

A cerebral haemorrhage forms a haematoma and in its surroundings, areas of ischaemia and hyperaemia similar to infarction.<sup>109, 112</sup> Three phases of perihematoma blood flow and metabolism are known to occur after ICH.<sup>102, 113</sup> The acute hibernation phase occurs within the first two days.<sup>102</sup> In this phase, there is decrease in CBF and metabolism mainly in the ipsilateral perihematoma region and contralateral hemisphere. During the subacute reperfusion phase, areas of normal, low and hyper-cerebral perfusion occur in the perihematoma region. The normalisation phase is observed after 14 days when normal blood flow is restored except in necrotic tissue.<sup>114</sup>

## **1.8 Hypertensive response after acute stroke**

For the use in estimating its prevalence, the acute hypertensive response is defined as 'systolic BP  $\geq$  140 mm Hg or diastolic BP of  $\geq$  90 mm Hg demonstrated on 2 recordings taken 5 minutes apart within 24 hours of symptom onset'.<sup>115</sup> It is observed in up to  $>80\%$  of patients in the immediate period after both ischaemic and haemorrhagic stroke.<sup>115</sup> In a large portion or at least in some of these patients, the acute hypertensive response is a reflection of poorly controlled or undetected long-standing high BP; however, in most cases, BP falls to pre-stroke levels over the first week.<sup>116, 117</sup> The prognostic effect is shown in a systematic review which revealed that patients with stroke and high initial BP suffered a 1.5 to 5 fold increased risk of death or dependency.<sup>118</sup>

Although the strength of the relationship between acute stroke and high BP is well established, the precise mechanism that causes elevated BP is not known. In acute stroke, elevated intracranial pressure, decreased parasympathetic activity, direct injury to the prefrontal and insular cortices and pathways to the nucleus solitarius in the medulla and effects of neurotransmitters can cause hypertension.<sup>119-123</sup> In addition, stress from hospitalisation, headache, urinary retention and concomitant infection may increase sympathetic

drive and circulating inflammatory cytokines and contribute to increase in BP.<sup>123, 124</sup>

### **1.9 Blood pressure and outcome in acute stroke**

Analysis of data from 10,892 patients revealed that both high SBP and DBP were associated with combined death or dependency in both ischaemic stroke and ICH.<sup>125</sup> Among patients with acute stroke, a U- shaped relationship was found between baseline SBP and both early death, late death and poor outcome.<sup>126</sup> In multivariable analysis, high systolic BP 2 to 24 hours after thrombolysis was significantly associated with worse outcome and as a categorical variable showed a linear association with symptomatic haemorrhage and a U- shaped association with mortality and independence with systolic BP 141 to 150 mm Hg associated with most favourable outcomes.<sup>127</sup> It is not clear why high BP is associated with poor outcome in acute ischaemic stroke; explanations include severe cerebral oedema and early recurrence of stroke.<sup>128</sup> In patients with ICH, worse outcomes with high BP is probably due to a single or combination effects of the following: excessive perfusion pressure, worsening cerebral oedema, haemorrhage expansion, increased ICP and aggravated ischaemia in the penumbra region.<sup>103, 129</sup>

When considering the available evidence, low blood pressure in the immediate stroke period is also associated with poor outcome.

Concomitant presence of sepsis, cardiac failure or factors directly relating to the stroke such as low blood pressure in those with larger strokes causing worsening of ischaemia in the penumbra may explain why low BP is associated with poor outcome.<sup>115, 130</sup>

### **1.10 Lowering blood pressure in acute stroke**

Hypertension is associated with poor outcome and is present in approximately 80% of patients with acute stroke.<sup>125</sup> Cerebral autoregulation is dysfunctional in acute stroke and therefore cerebral perfusion may be fully dependent on blood pressure.<sup>99</sup> The dilemma whether to treat blood pressure in acute stroke is balanced between epidemiological data which suggests that blood pressure lowering is likely to be beneficial and countered by pathophysiological evidence that lowering BP may reduce cerebral blood flow (CBF). In addition, deciding which antihypertensive agent to use is not clear as different antihypertensive medications have been tested in acute stroke, with different effects on blood pressure and clinical outcomes.

A systematic review of thirteen trials (1325 participants) using different antihypertensive drugs was performed to assess whether blood pressure should be altered actively during the active phase in both acute ischaemic and haemorrhagic stroke.<sup>131</sup> The results showed that angiotensin converting inhibitors, angiotensin receptor antagonists,  $\beta$ -receptor antagonists, calcium channel blockers, and glyceryl trinitrate lowered both systolic and diastolic blood pressure while phenylephrine, increased it.<sup>131</sup> Functional outcome and death were not significantly altered by any of the drug classes used to alter blood pressure.

A meta-regression of 13 drug classes analysing the relationship between the change in BP and functional outcome revealed no positive association.<sup>132</sup> No significant effects of any single antihypertensive class were seen in the study. Lowering SBP in order of 8-14 mm Hg reduced the combined odds of early death or dependency, although the CI's were wide and compatible with an overall benefit or harm.<sup>132</sup> The results support the rationale for ongoing clinical trials lowering BP in acute stroke<sup>133, 134</sup> and in the meanwhile the approach is to treat each patient on an individual basis. Guidelines usually

recommend more active intervention in ICH in comparison to ischaemic stroke.<sup>135</sup>

Lowering blood pressure in patients with acute stroke is recommended only if there is a hypertensive emergency with one or more of the following complications:

- Hypertensive encephalopathy
- Hypertensive nephropathy
- Hypertensive cardiac failure or myocardial infarction
- Aortic dissection
- Pre-eclampsia/ eclampsia
- Intracerebral haemorrhage with systolic blood pressure above 150 mm Hg within 6 hours of onset<sup>33</sup>

Approximately 50% of all patients with acute stroke are taking blood pressure lowering medications, and it is unclear whether pre-existing antihypertensive therapy should be continued or discontinued temporarily during the acute phase, this is the subject of two RCT's one stopped and the other recently completed.<sup>136, 137</sup>

### **1.11 Acute treatment of stroke**

Acute stroke care begins in the community with patient recognition of 'stroke warning signs' of sudden weakness; sudden speech difficulty; sudden visual loss; sudden dizziness and sudden, severe headache.<sup>138</sup> Early management performed by paramedics includes urgent triage, stabilisation and transfer to a stroke-ready hospital. A useful tool to enhance recognition of stroke by the paramedics is the FAST test (Face, arm, speech) which shows good agreement with physician assessment even allowing time for evolution of deficits.<sup>139</sup>

#### **1.11.1 Stroke Unit care**

All patients with stroke should be treated in a designated specialist stroke unit.<sup>33</sup> Patients with acute stroke who received specialist inpatient stroke unit care have significant reduction in death after one year (OR 0.86, CI 0.76- 0.98) and the combined outcome of death or dependency (OR 0.82, 95% CI 0.73-0.92).<sup>32</sup> Care should be provided by a coordinated multidisciplinary team offering rehabilitation in a discrete ward setting.<sup>140</sup>

##### **1.11.1.1 General Measures**

All patients with acute stroke regardless of etiology should receive supportive care with maintaining oxygen saturation, fluids, antibiotics and ensure that temperature remains within normal limits. Maintaining physiological homeostasis may improve outcome in stroke patients, which suggests the need for close monitoring and treatment of any dysequilibrium.<sup>141</sup> Management of hyperglycemia may be important with poor outcome seen in those patients with high glucose levels. No evidence at present exists to support aggressive glucose lowering<sup>142</sup> and guidelines suggest maintaining blood glucose between 4 and 11 mmol/l.<sup>33</sup>

Measures should be undertaken to prevent complications after stroke such as pneumonia, pressure sores with proper assessment of swallowing, positioning and continence. There is a high risk of venous thromboembolism following stroke and is a major cause of morbidity and mortality. Analysis of nine trials involving 3137 patients suggests that treatment with low molecular weight heparin or heparinoid after acute ischaemic stroke lowers the occurrence of deep vein thrombosis compared with standard unfractionated heparin; more data is needed on the effects on critical outcomes, including death and haemorrhage.<sup>143</sup> Data from a large international trial (2518 patients) revealed that thigh-length graduated

compression stockings failed to prevent deep vein thrombosis and were associated with increase in skin ulcers and necrosis and therefore not recommended.<sup>144</sup>

The Clots in Legs or Thromboembolic diseases after Stroke 3' (CLOTS 3) trial tested routine care plus intermittent pneumatic compression with routine care versus avoidance of intermittent pneumatic compression in 2876 patients who were admitted within three days of stroke onset.<sup>145</sup> The study showed a significant reduction of symptomatic deep venous thrombosis (DVT) or DVT detected using duplex ultrasound in patients allocated to IPC than those allocated to avoid their use (OR 0.65, 95% CI 0.51-0.84).<sup>145</sup> The results therefore support the use of intermittent pneumatic compression in patients admitted with acute stroke and poor mobility.

## **1.12 Treatment of acute ischaemic stroke**

### **1.12.1 Aspirin**

Two large clinical trials have assessed the role of aspirin. The Chinese Acute Stroke trial (CAST) used 160 mg of aspirin within 48 hours of the onset of suspected stroke in 21,106 patients and the International stroke trial treated 19435 patients with unfractionated heparin 5000 units daily, aspirin

300 mg, both or neither. Taken together, the results showed that aspirin started early in hospital within 48 hours produces a definite benefit, with about 9 fewer deaths or non fatal strokes per 1000 patients in the first few weeks and 13 fewer dead or dependent per 1000 after some weeks or months of follow up.<sup>146, 147</sup> Aspirin therapy was associated with a small but definite excess of symptomatic intracranial haemorrhages, but this risk was offset by the reduction of recurrent ischaemic stroke.<sup>65, 147</sup> Current guidelines recommend that all acute ischaemic stroke patients, should as soon possible, but certainly within 24 hours, be given aspirin 300 mg orally if they are not dysphagic or aspirin 300 mg rectally or by nasogastric tube if not able to swallow.<sup>32</sup> Thereafter, aspirin 300 mg should be continued until 2 weeks, at which time definitive long-term antithrombotic treatment should be initiated.<sup>32</sup>

### **1.12.2 Intravenous Thrombolysis**

Intravenous thrombolysis was the first proven effective medical intervention in acute ischaemic stroke.<sup>148</sup> Thrombolytic drugs help to re-establish blood flow to the brain by dissolving the clots, which block the flow. t-PA is an enzyme found naturally in the body that converts,

plasminogen into plasmin to dissolve a blood clot. Recombinant t-PA is licensed for treatment of acute ischaemic stroke in the early hours after symptom onset. Administration of intravenous r-tPA at 0.9 mg/kg may result in clot lysis and restore blood flow to the ischaemic penumbra and limit further neuronal death. Successful treatment could mean the patient is more likely to recover from their stroke. Meta-analysis of twelve trials involving 7012 patients (including the very elderly, diabetics with previous stroke) comparing r-tPA with no treatment revealed that r-tPA administered within 6 hours found significant increased odds of being alive and independent at six months (OR 1.17, 95% CI 1.06-1.29) and the benefit greatest in patients treated within 3 hours (OR 1.53, 95% CI 1.26-1.86).<sup>111</sup> This was in spite of significant increase in death within 7 days (OR 1.44, 95% CI 1.18-1.76) the main cause of which was symptomatic intracranial haemorrhage (OR 3.72, 95% CI 2.98-4.64).<sup>111</sup> The results strengthen the evidence to treat with r-tPA as early as possible after acute ischaemic stroke and patients older than 80 years achieve similar benefit to those aged 80 years or younger.<sup>105, 149</sup> Current guidelines recommend intravenous r-tPA in patients presenting within 4.5 hours of symptoms.<sup>33</sup>

Treatment with 'standard dose' 0.9 mg/kg r-tPA is associated with risk of intracerebral haemorrhage particularly higher among Asians<sup>150</sup> and a lower dose of 0.6 mg/kg in a recent trial did not show non-inferiority in reducing death or dependency after acute ischaemic stroke.<sup>151</sup> The primary outcome defined by the modified Rankin scale of 2 (mild disability) to 6 (death), occurred in 53.2% of patients who received the low dose and 51.1% in those who received the standard dose (OR 1.09, 95% CI 0.95-1.25).<sup>151</sup> Although significantly few rates of intracerebral haemorrhage were observed in those treated with low-dose alteplase compared to those in the standard-dose group,<sup>151</sup> the study did not report on vessel occlusion or rates of recanalisation.

### **1.12.3 Endovascular therapy**

Of recent, studies have been performed testing alternative methods of restoring blood flow with intra-arterial thrombolysis with r-tPA, mechanical clot disruption or retrieval in acute ischaemic stroke.<sup>152-155</sup> The concepts were driven by the need to find treatment options in patients who present beyond 4.5 hours or with contraindications to intravenous t-PA (e.g. recent major surgery or active bleeding). Moreover, evidence suggests that the rates of recanalisation can be

incomplete in proximal anterior circulation artery occlusions after treatment with r-tPA alone allowing the risk of permanent infarction and significant neurological deficit.<sup>156-158</sup> As a result, multiple randomised trials were launched to identify patients based on CTA confirmed proximal occlusions, presence of collateral circulation and salvageable penumbra based on imaging criteria.<sup>153-155, 159-163</sup> Whether one approach is superior to another is unclear, but five recent trials (MR CLEAN, ESCAPE, EXTEND-IA, SWIFT PRIME, REVASCAT)<sup>159-163</sup> demonstrated efficacy of intra-arterial therapy in patients with persistent ICA or M1 occlusions treated within 6 hours (except REVASCAT <8 hours). In all studies, there were no significant differences in 90-day mortality or symptomatic intracranial haemorrhage. Although the odds ratio (favouring good outcome range from 1.8 to 3.8) may be exaggerated given the total number of patients included in the trials, the results along with recent meta-analysis<sup>164, 165</sup> demonstrate that endovascular therapy is beneficial in patients with large vessel ischaemic stroke.

#### **1.12.4 Decompressive surgery in ischaemic stroke**

Malignant MCA infarctions constitute between 1% and 10% of all supratentorial ischaemic strokes and those patients show a

progressive deterioration of consciousness due to severe brain swelling over the first 24-48 after stroke onset, reduced ventilatory drive and may require intubation.<sup>166</sup>

The aetiology is mostly caused by thrombosis or embolic occlusion of the internal carotid artery or proximal middle cerebral artery.<sup>167</sup> Anatomical variances that predispose an individual to a malignant MCA infarction include an atresia or hypoplasia of parts of the ipsilateral circle of Willis and insufficient number of vessels available to maintain collateral circulation.<sup>168</sup>

In selected group of patients aged <60 years with space-occupying unilateral malignant middle cerebral artery infarctions, trials have shown that decompressive surgery by hemicraniectomy (DHC) can improve outcome and survival.<sup>166,</sup>  
<sup>169</sup> Clinical tools that may be useful to identify such patients include those with severe neurological deficit National Institutes of Health Stroke Scale (NIHSS) score >20 if dominant hemisphere is involved and between 15-18 in infarction of the non-dominant hemisphere, thrombus at terminal internal carotid artery, symptoms of nausea and vomiting, elevation of white blood cell count, early involvement of >50% of the MCA territory on CT, infarct

volume of greater than 145 ml on diffusion weighted MR scanning and concomitant involvement of both anterior and posterior cerebral artery territories.<sup>170-172</sup>

It is recommended that surgery should be undertaken early within 48 hours; the procedure involves opening of the skull and removal of a bone flap (of at least 12 cm) to allow the oedematous brain to swell outward, thereby preventing midline shift and herniation.<sup>169, 173</sup>

A systematic review of 16 trials (382 patients) in quality of life in survivors after hemicraniectomy revealed that most patients and caregivers (77%) were satisfied and would again consent again for the procedure.<sup>173</sup> This was in spite of moderately severe disability (47% had mRS Score 4) and moderate to severe depression in patients at one year follow up.<sup>173</sup>

### **1.13 Neuroprotection**

The theory of neuroprotection supports benefit using pharmacological intervention in the pathophysiological cascade between the occlusion or bleeding of a cerebral artery and irreversible neuronal death.<sup>174</sup>

Neuroprotective drugs appear to be effective in animals, at present not successful in humans. This may have occurred for a number of reasons: inadequate studies, benefits were smaller than predicted from the animal studies and unexpected toxicity.<sup>175</sup> Some agents are relatively simple to administer, whereas others may require infusions to be maintained over several days.<sup>110</sup>

Preliminary evidence suggests that treatment with cooling therapy, ancrod, pro-urokinase, thiazolidinediones, edaravone (free radical scavenger) and citicoline may be effective in patients with acute ischaemic stroke.<sup>110, 176-179</sup> Other agents e.g. aptiganel, nimodipine, tirilazad, lubeluzole, corticosteroids, ifenprodil, magnesium, piracetam, pentoxifylline, vinpocetine, selfotel have shown to be toxic or ineffective.<sup>180</sup> Although considerable research has been undertaken in this area,<sup>110, 181-184</sup> no neuroprotective drug as yet been found to have sufficient favourable benefit to be licensed for clinical use.<sup>110</sup>

#### **1.14 Primary Intracerebral Haemorrhage**

Intracerebral Haemorrhage (ICH) occurs when a blood vessel within the brain parenchyma ruptures and causes accumulation of blood in the brain tissue. ICH causes 10-15% of all strokes in Caucasians with incidence rising sharply with age.<sup>185</sup> A higher risk of ICH is observed in Blacks and tends to affect younger men compared to women.<sup>16</sup>

ICH may be due to two main arteriopathies: hypertension and cerebral amyloid angiopathy.<sup>186, 187</sup> Other causes include the use of antiplatelet agents, thrombolytics, anticoagulants particularly when the levels are above therapeutic levels, excess alcohol consumption, tumours, vascular malformation, drugs abuse (cocaine, heroin, amphetamines and cannabis)<sup>188</sup> and inherited bleeding disorders. In addition, cerebral reperfusion syndrome is a rare cause of ICH and can occur upto several weeks after revascularisation procedures including carotid endarterectomy and angioplasty or stenting.<sup>189</sup>

Hypertensive bleeds tend to occur in the deeper areas of the brain including the basal ganglia, pons, thalamus and cerebellum whereas cerebral amyloidosis tends to occur in the lobar regions.<sup>186</sup>

In chronic hypertension, degenerative changes from

hypertrophy to hyalinisation occur in the vascular wall. Excess or lack of collagen leads to arteriolar occlusion, dilatation or both.<sup>187</sup> Eventually breakdown of the vascular wall occurs with bleeding as collagen has no contractile capability and unable to withstanding stress from high blood pressure.

Pathophysiologically, cerebral amyloid results from impaired removal of beta-amyloid protein from the brain interstitial fluid and Virchow-Robin spaces resulting in its accumulation between the media and adventitial layers. As a result, the walls of blood vessels become weaker, form microaneurysms and in combination with chronic perivascular inflammation leads to vessel breakdown and haemorrhage.<sup>190</sup>

ICH is a devastating stroke, with a fatality of approximately 40% in the first month.<sup>191</sup> Significant determinants of mortality at 30 days include age >80 years, ICH volume, infratentorial location of haematoma, hydrocephalus, Glasgow coma scale, and intraventricular haemorrhage (IVH).<sup>192</sup>

A simple ICH score derived using clinical and radiological parameters may be used and relates to poor outcome.<sup>193</sup> The ICH score calculates the sum of individual points assigned as follows: GCS score 3 to 4 (=2 points), 5 to 12 (=1), 13 to 15 (=0); age  $\geq$ 80 years yes (=1), no (=0); infratentorial origin

yes (=1), no (=0); ICH volume  $\geq 30$  cm<sup>3</sup> (=1),  $< 30$  cm<sup>3</sup> (=0); and intraventricular hemorrhage yes (=1), no (=0).<sup>193</sup> Higher scores suggest worse prognosis.

### **1.15 Antithrombotic and anticoagulant-related intracerebral haemorrhage**

The evidence that ICH expansion and poor outcome relate to antiplatelets is countered by no increase in haematoma volume or worsening outcome in human and animal studies.<sup>194</sup>

The reasons for these differences may be attributed to the following: inclusion or exclusion of posterior fossa bleeds which may undergo limited expansion from anatomical restrictions, choosing different haematoma measurement techniques, defining haematoma expansion, the variety of antiplatelet agents used at different doses, reliability of antiplatelet use and differences in anti-aggregant activity and resistance.<sup>195</sup> Although tested in small studies, platelet dysfunction related to aspirin may be associated with haematoma expansion, intraventricular haemorrhage and poor outcome.<sup>196-198</sup>

Anticoagulant use has been mostly studied in relation to warfarin use and studies are awaited with the newer anticoagulants. The risk factors associated with warfarin-

related ICH include the following: age, hypertension, concomitant use of antiplatelets, intensity of anticoagulation, leukoaraiosis and amyloid angiopathy.<sup>199-201</sup> Most warfarin related ICH occurs with INR in the therapeutic range<sup>202</sup> with a 2-fold increased risk of ICH observed in each 0.5 increase in INR above 4.5.<sup>192</sup> In addition, elevated INR caused by warfarin increases haematoma growth (OR 6.2), with enlargement continuing longer than in patients not taking warfarin.<sup>203</sup> When compared to spontaneous ICH, haematomas related to warfarin tend to have larger initial volumes, less oedema, impair thrombus formation and associated with greater mortality.<sup>204, 205</sup>

### **1.16 Haematoma expansion in acute intracerebral haemorrhage**

Haematoma expansion or growth is thought to occur from a single vessel that ruptures and continues to bleed.<sup>102, 189</sup> This is easy to conceptualise when explaining haemorrhage expansion from a single vessel in the first few hours after rupture. However, it is difficult to relate to this model in cases where haematoma expansion occurs several hours afterwards.<sup>190</sup>

Based on a neuropathological study, it is postulated that haematoma expansion may occur several hours later facilitated by secondary mechanical shearing of neighbouring blood vessels caused by the spreading initial haemorrhage.<sup>206</sup> Three findings add support to this theory. First, haemorrhage volumes tend to occur in a bimodal distribution either as 'micro bleeds' or large 'macro bleeds' which may represent two separate haemorrhagic events.<sup>207</sup> Second, genetic data has shown that possession of the apolipoprotein E allele predisposes to increased break down of capillary walls and haematoma expansion in lobar ICH.<sup>208</sup> Therefore, it may be derived that this allele increases the tendency of the vessel to rupture and cause bleeding. Finally, multiple 'spot signs' have been observed within a single haematoma<sup>209</sup> suggesting simultaneous bleeding from shearing of the surrounding vasculature rather than a persistently bleeding vessel.<sup>190</sup>

ICH haematoma can enlarge up to 24 hours, with maximal expansion occurring within the first 6 hours.<sup>210, 211</sup>

Determinants of haematoma expansion include anticoagulant use, larger size, irregular shape, heterogeneous density, reduced platelet activity, vascular injury with systemic inflammation (increase in serum interleukin 6, tumour necrosis factor-alpha, matrix metalloproteinase-9 and cellular

fibronectin levels), possession of apolipoprotein E  $\epsilon$ 2 (APOE  $\epsilon$ 2) allele and extravasation of contrast into the haematoma.<sup>212-214</sup>

Elevated SBP is associated with haematoma expansion and poor outcomes; however the cause -and -effect relationship is not known.<sup>215</sup> Lowering blood pressure may not be harmful with studies showing reduced metabolism ('tissue hibernation') and preserved autoregulation in the perihematoma region during the acute phase.<sup>216-218</sup>

Only ICH size and IVH are potential targets to intervention as intracerebral expansion of the haematoma and intraventricular extension may occur as a result of the dynamic properties of the haematoma.<sup>195</sup> However, based on a study that showed that IVH is a consequence of haemorrhage enlargement and size,<sup>219</sup> it may be derived that the single most modifiable target becomes limitation of haematoma expansion. Several large trials testing therapies aimed at preventing haematoma expansion are in progress, including blood pressure reduction, haemostatic agents and surgical intervention.<sup>133, 220, 221</sup> The search for factors that attenuate haematoma expansion continue as it is identified as the principal cause of neurological deterioration during the acute phase and associated with poor outcome.<sup>211</sup>

### **1.17 Defining Haematoma Expansion (HE)**

At present, there is no clear consensus defining haematoma growth.<sup>214</sup>

The majority of studies assessing haematoma expansion have used CT as imaging modality, mainly because of its widespread use and easy availability.<sup>31, 222</sup> Varied definitions used for expansion are based on thresholds when expansion is visible on CT scans including 40% relative volume increase or an absolute volume increase of 12.6 ml, 33% relative increase, combination of 50% relative increase and 2 ml absolute increase, 20 ml absolute increase and a combined cut off 33% relative and 12.5 ml absolute increase.<sup>212, 223</sup> An absolute increase of 6 ml was used in CT angiography studies examining haematoma enlargement.<sup>194, 224</sup>

A cohort study of 531 patients with ICH was performed to test how different definitions of HE compare in their ability to predict poor outcome and assess if relationships between HE and poor outcome were dependent on the definition of poor outcome used.<sup>223</sup> The results revealed that all definitions independently predicted outcome; positive predictive values (ranged from 68 to 78%) increased with higher growth cut-off

but were offset by lower sensitivity (<50%). Absolute growth cutoff of 12 mls or more were more predictive than relative when mRS 5-6 or 6 was defined as poor outcome.<sup>223</sup> The results suggest the following: absolute growth may be more clinically relevant than relative growth; HE appears more predictive of severe than moderate outcomes and therefore an outcome based on death or severe disability may be more sensitive to detect intervention in trials involving haemostatic treatment.<sup>211, 222, 225</sup>

### **1.18 Perihaematoma oedema and metabolism**

As the haematoma spreads, brain oedema occurs in the immediate vicinity of the clot with maximal expansion within the first day as a result of both blood brain barrier disruption and the local generation of osmotically active substances.<sup>226, 227</sup> The exact nature of oedema is not clear -serum from haematoma contraction, vasogenic substances from disruption of capillary membranes or cytotoxic components resulting from compromised microvascular perfusion or structural damage. It is suggested that brain damage is from the enlarging haematoma compressing and causing ischaemia of the perihematoma regions.<sup>228</sup> On the other hand, studies have disputed this. A positron emission tomography (PET)

study analysing perihematoma ischaemia in 19 patients within 22 hours after haemorrhage onset, revealed that cerebral metabolic rate of oxygenation (CMRO<sub>2</sub>) and oxygen extraction fraction (OEF) was reduced to a greater degree than cerebral blood flow (CBF).<sup>229</sup> Similar results were confirmed with diffusion-weighted (DWI) and proton magnetic resonance spectroscopic imaging.<sup>230</sup> A histological study evaluating brain metabolism in patients with ICH and control patients, revealed that mitochondrial dysfunction suggesting tissue hibernation and not ischaemia was the cause for reduced oxygen utilisation.<sup>231</sup>

The prognostic value of relative perihematoma oedema volume which is the ratio of absolute oedema volume and hematoma volume is unclear with studies reporting contrasting results in relation to outcomes.<sup>232, 233</sup>

### **1.19 Intraventricular haemorrhage**

Intraventricular haemorrhage (IVH) can be primary arising from within the ventricle or a lesion close to the ventricles. Such causes include trauma, aneurysm, arteriovenous malformation and tumours involving the choroid plexus.<sup>234</sup> Factors promoting IVH growth include older age, location of lesion, larger baseline ICH volume, mean arterial pressure

greater than 120 mm Hg and presence of IVH at baseline.<sup>234,</sup>  
<sup>235</sup> Bleeding sites in the deeper areas of the brain near the ventricles including the putamen, thalamus, caudate and cerebellum extend early into the ventricles, whilst bleeding sites further from the ventricles cumulate blood before mechanical pressure and size of the haemorrhage forces extension of blood into the ventricles.<sup>236</sup>

The rupture into the ventricles causes damage to the reticular activating system resulting in decreased level of consciousness that is prolonged by a larger volume of blood and longer duration of exposure.<sup>237</sup> Ventricular blood causes blockage in flow of cerebrospinal fluid causing life threatening acute obstructive hydrocephalus which in turn contributes to mass effect and further reduces cerebral perfusion.<sup>238</sup>

Determinants of poor outcome in patients with IVH include increasing age, baseline lower level of consciousness, initial larger baseline ICH volume, IVH volume more than 20 ml, IVH growth and presence of IVH at baseline or 24 hours.<sup>235-237, 239</sup>

### **1.19.1 Scoring systems of Intraventricular Haemorrhage**

Three scoring systems have been developed to estimate severity of IVH on CT scans.<sup>240-242</sup> The Graeb score adds a maximum score of 4 for each lateral ventricle and a score of 2 for the third and fourth ventricle when filled with blood and fully expanded to produce a total score of 12.<sup>240</sup> By comparison, the modified Graeb score allocates scores for separate ventricular compartments to reflect selective regional accumulation of blood and extra points for expansion of each ventricle.<sup>241</sup> The maximum score is 32. The IVH score assumes that in comparison to the lateral ventricles, the third and fourth ventricles contribute less to the ventricular volume and in the presence of hydrocephalus, the ventricular volume increases through expansion.<sup>243</sup> The score ranges from 0 to 23 and grades each lateral ventricle with a maximum score of 3 when mostly or completely filled with blood and the third and fourth ventricles receive a score of 1 each when partially or wholly filled with blood.<sup>243</sup> Hydrocephalus is coded 1 when present. IVH volume in ml is calculated from the IVH score using logarithmic transformation [IVH volume =  $e^{IVHS/5}$ ].<sup>195,</sup>  
<sup>243</sup> Amongst these scales, the Graeb score is the only system which has been validated in relation to clinical outcome.<sup>244</sup>

## **1.20 Methods for estimating haematoma volume**

Whilst there is no standard method for measuring all ICH volumes, literature considers computer-assisted volumetry to be reliable for parenchymal haemorrhages.<sup>245, 246</sup> Although observers can be trained to use software, accuracy in measurement depends on software type and slice thickness.<sup>247-249</sup> Moreover, studies have shown that volume calculation using computer-assisted methods are also time-consuming and reliant on radiological expertise.<sup>249, 250</sup>

The ABC/2 formula is the most widely used bedside method for estimating ICH volume and values can be obtained in less than a minute.<sup>251</sup> However researchers have questioned the reliability as it was shown in small studies to underestimate or overestimate volumes in regular and irregular shaped haemorrhages.<sup>250, 252-254</sup> In a small study involving haemorrhages related to anticoagulation, haemorrhage volume using ABC/2 overestimated volumes by nearly 15% in irregular and >32% in separated haemorrhages.<sup>250</sup> However, in this study CT images obtained were of large slice thickness and this is likely to have introduced measurement errors.<sup>250</sup> In the same study, increasing the denominator to 3 (i.e. ABC/3) revealed better correlation with computer assisted volumetry.<sup>250</sup> These findings are important as haematoma volume has been identified as a predictor of haematoma

growth, which in turn is an indicator of acute neurological deterioration. Although the formula ABC/3 has not been validated since,<sup>253</sup> the impact of error using the ABC/2 formula needs further investigation as every millilitre of haematoma volume counts in relation to clinical outcome.<sup>255</sup>

### **1.21 Management of intracerebral haemorrhage**

ICH is a medical emergency and delays in treatment can result in worse outcome. Rapid diagnosis and intensive monitoring is important, as early deterioration is common in the first few hours.<sup>256</sup> More than 20% of patients suffer a decrease in GCS of more than  $\geq 2$  points between paramedic evaluation and assessment in the emergency department.<sup>256</sup> Within the first hour of presentation to a hospital, 15% of patients have a further decrease in GCS of  $\geq 2$  points.<sup>256</sup>

To-date, definite treatment for ICH is lacking.<sup>135</sup> Potential treatment options include stopping or slowing the initial bleeding, removing haematoma from the parenchyma or ventricles and managing complications such as raised intracranial pressure and lowered cerebral perfusion. Endotracheal intubation and mechanical ventilation may be indicated in the unconscious patient or in those with deterioration of consciousness.<sup>257, 258</sup> Further brain supportive

therapy may involve intra-arterial lines to monitor administration of vasoactive drugs, assessing intracranial pressure with catheters inserted into the brain parenchyma, treating acute hydrocephalus with drains and managing seizures with antiepileptics.<sup>135, 257</sup>

### **1.21.1 Medical management**

It is recommended that patients with any of the following are unlikely to require surgical intervention and be treated medically initially:

- Small deep haemorrhages
- Lobar haemorrhage with no evidence of hydrocephalus or rapid deterioration in neurological state
- Large haemorrhages in those with significant co-morbidity before stroke onset
- Supratentorial haemorrhage with Glasgow coma scale less than 8 unless this is attributed to hydrocephalus<sup>32</sup>

### **1.21.1.1 Blood pressure management in intracerebral haemorrhage**

Two theories have caused uncertainty in managing BP in acute ICH; first, the perihematoma region might suffer ischaemia if the blood pressure is lowered precipitously and on the other hand, acute elevation in blood pressure may promote haematoma growth.<sup>124</sup> A complicating factor when deciding if and when to treat is that evidence that is available does not show definite benefit to lowering BP and the risks and benefits may vary with the type of agent used. This is reflected in the number of drugs that have been assessed including labetalol, nicardipine, candesartan, esmolol, enalapril, hydralazine, nitroprusside and nitroglycerin.

Based on epidemiological data suggesting poor outcome with high BP in ICH<sup>118</sup> and the results of experimental studies that failed to demonstrate the presence of ischaemic penumbra,<sup>216,</sup><sup>259</sup> two trials tested the feasibility in lowering BP in acute ICH.

INTERACT (Intensive blood pressure reduction in acute cerebral haemorrhage trial), was an open labelled trial in 404 patients, with no definite indication or contraindication to treatment randomised to early intensive lowering of BP (target systolic BP 140 mm Hg; n=203) or standard guideline-based

management of BP (target systolic BP 180 mm Hg; n=201).<sup>260</sup> Participants with lower levels of consciousness (GCS 3-5) were excluded and enrolled patients had mean volumes of 12 ml (guideline) and 14 ml (intensive). The primary efficacy endpoint was proportional change in haematoma volume at 24 h; secondary efficacy outcomes included other measurements of haematoma volume.<sup>260</sup> From randomisation to 1 h, mean systolic BP was 153 mm Hg in the intensive group and 167 mm Hg in the guideline group (difference 13.3 mm Hg, 95% CI 8.9-17.6 mm Hg;  $p < 0.0001$ ); from 1 h to 24 h, BP was 146 mm Hg in the intensive group and 157 mm Hg in the guideline group (10.8 mm Hg, 95% CI 7.7-13.9 mm Hg;  $p < 0.0001$ ).<sup>260</sup> A significant reduction was observed in the proportional haematoma growth between the intensive group (13.7%) and the guideline group (36.3%) at 24 h.<sup>260</sup>

The Antihypertensive Treatment of Acute Cerebral Haemorrhage trial (ATACH) tested the feasibility of three levels of systolic blood pressure reduction with intravenous nicardipine-170 to 200 mm Hg (n=18), 140 to 170 mm Hg (n=20) and 110 to 140 mm Hg (n=22) in patients with supratentorial intracerebral hemorrhage treated within 6 hours after symptom onset.<sup>215</sup> Other important outcomes included neurologic deterioration within 24 hours and serious adverse

events within 72 hours. The results demonstrated that it was feasible to intensively lower blood pressure and importantly no significant adverse events were observed.<sup>215</sup>

INTERACT-2 was a natural follow on and tested intensive with guideline lowering of blood pressure in 2839 patients predominantly from China with various antihypertensive agents (such as diuretic, calcium channel blocker, ACE inhibitor, Beta-blocker, Angiotensin II receptor antagonist) admitted within 6 hours of stroke onset.<sup>261</sup> The mean difference in systolic blood pressure at 1 hour after treatment initiation was 14 mm Hg (150 mm Hg vs 164 mm Hg). At 90 days, the trial was neutral in its primary outcome of modified Rankin scale (mRS)>2; however key secondary outcomes were positive including shift analysis of mRS and quality of life after stroke.<sup>261</sup>

Although INTERACT 2 provided optimism for lowering blood pressure in treatment of intracerebral haemorrhage, it equally generated more questions: What next? Are the results applicable to a population non-Chinese? Do single agents work? Will earlier treatment targeting haematoma expansion further improve outcomes? Although no clear answers can be given to these questions yet, four recent studies

heterogeneous in their design, patient population, time of treatment initiation and testing various agents provide important information.<sup>137, 262-264</sup>

In the Controlling hypertension and hypotension post-stroke trial (CHHIPS), hypertensive patients with ICH were randomised to lisinopril, the mixed alpha/beta-receptor antagonist labetalol or placebo within 36 hours. The results showed that neither the primary outcome (death or dependency at 2 weeks) nor early neurological deterioration was significantly altered using either agent or route of administration.<sup>262</sup> SCAST (Scandinavian Candesartan Acute Stroke trial) tested a specific question whether oral candesartan given within 36 hours for 7 days was beneficial in acute stroke.<sup>263</sup> In 274 patients with ICH, blood pressure was lowered to a modest level at 5 mm Hg but associated with a non-significant higher risk of vascular events (hazard ratio 1.36, 95% CI 0.65-2.83, p=0.41) and significantly worsening in functional outcome at 6 months (common odds ratio 1.61, 95%CI 1.03-2.50, p=0.04).<sup>265</sup> ENOS was larger than SCAST and in a partial-factorial design tested BP lowering in 4,011 patients with glyceryl trinitrate (GTN) within 48 hours of symptom onset with SBP thresholds at 140 mm Hg and 220 mm Hg.<sup>137</sup>

Two trials tested the agents GTN and lisinopril in earlier time-windows (pre-hospital/ultra-acute setting).<sup>264, 266</sup> In both studies, paramedics were able to identify suspected stroke patients, obtain consent and administer BP lowering treatment. It is difficult to draw any definite conclusions as the trials were performed in a small number of patients.<sup>264, 266</sup>

### **1.21.1.2 Haemostasis**

The concepts of haemostatic intervention were based on observations that substantial ongoing bleeding occurred in patients with ICH in particular during the first few hours after onset and directly linked to poor outcome.<sup>211, 267</sup>

For patients with ICH related to anticoagulants, the recommendation is to reverse the INR as rapidly as possible.<sup>268</sup> A single agent, protamine sulphate reverses heparin anticoagulation.<sup>268</sup> Conversely, several alternative measures are available to counteract the effect of warfarin including vitamin K, fresh frozen plasma (FFP), prothrombin cell complex (PCC) and recombinant factor V11a (r-FVIIa).

Vitamin K is usually administered in combination with FFP or PCC as it takes several hours as a single agent to correct INR.<sup>269</sup> FFP acts quicker than Vitamin K. Nevertheless, its use is limited by the risk of allergy, transfusion reactions, long duration to administer (with the potential for continuing haematoma enlargement), incomplete haemostasis (factor 1X level may remain low) and risk of cardiac failure (large volume in constitution).<sup>195, 270</sup> In comparison, PCC's contain high concentration of coagulation factors II, VII, IX and X and can be rapidly administered in small volumes. The lower risk of thromboembolism with PCC's is offset by rapid correction of INR.<sup>271</sup>

A retrospective study of anticoagulant related ICH comparing PCC alone or in combination with FFP or Vitamin K showed those who received PCC had rapid correction in INR and reduced haematoma growth.<sup>168</sup> Despite lack of evidence on clinical outcomes in a recent trial comparing PCC versus FFP,<sup>272</sup> neurovascular society experts recommend PCC in rapid correction of INR in anticoagulation related ICH.<sup>268</sup>

r-FVIIa contains a single coagulation protein and therefore not effective in reversal of warfarin anticoagulation. r-FVIIa fails to reverse bleeding even if the INR is normal<sup>273</sup> and not able to

restore thrombin generation.<sup>274</sup> With the additional risk of systemic thrombosis and possible need for repeated dosage the use of this drug becomes limited. Hence, neurovascular society guidelines do not recommend use of r-FVIIa.<sup>268</sup>

In patients with ICH not taking anticoagulants, early therapy with r-FVIIa (40, 80 and 160 µgm) as a haemostatic agent was tested in the proof-of-concept dose escalation FAST trial.<sup>275</sup> r-FVIIa has been shown to induce haemostasis in patients with haemophilia, and profuse bleeding caused by surgery or trauma.<sup>276</sup> It acts by generating a tight haemostatic plug through increased thrombin generation. For the nearly 400 patients in FAST, mean treatment time was 167 minutes after symptom onset.<sup>275</sup> Proof -of -concept was achieved with a reduction in ICH haematoma volume by half in the r-FVIIa group whereas a 29% increase was seen in the placebo group.<sup>275</sup> In addition, secondary outcome of mortality was significantly lower at 18% in the pooled treated group.<sup>277</sup> The larger FAST III trial randomly assigned 841 patients with spontaneous intracerebral haemorrhage to r-FVIIa (20 and 80 µgm) or placebo.<sup>278</sup> In spite of reduction in ICH volume for the 80 µgm group (11% versus 26%), no significant differences in outcome were observed among the three groups those randomised to treatment and placebo (24% in the placebo

group, 26% in the group receiving 20 µgm of r-FVIIa and 29% in the group receiving 80 µgm).<sup>278</sup> In addition, there was a 5% increase in number of arterial occlusive events in those treated with r-FVIIa.<sup>278</sup> Possible explanations for the observed results include low mortality (19%) in the placebo group, increase in IVH in patients receiving r-FVIIa (38% versus 29%) and increased frequency of thrombotic complications in those receiving r-FVIIa (8% in comparison to 4%). A post hoc analysis identified a subgroup of patients who may benefit from r-FVIIa: patients aged ≤70 years with baseline ICH volume <60 ml, IVH volume <5 ml and those treated within 2.5 hours after ictus.<sup>279</sup> Incorporating markers of ongoing bleeding might delineate further those who may benefit from r-FVIIa; this aspect is currently being assessed in two ongoing RCT's- STOP-IT and SPOTLIGHT.<sup>280, 281</sup>

The newer anticoagulants which act by direct inhibition of thrombin or factor Xa are likely to be seen more in the setting of ICH because of increasing use, greater efficacy over aspirin and safety profile than warfarin, advantage of not requiring regular monitoring and higher incidence of atrial fibrillation in the elderly.<sup>282, 283</sup> With the exception of dabigatran,<sup>284</sup> no antidote is available to completely neutralise the anticoagulant activity of other agents,<sup>285</sup> suggesting patients taking these

might be at greater risk of ongoing bleeding, haematoma expansion and mortality. However, partial control of haemorrhage may be achieved using greater concentration of factor X and thrombin in PCC's than FFP or r-FVIIa.<sup>195</sup>

The decision of reintroducing anticoagulation after warfarin related ICH in those taking warfarin for prevention of cardioembolic stroke associated with chronic AF or prosthetic heart valves is complex with the balance between the risk of ischaemic stroke and recurrence of ICH. At present, limited data is available assessing the recurrence rate of ICH in those patients taking warfarin. In such situations, the newer oral anticoagulants with lower risk for ICH than warfarin (0.2% compared to 1% per year) may be preferred, but the rate of recurrent ICH with these agents are yet to be determined.<sup>199</sup>

The risk of recurrence of either lobar and deep cerebral haemorrhage does not appear to increase with Aspirin and reinstatement following ICH may be considered based on the risk of ischaemia, underlying cause of ICH and on the added risk of haemorrhage from antithrombosis.<sup>286, 287</sup> In the absence of randomised evidence,<sup>286</sup> current management of antithrombotic and anticoagulant introduction is largely derived from expert opinion and suggest reintroduction of

antiplatelets after 2 weeks and approximately 4 weeks for anticoagulants.<sup>288, 289</sup>

Epsilon-aminocaproic acid (EACA) was tested in a small proof-of concept trial in patients recruited within 12 hours of ICH onset.<sup>290</sup> A total of 5 gm EACA was administered in 1 hour and then 1 gm/hour over 24 hours. EACA failed to prevent expansion as growth occurred in three patients of the five patients treated with EACA.<sup>290</sup>

The concept of administering Tranexamic acid (fibrinolytic agent) in spontaneous ICH gained optimism from a large landmark trial in more than 20,000 trauma patients that showed that a significant lowered risk of bleeding (OR 0.85 95%CI 0.76-0.96) and all cause death (OR 0.91 95%CI 0.85-0.97) in those patients randomised to receive the drug.<sup>291</sup>

A subgroup with traumatic intracranial bleeding allocated to Tranexamic acid 1 gm infused over 10 minutes followed by an infusion over 8 hours showed non-significant trend to lower haematoma growth and improved outcome.<sup>292</sup> Following this, a small phase study tested the safety and efficacy of Tranexamic acid in 24 patients diagnosed with ICH.<sup>293</sup> Median time from stroke onset to randomisation was 14 hours and time from randomisation to treatment was less than half

hour.<sup>294</sup> Although no significant differences were observed in relation to safety and functional outcome, the study showed that it is feasible to administer tranexamic acid in the setting of acute ICH.<sup>294</sup> In another small study involving 156 patients, rapid administration of a bolus of tranexamic acid within 24 hours of stroke was shown to reduce haematoma expansion (17.5% versus 4.3%).<sup>295</sup> At present, two large trials are assessing the efficacy and safety of this drug as potential treatment, one in all cases of spontaneous ICH and the other only in 'spot sign positive' patients.<sup>220 296</sup>

Other tested approaches include the use of platelet transfusions and whether desmopressin improves platelet activity in intracerebral haemorrhage patients who take aspirin or any other antiplatelet agent.<sup>297, 298</sup> Unlike measures of anticoagulant activity for example INR for warfarin, or partial thromboplastin time for heparin, there are no standardised methods for quantifying platelet activity.<sup>299</sup> In one recent trial, platelet transfusion was associated with harm.<sup>300</sup>

To-date, there have been five phase II RCTs and one phase III trial of any haemostatic drug therapy versus placebo or control with outcomes. Meta-analysis show that haemostatic therapy and rFVIIa do not lower death or disability in

spontaneous ICH but tend to increase the risk of thromboembolic events (RR 1.37, 95%CI 0.74 to 2.55).<sup>301</sup>

In summary, while off-license use of haemostatic agents such as PCC's in ICH is very tempting, more studies are necessary to confirm the safety and efficacy. In particular, it will be useful to assess treatment effects in patients with longer time windows.

### **1.21.2 Surgical management**

Intracranial surgery may involve craniotomy, which involves opening the skull or less invasive methods such as endoscopy or stereotactic surgery using catheters to evacuate haematoma. The opinion that mechanical decompression of a growing haematoma and its toxic effects is countered by the surgical risks in a patient with ongoing bleeding and navigating through pivotal motor and sensory regions. Another problem exists in that is unclear when to surgically remove intracerebral haematoma clot with clinical studies reporting from within 4 hours to 96 hours from the onset of symptoms and this limits direct comparison and analysis of the impact of timing of surgery.<sup>302, 303</sup> To complicating matters, when deciding if and when to intervene the opinion

varies between neurosurgeons when considering haematoma size, location and premorbid dependency.<sup>304</sup>

### **1.21.2.1 Craniotomy**

Current management of cerebellar ICH is largely derived from expert opinion and recommends surgery in those patients with >3 cm haematomas or cerebellar haemorrhages with brain stem compression or obstruction of the fourth ventricle causing hydrocephalus.<sup>268, 305, 306</sup>

Craniotomy is associated with poor outcome for thalamic and pontine haemorrhages and therefore not recommended.<sup>307, 308</sup>

The STICH trial (1033 patients) compared the role of supratentorial haematoma evacuation within 72 hours and initial medical management, although later evacuation was allowed because of neurological deterioration.<sup>303</sup> The type of surgical procedure was left to the discretion of the neurosurgeon. The results revealed that patients who underwent early surgery did not benefit when compared to those who received conservative treatment. Early surgery in patients with a GCS score  $\leq 8$  was associated with poor outcome and probable harm.<sup>303</sup> The concept of a second

randomised trial by the same investigators was derived from a subgroup analysis of STICH, which indicated a possible advantage with early surgery <8 hours in patients not in a coma with lobar haemorrhage and those with haematomas extending to within 1 cm of the cortical surface.<sup>303, 309</sup> In STICH international trial undertaken in 78 centres in 27 countries, early surgical evacuation of haematoma within 12 hours of randomisation and initial medical treatment was compared with regular medical treatment alone.<sup>310</sup> Of 601 patients recruited, 307 were assigned to early surgery and 294 participants to conservative treatment. Nearly all patients taken to surgery were treated with craniotomy.<sup>310</sup> The primary outcome was computed from a postal questionnaire based on the Extended Glasgow Outcome Scale.<sup>303 311</sup> The intention-to-treat analysis showed a small but non-significant increase in favourable outcome in patients treated with early surgery.<sup>311</sup> Additionally, the investigators found trends to benefit from early surgery in a subgroup of patients with poorer prognosis (GCS between 9-12) and those who suffered rapid decline in neurological state.<sup>303, 311, 312</sup> Limitations to interpreting the results of the two trials are the lack of true randomisation with inclusion determined by clinical uncertainty (those with haematomas for whom it was uncertain whether surgery would be beneficial), variation between institutions in clinical

equipoise and the option for cross over between the treatments based on clinical condition.<sup>195</sup> Equally important, intracranial pressure was not measured in both trials and therefore its impact on surgical decision-making and outcome is unclear. In STICH II, mean time to surgery in those allocated to conservative treatment was 64.2 hours, raising a debate if these patients should have been taken to surgery earlier thereby preventing further neurological injury. Taking the two results together along with previous studies, an individual patient data meta-analysis indicated that surgery may still have a role in patients with intracerebral haemorrhage but uncertainty remains about which patients benefit most.<sup>311</sup> In spite of the current evidence, experts still favour craniotomy and clot removal in younger patients with neurological decline from an initially conscious state with a superficial lobar haematoma.<sup>309, 313</sup>

#### **1.21.2.2 Minimally invasive surgery**

The refinement of minimally invasive surgery (MIS) for clot evacuation is driven by the lack of effective outcomes by craniotomy. Of relevance, both Factor V11a and STITCH trials focussed only on a single prognostic factor, ICH size and no standard plan was made in the trial protocols to stabilise and

remove intraventricular clot that occurred in nearly half of all recruited patients. This is important when considering the modest treatment effect observed in these trials despite consistent evidence that all three factors- ICH size, IVH and early clinical deterioration independently affect outcome.<sup>303, 314</sup> In addition, evacuation of haematoma can be compromised by clot retraction, advocating the need for clot liquefaction prior to complete evacuation.<sup>315</sup> MIS offers potential advantages over conventional surgery with the possibility of local anaesthesia, minimal brain injury, reduced operating time, maintain intracranial pressure monitoring during procedure, deliver thrombolysis with or without clot aspiration or evacuate haematoma under direct vision with an endoscope.

Using this background, small studies assessed administration of recombinant tissue plasminogen activator (r-tPA) as a treatment option in ICH.<sup>316, 317</sup> The encouraging results led to the MISTIE II trial designed to test image-guided removal of blood in patients with ICH and performed in two stages: dose finding and safety phase.<sup>318</sup> In this multicentre trial, eighty-one patients were allocated to MIS and forty-two participants to medical care in accordance with guidelines from the American Heart Association. Most patients in the surgical arm received surgical aspiration and r-tPA whereas 10 patients had

surgical aspiration only. Following surgical aspiration, those patients allocated to r-tPA received doses of 0.3 ml or 1.0 ml administered through the catheter and the drainage system closed for an hour to allow drug-clot interaction. The dosage was repeated every 8 hours upto 9 doses until a reduction in clot to 20% of the original size or  $\leq 10$  cc was achieved.<sup>318</sup> Follow up CT scans were undertaken to assess drainage. Baseline ICH volumes were similar in both treatment arms (surgical- $43.8 \pm 17.2$  cc, medical- $42.2 \pm 14.8$  cc).<sup>318, 319</sup> The results revealed a mean reduction in clot size by nearly 50% in those treated with surgery and r-tPA whereas those treated as per guidelines had a 4% clot size reduction.<sup>318</sup> Significant adverse events and 30-day mortality were below prespecified limits.

Similar protocols were used when testing the role of r-tPA in IVH. With safety aspects of intraventricular r-tPA already addressed in a pilot study by Naff and colleagues, results from The Clot Lysis Evaluating Accelerated Resolution on Intraventricular Haemorrhage (CLEAR-IVH) provided more insight into the effectiveness of r-tPA.<sup>320-322</sup> In this trial, r-tPA in higher doses from 0.3 mg upto 3 mg twice a day increased resolution of IVH in all ventricles and quicker in the midline than in the posterolateral ventricles.<sup>321</sup> Furthermore, IVH

reduced faster with increasing r-tPA dose.<sup>321</sup> The investigators concluded that the differential treatment effect was probably due to the midline ventricles closer to the drug delivery system promoting greater drug-clot interaction and clot lysis and once the ventricular system was opened, r-tPA diverted away from regions further from the extraventricular drain.

A subgroup analysis of a systematic review assessing the effectiveness of effectiveness of MIS as compared to conservative medical treatment and conventional craniotomy in patients with supratentorial intracerebral hemorrhage revealed that likely candidates to benefit from MIS are conscious patients between 30 to 80 years of age with superficial haematoma, those with haematoma volume between 25 and 40 ml and treated within 72 hours after the onset of symptoms.<sup>323</sup> Although the methodological quality of included studies were not high, a review involving 1717 patients concluded that MIS was associated with a significant reduction in relative risk of death when compared to both craniotomy and medical management.<sup>324</sup> Examining the current data, no definite conclusions can be made about the role of MIS in spontaneous ICH but certainly provides impetus to further research in this subject.

### **1.21.2.3 Decompressive surgery**

The recommendation of decompressive hemicraniectomy (DHC) to treat patients with hemispheric ICH is not robust as those with traumatic brain injury, high grade subarachnoid haemorrhage and malignant middle cerebral artery infarction.<sup>325</sup> Although mortality has been shown to be reduced, survivors are severely disabled.<sup>313</sup> DHC is attempted in patients with acute elevation in ICP, which can occur within few hours after haematoma evacuation.<sup>325</sup> In addition, the procedure might contribute to improvement in cerebral perfusion, oxygen supply and brain compliance.<sup>326</sup> In the absence of randomised evidence, experts suggest DHC with haematoma evacuation in patients with disturbed consciousness (GCS<8) and large haematomas volumes greater than 60 ml.<sup>327</sup> The application of DHC without haematoma evacuation is even more unclear.<sup>328</sup> For now, DHC remains a question of interest and does not form part of routine clinical care in ICH.<sup>313, 326</sup>

The various therapeutic approaches discussed in the above sections are aimed to lessen morbidity and mortality after primary brain injury. The following is a brief outline of work in limiting secondary brain injury after ICH, not used in this thesis, but included for the purposes of information as well as

to inform future work. The underlying mechanisms underlying secondary brain injury are unclear and compared with primary brain injury, fewer trials have been undertaken in this area.

### **1.21.3 Anti-inflammatory therapy**

Because many patients continue to deteriorate clinically despite no signs of haematoma expansion or rebleeding, there is an increased interest in limiting secondary brain injury elicited by the physiological response to the haematoma (inflammation) in the perihematoma tissues initiated by products of coagulation (thrombin) and clot breakdown (haemoglobin and iron).<sup>329</sup> Blood brain barrier breakdown in ICH as such could be linked to this inflammatory process through leucocyte infiltration,<sup>330</sup> but also could be a result caused by upregulation of leucocyte-derived reactive oxygen substances and pro-inflammatory cytokines such as TNF- $\alpha$ , interleukin -1 $\beta$ , chemokines and matrix metalloproteinases-MMP9 and MMP 3.<sup>331, 332</sup> The inflammatory process has been shown to start very soon after ictus, peaks in about a week and can persist for a month.<sup>333</sup> Activation of the resident microglia and astrocytes results in release of chemotactic factors and recruitment of haematogenous neutrophils, monocytes, macrophages and T cells which then engulf the deposited blood and repair damaged and dead tissue.

In a small study, the cyclo-oxygenase inhibitor celecoxib given for 14 days reduced peri-ICH oedema volume and lowered the expansion rate by half of that in the control group.<sup>334</sup> Another agent with anti-inflammatory properties, pioglitazone has been studied in a phase 11 study to determine the rate of haematoma resolution and the results are awaited.<sup>335</sup> A pilot trial of rosuvastatin also testing this hypothesis showed a positive effect,<sup>336</sup> but another involving simvastatin was stopped because of poor enrolment.<sup>337</sup>

Data from preclinical studies show that the thrombin inhibitor, argatroban reduces secondary brain injury after ICH.<sup>338, 339</sup> Antithrombin treatment seems paradoxical given its key role in haemostasis after ICH and neuroprotection in small concentrations. However, in large doses thrombin has been also shown to cause proliferation of mesenchymal cells, formation of scar tissue and brain oedema.<sup>340</sup> Although the majority of haematoma expansion occurs in the first few hours after ictus, thrombin release continues for upto 2 weeks.<sup>341</sup> Therefore, there may be a time window after the haematoma has stopped expanding when thrombin inhibitors could be administered and further research in this area is warranted.

#### **1.21.4 Deferoxamine**

The chelator, deferoxamine has been tested for its effects following growing evidence that excessive iron levels from haematoma breakdown mediate neuronal damage.<sup>342, 343</sup> In preclinical rodent studies, deferoxamine lowered brain oedema, neuronal death, cerebral atrophy and improved behavioural recovery.<sup>342, 344</sup> Based on safety data provided by a small study in patients with ICH,<sup>345</sup> a larger trial assessing the therapeutic efficacy of deferoxamine administration is ongoing.<sup>346</sup> Other approaches that are being investigated are whether inhibiting the enzyme haem oxygenase that releases iron from erythrocytes and removing free radicals generated by iron can reduce brain injury after ICH.<sup>347</sup>

#### **1.21.5 Hypothermia**

Hypothermia as a treatment approach in ICH is emerging, with preclinical studies suggesting the effects are mediated by preventing growth of peri-ICH oedema, reducing oxidative damage and blood-brain barrier disruption.<sup>348, 349</sup> However, the benefits depend on the type of cooling system, depth of cooling and duration of hypothermia.<sup>350</sup> A small study on 12 patients with large ICH treated with mild endovascular hypothermia (35 degrees) for 10 days, reported that

treatment was well tolerated and all patients survived upto final follow-up at 90 days.<sup>351</sup> Furthermore, there was no increase in peri-ICH oedema after rewarming. Although, no firm conclusion can be made, the results suggest further work in this area might be useful.<sup>347</sup>

## **Summary**

Stroke, is a syndrome of vascular origin with diagnosis made at the bedside and confirmed on brain imaging. Management in a dedicated stroke unit with specialist staff is important and attention should be paid to avoid complications. Intracerebral haemorrhage is the most devastating stroke subtype and in comparison with ischaemic stroke in which acute treatments are proven to be beneficial, is more difficult to treat and associated with higher mortality in the acute phase. A substantial number of patients decline early from multiple factors including haemorrhage size, brain location, early haematoma expansion, raised intracranial pressure, intraventricular extension and hydrocephalus. The pathophysiological mechanisms are now being understood and provide a starting point for identification of treatment targets. In addition to primary brain injury, secondary brain damage occurs from blood and its toxic products released within hours and lasts upto several days. Haematoma expansion is

maximum in the first few hours and brain scan repetition showing surrogate markers of ongoing bleeding appear to be useful.

Medical management of intracerebral haemorrhage has shifted from one of passive care to specific measures aimed at stopping or reducing haematoma expansion, prevention of intraventricular extension, treating cerebral oedema and mass effect. Accurate, reproducible and validated measurement of haemorrhage size (also allowing measurement in change) is therefore critical to identify thresholds where treatment may benefit or modest at best. Cerebral autoregulation is disrupted in the acute phase and accumulating evidence suggests lowering elevated blood pressure may not cause ischaemia in the penumbra region surrounding the haematoma. A complicating factor when deciding if and when to treat post-stroke hypertension is that the potential risks and benefits vary with the type of agent, formulation and route of administration. To complicate matters, upto one-half of patients admitted with acute stroke are on blood pressure lowering medications and it is unclear whether they should be continued or stopped. Preclinical studies targeting secondary brain injury are promising, but limited clinical data is available and many questions remain unanswered.

### **1.22 Aims : The Current Investigation**

The work to-date examining intracerebral haemorrhage is wide-ranging in its scope, from preclinical models, through observational data of clinical studies to clinical trials of intervention resulting in consistent and inconsistent evidence on several parameters. There appear to be gaps in current knowledge on clinical characteristics, radiological parameters (haematoma characteristics, markers of haematoma expansion) and treatment options (such as non-invasive blood pressure treatment, haemostasis and surgery). There is variation in both magnitude and rate of recovery with a large proportion of patients failing to survive in the first weeks.

The challenges and questions listed above are wide-ranging and too complex to be answered by a single study. However, if we are to make some progress in this field of acute stroke, each or some of these questions must be examined in turn.

This thesis will concentrate on few aspects outlined below:

1. To evaluate the methods for quantifying volume of intracerebral haemorrhage and to determine sources of error that effect variation using data from a large multinational trial, The Efficacy of Nitric Oxide in Stroke Trial (ENOS)
2. To assess the effects of blood pressure lowering with transdermal glyceryl trinitrate (GTN) in acute intracerebral haemorrhage and effects on functional outcome
3. To evaluate the effects of continuing versus stopping prior antihypertensive drugs in the hyperacute stages of intracerebral haemorrhage using data from ENOS
4. To compare the baseline characteristics and outcomes of patients with spontaneous intracerebral haemorrhage from different ethnic backgrounds
5. To update a systematic review assessing the effects of deliberately altering blood pressure in stroke.

## **Chapter 2 - Materials and Methods**

Publications contributing to this chapter:

Bath PM, Woodhouse L, Scutt P, Krishnan K, Wardlaw JM, Bereczki D, Sprigg N, Berge E, Beridze M, Caso C, Chen C, Christensen H, Collins R, El Etribi A, Laska A, Lees KR, Ozturk S, Phillips S, Pocock S, Asita de Silva HA, Szatmari S, Utton S.

Efficacy of nitric oxide, with or without continuing antihypertensive treatment, for management of high blood pressure in acute stroke (ENOS): a partial-factorial randomised controlled trial

Lancet 2015; 385 (9968): 617-28

This chapter outlines the background and the methods applied to chapter 3,4,5 where the performance characteristics of the various methods used to measure ICH parameters (including volume, shape, density, bleeding into the ventricles) and the relationship between clinical characteristics and functional outcomes were assessed.

## **2.1 Background and purpose**

The combination of ICH volume, an early increase in amount and initial level of consciousness relate to poor outcome<sup>225</sup> and therefore the ability to rapidly perform accurate measurements can be considered an important component in early clinical management. The first study to describe calculation of ICH volume was in the 1980's by Kwak et al and involved patients with thalamic haemorrhages.<sup>352</sup> Here, the maximum width (X), length (Y) and height (Z) of haemorrhage on a CT scan was estimated visually and the volume derived from multiplying X, Y and Z divided by 2.<sup>352</sup> With advances in scanning techniques, each parameter can be measured thereby allowing more accurate value incorporation into a formula adjusting for the slice thickness. It was debated whether approximating a haemorrhage as a sphere, ellipsoid or rectangular figure produced accurate volume

measurements, but incorporating shape as an ellipsoid correlated well with volume values obtained from software aided measurements.<sup>251, 353, 354</sup>

### **2.1.1 Intracerebral haemorrhage volume measurement**

Three methods are commonly used to measure haematoma volume- ABC/2, semi-automatic segmentation (SAS) and fully automatic 3-D volume rendering. The ABC/2 method can be performed by the bedside whilst SAS and 3-D volume rendering need the help of a computer and use of advanced software.<sup>246, 251, 252, 353-355</sup>

Calculating haematoma volume as an 'ideal' ellipsoid equates to  $\frac{4}{3} \pi * A/2 * B/2 * C/2$  where 'A' constitutes the greatest measured length of the haemorrhage, 'B' is the largest diameter perpendicular to the greatest length and 'C' corresponds to the number of slices with haemorrhage visualised multiplied with the slice thickness.<sup>251, 353</sup>

Approximating  $\pi \sim 3$  simplifies the formula to ABC/2. This formula was modified in 1993, by Kothari and colleagues.<sup>251</sup>

Here, the authors attempted to adjust for the height dimension (which assigned a value of 1 for each slice the haemorrhage was viewed) by introducing the modified 'C' rule.<sup>251</sup> If the scan slice showing haemorrhage was estimated

to be less than 25% of the slice with the largest surface area, then that slice was excluded. For a given slice area, a score of 0.5 was allocated if the measured area was between 25% of the largest haemorrhage area and a score of 1 was recorded if the measured area was greater than 75% of the largest area.<sup>251</sup> Next, the sum of each haemorrhage area slice was calculated to obtain C. Finally, A, B and C were multiplied together and the product divided by 2 to obtain the calculated haemorrhage volume in cubic centimetres (cm<sup>3</sup>). One variation,  $\frac{2}{3} SH$  where (S) is the largest surface area and the height (H) of the haematoma denoted by the scan slice thickness multiplied by the number of slices<sup>356</sup> has been proposed but the methodological reasons are not understood.

SAS method is based on an interactive region growing process using computer software to isolate haemorrhage from surrounding tissue via the intensity of different tissue types on a CT scan.<sup>357-359</sup> The user draws a curve through the region of interest (ROI) considered to be haemorrhage and the algorithm calculates the image features along the user-defined path and the computed features are used in the region-growing process.<sup>245</sup> On CT scans, blood appears 'whitish' (hyperintense between 40-80 Hounsfield units) compared to brain tissue and relevant parameters can be set to exclude

normal brain tissue and bone. The process can be repeated until the user is satisfied with the included segmented region. The area of each slice measured within these parameters is added together and multiplied with the slice thickness to obtain the haemorrhage volume.

3-D volume rendering utilises complex software algorithms and provides effective visualisation including rotated views of the haemorrhage.<sup>359, 360</sup> The user is required to specify the position of the ICH on the image and the program extracts the surrounding volume and performs the analysis. First, the ICH region is highlighted by a light source and the shading information is calculated by multiplying the 3-D gradient vector of the ICH region with the vector of the light source.<sup>245</sup> Further, distance shading is performed to obtain a realistic view of the image and then the scan slices are merged into lines.<sup>359</sup> Finally, the procedure is repeated for several rotated versions of the haematoma region to provide a set of rotated 3-D views.<sup>245</sup>

### **2.1.2 Assessment of Intracerebral haematoma shape and density**

Variation in visual assessment of intracerebral haematoma shape and density attenuation as predictors of haemorrhage

growth was first suggested by Fujii et al<sup>361</sup> and further developed by Barras and colleagues.<sup>212, 362</sup> The theory underpinning this was a haemorrhage seen on a CT scan arising from a ruptured single blood vessel appears homogeneous whereas bleeding from multiple foci and ongoing bleeding presents with an irregular edge and heterogeneous attenuation.<sup>212</sup> In a small trial population of ICH patients scanned very early, a novel visual and density scale was introduced in an ascending order from 1 to 5 and each progressive category added an extra lesion edge irregularity suggestive of active bleeding on either scale.<sup>212</sup> For example, Category 1 was assigned to a regular shape and homogeneous haematoma whilst Category 5 was given to the most irregular shape and heterogeneous density.<sup>212</sup> Those patients with haemorrhages extending into the ventricles were excluded. The results revealed a strong relationship between shape irregularity and density heterogeneity.<sup>212</sup> On a continuous scale, the study also revealed that larger haematomas were irregular in shape, more heterogeneous at baseline and significantly more likely to expand.<sup>212</sup>

To quantitatively describe haemorrhage shape, it can be expressed as a variation from 'roundness' or deviation from isometry.<sup>363</sup> When considering the physical properties, the

assessment of a 'round' shape may not imply isometry as blood leakage and extension occur in any direction when a vessel wall ruptures and blood components such as platelets and smooth vessel fibres travel at various speeds.<sup>363</sup> Therefore, a concept combining circularity and isometry is suggested to describe haemorrhage shape: the formula  $P^2/4\pi A$  where 'A' is the surface area of the largest haemorrhage slice and 'P' is the perimeter.<sup>363</sup> The value obtained is a number and  $\leq 1$  implies that the shape is circular and  $>1$  as non-circular.

Quantitative assessment of haemorrhage density was performed in a pilot study of 90 patients with ICH and the measured parameters included mean attenuation of haemorrhage, standard deviation, coefficient of variation, skewness and kurtosis.<sup>362</sup> Of these, the coefficient of variation was the most significant individual predictor of haematoma growth.<sup>362</sup> However, patients were from a placebo arm of a trial<sup>362</sup> and scanned very early.

To conclude, haemorrhage parameters assessed using quantitative and qualitative approaches seem to be useful and each studied in part. The techniques are reliant on computer software, radiological interpretation and so far the information

is confined to small datasets. Hence, the objective was set to examine the accuracy and reliability of ICH volume calculation -formula  $ABC/2$ , its modified version, automated 3-D rendering in conjunction with estimating haematoma shape and density (both qualitative and quantitative) in a large population of ICH patients and those receiving treatment. Furthermore, examining their association with key clinical variables (such as baseline blood pressure, level of consciousness and time to scanning) and prognosis might aid rapid decision-making in acute clinical situations and selection of patients to future clinical trials. The hypothesis was tested in the setting of a large, randomised controlled trial, Efficacy of Nitric Oxide in Stroke (ENOS)<sup>364</sup> involving patients with ICH, presenting within 48 hours and treated in various health-care systems.

## **2.2 Materials and methods**

### **2.2.1 The Efficacy of Nitric Oxide in Stroke (ENOS) trial**

#### **2.2.1.1 Methods**

ENOS was an international, multicentre, single-blind, prospective randomised controlled trial investigating the management of blood pressure in acute stroke.<sup>364</sup> The trial ran for 13 years and was conducted in accordance with the Declaration of Helsinki and 'International Conference on Harmonisation of Good Clinical Practice'. Patient data management was according to the UK Data Protection Act 1998.

#### **2.2.1.2 Subjects**

Previously independent patients aged 18 years or older having motor weakness in arm/and or leg and systolic blood pressure of 140-220 mm Hg presenting within 48 hours of symptom onset were assigned to 7 days of transdermal GTN 5 mg patch or no GTN. Patients were blinded with placement of a gauze dressing over an area of skin out of view with or without the patch underneath. A subset of patients who were taking antihypertensive drugs prior to their stroke were allocated to

continue or stop medications in a partial-factorial design. Assent from a proxy carer or relative was allowed if the participant was confused, dysphasic or semi-conscious. Randomisation was performed centrally over a password protected, data encrypted website, with stratification by prior antihypertensive treatment and country; minimisation on key prognostic variables: sex, age, stroke severity, time to treatment and total anterior circulation syndrome. Patients were excluded if they had one or more of the following: Glasgow coma scale <8; definite need or contraindication to nitrates; clinical indication to continue or stop blood pressure lowering drugs; confounding neurological disorder or psychiatric disease; liver or renal dysfunction.

### **2.2.1.3 Haemodynamic measures**

SBP, DBP and HR were recorded at baseline and daily 1-2 hours after administration or change in patch with the patient lying or sitting in bed. Day 1 measurement of BP was taken 1-2 hours after the patch was applied. Both BP and HR values were measured using validated automatic digital monitors (Omron HEM-705CP or HEM, 757, Illinois, USA).<sup>365</sup>

#### **2.2.1.4 Clinical outcomes**

The primary outcome was assessed using the mRS determined by a trained assessor masked to treatment allocation in each country at day 90 (+10 days). For participants not contacted through telephone, information was obtained using a follow up questionnaire sent through the post. Key outcomes assessed at the end of treatment included blood pressure and heart rate, proportion of patients with neurological deterioration (defined as reduction in SSS of >5 points or decrease in the consciousness domain of SSS of >2 points or both), recurrent stroke at day 7, episodes of hypo or hypertension requiring medical intervention and death. Other key outcomes included disability (Barthel Index), duration of hospital stay, discharge destination (patient's own home or other such as institution) and mood (short Zung depression score); these were determined centrally at day 90. An independent data monitoring committee assessed unblinded safety data in respect of safety and efficacy and in the context of other ongoing blood pressure trials in stroke.

#### **2.2.1.5 Neuroimaging measures**

The diagnosis of ischaemic or haemorrhagic stroke was confirmed using CT or MRI scans before or by 7 days after

enrolment according to standard imaging protocols in the local investigating centre. If possible, a repeat scan was performed at end of treatment (day 7+1). Scan interpretation by the local investigator was collected; the images were also adjudicated centrally over the Internet NeuroGrid<sup>366</sup> by an independent neuroradiology expert panel blinded to treatment allocation. Scans for adjudicated for the location of haemorrhage, estimate of its size and for the presence of other background findings (mass effect, leukoaraiosis, brain atrophy, old infarct or haemorrhage) using validated scoring systems.<sup>367</sup> The imaging administrator of ENOS transferred images to trained observers blinded to clinical data and treatment allocation. Haemorrhage parameters including volume, shape and density were measured using a software suitable Osirix, for viewing CT and MRI images.

## **2.2.2 Osirix software for Viewing CT/MRI scans**

### **2.2.2.1 Introduction**

Osirix ([www.osirix-viewer.com](http://www.osirix-viewer.com)) is an image processing interactive software available for free to download and view CT/MRI images.<sup>368, 369</sup> The application displays, reviews, interprets and helps in post-processing images optimally handed and stored in DICOM (Digital Imaging and

Communications in Medicine) format. The software can only be installed on a Mac running operating systems (OS).<sup>369</sup> For the purpose of assessing intracerebral haematoma characteristics of patients recruited into ENOS, Osirix version 3.3.2 was installed on an iMAC 7.1 model, Intel 4GB processor with monitor resolution of 1200 pixels.

The 2D viewer window consisting of the Toolbar, The Image view and the Preview list is the starting point. The thickness between each slice is displayed in the lower left hand viewing panel. The Growing Button displays the Region Growing Panel with Region of Interest (ROI) tools. In Osirix, ROI is used to describe a measurement, area or an annotation. ICH is indicated by a high density (white spot or region) in parenchymal tissues, according to the principles of a CT scan.

#### **2.2.2.2 General Methods**

Once the software is opened, each scan image of a patient with intracerebral haemorrhage was obtained using the 'Import' tab. By selecting the study name the image was seen. To draw a haemorrhage ROI, the corresponding tool from the Mouse Button Function in the 2D viewer bar was selected. The length ROI was used to draw simple lines and obtain linear

measurements. The starting point was clicked, mouse button pressed and dragged to the ending point and released. The underlying DICOM image with pixel size information and length in cm or  $\mu\text{m}$  was shown. If one parameter was incorrect or unavailable, the software displayed an error message. This was observed when some images were in different format other than DICOM, for instance: jpeg, png and gif. In such cases, the parameters were defined using the Calibrate Resolution tool.

To assign calibration parameters for an image, a centimetre scale was used to measure the distance across the scan image. With the length and measurement, the number of pixels to traverse the distance measured included in the image was noted. The value of each pixel length was calculated by dividing the measured length using the centimetre scale by the number of pixels over that distance. The Calibrate Resolution tool was selected, values entered and the OK button clicked to derive the ROI's.

The Brush ROI tool was used to click on the image and the desired shape of haemorrhage drawn while holding down the mouse button. The mouse button was released to finalise the ROI. Following this the 'compute' button was clicked and the

area (in cm<sup>2</sup> or μm<sup>2</sup>), mean, standard deviation, sum, minimum and maximum value were obtained. The Brush ROI is the only ROI that cannot be moved; it is attached to its underlying pixels.

The Closed Polygon ROI was used to draw polygons to find specific areas of irregular shaped-haemorrhages or in presence of other structures. This application is available in the 'Mouse Button' function in Osirix. Here, clicking on as many corners around the haematoma is needed to isolate the area of interest and a double-click on the last focus region to complete the ROI. The first and the last corners are connected upon completion. The area of haemorrhage, perimeter length, mean and maximum density heterogeneity are then displayed. To measure another area of haemorrhage on the same scan slice, all selected ROI's were deselected by clicking anywhere on the first image with a ROI tool or choosing the Deselect All ROI's in the Series item in the ROI menu.

#### **2.2.2.2.1 Calculating Intracerebral haemorrhage volume using Osirix**

This section describes how haemorrhage volume was determined using Osirix.

#### **2.2.2.2.1.1 ABC/2 formula**

For the ABC/2 method, the scan slice with the largest area of haemorrhage was identified. 'A' was the measured largest diameter of the largest haemorrhage slice, 'B' the largest diameter perpendicular A on the same slice and C was calculated as the number of slices in which the haematoma was visualised multiplied by the slice thickness (Figure 2.1). For the modified ABC/2, a slice was considered as C depending on the size of the haemorrhage area on that particular size. The haemorrhage area was considered as '1' haemorrhage slice if that area was more than 75% of the largest haemorrhage area in that series. If that area was between 25-75% of the largest haemorrhage area then it was considered as 0.5 haemorrhage slice. If the haemorrhage area was less than 25% of the largest haemorrhage area, the slice was discarded.

#### **2.2.2.2.1.2 Automatic volume calculator (3-D rendering)**

The first step was to create a ROI as explained in the earlier general methods section. Upper and lower thresholds of density values for example, 40-80 Hounsfield units over an area of haemorrhage are displayed by clicking on the area

with the computer mouse and moving the cursor. In the segmentation parameter, upper and lower thresholds of density of haemorrhage were then recorded. In the same page, the '3D growing region (entire series)' and 'Neighbour' Algorithm (to exclude an area of similar density elsewhere in the brain for example choroid plexus) were selected. Finally, the 'Compute Volume' tab under ROI Volume in the ROI menu was clicked. A 3D image of the haemorrhage shaded in green with the computed volume appeared on the screen. (Figure 2.2)

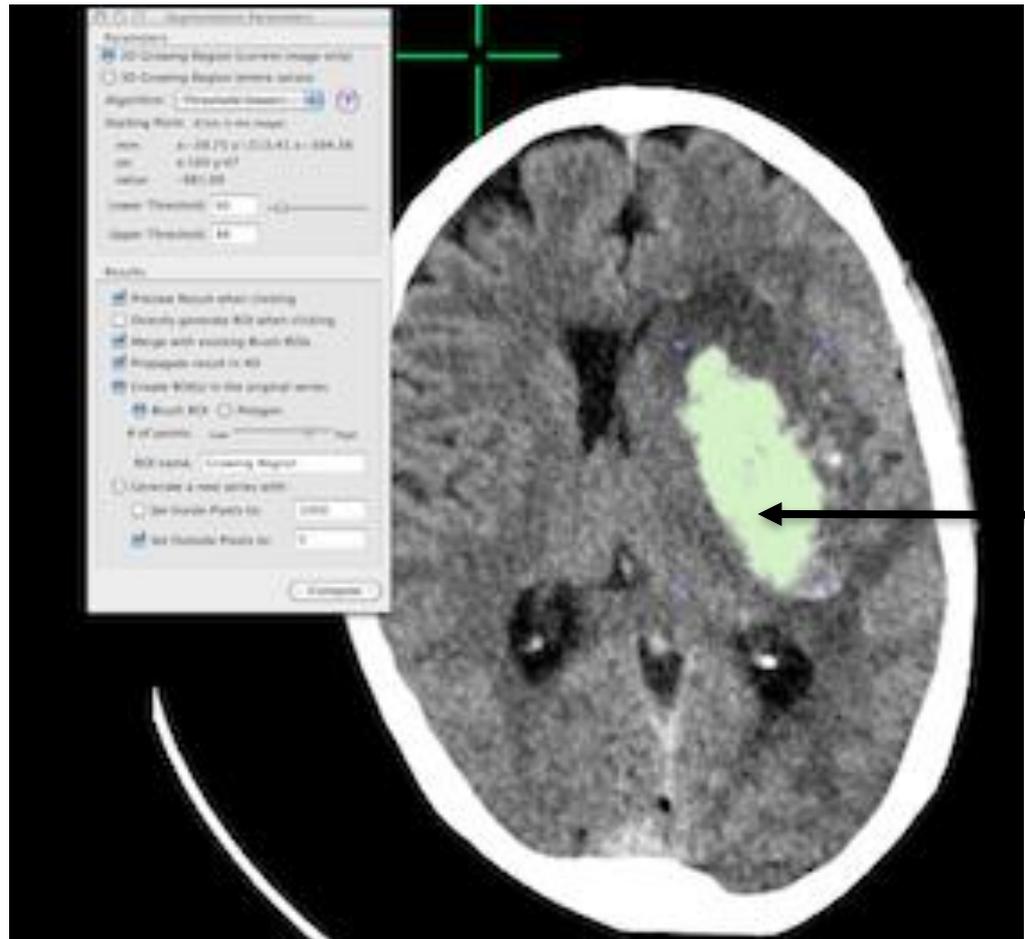
#### **2.2.2.2.1.3 Semi-automated Segmentation**

The first step in segmentation involved isolating areas of haemorrhage based on higher densities indicated by moving the mouse cursor over the scan image. Once the areas of haemorrhage were identified, the segmentation parameters were created manually by drawing the ROI using the tools in Osirix (as described earlier). The area within each segmented region was displayed once the ROI was complete. The final step was to sum up each of the slice areas and multiplying the value with the slice thickness for each scan. Thus the semi-automated segmentation volume was obtained.

**Figure 2.1** Osirix software image of a CT scan of patient recruited into the ENOS trial showing an area of hyperattenuation in the region of the left internal capsule suggesting rupture of the middle cerebral artery.



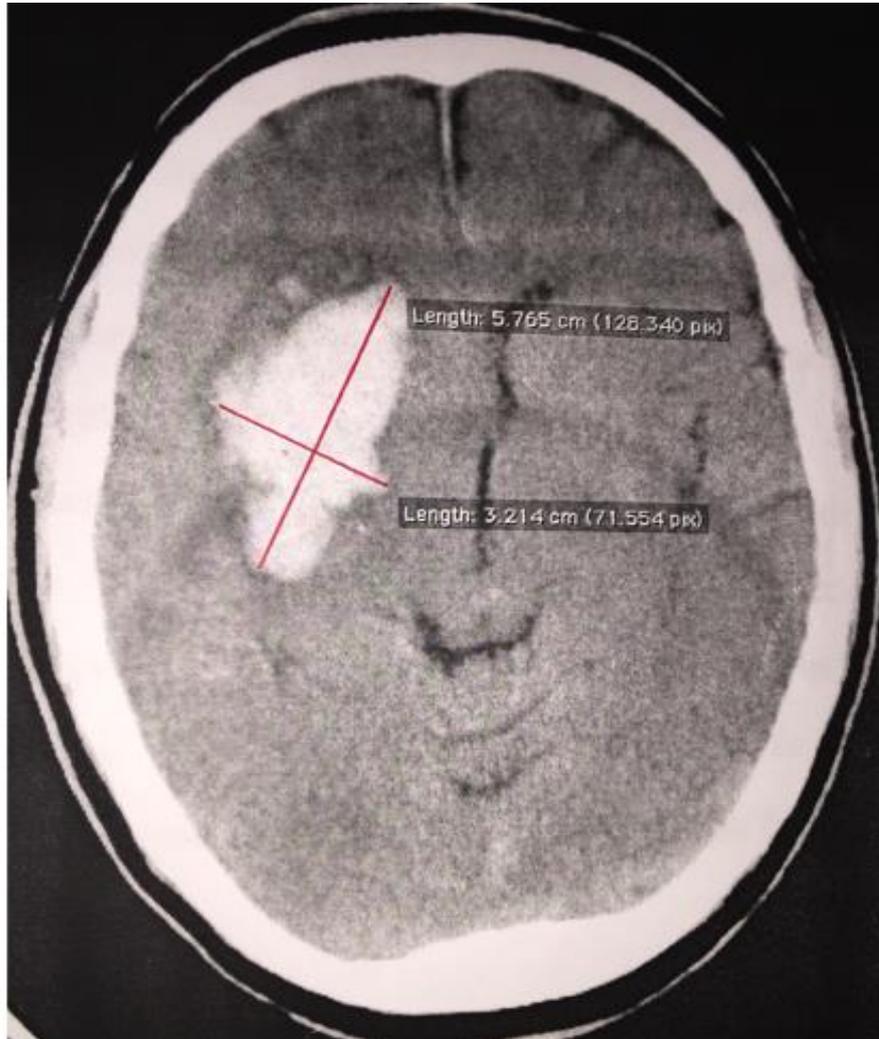
**Figure 2.2** Example of using Brush ROI for estimating ICH volume. The arrow indicating the region of haemorrhage is highlighted in green once the pixel thresholds are determined. The panel on the left displays the segmentation parameters.



**Figure 2.3** Example of closed polygon method using Osirix. The panel shows the surface area of haemorrhage, mean, standard deviation, min and maximum haematoma density attenuation and length (perimeter).



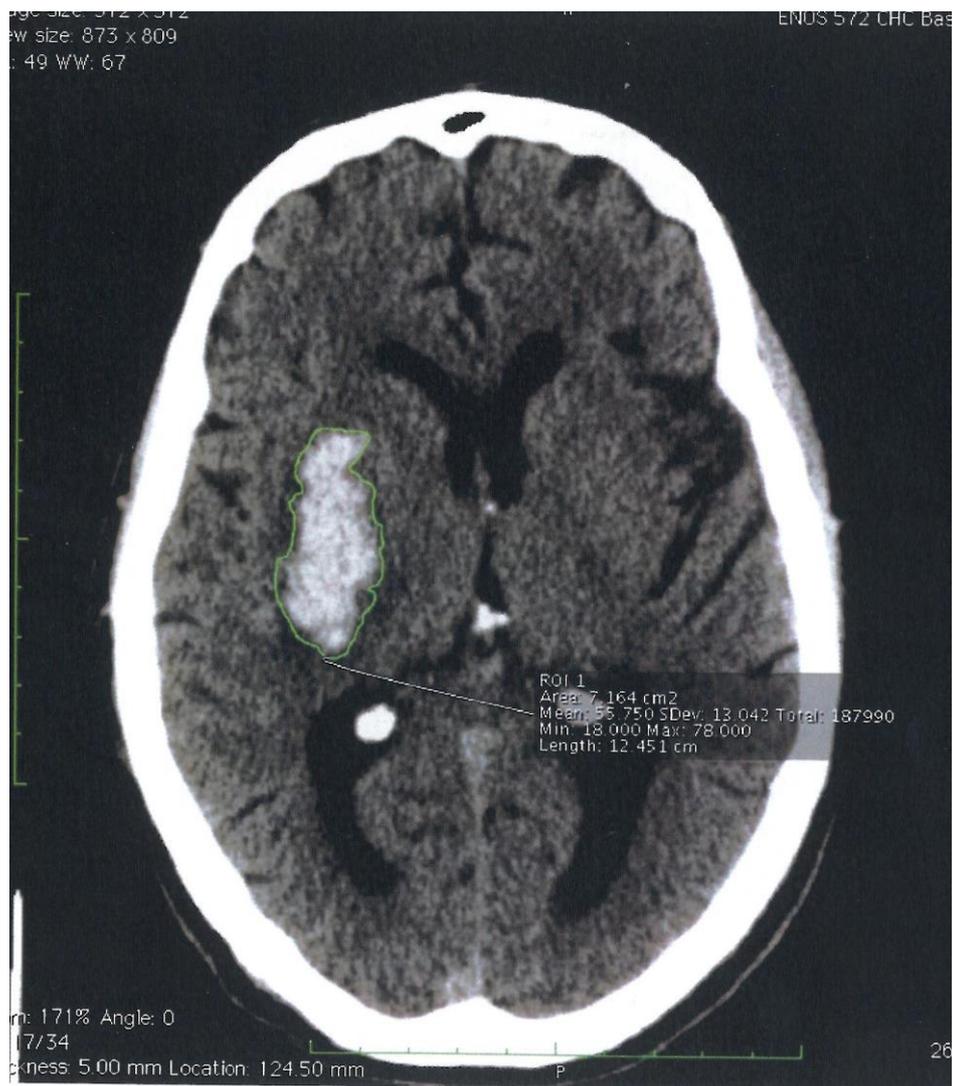
**Figure 2.4** ABC/2 method using Osirix. The red lines are the measured largest haemorrhage diameter 'A' and the largest perpendicular diameter 'B'.



**Figure 2.5** An example of the 3-D image from Osirix showing automatic computed haemorrhage volume.



**Figure 2.6** An example of semi-automated segmentation. The highlighted area is the ROI. The scan slice thickness is indicated in the bottom left hand corner.



### **Chapter 3 - Performance characteristics of the methods used to quantify spontaneous intracerebral haemorrhage**

Publications contributing to this chapter:

Krishnan K, Siti F Mukhtar, Lingard J, Houlton A, Walker E, Jones T, Sprigg N, Cala LA, Becker JL, Dineen RA, Koumellis P, Adami A, Casado AM, Bath PMW, Wardlaw JM

Performance characteristics of methods for quantifying spontaneous intracerebral haemorrhage: data from the Efficacy of Nitric Oxide in Stroke (ENOS) trial (ISRCTN99414122).

Journal of Neurology Neurosurgery and Psychiatry 2015; 0:1-9



## **ABSTRACT**

### **Background and Purpose**

Poor prognosis after intracerebral haemorrhage (ICH) is related to haemorrhage characteristics. With developing therapeutic interventions, there is a pressing need to understand the performance of haemorrhage descriptors in large clinical trials.

### **Methods**

Clinical and neuroimaging data were obtained for 548 participants with ICH from the Efficacy of Nitric Oxide in Stroke trial. Independent observers performed visual categorisation of largest diameter, measured volume using ABC/2, modified ABC/2, semi-automated segmentation, fully automatic measurement methods; shape, density and intraventricular haemorrhage were assessed. Intra- and inter-observer reliability were determined for these measures.

### **Results**

ICH volume was significantly different between standard ABC/2, modified ABC/2 and SAS: (mean) 12.8 (s.d.16.3), 8.9 (9.2), 12.8 (13.1) cm<sup>3</sup> respectively ( $p < 0.0001$ ). There was excellent agreement for haemorrhage volume ( $n=193$ ): ABC/2 intra-observer intraclass correlation coefficient (ICC) 0.96-

0.97, inter-observer ICC 0.88; modified ABC/2 intra-observer ICC 0.95-0.97, inter-observer ICC 0.91; SAS intra-observer ICC 0.95-0.99, inter-observer ICC 0.93; largest diameter: (visual) inter-adjudicator ICC 0.82, (visual vs. measured) adjudicator vs. observer ICC 0.71; shape intra-observer ICC 0.88 inter-observer ICC 0.75; density intra-observer ICC 0.86, inter-observer ICC 0.73. Graeb score (mean 3.53) and modified Graeb (5.22) scores were highly correlated. Larger ICH's were more irregular in shape (64%) ( $p < 0.0001$ ). Using modified ABC/2, ICH volume was underestimated in regular (by 2.2-2.5 cm<sup>3</sup>,  $p < 0.0001$ ) and irregular shaped haemorrhages (by 4.8-4.9cm<sup>3</sup>,  $p < 0.0001$ ). Fully automated measurement of haemorrhage volume was possible in 5% of cases.

### **Conclusions**

Formal measurement of haemorrhage characteristics and visual estimates are reproducible. The standard ABC/2 is superior to the modified ABC/2 method for quantifying intracerebral haemorrhage volume.

### 3.1 Introduction

Spontaneous intracerebral haemorrhage (ICH) is usually a severe form of stroke with a high mortality rate ( $\sim 50\%$ ) by the end of the first year.<sup>225</sup> As discussed in the chapter 1, the combination of ICH volume and initial Glasgow Coma scale is reported to be the strongest independent predictor of 30 day outcome.<sup>225</sup> Hence, reliable measurement of haemorrhage size is an important component of early clinical management and for stratification in clinical trials.

Although any effective intervention will ultimately need to show an effect on functional outcome (e.g. using the mRS<sup>370</sup>) in phase III trials, earlier developments will need to study the effect of treatment on a surrogate measure. Where the intervention aims to limit haemorrhage expansion, accurate, reproducible and validated measurement of haemorrhage size (thereby allowing measurement of the change in volume) will be critical. Hence, phase II trials of blood pressure lowering and haemostatic interventions have utilised measurement of haemorrhage expansion as a key primary outcome.<sup>260, 371</sup>

There are multiple methods for measuring haemorrhage volume on a CT scan, ranging from qualitative visual

estimation to computerised automatic measurement. Visual approaches (i.e. visual size categorisation based on the largest diameter) are quick and as detailed in chapter 2, ABC/2 method, modified version of ABC/2, semi-automatic or fully automatic computerised methods may also be used. The relative advantages and disadvantages of these approaches reflect the balance between measurement time, type of data required, accuracy and availability of computer workstations, and sample size, all studied in part.<sup>249, 250, 252, 253</sup> Assessment of additional properties of haemorrhage such as shape and density<sup>212, 362</sup>, and extension into the ventricles or subarachnoid space as detailed in the introduction, may also be useful.<sup>240, 241</sup>

In this study, we assessed and compared methods for measuring haemorrhage size, shape and density, and IVH size. The data come from the 'Efficacy of Nitric Oxide in Stroke' (ENOS) trial,<sup>364</sup> which included patients with ICH.

## **3.2 Methods**

### **3.2.1 Patients**

The methodology of the ENOS trial is described in chapter 2. Participants had a baseline CT or MRI brain scan as part of clinical care, usually before randomisation. Where possible, a second (research) CT or MRI scan was performed at day  $7 \pm 1$  (end-of-treatment). DICOM, JPEG, PNG or GIF files are sent, to the coordinating centre. Any scans sent on film were digitised using a VICOM digitiser (VIDAR Diagnostic PRO™ Advantage, USA). The following study assesses only those patients with a CT scan. For each patient, images were received with the thinnest slices provided by CT scan machines using standardised protocols in the recruiting centres. However, during the course of the trial more volumetric images were made available because of advances in imaging technology.

### **3.2.2 Scan adjudication**

Complete CT scan series were made available for assessment by a group of 7 adjudicators comprising accredited neuroradiologists or neurologists trained in CT brain imaging

assessment in stroke ([www.neuroimage.co.uk/sirs](http://www.neuroimage.co.uk/sirs), with coordination by JMW).<sup>367</sup> Images were viewed over the web, blind to all clinical and treatment information except for patient age, time since onset of stroke and side of brain symptoms. Responses were entered directly into the trial database via a web-based response form. Collected information included whether the patient had an ICH and, its location; an estimate of its size (sorted into ordered categories based on the longest diameter in any plane): <3, 3-4.9, 5-8, >8 cm; and the presence of other qualitative findings (mass effect,<sup>367</sup> atrophy,<sup>367</sup> white matter disease,<sup>372</sup> old infarct or haemorrhage) using validated scoring methods.

### **3.2.3 Scan haemorrhage quantification**

Scans were visualised and analysed using OsiriX software for Mac (version 3, 32 bit, [www.osirix-viewer.com](http://www.osirix-viewer.com))<sup>368</sup> and the details discussed in chapter 2. Two trained observers (K.K., S.M.) measured baseline ICH volumes of 193 patients using ABC/2, modified ABC/2, semi-automatic segmentation (SAS) and automatic volume calculation (AVC, using 3D rendering of a stack of 2D slices). Both observers assessed scans blinded to each other's data, and repeated a proportion of the scans (47 and 34 scans for KK and S.M. respectively) at a different time blinded to their original measurements. Thus, intra and

inter-observer variation could be estimated. For the remaining 355 patients, one observer (K.K.) calculated ICH volumes using all four methods. Appendix I shows a diagram with the number of scans used to assess intra and inter observation variation.

The methodology of haematoma volume measurement using ABC/2 method and the modified version, SAS and AVC is detailed in chapter 2.

For SAS and AVC, it was possible to use thresholds so that the haemorrhage Region of Interest (ROI) was measured within certain segmentation parameters. Upper and lower attenuation values (typically 40-80 Hounsfield units) of haemorrhage were established manually by sampling from the haemorrhage and normal brain. Where the threshold did not exclude non-haemorrhage areas (as with the pineal gland, calcified choroid plexus, bone) in close proximity with the haemorrhage, the haemorrhage boundary was manually edited. The area of each slice that was included within these parameters was measured, added together and multiplied by scan thickness to obtain the semi-automatic segmentation volume. AVC used an Osirix '3D growing region (entire series)' method after selecting a threshold part of the haemorrhage

near to its centre. With all methods, intraventricular haemorrhage blood was included in the final ICH volume.

Additionally, four haemorrhage characteristics (area, perimeter, mean attenuation, and standard deviation of attenuation) were recorded for the haemorrhage from the slice with the largest area of haemorrhage. Haemorrhage shape and density indices were then calculated as:

- Shape index<sup>363</sup> =  $\text{perimeter}^2 / 4\pi \times \text{area}$
- Density index<sup>362</sup> =  $\text{standard deviation} / \text{mean}$  (i.e. coefficient of variation)

The heterogeneity of haemorrhage shape and density were also assessed visually using an ordered categorical scale (1 to 5) where for an extra lesion edge irregularity an additional point was given on the shape and density scale.<sup>212</sup> Similarly, intraventricular blood volume was assessed visually using the Graeb score and modified Graeb ordered categorical scales.<sup>240,</sup>

241

Intra- and inter-observer reliability was determined for visual categorisation of shape and density. In addition, intraobserver reliability was assessed for measured shape, density index, Graeb, modified Graeb and intraventricular haemorrhage

volume over different reading sessions, separated by a minimum of fourteen-days. All adjudication and haemorrhage measurements were made blind to baseline and follow-up clinical information, other imaging and treatment assignment.

### **3.3 Statistical Analysis**

Data are shown as number (%), median [interquartile range] or mean (standard deviation). Measurement of intra-observer and inter-observer variability was assessed using the intra-class correlation coefficient (ICC).<sup>373</sup> A probability value of <0.05 was considered statistically significant. Analyses were performed using SPSS (version 21) and checked with Medistat running on an Apple Mac.

### **3.4 Results**

Altogether, 629 patients with ICH were enrolled into ENOS.<sup>137,</sup>  
<sup>374</sup> Of these, 548 patients had CT-confirmed ICH and a baseline scan available for measurement; 81 other patients either had ICH diagnosed on MRI or had no CT scan available, and these were excluded. Patient demographic and clinical details are shown in table 3.1. The mean age of the 548 patients in the present analysis was 67 (standard deviation 12) years, 66% of patients were male, mean baseline blood

pressure was 171 (19)/92 (13) mmHg, and the median time from onset of ictus to performing neuroimaging was 4.5 [interquartile range 5.6] hours. When adjudicated visually by experts, 63% of haematomas were located in the middle cerebral artery territory (table 3.1); most haemorrhages caused mass effect (86%) and many patients had leukoaraiosis (66%) and/or previous stroke lesion (49%) present on their scans. The most frequent visually assessed haemorrhage length category was 3-5cm (224, 41.3%), closely followed by <3 cm (220, 40.6%) with much fewer larger haemorrhages. The mean measured haemorrhage length was 3.4 cm (longest diameter) (Table 3.1).

#### **3.4.1 Intracerebral Haemorrhage (ICH) volume**

ICH volume was significantly different between ABC/2, modified ABC/2 and SAS: 12.8 (mean) (s.d.16.3), 8.9 (9.2), 12.8 (13.1) cm<sup>3</sup> respectively (p<0.0001) (Table 3.2). The ICC was 'excellent' at 0.84-0.96 when comparing the observers' measurements for each of ABC/2, modified ABC/2 and semi-automatic segmentation (Table 3.2). The observers found haemorrhage volume to be larger, by an average of 2.7-4.0 cm<sup>3</sup> with standard ABC/2 as compared to modified ABC/2, and 1.4-4.0 cm<sup>3</sup> smaller with SAS as compared to modified ABC/2.

As the mean ICH value increased, the difference between ICH volume measured by modified ABC/2 versus the other two methods increased (Figures 3.1, 3.2) but no significant difference was observed between standard ABC/2 and SAS (Table 3.2, Figure 3.3). The slope of the best-fit regression line for the increasing difference between modified ABC/2 versus ABC/2 was -0.44, and -0.36 for modified ABC/2 versus SAS (both  $p < 0.0001$ ) (Figure 3.1, Figure 3.2).

We used the intraclass correlation coefficient (ICC) to assess intra and inter observer agreement and values between 0.75 and 1.00 were defined as excellent, 0.60 and 0.74 as good and 0.40 to 0.59 as fair. There was good intra-observer agreement for measurements assessed on 34-47 scans (5-10% of the total of 548). For ABC/2, modified ABC/2 and semi-automatic segmentation, the intra-observer ICC was 'excellent' ranging between 0.97-0.98, and 0.95-0.99 respectively (Table 3.3).

There was excellent inter-observer agreement (ICC) based on 193 scans at 0.88 for ABC/2, 0.91 for modified ABC/2, and 0.93 for SAS (Table 3.4). Both ABC/2 and modified ABC/2 showed excellent correlation with SAS ( $p < 0.0001$ , Figures 3.4-3.6). Only 23 of 548 scans were amenable to analysis

using the fully automatic volume calculation method as the software was unable to handle scan image with varying slice thickness; this approach was therefore ignored in further analyses.

Across the ICH visual size categories, ICH volumes calculated by modified ABC/2 were significantly smaller compared to SAS (Figure 3.7). As the ICH size category increased, the difference between modified ABC/2 and SAS also increased. By comparison, there was no significant difference between ICH volumes measured by standard ABC/2 and SAS when compared by ICH visual size categorisation (Figure 3.7).

There was good agreement between the adjudicators across ICH visual size categories (n=47, ICC 0.82,  $p < 0.001$ ) (Table 3.5) using the ordered categorical scale (<3, 3-4.9, 5-8, >8 cm<sup>3</sup>) and strong agreement between visual size category and the observer's measured largest diameter in the axial plane 'A' (ICC 0.71,  $p < 0.001$ ) (Table 3.6).

### **3.4.2 Intracerebral haemorrhage (ICH) volume and shape**

The most common shape was irregular (64%) followed by regular ICH. Small ICH's (5.5-8.06 cm<sup>3</sup>) were more regularly

shaped than larger haemorrhages ( $p < 0.0001$ ) (Table 3.7). Using modified ABC/2, haemorrhage volume was significantly lower for regular shaped haemorrhages when compared with ABC/2 (by 2.5 cm<sup>3</sup>) and SAS (by 2.2 cm<sup>3</sup>) (Table 3.7) (Figure 3.8); the difference was greater with larger irregular shaped haemorrhages (between standard ABC/2 and modified ABC/2 by 4.8 cm<sup>3</sup> and SAS and modified ABC/2 by 4.9 cm<sup>3</sup>). When compared by shape, ICH volume calculated by standard ABC/2 did not differ from those measured by SAS (Figure 3.8).

#### **3.4.3 Intracerebral haemorrhage (ICH) shape and density in patients with no IVH**

Intra- and inter-agreements were both 'good' for visual assessments of haemorrhage shape: intra-observer ICC 0.88 (Table 3.8), inter-observer ICC 0.75 (n=47) (Table 3.9); and density: intra-observer ICC 0.86, inter-observer ICC 0.73. Intra-observer ICC for calculated shape index was 0.53 and 0.86 for density index (Table 3.8).

#### **3.4.4 Intraventricular haemorrhage (IVH) volume and severity**

Intra-observer agreement for assessment of IVH volume on baseline scans from 49 patients was excellent (ICC 0.98-0.99) whether using the Graeb score, modified Graeb score or semi-

automatic segmentation (Table 3.8). The Graeb and modified Graeb scores were highly correlated with each other ( $r_s=0.88$ ,  $p<0.01$ ). Both were also highly correlated with measured IVH volume using the SAS method: Graeb, ( $r_s=0.73$ ,  $p<0.01$ ); modified Graeb ( $r_s=0.72$ ,  $p<0.01$ ).

#### **3.4.5 Comparison of ICH volume by ABC/2, modified ABC/2 to SAS**

We performed a non-systematic review to compare our study with previously published work comparing ABC/2, modified ABC/2 and SAS volume measures in ICH.<sup>249-254, 356, 375, 376</sup> (Figures 3.9-3.12).

Some studies used the standard ABC/2 formula, others used variations of it;<sup>249, 251</sup> Gebel and colleagues used the central haemorrhage slice to measure largest diameter 'A' and found higher volumes computed by ABC/2 when compared to SAS.<sup>249</sup> With regards to shape, three studies studied errors in warfarin-related haemorrhage due to higher frequencies of irregular shape.<sup>250, 252, 253</sup> Huttner et al, showed that volume of regular shaped haematomas using ABC/2 were significantly increased in both regular and irregular shaped haemorrhages.<sup>250</sup> However, the large slice thickness used

during CT image acquisition in this study may have produced significant errors during calculation.<sup>250</sup>

This review comparing all three methods showed that ABC/2 formula tended to marginally overestimate ICH volume, but there was no absolute significant difference compared to SAS (Figure 3.9); when assessed by variability in haemorrhage shape, the results follow the same sequence (Figure 3.10). By comparison, ICH volumes computed using modified ABC/2 are significantly smaller when compared with SAS; the difference is greater as the haemorrhage sizes became larger and more irregular (Figure 3.11).

### **3.5 Discussion**

This is the first study, to our knowledge, that compares several methods for assessing volume of spontaneous ICH and intraventricular haemorrhage volume on CT scanning and tests the effect of haematoma shape and regularity on these measures. The major findings are: (1) Agreement within and between observers was excellent for measures of haemorrhage volume (ABC/2, modified ABC/2, SAS) and other haemorrhage parameters (visual categorisation by maximum length, description of shape, density and intraventricular

haemorrhage); (2) Haemorrhage volumes measured by modified ABC/2 were significantly lower from those measured by ABC/2 and SAS planimetry; the discrepancy increased as haematoma size became larger; (3) Larger haemorrhages were significantly more irregular in shape; (4) Agreement was good between visual size categorisation and measured computerised measurement of maximum haemorrhage diameter; (5) Attempts to perform a fully automated volume measurement method failed in more than 95% of patients, as the software was unable to work with scans in which slice thicknesses varied.

The sources of error that effect variation between ABC/2 and SAS merit consideration. First, the largest diameter of haemorrhage was measured in the axial plane but this may not be the largest ICH diameter, which may also have contributed to differences between 'A' and the visual size categorisation. Sucu et al suggested using the maximum length and width not necessarily on the same slice and found better correlation between ABC/2 and SAS.<sup>377</sup> However, this adaptation applies only to chronic subdural haematomas which differ from spontaneous haemorrhages by extending to the cranial vault and being crescentic.<sup>377</sup> Second, when using the ABC/2, the scan plane is measured through AB/2, the formula

for the area of a triangle. However, a triangle is not necessarily the most appropriate description of a haematoma. Last, standard ABC/2 approximates haematoma volume as an ellipsoid with all three axes extending in three perpendicular directions,<sup>352</sup> to compensate for varying slice thickness, 'C' is derived by multiplying the number of slices of which the haematoma is seen by the slice thickness in centimetres. For the modified ABC/2, 'C' does not include slices if the area of the haemorrhage is less than 25% of the largest area,<sup>251</sup> an approach that has no theoretical justification. Hence, this method does not estimate all three Cartesian co-ordinates of the ellipsoid. Since the modified ABC/2 method underestimated ICH volume (by 2 to 4 cm<sup>3</sup>), and small differences in volume equate to variation in outcome,<sup>211</sup> the modified ABC/2 method cannot be recommended. Further, modern CT scanners provide thin slices and the ability to directly measure 'C' thereby eliminating the need for approximating slice areas.<sup>88, 248</sup>

Although computer-assisted methods are considered the gold standard for volume measure,<sup>225, 249, 250, 358</sup> the SAS method was time consuming and at times technically challenging. For instance, it was difficult to set segmentation parameters to identify a haemorrhage that was adjacent to bone; and the

threshold may miss an area of haemorrhage. Additionally, the threshold method will not account for oedema associated with the ICH, which increases mass effect; thus the space occupying effect of the ICH may be much larger than its measured hyperattenuated area. As segmentation is semi-automatic, it is feasible to adjust manually for errors if they are apparent, although any adjustment may be subjective. All images require visual checking and manual correction; failure to do this would result in erroneous measurements. The problem is compounded by more irregular spontaneous haemorrhages e.g. due to amyloid, in anticoagulant-associated bleeds and in traumatic haematomas, where typically lesions are irregular, of varied attenuation and often next to bone.

In this series of patients with spontaneous intracerebral haemorrhage, a high proportion (64%) of irregular shaped haemorrhages were found. The effectiveness of the ABC/2 method has been validated in regular, oval shaped haemorrhages but researchers have doubted its accuracy in complex irregular haemorrhages (e.g. as seen with warfarin or in large or amyloid-related haemorrhage) and those with intra-ventricular extension.<sup>250, 358</sup> It has been suggested that adjusting the denominator from 2 to 3 in ABC/2 in irregularly

shaped haematomas may produce more accurate measures, but this concept is yet to be supported.<sup>250, 253</sup> Combining the present and published findings,<sup>356</sup> it may be postulated that as the haemorrhage became more irregular, the surface area and volume changes more than the largest diameter. Hence the area of the largest haemorrhage slice may be more representative of total haemorrhage size than its diameter. As a result, the true volume of an irregular haemorrhage may be better estimated using SAS. This finding is important since irregular shape haemorrhages are more likely to expand and affect morbidity and mortality.<sup>378</sup>

Our study is novel in design as it compares two commonly used methods ABC/2 and the modified formula (25%/75% distinction) with computer-assisted SAS and pragmatic visual scoring. Moreover, haemorrhage shape was incorporated in the analysis so that the source of error within each individual measurement method was assessed further. Our results show good compatibility between ABC/2 and computer-assisted SAS irrespective of haematoma shape and poor approximation using modified ABC/2.

There are several potential explanations for variation in inter-observer performance. Thinner slices may produce more

accurate volume measurement<sup>88, 248</sup> although the scans were identical for each observer. Alternatively, each additional slice to measure increases the potential for errors. Furthermore, observers may have chosen slightly different window settings; Additionally, although the observers were all trained in using OsiriX, accuracy in measurement does depend on operator experience.<sup>88, 247</sup>

When comparing haemorrhage size on the basis of its longest measured diameter ('A' in ABC/2) with an adjudicated visual categorisation, there was 'strong' agreement within the adjudicators and between the observers and adjudicators, with ICC 0.72. Hence it is unsurprising that the visual category versus largest measured axial diameter has slightly lower agreement than some of the other measured values. Note that the visual categorization assigns an ordinal value based on the largest size in any plane, not just the axial plane, and thus is not the same as a linear measure of largest axial diameter.

Our study also shows that qualitative descriptors of haemorrhage characteristics of haemorrhage shape, density, presence of intraventricular haemorrhage using the Graeb and modified Graeb scores can be reliably measured and are reproducible.

Of note, when measuring density heterogeneity, we used only the coefficient of variation (cv) whereas Barras used four additional measures (although these were apparently inferior to cv).<sup>362</sup> Calculation of shape index using parameters obtained from the windowed haemorrhage had a low ICC of 0.53. When this result is held in context with similar computed volumes, it could be interpreted that the observer chose different threshold settings which explains the source of variability.

This study has several strengths including the use of multiple assessors, multiple methods for assessing haemorrhage characteristics, and a large dataset. To minimise measurement error, observers were trained to use the OsiriX software for measuring brain CT scan parameters and in recognition of haemorrhages. Similarly, CT adjudicators were experienced neuroradiologists. Multiple observers were used to allow measurement of both intra-observer and inter-observer variation. The dataset involved patients from five continents and so the findings have excellent external validity. Nevertheless, the study has three significant limitations. First, the data come from a randomised controlled trial in acute stroke. Trial exclusion criteria can limit the type of patients

(and therefore variation in haemorrhage) so that the dataset studied here did not include patients with normal/low blood pressure, GCS<8, or without motor signs. As a result, patients with very large haematoma were underrepresented. Second, the time from stroke onset to neuroimaging was relatively long (median 4.2 hours), reflecting that the ENOS protocol allowed enrolment up to 48 hours after stroke. Hence ICH on later scans may already have developed some peri-ICH oedema. Finally, just over 25% of the patients had measureable intraventricular haemorrhage and therefore the results do not represent a population where intraventricular haemorrhage is more frequent. The failure to get a fully automated volume measure in 95% of scans may be less of a problem with other software that can handle scans of variable slice thickness.

In conclusion, the modified ABC/2 formula significantly underestimates haemorrhage volume when compared to standard ABC/2 and computer assisted semiautomated segmentation; the difference increased as haematoma volume became larger and more irregular in shape. Most relevant for clinicians, our results show that the standard ABC/2 method offers more accurate quantification of intracerebral haemorrhage volume by the bedside. Although semi-

automated segmentation is consistent and reliable, the method is slow, reliant on manual correction, advanced software and likely to cause delay in clinical decision-making often in emergency situations. Further research is required to create a faster computerised volumetric model for accurate and reliable measurement of haematomas in the clinical setting. The visual categorisation has the strength of providing an 'instant' marker of haemorrhage size in the acute situation and can be applied in the absence of measurement tools and historical cut film data, and where very large study sizes or very moved images preclude computer based ABC/2 or SAS measurements. This study importantly shows that haemorrhage characteristics can be reliably measured as accuracy is pivotal to clinical trials in which ICH volume change may be a surrogate end-point.

**Table 3.1** Baseline demographic, clinical and neuroradiological factors in 548 patients with primary intracerebral haemorrhage in the ENOS trial. Data are number (%), median [interquartile range], or mean (standard deviation).

Variable	Data
<i>Demographics</i>	
Age (years)	67.9 (12.1)
Sex, male (%)	360 (65.7)
Country, UK (%)	
Asia	117 (21.4)
Europe	67 (21.4)
Other (Africa, Australasia, North America)	42 (7.7)
UK	322 (58.8)
<i>Clinical findings</i>	
Pre-morbid modified Rankin Scale =0 (%)	418 (76.3)
Previous stroke (%)	69 (12.6)
Prior antihypertensive medication use (%)	227 (41.4)
Prior history of high BP (%)	341 (62.2)
Diabetes mellitus (%)	67 (12.2)
Ischaemic heart disease (%)	56 (10.2)
Atrial fibrillation (%)	30 (6.5)

Total anterior circulation syndrome (%)	195 (35.6)
Scandinavian Stroke Scale (/58)	30.1 (12.3)
National Institutes of Health Stroke Scale (/42)	12.8 (5.3)
Systolic blood pressure (mmHg)	171.6 (19.3)
Diastolic blood pressure (mmHg)	92.2 (13.3)
Heart rate (bpm)	77.7 (14.5)
Time, stroke to neuroimaging (hr)	4.5 [11.6]

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*Adjudicated CT scan findings*

Location of haemorrhage (%)	
Middle cerebral artery territory (MCA)	346 (63.1)
Anterior cerebral artery territory (ACA)	22 (4.0)
Posterior cerebral artery territory (PCA)	5 (0.9)
MCA + ACA	2 (0.4)
Borderzone	14 (2.6)
Lacunar (ie small subcortical) stroke	142 (25.9)
Brainstem and/or cerebellum	16 (2.9)
Leukoariosis	362 (66.1)
Lesion mass effect (minimal to extreme swelling)	470 (85.9)
Previous stroke lesion	270 (49.3)
Intraventricular haemorrhage	141 (25.7)
Intracerebral haematoma size category (%)	

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<3 cm	220(40.6)
3 to <5cm	224 (41.3)
5 to 8 cm	87 (16.1)
>8 cm	11 (2.0)
<hr/> <i>Measured CT scan findings</i>	
Volume, ABC/2 (cm <sup>3</sup> )	12.77 (16.32)
Longest diameter (cm)	3.38 (1.4)
With IVH, n=141	
Graeb score (/12) <sup>240</sup>	3.52 (2.4)
Modified Graeb score (/32) <sup>241</sup>	5.19 (4.7)
Without IVH, n=407	
Shape (/5) <sup>378</sup>	3.00 (1.4)
Shape index <sup>363</sup>	1.22 (1.1)
Density (/5) <sup>378</sup>	2.54 (1.3)
Density index <sup>362</sup>	0.19 (0.1)

bpm: beats per minute; IVH: intraventricular haemorrhage;  
SAS: semi-automatic segmentation. NIHSS calculated from  
SSS<sup>379</sup>

**Table 3.2** Comparison by two observers of different methods for measuring haemorrhage volume (cm<sup>3</sup>) on CT scans: ABC/2 versus modified ABC/2 versus semi-automated segmentation (SAS). Data are mean (standard deviation), difference ( $\Delta$ ) in haemorrhage volume and intra-class correlation (lowest value is listed).

	Haematoma volume		Difference	p value	ICC
Observer1 n=548	ABC/2 12.77(16.32)	Modified ABC/2 8.90(9.21)	3.96	<0.0001	0.84
	ABC/2 12.77(16.32)	SAS 12.76(13.06)	-0.01	1.00	
	SAS 12.76(13.06)	Modified ABC/2 8.90(9.21)	3.97	<0.0001	

Observer 2 n=193	ABC/2 12.05(12.40)	Modified ABC/2 9.70(10.05)	2.67	<0.0001	0.96
	ABC/2 12.05(12.40)	SAS 11.08(11.38)	0.05	0.89	
	SAS 11.08 (11.38)	Modified ABC/2 9.70(10.05)	1.38	<0.0001	

**Table 3.3** Intra-observer comparison for two observers (KK and SM) of haemorrhage size (n=47, 34 scans respectively) on baseline CT scans from patients with ICH. Data are mean (standard deviation), difference in means, and intra-class correlation (ICC). Both observers assessed scans blinded to each other's data, and repeated a proportion of the scans at a different time blinded to their original measurements.

Observer	Diff (p)				Diff (p)			
	1		ICC		2		ICC	
Measurement	1	2			1	2		
Modified ABC/2 (cm <sup>3</sup> )	8.67 (8.34)	9.10 (8.34)	0.43 (0.80)	0.97	16.88 (14.60)	16.90 (14.63)	0.016 (0.97)	0.95
ABC/2 (cm <sup>3</sup> )	10.42 (10.28)	10.98 (10.79)	-0.55	0.97	20.97 (19.32)	20.15 (17.98)	0.82 (0.39)	0.96
SAS (cm <sup>3</sup> )	11.11 (10.38)	11.69 (10.99)	0.58 (0.80)	0.98	19.41 (15.32)	19.42 (15.51)	-0.11 (0.87)	0.99
Diameter (A) (cm)	3.41 (1.28)	3.37 (1.31)	0.04 (0.89)	0.98	3.89 (1.48)	4.01 (1.45)	-0.12 (0.43)	0.96

SAS: semi-automatic segmentation volume

**Table 3.4** Inter-observer comparison for two observers (n=193) of haemorrhage volume (cm<sup>3</sup>) on CT scans from patients with ICH.

	Observer		Difference ( $\Delta$ )	ICC
	1	2		
ABC/2	10.58 (10.20)	12.05 (12.40)	-1.40	0.88
Modified ABC/2	8.42 (8.53)	9.70 (10.05)	-1.3	0.91
SAS	12.02 (12.05)	11.08 (11.38)	0.95	0.93

Data are mean (standard deviation), difference ( $\Delta$ ) in haemorrhage volumes and intra-class correlation coefficient

**Table 3.5** Inter-adjudicator comparison of visually estimated longest haemorrhage diameter across four size categories (<3 cm, 3-5 cm, 5-8 cm, >8 cm) in 47 patients; intra-class correlation (ICC)=0.82, p<0.001.

Observer	Observer 2				Total
	<3	3-5	5-8	>8	
1					
<3	36	3	0	0	39
3-5	1	3	1	0	5
5-8	0	0	3	0	3
>8	0	0	0	0	0
Total	37	6	4	0	47

**Table 3.6** Comparison of visually estimated maximum haemorrhage category versus computerised measurement (n=548). Data are number (%), mean (standard deviation) or median [interquartile range]; intra-class correlation (ICC) = 0.71 (p<0.001). Modal diameter 0.59 cm

Size category (cm)	Axial diameter 'A', minimum (cm)	Axial diameter 'A', mean (SD) (cm)	Axial diameter 'A', maximum (cm)
<3	0.66	2.22 (0.74)	5.10
3 to <5	0.59	3.76 (0.92)	7.29
5 to 8	2.12	5.07 (1.09)	7.53
>8	3.46	5.44 (1.27)	7.52
3 to <5 [ $<3$ ]	0.59	3.38 (1.39)	7.53

**Table 3.7** Comparison of volumes (cm<sup>3</sup>) using ABC/2, modified ABC/2 and semi-automated segmentation (SAS) (n=548) by haematoma shape. Data are mean (standard deviation), difference ( $\Delta$ ) in volume and p value.

	Haematoma volume		Difference ( $\Delta$ )	p value
Regular (1,2) n=198	ABC/2 8.06(10.43)	Modified ABC/2 5.56(6.31)	2.51	<0.0001
	ABC/2 8.06(10.43)	SAS 7.77(8.75)	0.29	0.20
	SAS 7.77(8.75)	Modified ABC/2 5.56(6.31)	2.21	<0.0001
Irregular (3,5) n=350	ABC/2 15.48(18.37)	Modified ABC/2 10.66(10.07)	4.81	<0.0001
	ABC/2 15.48(18.37)	SAS 15.62(14.22)	-0.14	0.82
	SAS 15.62(14.22)	Modified ABC/2 10.66(10.07)	4.96	<0.0001

**Table 3.8** Intra-observer comparison of haemorrhage shape, Graeb and modified-Graeb scores, and intraventricular haemorrhage. Data are mean (standard deviation) and intra-class correlation coefficient (ICC).

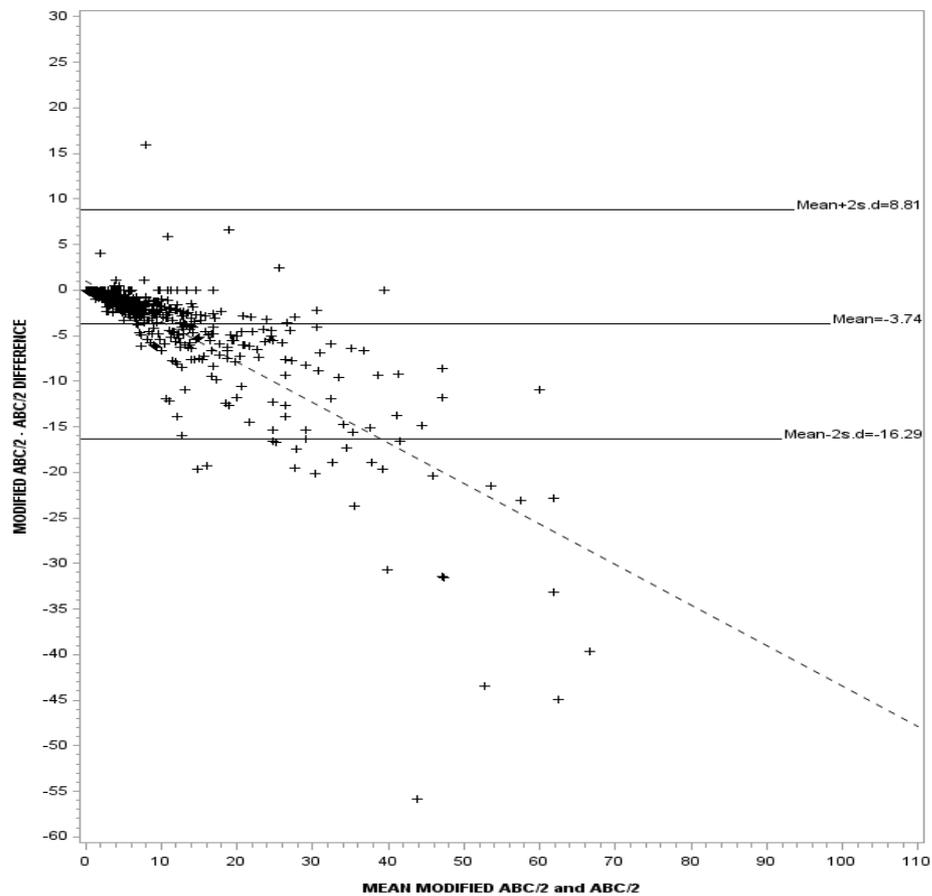
	Measure 1	Measure 2	ICC
Without IVH, n=82			
Shape (/5)	3.68 (1.18)	3.74 (1.14)	0.88
Shape index	1.26 (0.37)	1.24 (0.34)	0.53
Density (/5)	2.94 (1.07)	2.87 (0.99)	0.86
Density index	0.22 (0.09)	0.21 (0.08)	0.86
With IVH, n=49			
Graeb score (/12)	4.10 (2.47)	4.04 (2.43)	0.99
Modified Graeb score (/32)	6.94 (4.94)	7.04 (4.96)	0.99
Volume (by SAS, cc <sup>3</sup> )	5.36 (8.33)	5.69 (9.32)	0.98

**Table 3.9** Inter-observer comparison of visually estimated haemorrhage shape and density. Data are mean (standard deviation) and intra-class correlation coefficient (ICC).

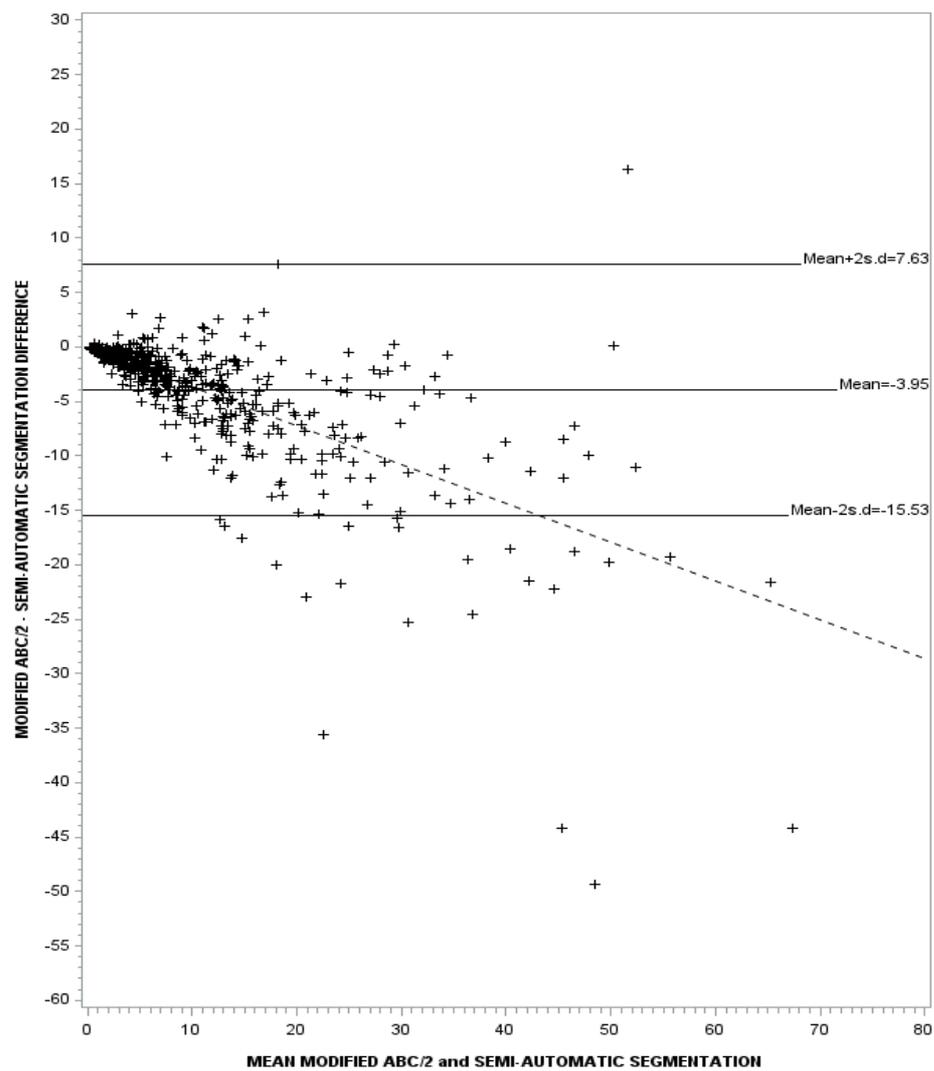
	Observer 1	Observer 2	ICC
N=48			
Shape (/5)	2.70 (1.23)	2.96 (1.28)	0.75, p<0.001
Density (/5)	1.62 (0.60)	1.92 (0.70)	0.73, p<0.001

An ascending scale of 1 to 5 was used and higher scores mean adding an extra lesion edge or irregularity on either scale.

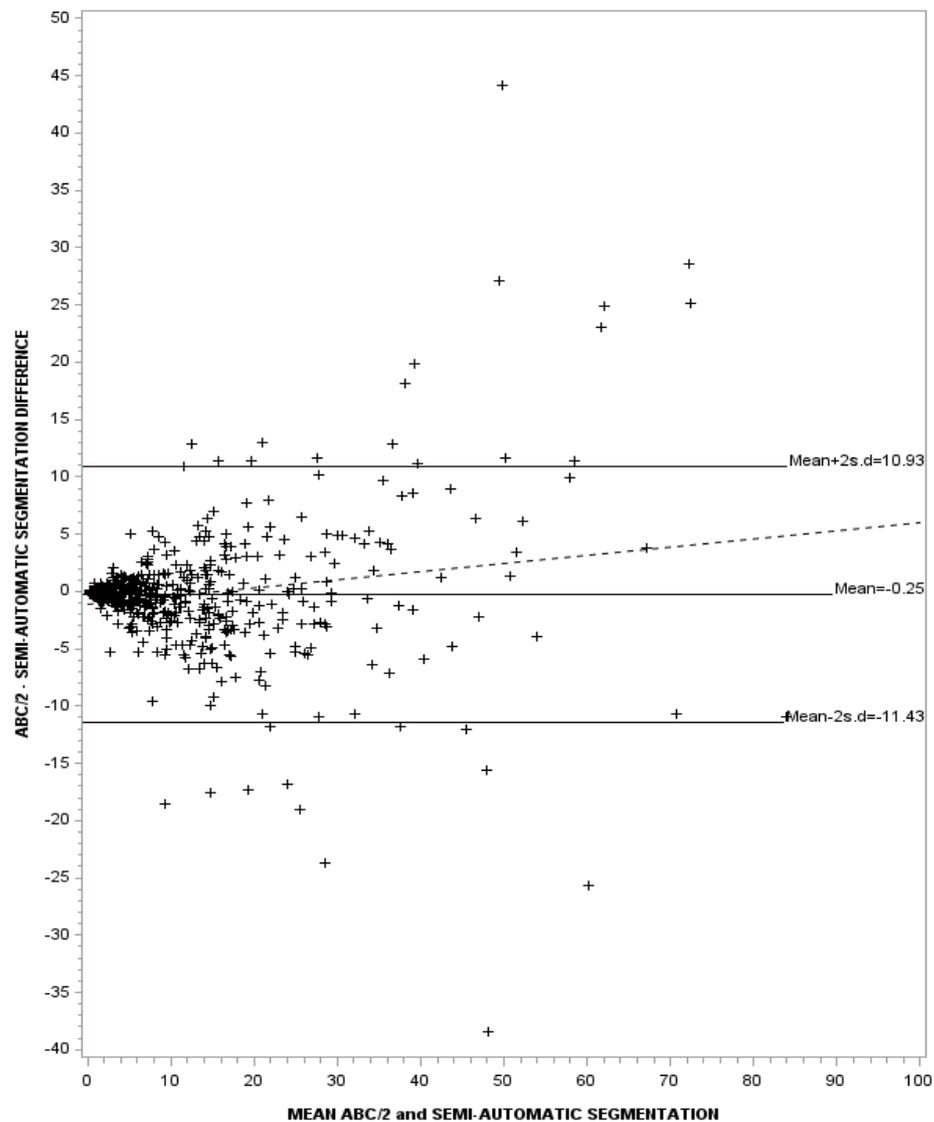
**Figure 3.1** Bland-Altman Plot for assessment of variation in estimating haematoma volume between modified ABC/2 and standard ABC/2 (n=548),  $r^2 = 0.64$ ,  $p < 0.0001$ . The continuous and dotted lines represent the regression lines. The slope of the best-fit regression line gradient was  $-0.44$  ( $p < 0.0001$ ).



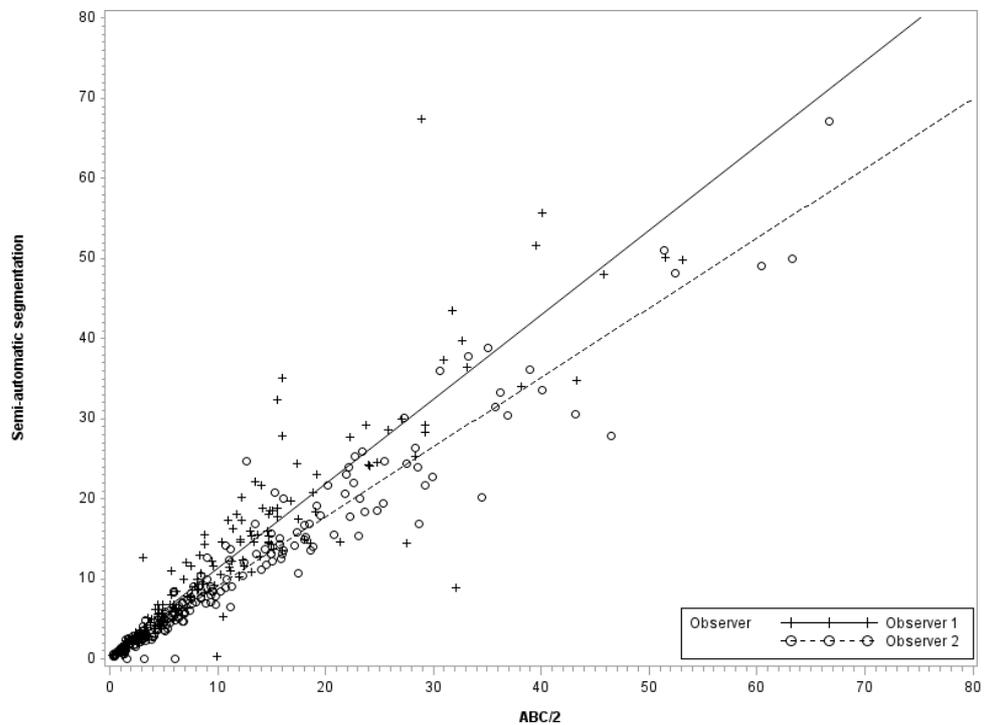
**Figure 3.2** Bland Altman plot for assessment of variation in estimating haematoma volume between modified ABC/2 and semi-automatic segmentation (n=548),  $r^2 = 0.45$ ,  $p < 0.0001$ . The continuous and the dotted lines represent the regression lines. The slope of the best-fit regression line was  $-0.36$  ( $p < 0.0001$ )



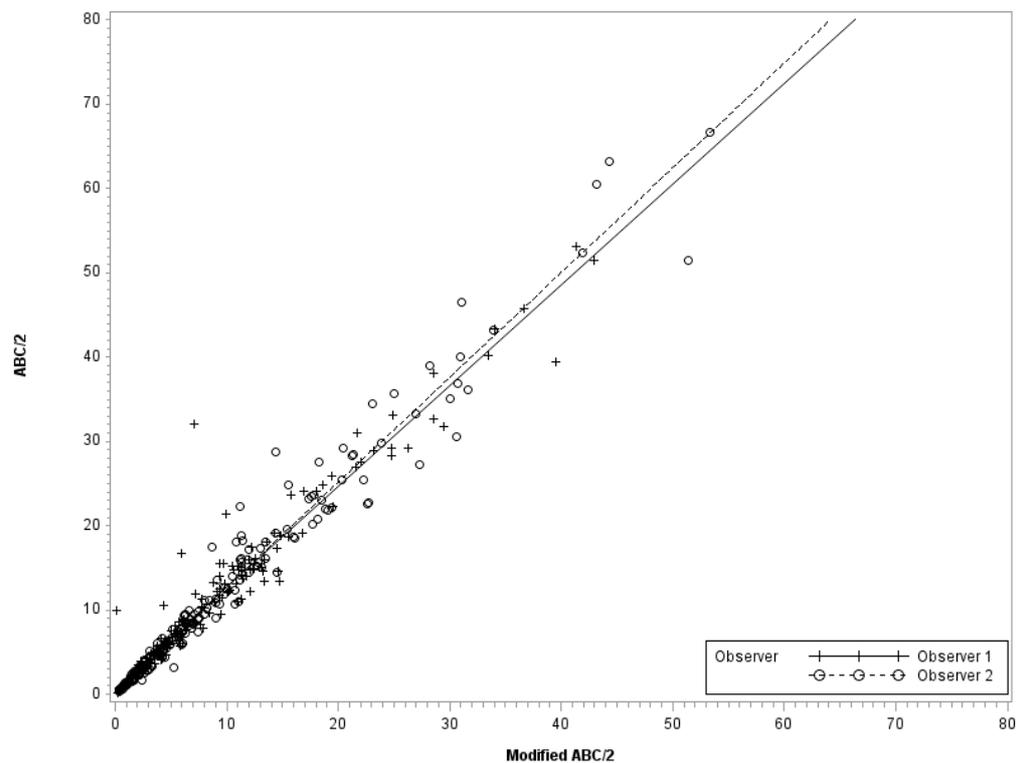
**Figure 3.3** Bland Altman plot for assessment of variation in estimating haematoma volume (n=548) using ABC/2 and semi-automatic segmentation (n=548),  $r^2 = 0.03$ ,  $p < 0.0001$ .



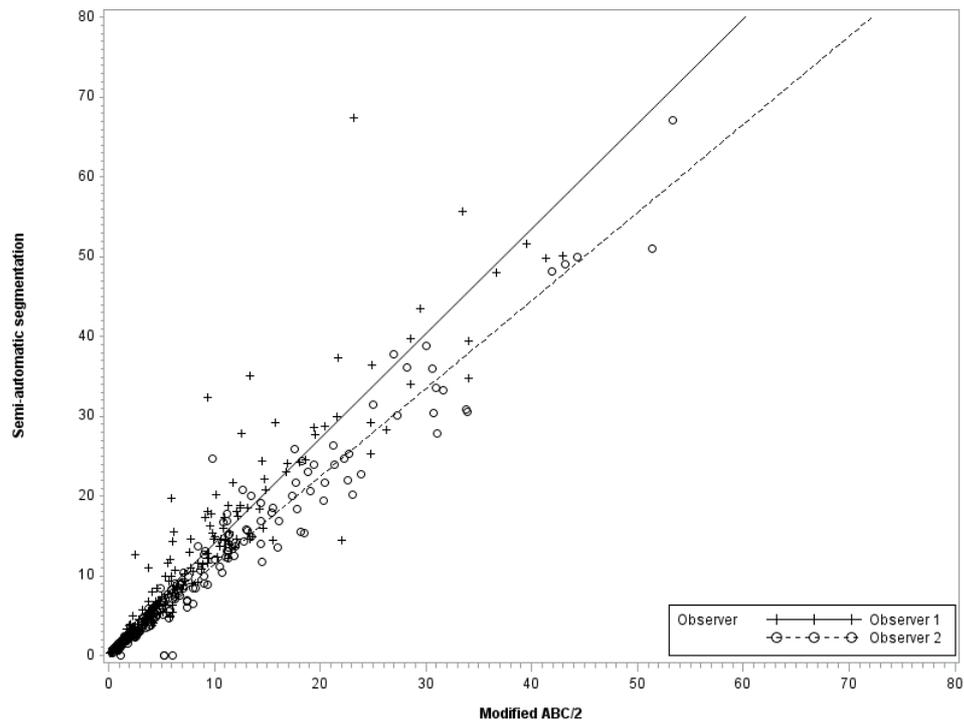
**Figure 3.4** Graph for assessment of variation between two observers in estimating haematoma volume using ABC/2 and semi-automatic segmentation (n=193),  $r^2 = 0.93$ ,  $p < 0.0001$ . The continuous and the dotted lines represent the regression lines. The slope of the best-fit regression line was 1.05 ( $p < 0.0001$ ).



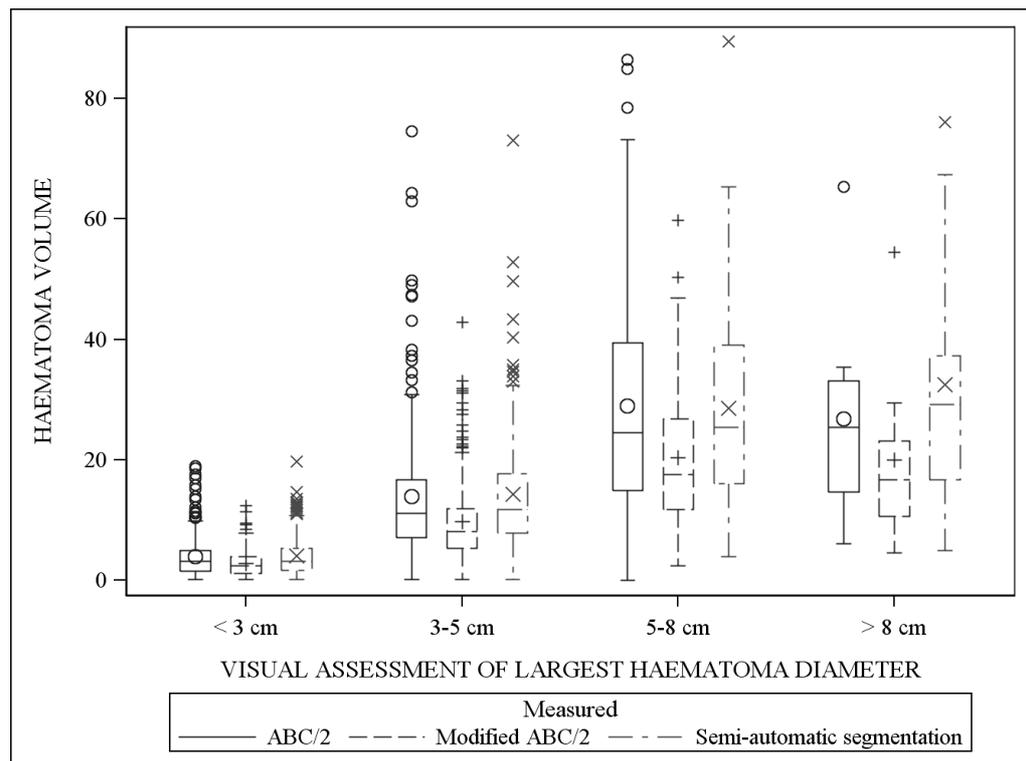
**Figure 3.5** Graph for assessment of variation in estimating haematoma volume using ABC/2 and modified ABC/2 (n=193),  $r^2 = 0.94$ ,  $p < 0.0001$ . The continuous and the dotted lines represent the regression lines. The slope of the best-fit regression line was 0.78 ( $p < 0.0001$ )



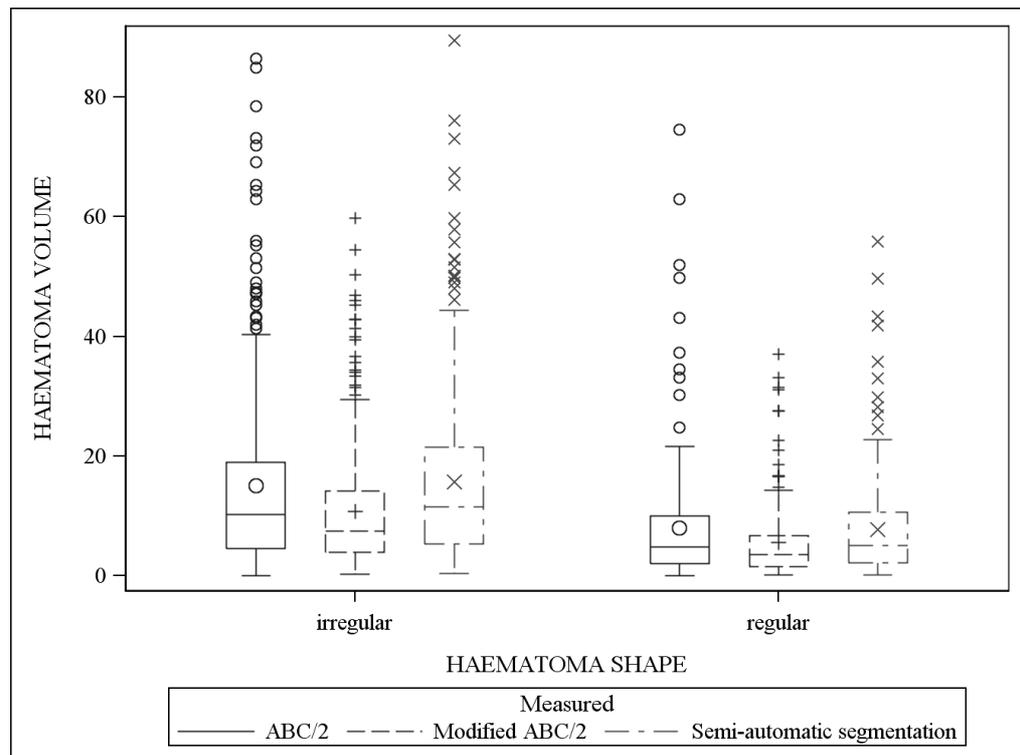
**Figure 3.6** Graph for assessment of variation in estimating haematoma volume using modified ABC/2 and semi-automatic segmentation,  $r^2 = 0.86$ ,  $p < 0.0001$ . The continuous and the dotted lines represent the regression lines. The slope of the best-fit regression line was 1.31 ( $p < 0.0001$ )



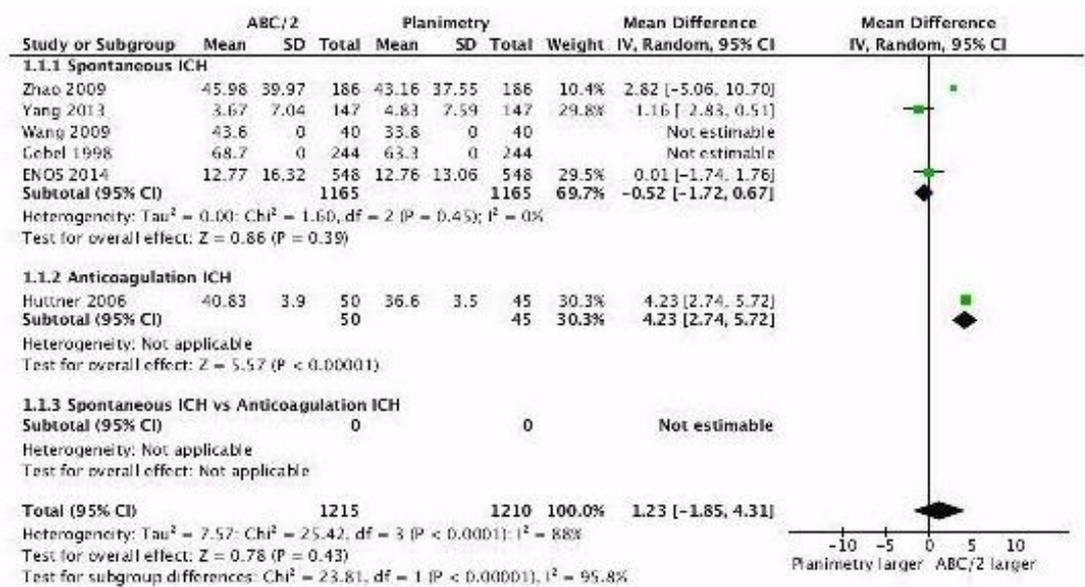
**Figure 3.7** Box plots of ICH volumes (n=548) by visually estimated size and corresponding volume measured by ABC/2, modified ABC/2 and SAS. The difference between modified ABC/2 versus standard ABC/2 and modified ABC/2 versus SAS increases as the size category increases and is present in all four size categories [ $<3$  (paired t-test  $p<0.0001$ ), 3-5( $p<0.001$ ), 5-8( $p<0.0001$ ) and  $>8$  ( $p<0.0001$ )]. There was no significant difference between standard ABC/2 and SAS in all four size categories.



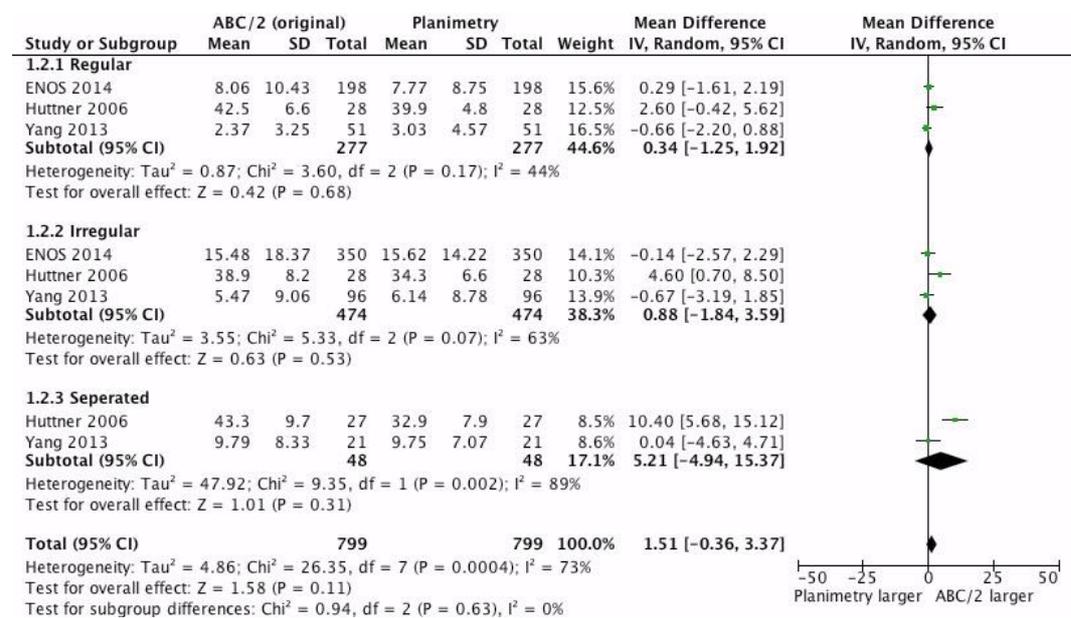
**Figure 3.8** Box plots of ICH volumes (n=548) by visually assessed shape and corresponding volume assessed by ABC/2, modified ABC/2 and SAS show:(i) larger ICH's are irregular in shape ( $p<0.0001$ ); (ii) the mean difference between modified ABC/2 versus standard ABC/2 and modified ABC/2 versus SAS increased as haematoma shape became more irregular (paired t-test  $p<0.0001$ ). No significant difference was observed between standard ABC/2 and SAS.



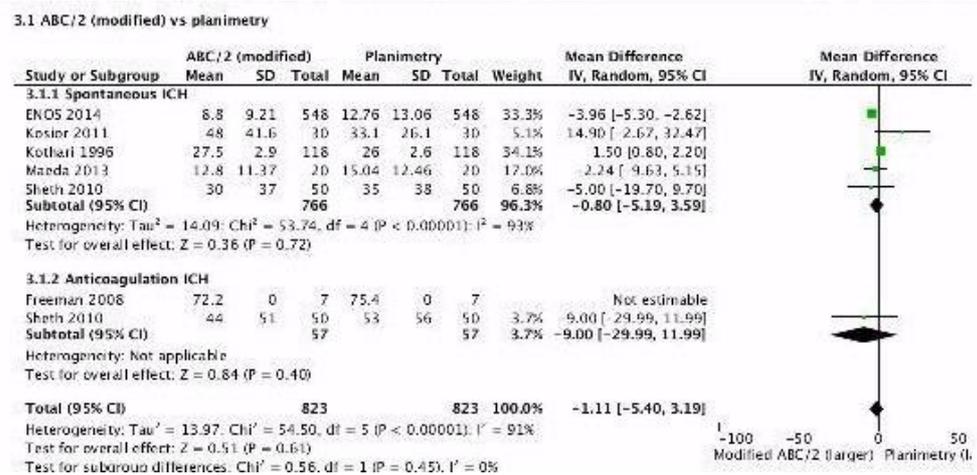
**Figure 3.9** Forest plot of studies comparing ICH volume measurement by ABC/2 and computer- assisted planimetry in spontaneous and anticoagulant related ICH. The squares indicate the point estimates and the width of the horizontal lines is the 95% confidence interval of the estimate. The diamond at the bottom represents the point estimate as well as the 95% confidence intervals of the overall effect within the categories.



**Figure 3.10** Forest plot of studies comparing variation between ABC/2 and computer-assisted planimetry measurements by haematoma shape. The squares indicate the point estimates and the width of the horizontal lines is the 95% confidence interval of the estimate. The diamond at the bottom represents the point estimate as well as the 95% confidence intervals of the overall effect within the categories.



**Figure 3.11** Forest plot of studies comparing ICH volume by modified ABC/2 and computer-assisted planimetry in spontaneous and anticoagulation related ICH. The squares indicate the point estimates and the width of the horizontal lines is the 95% confidence interval of the estimate. The diamond at the bottom represents the point estimate as well as the 95% confidence intervals of the overall effect within the categories.



## **Chapter 4 - The effects of blood pressure lowering with transdermal glyceryl trinitrate in acute intracerebral haemorrhage**

Publications contributing to this chapter:

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Glyceryl trinitrate for acute intracerebral haemorrhage: results from the Efficacy of Nitric Oxide in Stroke (ENOS) trial, a subgroup analysis

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## **Abstract**

### **Background and purpose**

The Efficacy of Nitric oxide in Stroke (ENOS) trial found that transdermal glyceryl trinitrate (GTN, a nitric oxide donor) lowered blood pressure but did not improve functional outcome in patients with acute stroke. However, GTN was associated with improved outcome if patients were randomised within 6 hours of stroke onset.

### **Methods**

In this pre-specified subgroup analysis, the effect of GTN (5 mg/day for 7 days) versus no GTN was studied in 629 patients with intracerebral haemorrhage presenting within 48 hours and with systolic blood pressure  $\geq 140$  mm Hg. The primary outcome was the modified Rankin Scale at 90 days.

### **Results**

Mean blood pressure at baseline was 172/93 mm Hg and significantly lower (difference -7.5/-4.2 mm Hg; both  $p \leq 0.05$ ) on day 1 in 310 patients allocated to GTN as compared with 319 randomised to no GTN. No difference in the mRS was observed between those receiving GTN versus no GTN (adjusted odds ratio, OR for worse outcome with GTN 1.04,

95% confidence interval (CI) 0.78-1.37;  $p=0.84$ ). In the subgroup of 61 patients randomised within 6 hours, GTN improved functional outcome with a shift in the modified Rankin Scale (OR 0.22, 95% CI 0.07-0.69,  $p=0.001$ ). There was no significant difference in the rates of serious adverse events between GTN and no GTN.

### **Conclusions**

In patients with intracerebral haemorrhage within 48 hours of onset, GTN lowered blood pressure, was safe but did not improve functional outcome. Very early treatment might be beneficial but needs assessment in further studies.

## 4.1 Introduction

As detailed in the chapter 1, spontaneous intracerebral haemorrhage (ICH) is a severe form of stroke with more than two-third of survivors disabled at three months and less than one-half surviving the first year.<sup>380</sup> High blood pressure (BP) is common in acute ICH and is associated independently with a worse outcome,<sup>118</sup> in part mediated through expansion of the haematoma.<sup>381, 382</sup> In the large INTERACT-2 trial, intensive BP lowering during the first 6 hours was associated with a trend to improved functional outcome in comparison with guideline BP lowering.<sup>261</sup> In contrast, in a subgroup analysis of patients with acute ICH enrolled into the Scandinavian Candesartan Acute Stroke Trial (SCAST), treatment with oral candesartan was associated with a worse functional outcome.<sup>383</sup> Hence, the management of high BP in acute ICH remains uncertain.

Transdermal glyceryl trinitrate (GTN), a nitric oxide donor is a candidate treatment for acute ICH because it can lower blood pressure without changing cerebral blood flow, has no negative effects on platelet function<sup>384-386</sup> and can be given to patients with dysphagia, a common clinical complication of stroke.<sup>264</sup> The Efficacy of Nitric Oxide in Stroke trial (ENOS) assessed the safety and efficacy of blood pressure lowering

with transdermal glyceryl trinitrate (GTN), a nitric oxide donor, in 4,011 patients with acute stroke;<sup>137</sup> nearly one-fifth of these presented with spontaneous ICH.<sup>137</sup> Although the main analysis showed that GTN did not improve death or dependency at 90 days after acute ischaemic stroke or ICH, apparent benefit was observed in patients randomised within 6 hours of stroke onset.<sup>137</sup> This result mirrored a result seen in the pre-hospital pilot Rapid Intervention with Glyceryl trinitrate in Hypertensive stroke Trial (RIGHT) where GTN was administered by paramedics within 4 hours of onset.<sup>264</sup> In this pre-specified analysis,<sup>387</sup> we have further assessed the effect of GTN in the subgroup of patients randomised into the ENOS trial following ICH, both overall (here called ENOS-ICH) and within 6 hours; the time window of 6 hours matches that for recruitment into the INTERACT-2 trial,<sup>261</sup> and encompasses the time window studied in RIGHT.<sup>264</sup>

## **4.2 Methods**

The ENOS trial protocol is detailed in chapter 2. The statistical analysis plan, baseline data and main results have been published.<sup>137, 374, 387</sup> During treatment, BP was measured daily using a validated automatic BP monitor (Omron 705CP)

supplied to each site.<sup>388</sup> In the present analysis, we included all patients enrolled into ENOS with ICH (ENOS-ICH).

### **4.3 Brain imaging**

Participants had a baseline CT or MRI scan as part of clinical care, usually before randomisation. Where possible, a second research CT or MRI was performed at day 7+1 (end of treatment). All imaging assessments were performed by a single investigator (KK), masked to clinical data and treatment assignment.

### **4.4 Outcomes**

As detailed in chapter 2, the primary outcome was functional outcome assessed using the modified Rankin Scale at 90 days after randomisation. Secondary outcomes studied at day 90 included activities of daily living (Barthel Index, BI<sup>389</sup>); cognition (modified telephone Mini-Mental State Examination, t-MMSE<sup>390</sup>); and Telephone Interview for Cognition Scale, (TICS-M<sup>391</sup>); health-related quality of life (European Quality of Life-5 dimensions-3 level, EQ-5D,<sup>392</sup> from which health utility status, HUS, was calculated<sup>393</sup>) and mood (short Zung Depression Score, ZDS<sup>394</sup>). Safety outcomes comprised all-cause mortality and case-specific fatality, early neurological

deterioration (defined as a decrease of at least 5 points or decrease in consciousness of more than 2 points from baseline to day 7 on the Scandinavian Stroke Scale, SSS), recurrent stroke by day 7, symptomatic hypotension, hypertension,<sup>137</sup> and serious adverse events. Outcomes at day 90 were assessed via telephone by trained investigators at national coordinating centres who were masked to treatment allocation.

#### **4.5 Analyses**

Statistical analysis was performed by intention-to-treat and followed the trial's statistical analysis plan and analysis approaches used in the primary publication.<sup>137, 387</sup> Analyses were performed for all patients with ICH in ENOS, and separately for those with ICH who were randomised within 6 hours of onset. Data are shown as number (%), median [interquartile range], or mean (standard deviation). Patients who died were allocated an extreme score: -5: Barthel Index; EQ-VAS -1: EQ-VAS, SSS 0, t-MMSE, TICS-M, verbal fluency; 0: health utility status (derived from EQ-5D); 6: mRS and 102.5: Zung Depression Scale.<sup>137, 395</sup> Comparisons between the treatment groups were performed with binary logistic regression, ordinal logistic regression, Cox proportional

regression, or multiple linear regression. Statistical models were adjusted for prognostic baseline covariates: age, systolic BP, SSS score, time from symptom onset to randomisation, haematoma volume and treatment assignment (GTN vs no GTN). Odds ratio, hazard ratio or mean difference, with 95% confidence intervals, are given and statistical significance was set at  $p \leq 0.05$ . Heterogeneity of treatment effect was assessed by including an interaction term in adjusted models. Analyses were performed using SPSS (version 21) on an Apple Mac.

## **4.6 Results**

### **4.6.1 Baseline characteristics**

Between July 2001 and October 2013, a total of 629 participants with ICH were enrolled in the trial; 310 participants were randomly assigned to receive GTN and 319 participants to no GTN (Table 4.1). Baseline characteristics were well matched between the two groups. The average age was 67 years; 66% of patients were male; 54% were enrolled from the UK; mean time from onset to recruitment 25 hours; and mean stroke severity 30.5 (s.d.12.4) on the SSS, equivalent to NIHSS 12.6 (5.3).

A majority (71%) of patients had their baseline scans performed within 12 hours of onset (Table 4.1). 87% of haemorrhages were deep-seated in the lacunar and striato-capsular brain regions, most haematomas caused mass effect (moderate or extreme swelling, 63%), and many patients had leukoaraiosis (67%). Evidence of a previous stroke was present in 50% of patients and brain atrophy was seen in 62% of the available scans. The mean haematoma volume was 13.3 cm<sup>3</sup>, and 153 (26%) of patients had an intraventricular haemorrhage. More information on baseline neuroimaging is given in Table 4.2.

#### **4.6.1.1 Blood pressure**

Mean BP at baseline was 172.1/93.4 mmHg and fell in both treatment groups over the first week. Following the first dose of GTN versus no GTN, BP was significantly lower by 7.5/4.2 mmHg ( $p=0.02/0.05$  respectively); BP did not differ thereafter (Figure 4.1).

#### **4.6.1.2 Clinical outcomes**

At day 90, the median mRS was 3 [IQR 2] in both the GTN and no GTN groups and did not differ in an adjusted analysis, common OR 1.04 (0.78-1.38) (Table 4.3, Figure 4.2) or

unadjusted analysis (data not shown). A test of 'goodness-of-fit' showed that the assumption of proportional odds was not violated ( $p=0.09$ ). When assessed in subgroups defined by baseline clinical or neuroimaging factors, there were significant interactions between treatment and mRS for time to randomisation and stroke subtype (Figures 4.3 and 4.4).

The cumulative risk of all causes of death during follow up did not differ between GTN and no GTN (adjusted hazard ratio 1.02, 95% CI 0.67-1.56,  $p=0.92$ ; Figure 4.5). There were no significant differences between the two groups in any of the secondary outcomes studied at days 7 or 90, including measures of disability, cognition, mood and quality of life (Table 4.3). The number of patients with a serious adverse event during follow-up did not differ between the treatment groups (24.2% vs. 21.9%,  $p=0.50$ ) (Table 4.4).

#### **4.6.2 Relationship between baseline neuroimaging and mRS at day 90**

Table 4.5 shows the association between baseline neuroimaging characteristics and the primary outcome of mRS. Imaging measures that were significantly associated with outcome on both univariate and co-variate adjusted analyses comprised the presence of intraventricular

haemorrhage or atrophy, irregular haematoma shape and heterogeneous density.

#### **4.6.3 Patients randomised within 6 hours**

Of the 629 patients with ICH, 61 (9.7%) participants were randomised within 6 hours; the average time to treatment was 4.4 (1.2) hours (Table 4.1). Patients were less likely to be enrolled in Asia or other non-UK countries, had a larger initial haemorrhage volume (mean 16.9 cm<sup>3</sup>) and were more likely to have IVH.

Patients randomised to GTN (versus no GTN) had less impairment (higher SSS) at day 7, and were less likely to die in hospital (Table 4.3). At day 90, GTN was associated with an improved functional outcome assessed using the mRS, manifest as a shift to less dependency (Table 4.3, Figure 4.6). Similarly, participants randomised to GTN were less disabled and had significantly better quality of life, mood and cognition scores (Table 4.3). A trend to a reduction in death was seen in those patients randomised to GTN versus no GTN (adjusted hazard ratio 0.19, 95% CI 0.03-1.01, p=0.051).

#### **4.6.4 Effect of GTN on haemorrhage measures at day 7**

One hundred and eighty-one patients had repeat brain imaging at one week for an assessment of the effect on haemorrhage characteristics (Table 4.6). Of these, 93 patients received GTN and 88 to no GTN. When adjusted for baseline value, treatment with GTN was associated with a non-significant reduction in haematoma volume (mean difference - 4.3 cm<sup>3</sup>; p=0.06).

#### **4.7 Discussion**

In this subgroup of patients enrolled into the ENOS trial with ICH, functional outcome (assessed using the mRS) did not improve with GTN as compared to no GTN. This result mirrors that across the main study and is in spite of GTN reducing BP by 7.5/4.2 mm Hg. Further, no benefit was seen in key secondary outcomes, including activities of daily living, cognition, mood and quality of life. The absence of significant differences in the rates of deaths or serious adverse events between the two treatment groups suggests that treatment with GTN is safe. In a pre-specified analysis of the effect of treatment in patients randomised within 6 hours of ICH onset, GTN reduced early impairment; late dependency, disability,

and death; and improved late cognition, mood and quality of life.

These findings may have a number of explanations. First, patients could be randomised up to 48 hours after stroke onset. The large INTERACT-2 trial suggested that intensive BP lowering might be effective in patients enrolled within 6 hours<sup>261</sup> so the time window for recruitment into ENOS (mean 25.1 hours, maximum 48 hours) may have been too long. Supporting this is the observation that patients randomised within 6 hours of the onset of ICH into ENOS appeared to benefit with less dependency, disability, impairment and mood disturbance, and better cognition and quality of life. Very early BP lowering might help limit haematoma expansion.<sup>260, 396</sup> Second, the degree by which BP was lowered may have been too small; INTERACT-2 achieved a reduction of 14 mmHg by 6 hours and showed a near-significant effect on functional outcome.<sup>261</sup> Last, the length of BP control in ENOS-ICH was limited to 3 days as tachyphylaxis developed, a known feature of organic nitrate therapy. Nevertheless, these explanations are confounded by results from the subgroup of patients with ICH randomised into the large SCAST trial,<sup>383</sup> where oral candesartan was associated with a worse functional outcome.<sup>383</sup> Here, treatment could be started up to 30 hours

after stroke onset and the difference in BP between active and placebo groups was smaller than in ENOS-ICH at 6.3/3.3 mmHg.

The reduction in ICH volume in the GTN group (4 cm<sup>3</sup>) was similar to the effect observed in trials of rFVIIa although the agent was tested in earlier time windows.<sup>277, 278</sup> Mechanisms by which nitric oxide donors might reduce haematoma volume, and improve functional outcome if given early, include lowering BP, neuroprotection, and improving collateral blood flow. The latter two effects have been seen in experimental models of brain ischaemia<sup>397, 398</sup> and may be of relevance after ICH.

This subgroup analysis of ENOS has a number of strengths including recruitment of patients with ICH from multiple ethnic groups across five continents over a wide time window representative of routine clinical practice. Baseline neuroimaging and clinical outcomes were assessed masked to treatment assignment,<sup>399</sup> follow-up was near complete,<sup>137</sup> and the analysis was pre-specified.<sup>387</sup> However, exclusion of patients with low or normal BP (systolic BP <140 mmHg) or very high (>220 mmHg), those with reduced consciousness (GCS<8), and those without motor signs, will have limited the

external validity of the findings and especially the number of patients with large haematoma.

In conclusion, this subgroup analysis of ENOS was neutral and did not identify any beneficial effect or harm in lowering BP with GTN in patients with acute ICH. Transdermal GTN appears to be safe and modestly effective in lowering BP in acute ICH, which can be useful in patients who are unable to swallow. The results in those patients randomised within 6 hours of ICH onset support ongoing or planned trials of lowering BP in the ultra-acute and hyper-acute periods after stroke, including the ATACH-2 trial of intravenous nicardipine,<sup>400</sup> and RIGHT-2 trial of GTN administered in the pre-hospital phase of stroke (ISRCTN26986053).

**Table 4.1** Baseline clinical and neuroimaging characteristics of all patients with intracerebral haemorrhage and those randomised within 6 hours. Data are number (%), median [interquartile range], or mean (standard deviation). Comparison of patients randomised within 6 hours versus those randomised later by Fisher’s exact test, Mann-Whitney U test or t test.

	All	GTN	No GTN	All $\leq 6$ hours	GTN	No GTN	2p
Clinical characteristics							
Number of patients (N)	629	310	319	61	29	32	-
Age (years)	67.0 (12.4)	66.6 (12.0)	67.5 (12.7)	69.6 (12.5)	68.3 (11.4)	70.8 (13.5)	0.12
Sex, male (%)	415 (66.0)	217 (70.0)	198 (62.1)	38 (62.3)	16 (55.2)	22 (68.8)	0.56
Premorbid mRS>0 (%)	143 (22.7)	66 (21.3)	77 (24.1)	12 (19.7)	5 (17.2)	7 (21.9)	0.58
Country (%)							
UK	337 (53.6)	170 (54.8)	167 (52.4)	35 (57.4)	18 (62.1)	17 (53.1)	0.57

Asia	179 (28.5)	87 (28.1)	92 (28.8)	8 (13.1)	2 (6.9)	6 (18.8)	0.010
Other	113 (18.0)	53 (17.1)	60 (18.8)	18 (29.5)	9 (31.0)	9 (28.1)	0.028
Time to randomisation (hours)	25.1 (13.0)	25.2 (13.1)	25.1 (12.9)	4.4 (1.2)	4.5 (1.1)	4.4 (1.3)	-
<6 hours (%)	61 (9.7)	29 (9.4)	32 (10.0)	-	-	-	-
Smoking, current (%)	124 (20.4)	58 (19.3)	66 (21.4)	11 (18.0)	8 (27.6)	3 (9.4)	0.84
Treated hypertension (%)	253 (40.2)	121 (39.0)	132 (41.4)	17 (27.9)	8 (27.6)	9 (28.1)	0.06
Previous stroke (%)	79 (12.6)	41 (13.2)	38 (11.9)	10 (16.4)	6 (20.7)	4 (12.5)	0.39
Ischaemic heart disease (%)	58 (9.2)	29 (9.4)	29 (9.1)	5 (8.2)	3 (10.3)	2 (6.3)	0.86
Atrial fibrillation (%)	42 (6.7)	18 (5.8)	24 (7.5)	2 (3.3)	1 (3.4)	1 (3.1)	0.30
Diabetes mellitus (%)	81 (12.9)	44 (14.2)	37 (11.6)	9 (14.8)	3 (10.3)	6 (18.8)	0.68
TACS (%)	217 (34.5)	105 (33.9)	112 (35.1)	22 (36.1)	10 (34.5)	12 (37.5)	0.81

SSS (/58)	30.5 (12.4)	30.1 (12.7)	30.9 (12.1)	30.1 (11.1)	30.3 (11.4)	30.0 (10.9)	0.81
NIHSS (/42), calculated	12.6 (5.3)	12.7 (5.5)	12.4 (5.2)	12.7 (4.8)	12.7 (4.9)	12.8 (4.7)	0.81
Glasgow Coma Scale [/15]	15.0 [1.0]	15.0 [1.0]	15.0 [1.0]	15.0 [1.0]	15.0 [1.0]	15.0 [1.0]	0.43
Blood pressure (mmHg)							
Systolic	172.1 (19.4)	172.3 (18.9)	171.8 (19.9)	172.4 (16.8)	174.4 (19.2)	170.6 (14.4)	0.90
Diastolic	93.4 (13.9)	94.0 (13.1)	92.7 (14.6)	95.7 (12.2)	96.9 (13.4)	94.7 (11.1)	0.19
Heart rate (bpm)	77.9 (14.5)	78.0 (14.6)	77.8 (14.4)	76.7 (13.6)	75.6 (15.5)	77.8 (11.8)	0.54
<hr/>							
Neuroimaging characteristics							
Available scan	587 (93.3)	296 (95.5)	291 (91.2)	57 (93.4)	28 (96.6)	29 (90.6)	0.89
Time, onset-neuroimaging (%)							
≤12 hours	414 (70.5)	220 (74.3)	194 (66.7)	53 (93.0)	27 (96.4)	26 (89.7)	0.78
>12 hours	173 (29.5)	76 (25.7)	97 (33.3)	4 (7.0)	1 (3.6)	3 (10.3)	0.50

Adjudicated findings							
Haematoma location (%)							
Lobar or cerebellar †	79 (13.5)	42 (14.2)	37 (12.7)	12 (21.1)	7 (25.0)	5 (17.2)	0.76
Deep ‡	508 (86.5)	254 (85.8)	254 (87.3)	45 (78.9)	21 (75.0)	24 (82.8)	0.75
Mass effect (%)							
No swelling or mild swelling	218 (37.2)	107 (36.3)	111 (38.1)	22 (38.6)	11 (39.3)	11 (37.9)	1.00
Moderate to severe swelling	299 (50.9)	155 (52.4)	144 (49.5)	28 (49.1)	14 (50.0)	14 (48.3)	0.90
Extreme swelling	70 (11.9)	34 (11.5)	36 (12.4)	7 (12.3)	3 (10.7)	4 (13.8)	1.00
Leukoaraiosis (%)	391 (66.6)	199 (67.2)	192 (66.0)	38 (66.7)	19 (67.9)	19 (65.5)	1.00
Previous stroke lesion (%)	291 (49.6)	149 (50.3)	142 (48.8)	33 (57.9)	18 (64.3)	15 (51.7)	0.21
Atrophy (%)	366 (62.3)	186 (62.8)	180 (61.9)	40 (70.2)	19 (67.9)	21(72.4)	0.79

Measured CT scan findings							
Volume, ABC/2 (cm3)	13.3 (16.5)	13.2 (15.3)	13.3 (17.7)	16.9 (30.5)	13.0 (14.4)	20.8 (40.7)	0.09
With IVH (n=151, 25.7%)							
Volume, ABC/2 (cm3)	18.5 (23.6)	17.7 (18.3)	19.2 (27.8)	31.8 (52.2)	21.1 (20.6)	40.1 (67.9)	0.018
IVH Volume (ml)	4.8 (7.3)	4.2 (5.0)	5.4 (9.0)	7.2 (6.2)	7.1 (5.7)	7.3 (6.8)	0.18
Shape index <sup>363</sup>	2.4 (3.7)	2.2 (1.9)	2.6 (4.8)	3.2 (2.1)	3.3 (2.9)	3.2 (1.5)	0.35
Density index	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.3 (0.1)	0.006
Graeb score (/12) <sup>240</sup>	3.0 [2.0, 4.0]	3.0 [2.0, 4.0]	3.0 [2.0, 5.0]	4.0 [2.5, 6.0]	4.0 [2.0, 6.0]	4.0 [3.0, 6.0]	0.047
Without IVH (n=436, 74.3%)							
Volume, ABC/2 (cm3)	11.4 (12.6)	11.7 (13.9)	11.1 (11.2)	11.0 (11.8)	10.3 (11.1)	11.7 (12.9)	0.87
Shape index <sup>363</sup>	1.2 (1.1)	1.2 (1.2)	1.2 (1.0)	1.4 (1.1)	1.3 (0.9)	1.5 (1.3)	0.73

Density index <sup>362</sup>	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.0)	0.2 (0.1)	0.52
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bpm: beats per minute; ICH: intracranial haemorrhage; IVH: intraventricular haemorrhage; MCA: middle cerebral artery; mRS: modified Rankin scale; NIHSS: National Institute of Health Stroke scale; SSS: Scandinavian Stroke Scale; TACS: total anterior circulation syndrome.

† Lobar: borderzone regions, cerebellar and or brainstem, ACA, PCA territory and MCA territory excluding striatocapsular regions

‡ Deep: lacunar, MCA territory including striatocapsular regions

Shape index was calculated as  $\text{perimeter of haematoma} / 4\sqrt{\text{surface area}}$ .<sup>363</sup> Density index was determined as standard deviation/mean Hounsfield attenuation unit.<sup>362</sup>

**Table 4.2** Additional information on baseline neuroimaging data for all patients with intracerebral haemorrhage and those randomised within 6 hours. Data are number (%), median [interquartile range], or mean (standard deviation).

Neuroimaging	All	GTN	No GTN	<6 hours	GTN	No GTN
Number of patients (N)	629	310	319	61	29	32
Participants with a scan available	587 (93.3)	296 (95.5)	291 (91.2)	57 (93.4)	28 (96.6)	29 (90.6)
Adjudicated findings						
Haematoma location (%)						
Lacune	155 (26.4)	83 (28.0)	72 (24.7)	13 (22.8)	6 (21.4)	7 (24.1)
Borderzone	16 (2.7)	6 (2.0)	10 (3.4)	1 (1.8)	1 (3.6)	0 (0)
Cerebellum and/or brainstem	17 (2.9)	9 (3.0)	8 (2.7)	2 (3.5)	1 (3.6)	1 (3.4)
MCA territory	372 (63.4)	183 (61.8)	189 (64.9)	38 (66.7)	19 (67.9)	19 (65.5)
ACA or PCA territory	27 (4.6)	15 (5.1)	12 (4.1)	3 (5.3)	1 (3.6)	2 (6.9)
Longest diameter (cm)						
<3	235 (40.4)	119 (40.8)	116 (40.1)	24 (42.1)	12 (42.9)	12 (41.4)
3-5	242 (41.7)	120 (41.1)	122 (42.2)	19 (33.3)	10 (35.7)	9 (31.0)
5-8	93 (16.0)	45 (15.4)	48 (16.6)	12 (21.1)	4 (14.3)	8 (27.6)
>8	11 (1.9)	8 (2.7)	3 (1.0)	2 (3.5)	2 (7.1)	0 (0)

Sub-arachnoid haemorrhage (%)	94 (16.0)	47 (15.9)	47 (16.2)	10 (17.5)	4 (14.3)	6 (20.7)
Subdural haematoma (%)	4 (0.7)	2 (0.7)	2 (0.7)	1 (1.8)	1 (3.6)	0 (0)
Remote ICH (%)	4 (0.7)	3 (1.0)	1 (0.3)	0 (0)	0 (0)	0 (0)
Measured CT scan findings						
Diameter, max (cm)	3.4 (1.4)	3.4 (1.4)	3.5 (1.4)	3.6 (1.4)	3.5 (1.4)	3.6 (1.5)
With IVH (n=151, 25.7%)						
Shape (/5) <sup>378</sup>	5.0 [2.0]	5.0 [2.0]	5.0 [1.0]	5.0 [0.5]	5.0 [1.0]	5.0 [0.0]
Density (/5) <sup>378</sup>	3.0 [2.0]	3.0 [2.0]	3.0 [2.0]	3.0 [3.0]	2.0 [2.0]	4.0 [2.0]
Modified Graeb score (/32) <sup>241</sup>	3.0 [4.5]	3.0 [4.0]	3.0 [5.0]	6.5 [7.5]	4.0 [5.0]	7.0 [6.0]
Without IVH (n=436, 74.3%)						
Shape (/5) <sup>378</sup>	3.0 [2.0]	3.0 [2.0]	3.0 [2.0]	2.0 [2.5]	2.0 [2.0]	3.0 [3.0]
Density (/5) <sup>378</sup>	2.0 [1.0]	2.0 [1.0]	2.0 [1.0]	2.0 [1.0]	2.0 [1.0]	2.0 [2.0]

ACA: anterior cerebral artery; ICH: intracerebral haemorrhage; MCA: middle cerebral artery; PCA: posterior cerebral artery. Intracerebral haemorrhage shape and density were determined using a 5-point ordered categorical scale.

**Table 4.3** Secondary outcomes at day 7 and day 90 for all patients with intracerebral haemorrhage and those randomised within 6 hours. Data are number (%), mean (standard deviation) with 95% confidence intervals. Data are number of patients (%), mean (standard deviation) or median [interquartile range]. Comparison by logistic regression, ordinal regression or multiple regression with adjustment for age, sex, pre-morbid mRS, history of previous stroke, history of diabetes, severity, total anterior circulation syndrome, volume of intracerebral haemorrhage, systolic blood pressure, feeding status and time to randomisation.

Outcome	N	GTN	No GTN	OR/MD		$\leq 6$			OR/MD	
				(95% CI)	2p	hours	GTN	No GTN	(95% CI)	2p
Patients	629	310	319			61	29	32		
Day 7 (or discharge)	627	310	317							
Death (%)	627	10 (3.2)	10 (3.2)	1.03 (0.38, 2.88)	0.95	60	2 (6.9)	4 (12.5)	-	1.00
SSS (/58)	625	34.5 (15.4)	34.8 (16.0)	0.18 (-1.30, 1.69)	0.80	60	33.4 (16.4)	27.1 (19.6)	7.0 (1.0, 13.1)	0.033

Outcome	OR/MD					≤6 hours	OR/MD			
	N	GTN	No GTN	(95% CI)	2p		GTN	No GTN	(95% CI)	2p
Recurrence (%)	626	8 (2.6)	4 (1.3)	2.43 (0.63, 9.29)	0.19	60	0	1 (3.1)	-	1.00
Hospital events	623	308	315			59	29	30		
Died in hospital (%)	623	28 (9.0)	32 (10.2)	0.92 (0.48, 1.76)	0.79	59	2 (6.9)	9 (30.0)	-	-
Death or discharge to institution (%)	623	121 (39.3)	131 (41.6)	0.84 (0.59, 1.22)	0.37	59	14 (48.3)	14 (46.7)	1.20 (0.32, 4.43)	0.79
Day 90	623	309	316			61	29	32		
Death (%)	625	42 (13.6)	47 (14.9)	0.91 (0.55, 1.55)	0.76	61	2 (6.9)	12 (37.5)	†	0.006
Modified Rankin Scale (/6)	625	3 [2]	3 [2]	1.04 (0.78, 1.38)	0.81	61	3 [2]	4 [4]	0.19 (0.06, 0.59)	0.004
Barthel Index	622	62.3 (38.1)	61.4 (39.7)	1.26 (-3.65, 6.17)	0.62	61	66.9 (36.4)	46.9 (45.7)	20.71 (6.34, 35.07)	0.005

Outcome	OR/MD					≤6 hours	OR/MD				
	N	GTN	No GTN	(95% CI)	2p		GTN	No GTN	(95% CI)	2p	
t-MMSE	369	10 (7.2)	10.1 (7.4)	0.04 (-1.20, 1.28)	0.95	38	11.9 (6.4)	6.5 (8.3)	3.38 (-0.29, 7.10)	0.008	
TICS-M	370	11.9 (9.3)	12.7 (9.3)	-0.64 (-2.20, 0.93)	0.43	39	16.6 (9.1)	7.1 (9.3)	7.17 (2.20, 12.12)	0.005	
Animal naming (/∞)	376	8.2 (7.8)	7.9 (7.4)	0.28 (-1.09, 1.65)	0.69	39	12.8 (8.0)	4.8 (7.3)	7.92 (2.93, 12.92)	<0.001	
ZDS (/100)	516	60.1 (24.2)	59.6 (24.3)	0.62 (-3.12, 4.43)	0.73	50	54.2 (20.6)	71.8 (28.6)	-17.58 (-32.25, -3.01)	<0.001	
HUS (/1)	621	0.45 (0.31)	0.46 (0.32)	-0.01 (-0.05, 0.03)	0.60	61	0.53 (0.3)	0.53 (0.32)	0.19 (0.06, 0.32)	0.003	
EQ-VAS (/100)	543	54.6 (31.3)	55.1 (31.5)	-0.44 (-5.16, 4.27)	0.85	57	60.9 (26.7)	40.4 (38.1)	21.28 (6.31, 36.25)	0.005	
Dead or institution (%)	616	97 (31.4)	92 (29.1)	1.09 (0.72, 1.67)	0.68	61	13 (44.8)	14 (43.8)	0.51 (0.12, 2.18)	0.36	

BI: Barthel Index; EQ-VAS: EQ-Visual Analogue Scale; HUS: health utility status; ICH: intracranial haemorrhage; mRS: modified Rankin Scale; t-MMSE: Modified telephone Mini-Mental State Examination; SAE: serious adverse event; SBP: systolic blood pressure; SSS: Scandinavian Stroke Scale; TICS-M: Modified Telephone Interview for Cognitive Status; ZDS: Zung Depression Scale. † Fisher's exact test

**Table 4.4** Serious adverse events at day 90 for all patients with intracerebral haemorrhage at day 90 and those randomised within 6 hours. Data are number of patients (%) and mean (standard deviation). NA denotes not applicable.

	All			<6 hours		
	GTN	No GTN	2p	GTN	No GTN	2p
Complication of initial stroke	13 (4.2)	9 (2.8)	0.35	0	2 (6.3)	0.50
Extension of initial stroke	13 (4.2)	4 (1.3)	0.027	1 (3.4)	3 (9.4)	0.61
Recurrent stroke	7 (2.3)	7 (2.2)	0.96	0	0	NA
Myocardial infarction	1 (0.3)	2 (0.6)	1.00	0	2 (6.3)	0.49
Other cardiovascular cause	10 (3.2)	20 (6.3)	0.07	1 (3.4)	3 (9.4)	0.61
Pulmonary embolism	4 (1.3)	4 (1.3)	1.00	0	0	NA
Pneumonia	13 (4.2)	25 (7.8)	0.06	1 (3.4)	2 (6.3)	1.00
Sudden cardiac death	1 (0.3)	1 (0.3)	1.00	0	1 (3.1)	1.00
Other cause	4 (1.3)	4 (1.3)	1.00	2 (6.9)	1 (3.1)	1.00
Total SAEs	75 (24.2)	70 (21.9)	0.50	5 (17.2)	14 (43.8)	0.026

**Table 4.5** Relationships between baseline imaging characteristics and functional outcome (modified Rankin Scale) at day 90. Results are odds ratio or mean difference with 95% confidence interval with comparison by logistic regression, ordinal regression or multiple regression; results are unadjusted, and adjusted for age, sex, severity (Scandinavian Stroke Scale) and time from stroke onset to imaging.

Haematoma characteristics	Univariate analyses		Co-variate adjusted	
	OR/MD (95% CI)	2p	OR/MD (95% CI)	2p
Haematoma location				
Lobar	1.32 (0.87, 2.00)	0.19	1.31 (0.85, 2.03)	0.22
Deep	1.08 (0.76, 1.53)	0.68	0.84 (0.58, 1.21)	0.35
Side of the brain				
Left	0.89 (0.67, 1.37)	0.40	0.76 (0.57, 1.01)	0.18
Right	1.10 (0.83, 1.45)	0.50	1.29 (0.97, 1.71)	0.09

Bilateral	2.41 (0.51, 11.50)	0.50	2.50 (0.41, 15.35)	0.32
Mass effect	2.30 (1.61, 3.29)	<0.0001	1.35 (0.93, 1.96)	0.18
Leukoaraiosis	2.14 (1.58, 2.90)	<0.0001	1.34 (0.96, 1.86)	0.09
Previous stroke lesion	1.19 (0.90, 1.59)	0.23	1.18 (0.88, 1.59)	0.28
Remote haemorrhage	5.21 (1.11, 24.57)	0.04	1.39 (0.20, 9.03)	0.75
Subarachnoid haemorrhage	2.22 (1.50, 3.28)	<0.0001	1.47 (0.97, 2.21)	0.07
Intraventricular haemorrhage	2.61 (1.86, 3.67)	<0.0001	1.92 (1.35, 2.74)	<0.0001
Cerebral atrophy	2.70 (1.99, 3.66)	<0.0001	1.46 (1.14, 1.86)	0.002
Volume ABC/2 (cm <sup>3</sup> )	1.02 (1.01, 1.03)	<0.0001	1.01 (0.99, 1.02)	0.56
Largest measured diameter (cm)	1.12 (1.07, 1.32)	<0.001	0.88 (0.74, 1.04)	0.14
Largest visual diameter	2.52 (1.69, 3.76)	<0.0001	1.24 (0.81, 1.89)	0.32
Haemorrhage shape (/5)	1.36 (1.23, 1.51)	<0.0001	1.28 (1.15, 1.42)	<0.0001
Haemorrhage density (/5)	1.42 (1.27, 1.60)	<0.0001	1.27 (1.13, 1.42)	<0.0001

Haematoma characteristics	Univariate	2p	Co-variate adjusted	2p
	analyses		OR/MD (95% CI)	
	OR/MD (95% CI)			
Shape index	1.04 (0.98, 1.12)	0.22	1.03 (0.96, 1.11)	0.38
Density index	1.00 (1.00, 1.01)	0.24	1.00 (0.99, 1.01)	0.45
Haemorrhages with IVH				
Volume (ABC/2 cm <sup>3</sup> )	1.01 (0.99, 1.02)	0.19	1.00 (0.97, 1.03)	0.94
IVH volume (cm <sup>3</sup> )	1.02 (0.98, 1.06)	0.40	1.01 (0.97, 1.06)	0.56
Haemorrhage shape (/5)	1.31 (1.03, 1.67)	0.026	1.42 (1.11, 1.82)	<0.0001
Haemorrhage density (/5)	1.69 (1.37, 2.11)	<0.0001	1.49 (1.19, 1.86)	<0.0001
Graeb score (12)	1.07 (0.94, 1.22)	0.31	1.03 (0.90, 1.18)	0.67
Modified Graeb score (32)	1.03 (0.96, 1.10)	0.45	1.00 (0.94, 1.08)	0.80

Shape index	0.98 (0.91, 1.06)	0.63	0.99 (0.92, 1.08)	0.89
Density index	1.00 (1.00, 1.00)	0.13	1.00 (0.99, 1.00)	0.63

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**Table 4.6** Effect of treatment on neuroimaging measures at day 7 in 181 patients with a baseline scan prior to randomisation, for all patients with intracerebral haemorrhage and those randomised within 6 hours. Data are number (%), median [interquartile range], or mean (standard deviation), and odds ratio or mean difference with 95% confidence intervals. Comparison by logistic regression, ordinal regression or multiple regression with adjustment for baseline value.

Scan variables	All		Adjusted OR/MD (95% CI)	2p
	GTN	No GTN		
Haematoma location	93	88		
Lobar (%)	10 (10.8)	11 (12.5)	1.24 (0.49, 3.15)	0.66
Deep (%)	83 (89.2)	77 (42.5)	0.63 (0.10, 3.85)	0.61

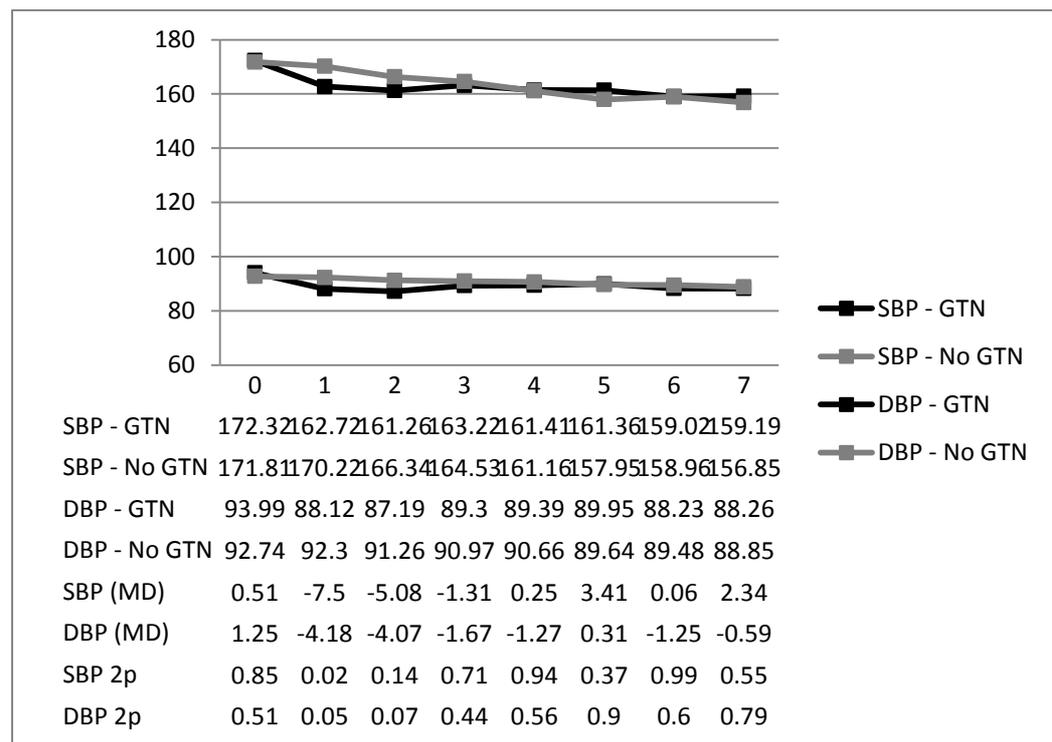
Scan variables	All		Adjusted	2p
	GTN	No GTN	OR/MD (95% CI)	
Intraventricular haemorrhage (%)	25 (26.9)	19 (21.6)	0.85 (0.43, 1.70)	0.65
Subarachnoid haemorrhage (%)	7 (7.5)	11 (12.5)	0.50 (0.17, 1.49)	0.21
Mass effect (%)	79 (84.9)	81 (92.0)	1.04 (0.72, 1.50)	0.84
Brain tissue reduction (%)	53 (57.0)	59 (67.0)	0.51 (0.24, 1.10)	0.09
Cortical atrophy (%)	44 (47.3)	42 (47.7)	1.26 (0.47, 3.36)	0.64
Central atrophy (%)	48 (51.6)	58 (65.9)	0.92 (0.60, 2.10)	0.73
Leukoaraiosis (%)	64 (68.8)	63 (71.6)	0.83 (0.37, 1.87)	0.66
Previous stroke lesion (%)	41 (44.1)	51 (60.0)	0.57 (0.28, 1.16)	0.12
Visual longest diameter (cm)			0.66 (0.34, 1.27)	0.21

Scan variables	All		Adjusted	2p
	GTN	No GTN	OR/MD (95% CI)	
<3	38 (40.1)	36 (40.9)		
3-5	46 (49.5)	28 (31.8)		
5-8	6 (6.5)	20 (22.7)		
>8	3 (3.2)	4 (4.5)		
Volume ABC/2 (cm3)	15.4 (16.0)	19.2 (21.4)	-4.30 (-8.78, 0.23)	0.06
Largest measured diameter	3.6 (1.3)	3.8 (1.6)	-0.04 (-0.33, 0.25)	0.81
Shape index	1.7 (0.8)	1.7 (1.0)	-0.04 (-0.31, 0.24)	0.80
Shape [/5]	2 [2,3]	2 [1,3]	0.14 (-0.19, 0.47)	0.41
Density index	0.4 (0.7)	0.3 (0.5)	0.10 (-0.07, 0.28)	0.27

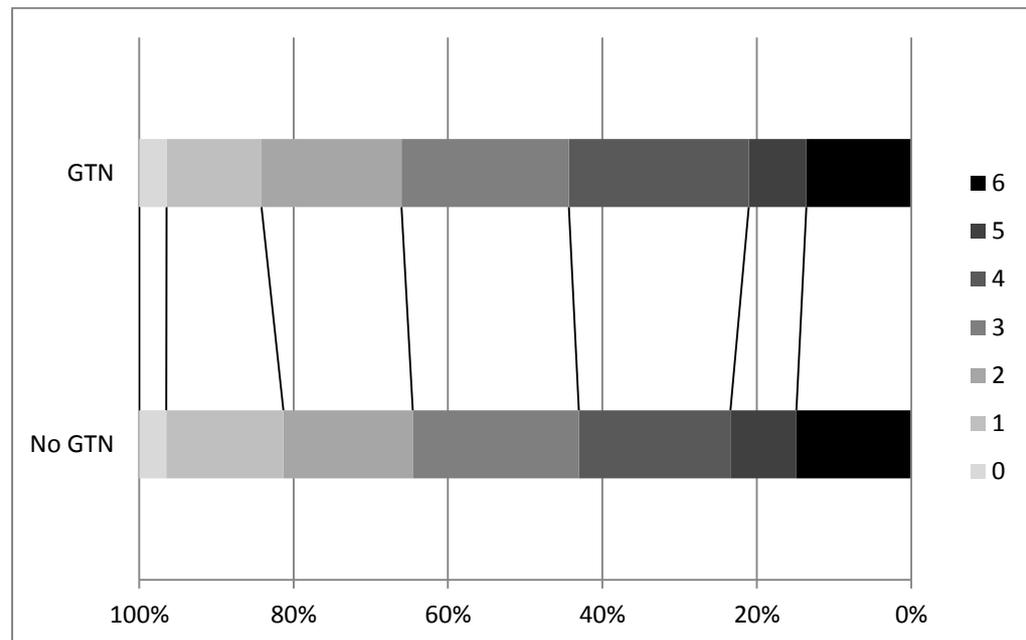
Scan variables	All		Adjusted	2p
	GTN	No GTN	OR/MD (95% CI)	
Density [/5]	2 [1,3]	2 [1,3]	0.12 (-0.18, 0.43)	0.43
No IVH (n=137)				
Volume ABC/2(cm3)	14.7 (15.3)	18.4 (18.4)	-4.52 (-9.05, 0.01)	0.05
Shape index	1.5 (0.6)	1.7 (1.0)	-0.12 (0.42, 0.17)	0.42
Shape [/5]	2 [1,3]	2 [2,3]	0.04 (-0.31, 0.39)	0.82
Density index	0.4 (0.9)	0.3 (0.7)	0.15 (-0.11, 0.41)	0.26
Density [/5]	2 [1,3]	2 [1,3]	0.34 (-0.03, 0.71)	0.07
With IVH (n=44)				

Scan variables	All		Adjusted	2p
	GTN	No GTN	OR/MD (95% CI)	
Volume ABC/2(cm3)	17.1(17.9)	18.4 (17.4)	-0.64 (-8.53, 7.24)	0.87
IVH volume (cm3)	3.0 (6.0)	3.7 (5.9)	0.52 (-4.96, 6.01)	0.85
Shape index	2.0 (1.0)	2.1 (1.2)	-0.12 (-0.79, 0.55)	0.73
Shape [/5]	4 [3,5]	4 [3,5]	1.00 (0.50, 1.99)	0.99
Density index	0.3 (0.1)	0.3 (0.1)	-0.01 (-0.06, 0.04)	0.66
Density [/5]	2 [1,3]	2 [1,3]	0.00 (-0.64, 0.63)	1.00
Graeb score	2.9 (1.8)	2.1 (1.3)	0.94 (-0.25, 2.12)	0.12
Modified Graeb score	3.4 (2.7)	2.5 (2.2)	1.23 (-0.59, 3.05)	0.18

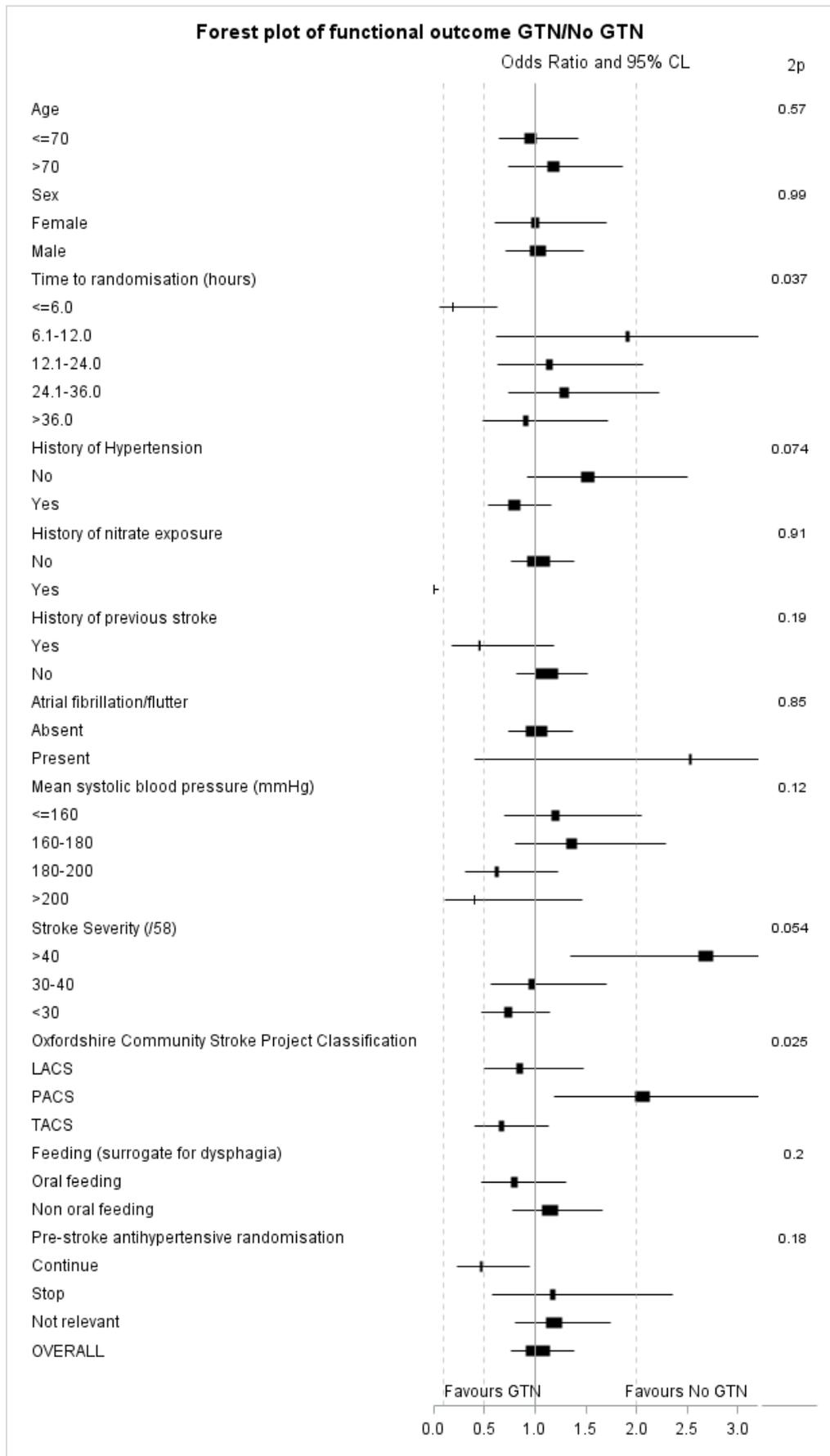
**Figure 4.1** Blood pressure levels for patients with intracerebral haemorrhage during 7 days treatment for GTN versus no GTN group. Blood pressure was 172/93 mm Hg at baseline and after the first dose was significantly lower in 310 patients allocated to GTN as compared to 319 patients randomised to no GTN: difference -7.5/-4.2 mm Hg. There was no significant difference thereafter. MD signifies mean difference in systolic and diastolic blood pressure between the two treatment groups.



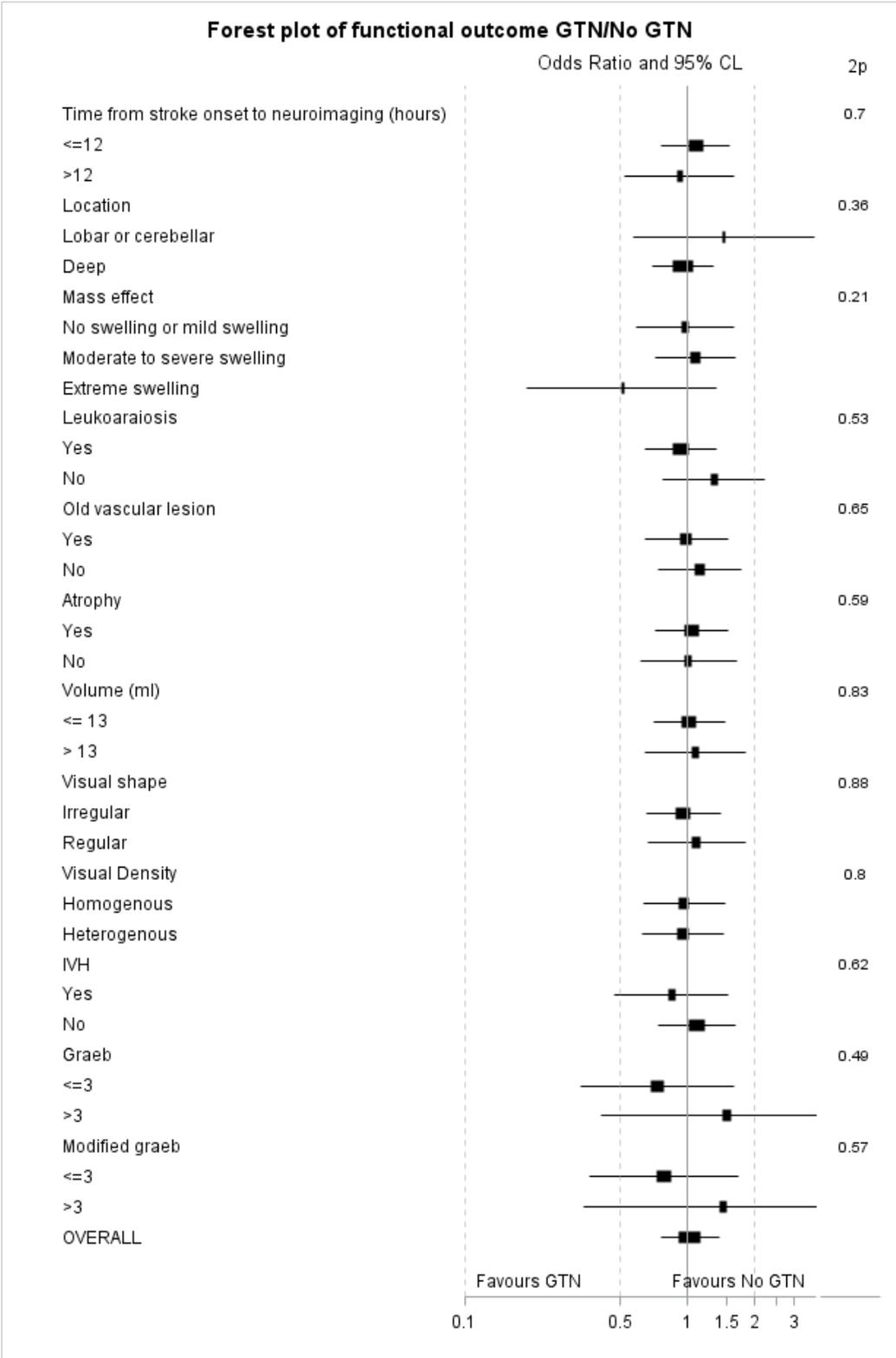
**Figure 4.2** Distribution of modified Rankin scores for all 625 patients with intracerebral haemorrhage at day 90: glyceryl trinitrate versus no glyceryl trinitrate. Comparison by ordinal logistic regression adjusted for age, sex, premorbid mRS, history of previous stroke, history of diabetes, total anterior circulation syndrome, systolic blood pressure, feeding status, time to randomisation, and allocation to continue or stop pre-stroke antihypertensive drugs. Adjusted common odds ratio 1.04 (95% CI 0.78, 1.38),  $p=0.81$ .



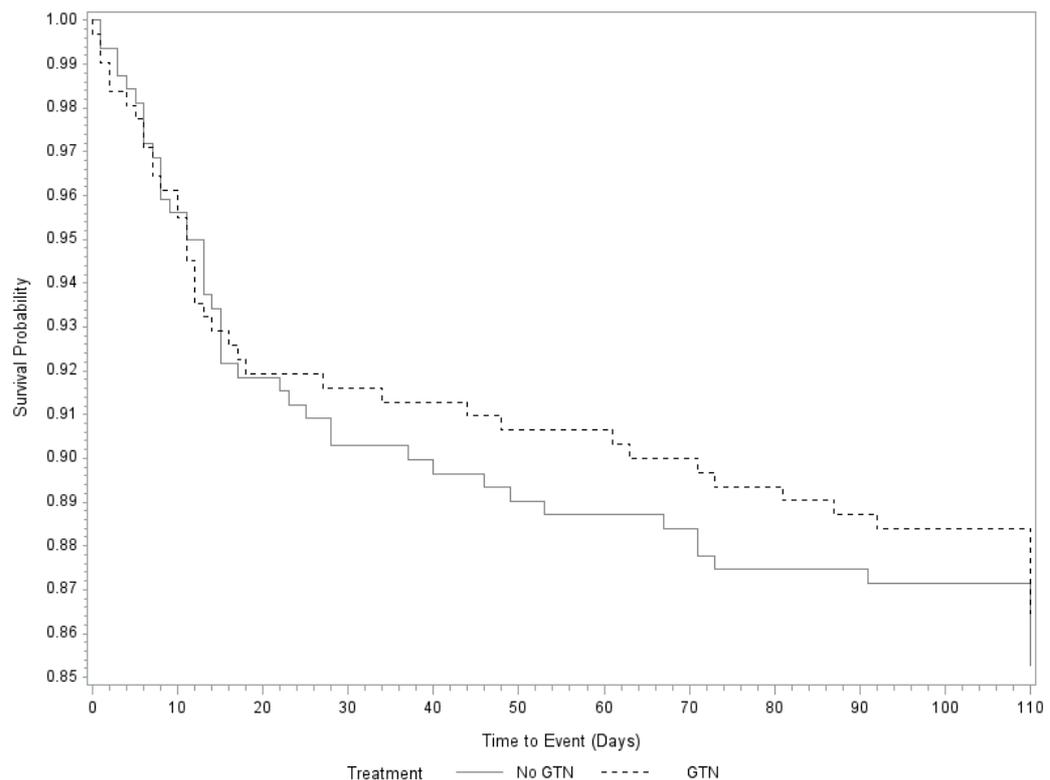
**Figure 4.3** Effect of glyceryl trinitrate versus no glyceryl trinitrate on distribution of modified Rankin Scale in pre-specified clinical subgroups of patients with intracerebral haemorrhage at day 90. Analysis adjusted for age, sex, pre-morbid mRS, history of previous stroke, history of diabetes, severity, total anterior circulation syndrome, volume of intracerebral haemorrhage, systolic blood pressure, feeding status and time to randomisation. Black squares indicate point estimates (with the area of the square proportional to the number of events) and the width of the horizontal lines is the 95% confidence interval of the estimate. The rectangle at the bottom represents the point estimate as well as the 95% confidence intervals of the overall effect within categories.



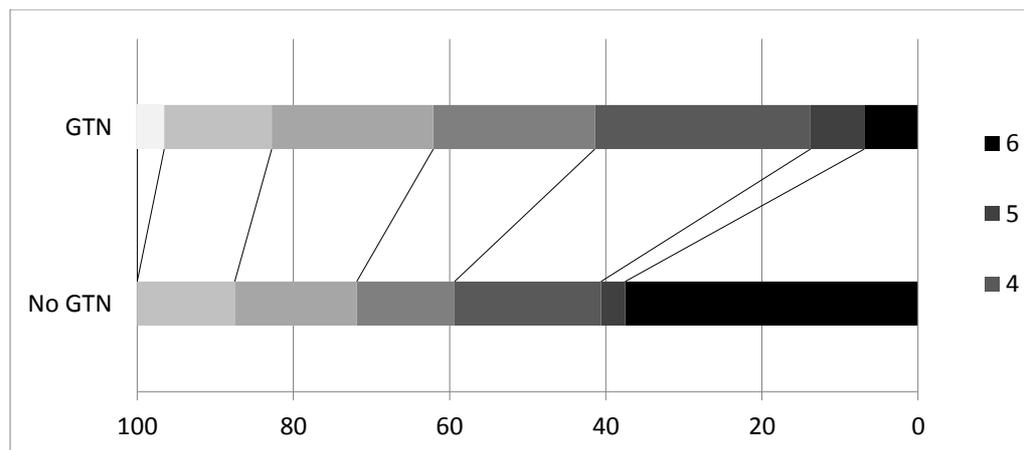
**Figure 4.4** Effect of glyceryl trinitrate versus no glyceryl trinitrate on the primary outcome of modified Rankin Scale in pre-specified neuroimaging subgroups at day 90. Analysis adjusted for age, sex, pre-morbid mRS, history of previous stroke, history of diabetes, severity, total anterior circulation syndrome, volume of intracerebral haemorrhage, systolic blood pressure, feeding status and time to randomisation. Black squares indicate point estimates (with the area of the square proportional to the number of events) and the width of the horizontal lines is the 95% confidence interval of the estimate. The rectangle at the bottom represents the point estimate as well as the 95% confidence intervals of the overall effect within categories.



**Figure 4.5** Effect of glyceryl trinitrate versus no glyceryl trinitrate on survival to day 90 in patients with intracerebral haemorrhage. Comparison by Cox proportional hazards regression adjusted for age, sex, premorbid mRS, history of previous stroke, history of diabetes, total anterior circulation syndrome, systolic blood pressure, feeding status, time to randomisation, and allocation to continue versus stop pre-stroke antihypertensive drugs. Adjusted hazard ratio 1.02 (95% CI 0.67-1.56),  $p=0.92$ .



**Figure 4.6** Distribution of modified Rankin scores for patients randomised within 6 hours at day 90: glyceryl trinitrate (n=29) versus no glyceryl trinitrate (n=32). Comparison by ordinal logistic regression adjusted for age, sex, premorbid mRS, history of previous stroke, history of diabetes, total anterior circulation syndrome, systolic blood pressure, feeding status, time to randomisation, and allocation to continue versus stop pre-stroke antihypertensive drugs. Adjusted common odds ratio 0.19 (95% CI 0.06, 0.59), p=0.004.



## **Chapter 5 - The effects of continue versus stopping pre-stroke antihypertensive drugs in acute intracerebral haemorrhage**

Publications contributing to this chapter:

Continue versus stopping pre-stroke antihypertensive therapy in acute intracerebral haemorrhage: a subgroup analysis of the Efficacy of Nitric Oxide in Stroke (ENOS) trial

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Journal of Stroke and Cerebrovascular Diseases 2016; 25(5): 1017-26

## **ABSTRACT**

### **Background and purpose**

More than 50% of patients with acute intracerebral haemorrhage (ICH) are taking antihypertensive drugs before ictus. Although antihypertensive therapy should be given long term for secondary prevention, whether to continue or stop such treatment during the acute phase of ICH remains unclear, a question that was addressed in the Efficacy of Nitric oxide in Stroke (ENOS) trial.

### **Methods**

ENOS was an international multicentre, prospective, randomised, blinded end-point trial. Among 629 patients with ICH and systolic blood pressure between 140-220 mm Hg, 246 patients who were taking antihypertensive drugs were assigned to continue (n=119) or stop temporarily (n=127) drugs for 7 days. The primary outcome was the modified Rankin Score at 90 days. Secondary outcomes included death, length of stay in hospital, discharge destination, activities of daily living, mood, cognition and quality of life.

## **Results**

Blood pressure (baseline 171/92 mm Hg) fell in both groups but was significantly lower at 7 days in those patients assigned to continue antihypertensive drugs (difference 9.4/3.5mm Hg;  $p < 0.01$ ). At 90 days, the primary outcome did not differ between the groups, adjusted common odds ratio for worse outcome with continue versus stop drugs; OR 0.92 (95 % CI, 0.45-1.89;  $p = 0.83$ ). There was no difference between the treatment groups for any secondary outcome measure, or rates of death or serious adverse events.

## **Conclusion**

Among patients with acute ICH, immediate continuation of antihypertensive drugs during the first week did not reduce death or major disability in comparison with stopping treatment temporarily.

## 5.1 Introduction

High blood pressure (BP) is present in 75% of patients with acute intracerebral haemorrhage (ICH) and is substantially higher than premorbid levels.<sup>401-403</sup> More than 50% of patients with acute ICH are taking antihypertensive drugs prior to their stroke and hospital admission.

Although lowering BP long term after stroke is key for secondary prevention,<sup>404</sup> it remains unclear whether pre-stroke antihypertensive drugs should be continued or stopped temporarily during the acute phase.<sup>405</sup> Arguments both for and against each strategy can be postulated and guidelines lack firm recommendations related to this subject.<sup>135, 406</sup> Continuing prior antihypertensive drugs after ICH might limit haematoma expansion, reduce the development of cerebral oedema and early recurrence, and improve long-term outcome.<sup>404, 407</sup> And yet, continuing treatment may lead to the development of hypotension thereby compromising regional cerebral perfusion because of dysfunctional cerebral autoregulation.<sup>408</sup> Further, continuing treatment involves administering tablets at a time when many patients have dysphagia and limited enteral access, a risk for aspiration pneumonia. Stopping treatment may result in secondary

prevention being forgotten thereby raising the risk of recurrent events and worsening outcomes long term.

Two trials have examined the question of whether pre-stroke BP drugs should be continued or stopped temporarily during the acute phase of stroke. COSSACS found no difference in functional outcome, death, or serious adverse events, although it had low statistical power with only 763 participants recruited of a planned 2900 patients.<sup>409</sup> No differential effect in patients with ICH versus ischaemic stroke were reported. The Efficacy of Nitric Oxide trial (ENOS) trial assessed the effects of glyceryl trinitrate (GTN) versus no GTN in 4011 participants with acute stroke; patients who were taking pre-stroke antihypertensive medications were also randomised to continue or stop these for seven days in a partial factorial design.<sup>364</sup> Although ENOS was neutral for both interventions,<sup>137</sup> a subgroup of patients randomised to continue treatment within 12 hours had a worse functional outcome (unpublished data), an effect also seen in a meta-analysis of individual patient data from COSSACS and ENOS combined (Woodhouse et al, unpublished). Here, we report the results of a pre-planned subgroup analysis of patients enrolled into ENOS with ICH and who were randomised to

continue versus stop pre-stroke antihypertensive therapy,<sup>387</sup> including those randomised within 12 hours of stroke onset.

## **5.2 Methods**

### **5.2.1 ENOS trial**

Details of the ENOS study protocol, statistical analysis plan, patient characteristics at baseline, and main results, have been published (ISRCTN99414122).<sup>137, 374, 387</sup>

In participants assigned to continue antihypertensive drugs, medication was administered orally and those with dysphagia received treatment through a nasogastric feeding tube. If oral or tube feeding was not possible, treatment was withheld until feasible. Open label antihypertensive drugs could be administered during the treatment period according to clinical need. During treatment, BP was measured once daily using a validated automatic clinical monitor (Omron HEM-705 CP or HEM-757, Illinois, USA)<sup>388</sup> with a cuff of suitable size. After day 7, antihypertensive therapy that had been stopped was re-started according to clinical need. In this subgroup analysis, we included all patients with ICH recruited into the

continue versus stop pre-stroke antihypertensive drugs part of ENOS.

### **5.3 Outcomes**

As detailed in chapter 2, the primary outcome was the modified Rankin Scale (mRS, scores: 0=no residual disability; 5= bedbound and requiring 24 hour care; 6=death<sup>410</sup>) assessed at day 90. Key secondary outcomes were activities of daily living (Barthel Index, BI, scores: 0=severe disability to 100=no disability<sup>411</sup>), cognition (modified telephone Mini-Mental State Examination, t-MMSE, scores: 0=severe dementia to 18=normal;<sup>390</sup> Telephone Interview for Cognition Scale, TICS-M, scores: 0=severe dementia to 37=normal;<sup>391</sup> verbal fluency as animal naming, scores: 0=none to infinity); health-related quality of life (European Quality of Life-5 dimensions, EQ-5D,<sup>392</sup> from which health utility status (HUS) was calculated, scores: -0.594=very poor, 0=death to 1.0=perfect;<sup>392</sup> European Quality of Life-Visual analogue scale, EQ-VAS, scores: 0=very poor to 100=excellent) and mood (short Zung depression Score, ZDS, scores: 0=normal to 100=severe depression<sup>394</sup>). Safety outcomes included death, early neurologic deterioration (defined as a decrease of at least 5 points on the SSS from baseline to day 7 and/or

decrease in consciousness of more than 2 points on the SSS consciousness domain), recurrent stroke by day 7, hypotension (requiring intervention such as leg elevation or administration of fluids), hypertension (requiring treatment to lower BP) and serious adverse events. Serious adverse events whether related to treatment (definite, uncertain, no causality, unknown) and the systems affected by the adverse event were recorded by the local investigators.

#### **5.4 Imaging**

Brain imaging adjudication and haemorrhage quantification in ENOS are detailed in chapter 2 and 3. All imaging assessments were performed by a single investigator (KK), masked to clinical data and treatment assignment.

#### **5.5 Analyses**

As for the mRS and HUS, which have a separate category for death, we assigned an extreme score for death when analysing each of the other outcome scale. Values used were: -5: Barthel Index; -1: EQ-VAS, SSS, t-MMSE, TICS-M, verbal fluency; 0: EQ-5D/HUS; 102.5: ZDS.<sup>137, 387</sup> Comparisons were performed with binary logistic regression (dichotomous data), Cox regression (death), ordinal logistic regression (ordered

categorical data),<sup>412</sup> or multiple linear regression (continuous data). Analyses were adjusted for prognostic covariates: age, sex, pre-morbid mRS, history of previous stroke, history of diabetes, severity (Scandinavian Stroke Scale, SSS), stroke syndrome (total anterior circulation vs other), systolic BP, feeding status, time to randomisation, and treatment assignment (GTN vs no GTN). Heterogeneity of treatment effect was assessed by including an interaction term in the adjusted statistical model for each of the following pre-defined subgroups: age, time to randomisation, presence of ipsilateral carotid stenosis, number of pre-stroke antihypertensive drugs, feeding status, stroke severity, blood pressure level at time of randomisation, feeding status, and treatment with GTN or no GTN. Analysis was performed using SPSS software (SPSS Statistics, Chicago, IL) version 22 on an Apple iMac computer. P values <0.05 were considered as statistically significant.

## **5.6 Results**

Recruitment into ENOS ran between July 2001 and October 2014. During this period 629 patients with ICH were recruited into the trial, with 246 patients randomised to continue (n=119) or stop (n=127) antihypertensive drugs (Table 5.1). 39 patients were randomised within 12 hours (continue 18,

stop 21). The treatment groups were well matched at baseline, with mean age 69 years; male 59%, mean BP 171/92 mmHg and severity SSS 29.6 (NIHSS ~13.0 with mean ICH volume 12.0 cm<sup>3</sup>). Most haematomas (89%) were located primarily in the deeper brain regions and many patients had leukoariosis (70%) and/or evidence of a previous stroke (51%) (Table 5.2); 72% of neuroimaging was performed within 12 hours of stroke onset.

### **5.6.1 Blood pressure**

Mean blood pressure was 171/92 mmHg at baseline and declined in both groups over the seven days of randomised treatment; by day 7, BP was lower by 9/4 mmHg in the group randomised to continue treatment (Figure 5.1). Baseline BP was 176/97 mmHg in patients randomised with 12 hours, and was lower by 28/16 mmHg at day 7 in those continuing treatment (Figure 5.2).

### **5.6.2 Clinical outcomes**

There was no difference in mRS between the treatment groups at day 90, common odds ratio (OR) for worse outcome in the continue group 0.92 (95%CI 0.45-1.89;  $p=0.83$ ) (Figure 5.3). A test of 'goodness-of-fit' showed no evidence that the assumption of proportional odds had been violated ( $p=0.07$ ). There were no significant interactions between the effect of randomised treatment on mRS in pre-selected subgroups (Figure 5.4). Additionally, there was no difference in mRS in patients randomised within 12 hours to continue versus stop prestroke BP drugs (Figure 5.5). The rates of clinical hypotension and hypertension were similar between the two groups (Table 5.3). There were no significant differences between the two groups at day 90 in any of the secondary clinical outcomes (Table 5.3) or death (Figure 5.6), or serious adverse rates (Table 5.4).

### **5.7 Discussion**

In this pre-planned subgroup analysis of patients in ENOS with acute ICH, there was no difference in the primary outcome of function between patients randomised to 7 days of continuing

versus stopping pre-stroke antihypertensive therapy;<sup>387</sup> this finding was consistent across all pre-specified subgroups of patients. Similarly, there were no differences in safety outcomes, or secondary outcome measures at day 90.

The overall neutral results seen for the continue versus stop comparison in ENOS (including both ischaemic stroke and ICH)<sup>137</sup> are similar to those seen in the smaller COSSACS trial.<sup>409</sup> COSSACS recruited only 38 patients with ICH and has not reported these results separately; hence this subgroup cannot be compared with the present substudy. Nevertheless, the two trials differed in several key aspects including time window for recruitment, exclusion or inclusion of dysphagia (and so differences in baseline severity), baseline BP, length of treatment, and timing of measurement of the primary endpoint. In an individual patient data meta-analysis of COSSACS and ENOS combined, no difference in mRS was seen in 284 patients with ICH who were randomised to continue versus stop pre-stroke antihypertensive therapy (Woodhouse *et al*, unpublished). In that meta-analysis, mRS was worse in patients randomised to continue treatment, irrespective of stroke type, if enrolled within 12 hours of onset. This finding was present in ENOS (unpublished data) but is not replicated in the present sub-study, presumably due to the small number

of patients and wide confidence intervals. Blood pressure lowering might reduce death or major disability if treatment is started within 6 hours of stroke.<sup>260, 261, 264</sup> However, the present analysis assessed the issue of continuing or stopping pre-existing antihypertensive treatment (where differences in BP between the treatment groups take days to develop), whereas the other trials initiated antihypertensive treatment in the hyperacute phase of stroke. Since it took several days for BP to differ between the randomised groups, it appears that short term high BP, as occurred in the group that were randomised to stop treatment temporarily, is not detrimental providing the difference occurs after the hyperacute period. Importantly, this observation appears to apply to those recruited within 12 hours where BP was higher by 28/16 mmHg at one week in those stopping treatment temporarily, a BP difference that was not associated with a worse outcome.

The strengths of the present study are two-fold. First, it assessed a broad population of patients with ICH, with international enrolment from multiple race-ethnicity groups, and inclusion of patients with a wide range of severity, including those with dysphagia. And second, the data come from a high fidelity trial with blinded assessment of outcomes, independent and masked adjudication of events, and near

complete follow-up.<sup>137</sup> However, two limitations should be noted. First, ENOS excluded patients with very high blood pressure, reduced consciousness (GCS<8), or without motor signs. As a result, patients with large haemorrhages may have been underrepresented. Second, fewer than 5% of patients had bleeding into the posterior fossa and therefore the results cannot be extrapolated to a population with posterior fossa haemorrhages. Recent observational data have shown that the blood pressure rise is steeper, and final levels higher, in such patients as compared with lobar haemorrhages.<sup>403</sup>

In conclusion, this subgroup analysis of ENOS was neutral and did not identify any beneficial effects in continuing pre-stroke antihypertensive drugs in patients during the first week after acute ICH. Although BP lowering reduces chronic stroke recurrence, the present results suggest it is reasonable to withhold antihypertensive drugs taken before the onset of ICH until patients are neurologically stable and appropriate enteral or oral access has been established.

**Table 5.1** Baseline clinical characteristics of 246 patients with intracerebral haemorrhage and those randomised within 12 hours. Data are number (%), mean (standard deviation) or median [interquartile range]. Comparison of patients randomised within 12 hours versus those later by Fischer’s exact test, Mann-Whitney U test or t test.

Characteristics	All	Continue	Stop	≤12 hours	Continue	Stop	2p
Number of patients (N)	246	119	127	39	18	21	
Country, UK (%)	138 (56.1)	68 (57.1)	70 (55.1)	20 (51.3)	10 (55.6)	10 (47.6)	0.52
Age (years)	69.2 (11.5)	68.8 (11.3)	69.6 (11.6)	68.3 (13.0)	67.1 (13.7)	69.3 (12.6)	0.58
Sex, male (%)	144 (58.5)	70 (58.8)	74 (58.3)	25 (64.1)	13 (72.2)	12 (57.1)	0.44
Smoking, current (%)	37 (15.5)	20 (17.4)	17 (13.8)	4 (10.8)	2 (11.8)	2 (10.0)	0.19
Pre-morbid mRS>0 (%)	81 (32.9)	43 (36.1)	38 (29.9)	9 (23.1)	5 (27.8)	4 (19.0)	0.23
Previous stroke (%)	45 (18.3)	20 (16.8)	25 (19.7)	3 (7.7)	0	3 (14.3)	0.06
Prior antihypertensive (%)	241 (98.0)	116 (97.5)	125 (98.4)	39 (100.0)	18 (100.0)	21 (100.0)	0.33

Number of BP drugs (%)							
0	3 (1.2)	1 (0.8)	2 (1.6)	-	-	-	-
1	107 (43.5)	55 (46.2)	52 (40.9)	20 (51.3)	13 (72.2)	7 (33.3)	0.22
2	58 (23.6)	28 (23.5)	30 (23.6)	9 (23.1)	3 (16.7)	6 (28.6)	0.47
3	25 (10.2)	8 (6.7)	17 (13.4)	5 (12.8)	1 (5.6)	4 (19.0)	1.00
4	11 (4.5)	4 (3.4)	7 (5.5)	2 (5.1)	1 (5.6)	1 (4.5)	1.00
5	1 (0.4)	1 (0.8)	0	-	-	-	-
Treated BP agent (%)							
ACE-inhibitor	106 (43.1)	51 (42.9)	55 (43.3)	17 (43.6)	6 (33.3)	11 (52.4)	0.29
ARA	49 (19.9)	24 (20.2)	25 (19.7)	5 (12.8)	1 (5.6)	4 (19.0)	0.35
$\beta$ -receptor antagonist	70 (28.5)	26 (21.8)	44 (34.6)	10 (25.6)	3 (16.7)	7 (33.3)	0.73
Calcium channel blocker	95 (38.6)	43 (36.1)	52 (40.9)	18 (46.2)	5 (27.8)	13 (61.9)	0.12
Centrally acting agent	8 (3.3)	4 (3.4)	4 (3.1)	2 (5.1)	1 (5.6)	1 (4.8)	1.00
Diuretic	63 (25.6)	34 (28.6)	29 (22.8)	11 (28.2)	7 (38.9)	4 (19.0)	0.53

Alpha-receptor antagonist	13 (5.3)	8 (6.7)	5 (3.9)	3 (7.7)	2 (11.1)	1 (4.8)	1.00
Other	3 (1.2)	1 (0.8)	2 (1.6)	0	-	-	-
Previous high BP (%)	238 (96.7)	115 (96.6)	123 (96.9)	39 (100.0)	18 (100.0)	21 (100.0)	0.21
Diabetes mellitus (%)	49 (19.9)	22 (18.5)	27 (21.3)	10 (25.6)	4 (22.2)	6 (28.6)	0.33
Ischaemic heart disease (%)	39 (15.9)	18 (15.1)	21 (16.5)	4 (10.3)	1 (5.6)	3 (14.3)	0.58
Atrial fibrillation (%)	28 (11.4)	13 (10.9)	15 (11.8)	5 (12.8)	1 (5.6)	4 (19.0)	0.75
TACS (%)	89 (36.2)	44 (37.0)	45 (35.4)	10 (25.6)	8 (44.4)	2 (9.5)	0.15
SSS (/58)	29.6 (12.6)	28.5 (12.3)	30.6 (12.9)	31.3 (11.4)	27.4 (12.4)	34.6 (9.4)	0.37
NIHSS (/42), calculated 379	13.0 (5.4)	13.4 (5.3)	12.5 (5.5)	12.2 (4.9)	13.9 (5.4)	10.8 (5.4)	0.37
Glasgow Coma Scale [/15]	15 [1]	15 [1]	15 [1]	15 [1]	15 [1]	15 [0]	0.13
Systolic BP (mmHg)	170.8 (18.4)	169.5 (16.9)	172.1 (19.7)	175.8 (17.9)	175.0 (16.6)	176.4 (19.3)	0.07
Diastolic BP (mmHg)	92.3 (13.4)	93.3 (14.0)	91.4 (12.8)	96.5 (11.7)	95.6 (14.1)	97.3 (9.5)	0.031

Heart rate (bpm)	77.4 (15.8)	77.9 (15.9)	77.0 (15.9)	79.2 (17.9)	80.3 (15.1)	78.2 (20.3)	0.22
Feeding status							
Normal diet	77 (31.3)	43 (36.1)	34 (26.8)	15 (38.5)	6 (33.3)	9 (42.9)	0.28
Soft diet	58 (23.6)	22 (18.5)	36 (28.3)	10 (25.6)	7 (38.9)	3 (14.3)	0.09
Nasogastric tube feeding	15 (6.1)	7 (5.9)	8 (6.3)	2 (5.1)	1 (5.6)	1 (4.8)	1.00
Percutaneous feeding tube	0	-	-	-	-	-	-
IV/SC fluids	45 (18.3)	20 (16.8)	25 (19.7)	4 (10.3)	2 (11.1)	2 (9.5)	1.00

ARA: angiotensin receptor antagonist; BP: blood pressure; bpm: beats per minute; IV: intravenous; mRS: modified Rankin scale; NIHSS: National Institutes of Health Stroke scale; NIHSS: National Institute of Health Stroke scale; SC: subcutaneous; SSS: Scandinavian Stroke Scale; TACS: total anterior circulation syndrome.

**Table 5.2** Baseline neuroimaging characteristics of 246 patients with intracerebral haemorrhage and those randomised within 12 hours. Data are number (%) or mean (standard deviation). Comparison of patients randomised within 12 hours with those randomised later by Fisher’s exact test, Mann-Whitney U test or t test.

Neuroimaging parameters	All	Continue	Stop	≤12 hours	Continue	Stop	2p
Participants with a scan available	234 (95.1)	115 (96.6)	119 (93.7)	35 (89.7)	17 (94.4)	18 (85.7)	
Time, onset to neuroimaging (%)							
<12 hours	169 (72.2)	84 (73.0)	85 (71.4)	33 (94.3)	17 (100.0)	16 (88.9)	0.89
12-24 hours	38 (16.2)	19 (16.5)	19 (16.0)	1 (2.9)	-	1 (5.6)	-
>24 hours	27 (11.5)	12 (10.4)	15 (12.6)	1 (2.9)	-	1 (5.6)	-

Location of haematoma (%)							
Lobar †	18 (7.7)	6 (5.2)	12 (10.1)	3 (8.6)	2 (11.8)	1 (5.6)	0.41
Deep ‡	207 (88.5)	107 (93.0)	100 (84.0)	32 (91.4)	15 (88.2)	17 (94.4)	0.10
Posterior ¶	9 (3.8)	2 (1.7)	7 (5.9)	-	-	-	0.28
ICH Volume ABC/2 (cm <sup>3</sup> ) <sup>413</sup>	12.1 (14.0)	11.5 (13.6)	12.8 (14.5)	13.1 (17.0)	21.1 (20.5)	5.2 (6.3)	0.67
IVH volume (cm <sup>3</sup> )	3.1 (4.6)	3.1 (4.5)	3.0 (4.8)	3.9 (3.4)	5.1 (3.5)	1.8 (2.3)	0.61
Longest diameter (cm)	3.2 (1.4)	3.2 (1.3)	3.3 (1.5)	3.3 (1.5)	3.8 (1.6)	2.8 (1.2)	0.76
Visual ICH size category (cm) <sup>367</sup>							
<3	113 (49.1)	53 (46.9)	60 (51.3)	17 (48.6)	5 (29.4)	12 (66.7)	0.25
3-5	80 (34.8)	42 (37.2)	38 (32.5)	10 (28.6)	6 (35.3)	4 (22.2)	

5-8	35 (1.2)	17 (15.0)	18 (15.4)	8 (22.9)	6 (35.3)	2 (11.1)	
>8	2 (0.9)	1 (0.9)	1 (0.9)	-	-	-	
Shape (/5) <sup>212</sup>	3.3 (1.3)	3.4 (1.5)	3.2 (1.4)	3.4 (1.5)	4.0 (1.4)	2.8 (1.3)	0.69
Index <sup>363</sup>	1.7 (3.1)	1.8 (4.0)	1.6 (1.7)	1.7 (1.3)	2.2 (1.4)	1.3 (1.1)	0.34
Density (/5) <sup>378</sup>	2.7 (1.4)	2.6 (1.3)	2.8 (1.4)	2.7 (1.4)	2.8 (1.4)	2.5 (1.4)	0.84
Index <sup>362</sup>	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)	0.34
Graeb score <sup>240</sup>	3.4 (2.2)	3.3 (2.1)	3.4 (2.3)	4.9 (2.1)	6.2 (0.8)	2.7 (1.5)	0.033
Modified <sup>241</sup>	5.0 (4.4)	4.9 (3.5)	5.2 (4.8)	8.0 (4.4)	10.4 (3.5)	4.0 (2.0)	0.034
Leukoaraiosis (%)	171 (73.1)	82 (71.3)	89 (78.8)	21 (60.0)	10 (58.8)	11 (61.1)	1.00
Mass effect (%)							
No swelling to mild swelling (%)	98 (41.9)	47 (40.9)	51(42.9)	17 (48.6)	5 (29.4)	12 (66.7)	0.55

Moderate to severe swelling (%)	33 (14.1)	18 (15.7)	15 (12.6)	18 (51.4)	12 (70.6)	6 (33.3)	0.14
Previous stroke lesion (%)	126 (53.8)	60 (52.2)	66 (55.5)	20 (57.1)	10 (58.8)	10 (55.6)	0.06
Brain tissue reduction (%)	153 (65.4)	77 (67.0)	76 (63.9)	22 (62.9)	12 (70.6)	10 (55.6)	0.42
Cortical atrophy (%)	119 (50.9)	58 (50.4)	61 (51.3)	15 (42.9)	6 (35.3)	9 (50.0)	0.70
Central atrophy (%)	146 (62.4)	72 (62.6)	74 (62.2)	22 (62.9)	12 (70.6)	10 (61.1)	0.65

† Lobar: ICH centred on borderzone regions, ACA, PCA territory and MCA territory excluding striatocapsular regions

‡ Deep: ICH centred on lacunar, MCA territory including striatocapsular regions

¶ Posterior: ICH centred on cerebellum and or brainstem

Shape index<sup>363</sup> = haematoma perimeter /  $4\pi$  x surface area

Density index<sup>362</sup> = standard deviation/mean of Hounsfield units

**Table 5.3** Primary and secondary outcomes at day 7 and day 90: continue versus stop pre-stroke antihypertensive drugs. Data are number of patients (%), median [interquartile range], or mean (standard deviation). Comparison by logistic regression, ordinal regression or multiple regression, shown as odds ratio (OR) or mean difference (MD), with adjustment for age, sex, pre-morbid mRS, history of previous stroke, history of diabetes, stroke severity, stroke syndrome (total anterior circulation), systolic blood pressure, feeding status, time to randomisation, and treatment assignment (glyceryl trinitrate versus none).

Outcome	N	All	Continue	Stop	Unadjusted OR/MD (95%CI)	2p	Adjusted OR/MD (95% CI)	2p
<i>Day 7 (or discharge)</i>		246	119	127				
Death (%)	246	6 (2.4)	2 (1.7)	4 (3.2)	0.53 (0.09, 2.92)	0.46	0.47 (0.07, 3.01)	0.58
SSS (/58)	244	33.2 (16.1)	33.1 (16.3)	33.3 (15.9)	-0.3 (-4.3, 3.8)	0.90	-0.8 (-4.0, 2.5)	0.64
Recurrent stroke (%)	245	6 (2.5)	3 (2.5)	3 (2.4)	1.06 (0.21, 5.36)	0.37	1.01 (0.18, 5.92)	0.99

SBP (mmHg)	210	155.4 (26.0)	150.6 (26.4)	160 (24.9)	-6.2 (-12.2, -0.2)	0.043	-7.5 (-14.7, -0.3)	0.037
Hypotension (%)	246	3 (1.2)	2 (1.7)	1 (0.8)	2.15 (0.19, 24.07)	0.53	0.09 (0.00, 6.06)	0.26
Hypertension (%)	246	36 (14.6)	15 (12.6)	21 (16.5)	0.73 (0.36, 1.49)	0.39	0.77 (0.57, 2.92)	0.54
<i>Hospital events</i>		244	118	126				
Died in hospital (%)	244	28 (11.5)	14 (11.9)	14 (11.1)	1.08 (0.49, 2.37)	0.86	1.03 (0.35, 2.38)	0.85
Hospital Stay (days)	244	11 [7,33]	12 [7,33]	11 [7,27]	-1.67 (-8.38, 5.03)	0.62	-0.68 (-7.09, 5.72)	0.83
Death or institution (%)	244	105 (43.0)	51 (43.2)	54 (42.9)	0.76 (0.45, 1.27)	0.29	0.69 (0.38, 1.24)	0.22
<i>Day 90</i>			119	126				
Death (%)	245	42 (17.1)	19 (16.0)	23 (18.3)	0.85 (0.44, 1.66)	0.64	0.82 (0.37, 1.82)	0.72
mRS (/6)	245	3.5 (1.7)	3.5 (1.7)	3.5 (1.6)	1.0 (0.7, 1.6)	0.94	1.0 (0.7, 1.6)	0.86
Barthel Index	245	57.4 (39.8)	57.1 (39.8)	57.6 (40.0)	-0.6 (-10.6, 9.5)	0.91	-3.2 (-11.7, 5.3)	0.45
tMMSE	141	9.1 (7.43)	9.0 (7.4)	9.2 (7.5)	-0.2 (-2.7, 2.3)	0.89	-1.1 (-3.2, 0.9)	0.28
TICS-M	130	18.9 (15.9)	19.2 (15.9)	18.6 (15.9)	0.7 (-4.9, 6.2)	0.82	-1.4 (-6.1, 3.3)	0.55

Animal naming (/∞)	136	7.2 (7.5)	7.2 (7.3)	7.2 (7.7)	-0.6 (-3.1, 1.9)	0.64	-0.6 (-3.3, 2.1)	0.66
ZDS (/100)	197	64.3 (24.0)	64.1 (23.4)	64.4 (24.7)	-0.3 (-7.1, 6.4)	0.92	1.7 (-4.2, 7.7)	0.57
EQ-5D/HUS (/1)	244	0.42 (0.31)	0.40 (0.30)	0.43 (0.33)	-0.03 (-0.11, 0.05)	0.52	-0.04 (-0.11, 0.03)	0.24
EQ-VAS (/100)	213	50.1 (31.5)	50.9 (31.0)	49.4 (32.1)	1.6 (-7.0, 10.1)	0.72	-1.9 (-9.6, 5.9)	0.64

BI: Barthel Index; EQ-5D: EuroQol-5 dimensions; EQ-VAS: EuroQol-Visual Analogue Scale; HUS: Health utility status; ICH: intracranial haemorrhage; mRS: modified Rankin Scale; t-MMSE: Modified telephone Mini-Mental State Examination; SAE: serious adverse event; SBP: systolic blood pressure; SSS: Scandinavian Stroke Scale; TICS-M: Modified Telephone Interview for Cognitive Status; VAS: Visual Analogue Scale; ZDS: Zung Depression Scale.

Range of scores:

Scandinavian Stroke Scale (SSS): -1 (death) to 0 (coma with quadriplegia) to 58 (normal neurological status).

Barthel Index: -5 (death) to 0 (severe disability) to 100 (no disability).

Modified telephone Mini-Mental State Examination (t-MMSE): -1 (death), 0 (severe dementia) to 18 (normal).

Modified Telephone Interview for Cognitive Status (TICS-M): -1 (death), 0 (severe dementia) to 37 (normal).

Verbal fluency (number of animals named in one minute): -1 (death), 0 (none named) to infinity.

Health utility status (HUS, derived from European Quality of Life-5 dimensions, EQ-5D): -0.5 (very poor quality of life, 0 (death) to 1.0 (perfect quality of life). European Quality of Life-Visual Analogue Scale (EQ-VAS): -1 (death), 0 (very poor) to 100 (excellent). Zung Depression Scale (ZDS): 0 (normal), 100 (severe depression) to 102.5 (death)

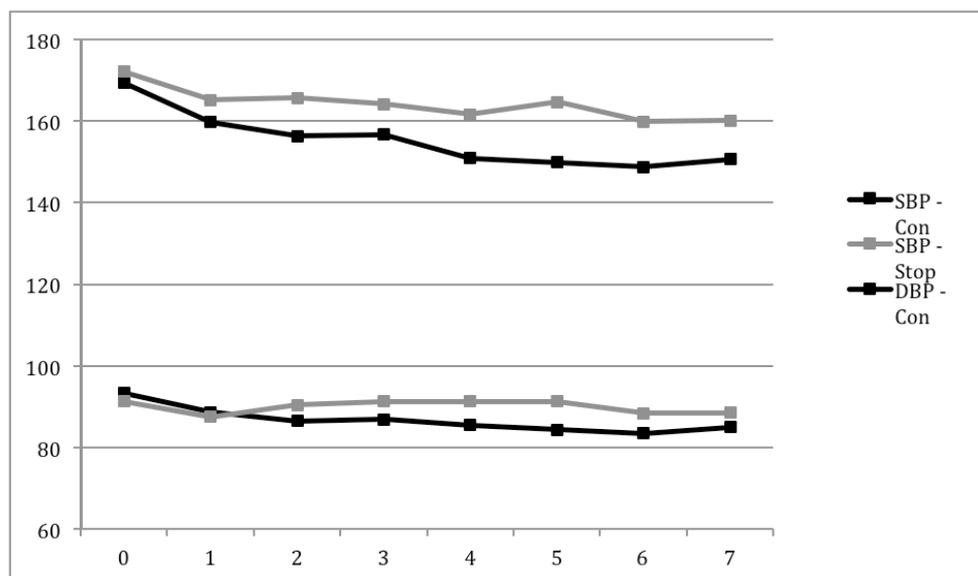
**Table 5.4** Serious adverse events at day 90 for continue versus stop pre-stroke antihypertensive drugs in 246 patients with intracerebral haemorrhage. Data are number of patients (%) and mean (standard deviation).

Adverse event	Continue	Stop	2p	Continue	Stop	2p
		All			Fatal	
Neurological †	10 (8.4)	14 (11.0)	0.50	6 (5.0)	8 (6.3)	0.67
Cardiac ‡	4 (3.4)	10 (7.9)	0.17	2 (1.7)	2 (1.6)	1.00
Pulmonary embolism	1 (0.8)	2 (1.6)	1.00	1 (0.8)	0 (0.0)	0.48
Pneumonia	8 (6.7)	8 (6.3)	0.89	6 (5.0)	4 (3.1)	0.53
Other causes	0 (0.0)	1 (0.8)	1.00	-	-	-
Total	27 (22.7)	35 (27.6)	0.38	6 (33.3)	4 (19.0)	0.46

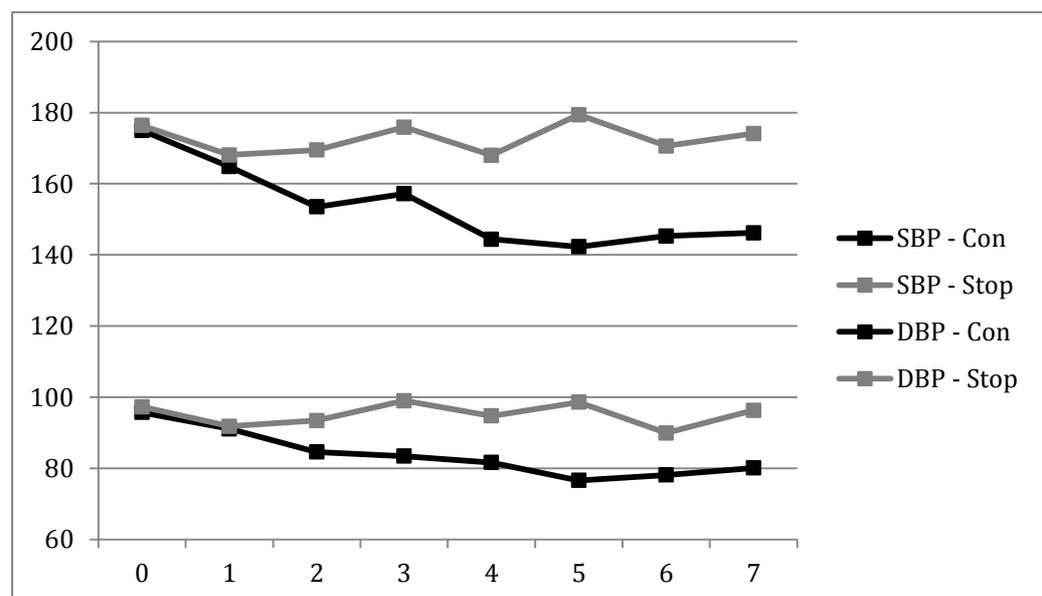
† Includes complication of initial stroke, extension of initial stroke, symptomatic intracranial haemorrhage and recurrent stroke

‡ Includes myocardial infarction, sudden cardiac death and other cardiovascular causes

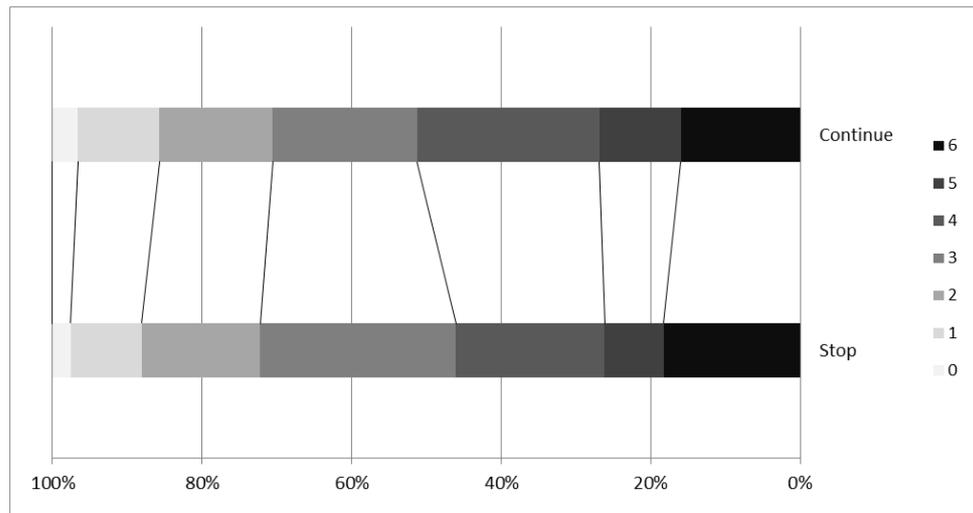
**Figure 5.1** Blood pressure levels in patients with intracerebral haemorrhage who were randomised to continue or stop pre-stroke antihypertensive drugs. Day 0 is at randomisation; day 1 is 2 hours post-randomisation. MD is the mean difference in systolic and diastolic blood pressure for the continue versus stop groups. Comparisons by independent t test at each time point (with Bonferroni correction), and repeated analysis of variance:  $p < 0.01/0.01$ . Both systolic and diastolic blood pressure had significantly diverged by day 4 ( $2p = 0.010/2p < 0.026$ ).



**Figure 5.2** Blood pressure levels in patients with intracerebral haemorrhage who were randomised within 12 hours to continue or stop pre-stroke antihypertensive drugs. Day 0 is at randomisation; day 1 is 2 hours post-randomisation. MD is the mean difference in systolic and diastolic blood pressure for the continue versus stop groups. Comparisons by independent t test at each time point (with Bonferroni correction), and repeated analysis of variance:  $p < 0.01 / < 0.01$ . Both systolic and diastolic blood pressure had significantly diverged by day 5 ( $p < 0.001$ ).

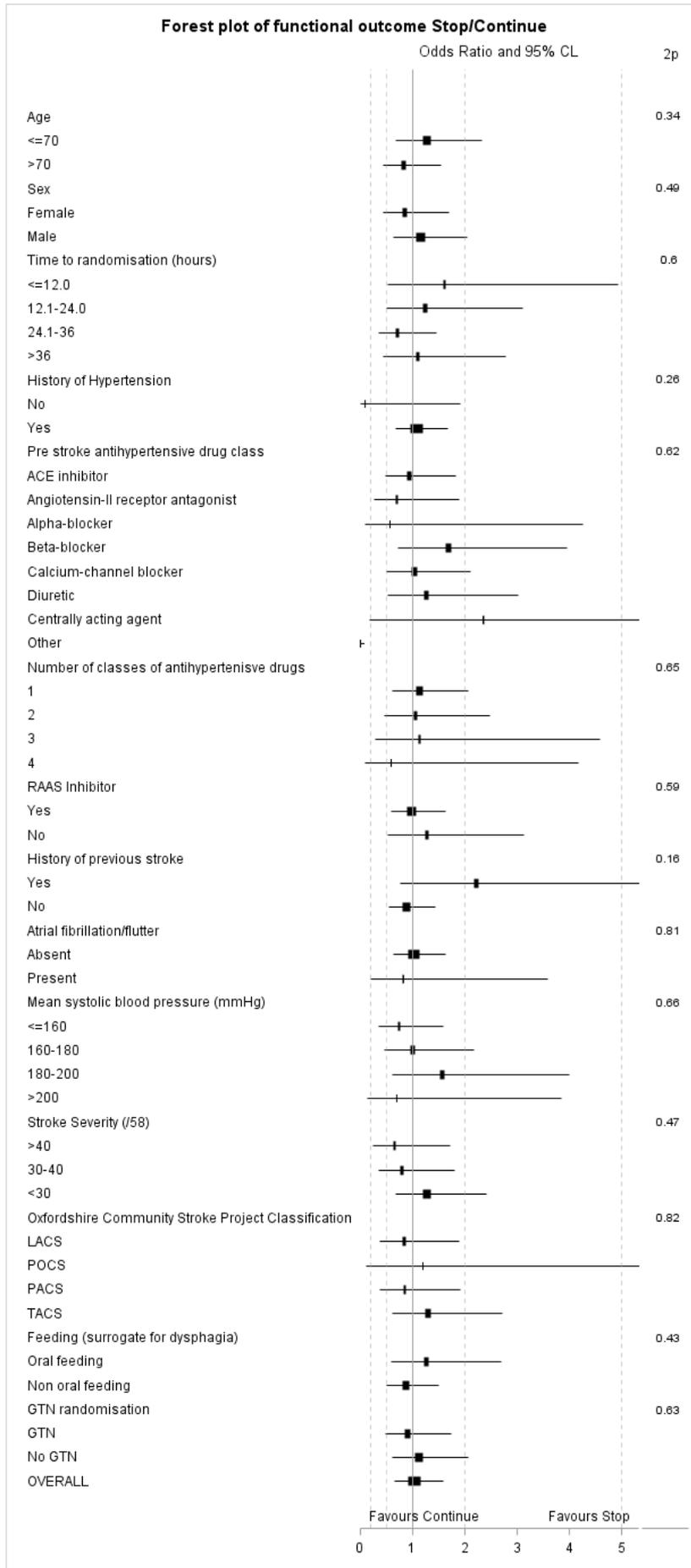


**Figure 5.3** Distribution of modified Rankin scores at day 90 in patients randomised to continue versus stop pre-stroke antihypertensive drugs. Comparison by ordinal logistic regression with adjustment: common odds ratio 0.96 (95% CI 0.60 -1.51; p=0.84).

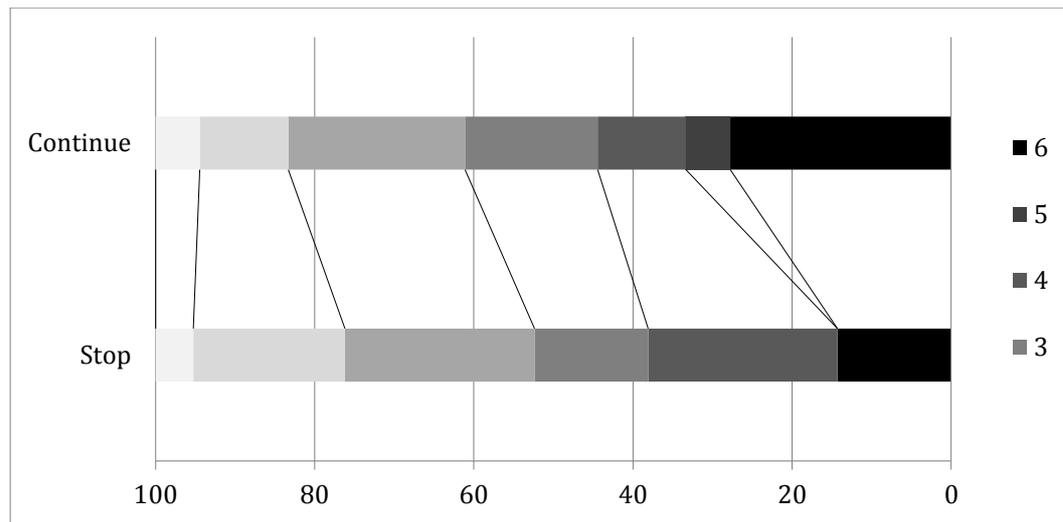


**Figure 5.4** Subgroup analysis on the effects of functional outcome at day 90: continue versus stop. 2p is test for interaction.

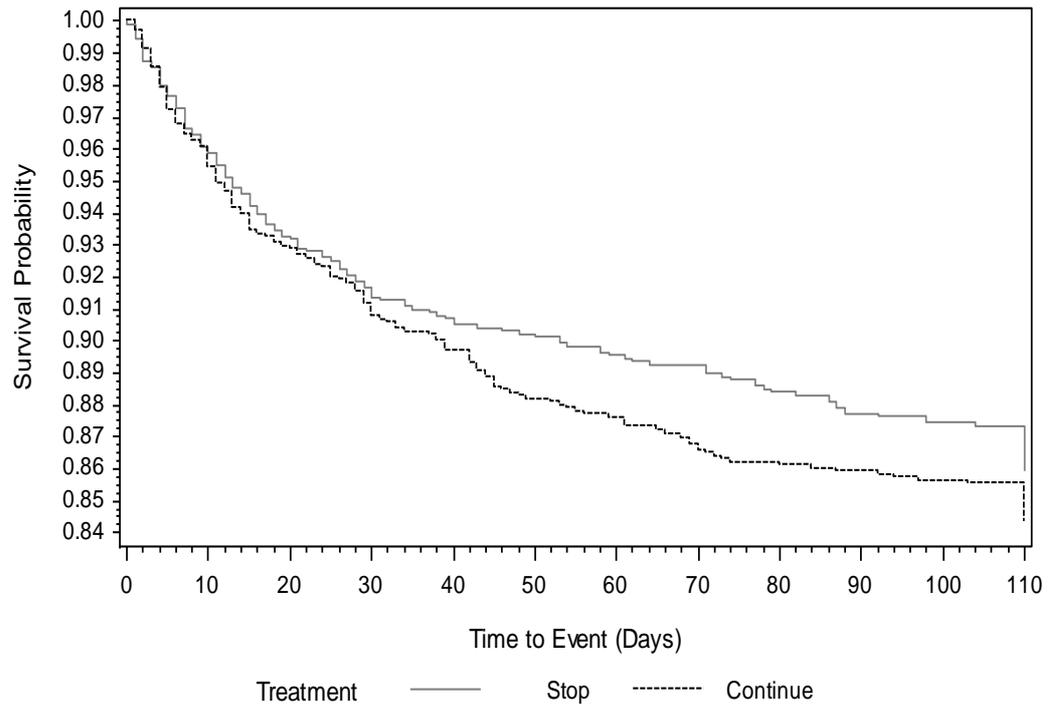
ACE-I: angiotensin converting enzyme-inhibitor; GTN: glyceryl trinitrate; LACS: lacunar syndrome; PACS: partial anterior circulation syndrome; POCS: posterior circulation syndrome; RAAS: renin-angiotensin-aldosterone system; TACS: total anterior circulation syndrome



**Figure 5.5** Distribution of modified Rankin scores at day 90 in 39 patients randomised within 12 hours to continue versus stop pre-stroke antihypertensive drugs. Comparison with Mann-Whitney U-test ( $p=0.43$ ).



**Figure 5.6** Comparison of survival between continue versus stop groups at day 90. Comparison using Cox proportional hazards model: hazard Ratio 1.01 (95% CI 0.81-1.27;  $p=0.88$ ).



## **Chapter 6 - Relationship between baseline characteristics and outcome in Asian, Black and Caucasian patients with spontaneous intracerebral haemorrhage**

Publications contributing to this chapter:

Kailash Krishnan, Lucy Beishon, Eivind Berge, Hanne Christensen, Robert A Dineen, Serefnur Ozturk, Nikola Sprigg, Joanna M Wardlaw, Philip M Bath, on behalf of the VISTA-ICH collaboration and ENOS Investigators.

Relationship between race and outcome in Asian, Black and Caucasian patients with spontaneous intracerebral haemorrhage: data from the Virtual International Stroke Trials Archive (VISTA) and Efficacy of Nitric Oxide in Stroke trial (ENOS).

(submitted November 2016)

## **Abstract**

### **Background and purpose**

Although poor prognosis after intracerebral haemorrhage relates to risk factors and haematoma characteristics, there is limited evidence for the effect of race-ethnicity.

### **Methods**

Data from 1011 patients with intracerebral haemorrhage enrolled into hyperacute trials and randomised to control were obtained from the Virtual International Stroke Trials Archive (VISTA) and Efficacy of Nitric Oxide in Stroke (ENOS) Trial. Clinical characteristics and functional outcome were compared among three racial groups – Asians, Blacks and Caucasians.

### **Results**

The majority of patients were Caucasian (78.1%) followed by Asians (14.5%) and Blacks (5.5%). At baseline, Caucasians were older and had larger haematoma volumes; Blacks had lower Glasgow Coma Scale and higher systolic blood pressure (all  $p < 0.05$ ). Although the primary outcome of modified Rankin scale (mRS) did not differ at 90 days ( $p = 0.14$ ), there were significant differences in mortality ( $p < 0.0001$ ) and quality of life (EQ-5D  $p < 0.0001$ ; EQ-VAS  $p = 0.015$ ). In test of

multiple comparisons, Caucasians were more likely to die ( $p=0.0003$ ) and had worse quality of life (EQ-5D  $p=0.003$ ; EQ-VAS  $p<0.0001$ ) as compared to Asians.

### **Conclusion**

Race-ethnicity appears to explain some of the variation in clinical characteristics and outcomes after acute intracerebral haemorrhage. Factors that explain this variation need to be identified.

## 6.1 Introduction

Spontaneous intracerebral haemorrhage (ICH) is a severe cause of stroke associated with significant morbidity and mortality.<sup>414</sup> In contrast to ischaemic stroke where incidence has declined in recent decades, the number of admissions for ICH continues to increase.<sup>14, 18</sup> Variations in ICH incidence and outcome occur between different race-ethnicity groups. A higher incidence of ICH is observed among black and Hispanic populations when compared with Caucasians.<sup>15, 17, 415</sup> The SINO-MONICA-Beijing study reported greater mortality due to ICH in China compared to other countries.<sup>416</sup> Death caused by stroke was reported to be higher in Asians compared to Caucasian patients in the UK and Canada.<sup>417, 418</sup> The causes for these observations are unclear and might be explained by increased prevalence of risk factors such as diabetes and hypertension among Asians.<sup>419-422</sup> Additional explanatory factors also appear to include blood pressure at presentation.<sup>17, 423-425</sup> However, studies assessing ICH in different race-ethnicity groups have mostly involved small centres with limited numbers of patients, and have restricted comparisons to differences between two racial populations.<sup>16, 17, 415, 426</sup>

In this study, we compared baseline clinical characteristics and functional outcome in three racial groups in patients with ICH. The data came from the Virtual International Stroke Trials Archive (VISTA) collaboration,<sup>427</sup> and the Efficacy of Nitric Oxide in Stroke (ENOS) trial.<sup>137</sup>

## **6.2 Methods**

### **6.2.1 Data sources**

Included patients came from the VISTA-ICH archive, which includes data from a number of completed randomised controlled trials,<sup>427</sup> and the acute ENOS blood pressure trial.<sup>137, 374</sup> Patients were those with spontaneous ICH, as diagnosed locally using routine neuroimaging, and who were treated within 6 hours of onset. We included patients allocated to the control group in ENOS, as patients assigned to intervention are not included in VISTA. Patients were excluded if: age < 18, ICH attributed to trauma, planned surgical evacuation, previously dependent (mRS  $\geq 2$ ), concurrent illness with life expectancy < 6 months, pregnant or breast feeding women, and those already participating in a study involving another drug or device.

### **6.2.2 Baseline data**

Data at baseline were obtained for age, sex, time from ictus to treatment, medical history (previous stroke, hypertension, ischaemic heart disease, diabetes mellitus, hypercholesterolemia, atrial fibrillation, and prior antithrombotic use), level of consciousness (Glasgow Coma Scale, GCS), stroke severity (National Institutes of Health Stroke Scale, NIHSS), systolic blood pressure (SBP), and heart rate. In VISTA, race (and ethnicity) was defined as Asian (Filipino, Oriental, Asian, Indian, Palestinian and Arabic), Black (black, African/American, Caribbean), Caucasian (white or Caucasian) and Others (American Indian, mixed-coloured, mixed, Hispanic, coloured, Hawaiian and Pacific Islanders).

### **6.2.3 Outcome data**

Outcome at day 90 was assessed using the modified Rankin scale (mRS) and all cause mortality; where available, data on disability (Barthel Index, BI) and quality of life (Euro-QoL, EQ-5D) were also assessed.

#### **6.2.4 Neuroimaging**

Depending on trial protocol, CT scans were performed following treatment and then again at 72 hours. As in ENOS, information from CT scans in VISTA was sought for haemorrhage location, lesion volume, oedema volume, presence of mass effect, subarachnoid bleeding, intraventricular haemorrhage, brain atrophy and leukoaraiosis.

#### **6.3 Statistical analysis**

The primary outcome was the mRS at day 90. Baseline characteristics and outcomes were compared by Pearson  $\chi^2$  test for categorical variables, and analysis of variance for continuous variables. The relationship between baseline haematoma volume and mRS between ethnic groups was analysed using multiple regression. Individual comparisons between ethnic groups were made using Bonferroni correction. Mortality was assessed using Kaplan-Meier curves and Cox Regression. Analyses were adjusted for age, NIHSS, ICH volume and time to treatment. Confidentiality agreements precluded identification of the individual trials in VISTA-ICH and so analyses were not adjusted for trial. Since death is present in outcome scores such as mRS (6), HUS (0) and BI (-

5), death was scored for EQ-VAS as -1. Statistical significance was set at  $p \leq 0.05$ . All analyses were performed using SPSS (version 21) running on an Apple Mac computer.

## **6.4 Results**

### **6.4.1 Baseline clinical and neuroimaging characteristics**

A total of 1011 patients were identified: 979 recruited from VISTA-ICH,<sup>427</sup> and 32 from ENOS.<sup>137</sup> The mean age was 66.2 years, 63.2% were male, the average time to treatment was 3.7 hours, and the mean GCS was 13.8 (Table 6.1). The majority of patients were Caucasian (78.1%), followed by Asians (14.5%) and Blacks. Less than 2% were in the 'other group' and these were removed from further analyses. When analysed by baseline characteristics, Black patients were younger and more likely to have a previous history of hypertension and higher SBP at baseline. Caucasian patients trended to have more atrial fibrillation, and Asian patients were less likely to have a prior history of antithrombotic use. The mean ICH volume was 22.7 cm<sup>3</sup> and most haematomas were located in the deep subcortical white matter of the brain (Table 6.1).

### **6.4.2 Clinical outcomes**

Table 6.2 shows clinical and radiological outcome measures by ethnicity. The primary outcome, mRS, did not differ between race groups at either day 7 or 90 (Figure 6.1). Similarly, there was no difference in NIHSS during the first 7 days. Whilst the Barthel Index differed at 7 days, it was not significant at day 90 using a test of multiple comparisons. Quality of life, assessed using two measures (health utility status derived from EQ-5D, and EQ-VAS), differed between the three groups (Table 6.2, Figure 6.2); following adjustment for multiple comparisons, Caucasians had worse quality of life scores for both EQ-5D and EQ-VAS as compared to Asians (Table 6.2). Adjusted survival rates differed between race-ethnicity groups (Table 6.2, Figure 6.3), explained by a significant difference between Asians and Caucasians ( $p=0.005$ ). There were no other significant differences for other outcomes.

### **6.4.3 Neuroimaging outcomes**

ICH volume differed across the race groups on both the first follow-up scan (Table 6.2, Figure 6.4) and at 72 hours. (Table 6.2); in both cases, Caucasians tended to have larger haematoma (Table 6.2). Similarly, oedema volumes differed across the race groups on both the first follow-up scan and at

day 7 with Caucasian patients have large oedema volumes than Black patients. The presence of hydrocephalus also differed and was highest in Caucasians. The presence of intraventricular haemorrhage, subarachnoid haemorrhage and midline shift did not differ between the race groups (Table 6.2).

When assessing the relationship between baseline haematoma volume and mRS, there was a significant difference in the slope of the regression lines between the race-ethnicity groups; Blacks and Asians appeared to have a worse mRS for a given haematoma volume ( $p=0.047$ ; Figure 6.5). There were no other significant differences between any of the other comparison groups.

## **6.5 Discussion**

To our knowledge, this is the first study to examine clinical and neuroimaging features and outcomes in ICH patients across three race groups. Significant differences in age, the frequency of previous stroke and hypertension, Glasgow coma scale, blood pressure and ICH volume were present between Caucasian, Asian and Black patients. Similarly, differences in neuroimaging features were present for haematoma location

and volume, oedema volume, and the presence of subarachnoid haemorrhage, hydrocephalus, leukoaraiosis and cerebral atrophy. Although there was no difference in the primary outcome of mRS at day 90, significant differences in case fatality and quality of life were seen. Similarly, differences in ICH and oedema volume, and the presence of hydrocephalus, were present between the race groups.

Black patients were younger and a larger proportion had a history of hypertension which could be associated with increased smoking, alcohol and drug abuse.<sup>16, 426</sup> It is noteworthy that BP in Blacks was higher on admission and probably reflects inadequate control, poor compliance with treatment or treatment resistance.<sup>426, 428</sup> Higher BP in the acute phase of ICH is common<sup>210</sup> and associated with neurological deterioration through haematoma expansion and re-bleeding.<sup>210, 429, 430</sup> The treatment implications of racial difference in BP lowering needs investigation as evidence suggests that early treatment is both safe and feasible,<sup>261</sup> and is now recommended in guidelines.<sup>431, 432</sup> In contrast to a previous report of greater mortality in Blacks for every stroke subtype,<sup>433</sup> VISTA-ENOS found reduced survival in Caucasians with ICH. This finding is difficult to explain and may relate to haemorrhage location, inherent susceptibility to ICH or quality

of care or simply that race-ethnic differences persist even after controlling for such characteristics.

As would be expected, ICH survivors had a quality of life worse than that reported in the general population.<sup>434, 435</sup> The difference between Caucasians and Asians has been reported previously,<sup>423, 436</sup> and may relate to family values, cultural attitudes and care preferences.<sup>436, 437</sup> In one study, Asians were more likely to be living at home whilst Caucasians were less likely to be discharged home despite better functional improvement.<sup>438</sup> Apart from cultural and family factors, age, female sex, stroke severity, persistent neglect and socioeconomic status have also been shown to affect quality of life after stroke.<sup>439, 440</sup>

The strengths of the study include a relatively large sample size for a study population with detailed clinical, radiological information and multiple functional endpoints. The included patients were from neutral RCT's or control group and therefore assessment of the race-ethnic differences avoided the confounding effects of active treatment. However, there are some limitations. First, the analysis of observational data from RCT's has the disadvantage that patients were included on the basis of pre-specified selection criteria. Therefore, the

results may not be applicable to a population of unselected ICH patients. Second, the VISTA collaboration does not reveal the identities of the individual trials and so it is uncertain as to what inclusion criteria were used to select patients. Additionally, analyses could not be adjusted for the source trials, which is important since trials themselves are a determinant of outcome. Third, ethnicity relates to culture, geography, language and it is not clear from the data if this was self-reported or defined by the investigator. Fourth, to compensate for limited numbers, distinct subpopulations were grouped into one ethnic group (for example Asians included people from China, Philippines, the Indian subcontinent, and Arabian peninsula) whilst small groups, present in the 'others' category were excluded. This may have not been appropriate as stroke risk and functional outcome vary within subpopulations living in the same country and between countries.<sup>441, 442</sup> Last, the analysis is limited to trials sharing information within the collaboration and therefore those studies that are not represented in VISTA would not have been included.

In conclusion, this analysis from hyperacute trials in acute haemorrhagic stroke found significant race differences in baseline clinical characteristics and haematoma measures.

There were no difference in the primary outcome of death or dependency but differences in death rates and quality of life were apparent. Further studies are needed to which factors explain race-ethnic differences and whether these differences become larger over time. This could include developing countries or those without access to specialist stroke care teams.

**Table 6.1** Baseline characteristics of 1011 patients with intracerebral haemorrhage by ethnicity. Data are number (%) or mean (standard deviation). Comparisons by chi-square test or one-way analysis of variance.

Demographics	N	All	Caucasian	Asian	Black	Other	2p	C v A 2p	C v B 2p	A v B 2p
Number of patients (%)		1011	790 (78.1)	147 (14.5)	56 (5.5)	18 (1.8)				
<i>Clinical findings</i>										
Age (years)	1011	66.2 (12.5)	67.7 (12.1)	61.3 (12.5)	58.4 (11.3)	61.9 (11.0)	<0.001	<0.0001	<0.0001	0.13
Sex, male (%)	982	621 (63.2)	489 (63.3)	40 (65.6)	32 (57.1)	10 (71.4)	0.56	0.64	0.39	0.33
OTT (hrs)	1007	3.7 (1.2)	3.7 (1.2)	3.6 (1.4)	3.4 (1.2)	3.7 (1.2)	0.08	0.26	0.035	<0.0001
<i>Medical history</i>										
Previous stroke (%)	1011	106 (10.5)	71 (9.0)	24 (16.3)	8 (14.3)	3 (16.7)	0.017	0.011	0.23	0.83
Hypertension (%)	1011	813 (80.4)	613 (77.6)	133 (90.5)	52 (92.9)	15 (83.3)	<0.0001	<0.0001	0.006	0.78
IHD (%)	1011	105 (10.4)	92 (11.6)	10 (6.8)	3 (5.4)	0 (0)	0.07	0.11	0.19	1.00
Diabetes mellitus (%)	1011	177 (17.5)	135 (17.1)	25 (17.0)	11 (19.6)	6 (33.3)	0.89	1.00	0.59	0.68
HC (%)	997	49 (4.8)	43 (5.4)	5 (3.4)	1 (1.8)	0 (0)	0.49	0.40	0.42	1.00
Atrial fibrillation (%)	1011	68 (6.7)	62 (7.8)	4 (2.7)	2 (3.6)	0 (0)	0.047	0.022	0.43	0.67
Antithrombotic(s) (%)	162	162 (16.0)	143 (18.1)	8 (5.4)	7 (12.5)	4 (22.2)	0.005	1.00	0.77	0.87

NIHSS (/42) †	1008	13.7 (1.9)	13.7 (5.6)	13.3 (6.0)	15.1 (7.4)	13.7 (1.9)	0.12	0.37	0.08	0.07
GCS (/15)	1010	13.8 (1.8)	13.8 (1.8)	13.4 (1.9)	13.2 (2.2)	14.1 (1.7)	0.010	0.037	0.015	0.37
Systolic BP (mmHg)	1008	174.4 (29.2)	173.1 (29.3)	176.7 (26.5)	185.7 (40.0)	174.4 (29.2)	0.005	0.18	0.002	0.041
Diastolic BP (mmHg)	1007	93.2 (19.0)	91.6 (18.5)	97.1 (18.5)	106.0 (21.1)	93.8 (20.8)	<0.001	0.001	<0.0001	0.003
Heart rate (bpm)	1005	80.0 (15.1)	78.1 (15.4)	76.6 (13.8)	79.9 (14.6)	78.2 (14.1)	0.34	0.27	0.40	0.14
<i>Neuroimaging</i>										
Haematoma location (%)										
Lobar	863	134 (13.3)	122 (15.4)	5 (3.4)	7 (12.5)	0	0.001	<0.0001	0.57	0.045
Subcortical white matter	893	685 (67.8)	541 (68.5)	93 (63.3)	42 (75.0)	9 (50.0)	0.006	0.30	0.73	0.34
Thalamus	600	217 (21.5)	181 (22.9)	22 (15.0)	10 (17.9)	4 (22.2)	1.00	1.00	1.00	1.00
Brain stem/cerebellum	893	21 (2.1)	17 (2.2)	2 (1.4)	1 (1.8)	1 (5.6)	0.82	0.75	1.00	1.00
Haematoma volume (cm <sup>3</sup> )	996	22.7 (23.1)	24.2 (24.9)	17.8 (13.1)	15.8 (14.1)	18.5 (17.3)	0.005	0.03	0.014	0.34
Oedema volume (cm <sup>3</sup> )	874	13.9 (15.7)	14.9 (16.9)	11.1 (9.2)	9.9 (10.4)	8.1 (7.8)	0.007	0.015	0.041	0.48
Midline shift (%)	885	623 (61.6)	488 (61.8)	90 (61.2)	38 (67.9)	7 (38.9)	0.62	0.67	0.43	0.71
SAH (%)	1007	80 (7.9)	73 (9.2)	3 (2.0)	1 (1.8)	3 (16.7)	0.003	0.02	0.05	1.00
IVH (%)	876	286 (28.3)	234 (29.6)	33 (22.4)	17 (30.4)	2 (11.1)	0.22	0.14	0.75	0.26
Hydrocephalus (%)	860	619 (61.2)	517 (65.4)	64 (43.5)	29 (51.8)	9 (50.0)	<0.001	<0.0001	0.004	0.74
Leukoaraiosis (%)	619	287 (28.4)	251 (31.8)	21 (14.3)	12 (21.4)	3 (21.4)	0.28	0.29	0.18	0.66
Cerebral atrophy (%)	1007	552 (54.6)	469 (59.4)	50 (34.0)	21 (37.5)	12 (66.7)	<0.001	<0.0001	0.002	0.74

† Calculated <sup>379</sup> from Scandinavian Stroke Scale in ENOS

BP: blood pressure; GCS: Glasgow Coma Scale; HC: hypercholesterolemia; IHD: ischaemic heart disease; IVH: intraventricular haemorrhage; OTT: onset to treatment; SAH: subarachnoid haemorrhage

**Table 6.2** Clinical and radiological outcomes by race groups. Data are number (%) and mean (standard deviation). Comparisons between group differences made by chi-square test or analysis of variance; multiple comparisons include Bonferroni correction.

	n	All	Caucasian	Asian	Black	Other	2p	C v A	C v B	A v B
Patients		1011	790	147	56	18		2p	2p	2p
<i>Clinical</i>										
mRS (/6)										
Day 7	538	4.1 (1.1)	4.2 (1.1)	4.2 (0.8)	3.9 (1.3)	4.6 (0.5)	0.36	1.00	0.07	0.12
Day 90	873	3.2 (1.6)	3.2 (1.6)	3.0 (1.6)	3.4 (1.7)	3.1 (1.8)	0.14	0.18	1.00	0.37
NIHSS (/42) †										
Day 1	936	13.1 (7.0)	13.1 (7.0)	12.8 (6.7)	13.2 (8.1)	12.4 (6.5)	0.89	1.00	1.00	1.00
Day 3	564	12.5 (8.0)	12.7 (8.2)	11.0 (6.3)	11.6 (8.3)	14.8 (7.0)	0.29	0.42	1.00	1.00
Day 7	534	10.8 (7.1)	10.9 (7.9)	10.2 (6.1)	10.7 (7.5)	13.1 (6.7)	0.80	1.00	1.00	1.00
Day 90	958	8.1 (9.8)	8.1 (10.1)	6.0 (5.7)	9.6 (10.4)	9.7 (10.6)	0.31	0.88	0.96	0.41
Barthel Index (/100)										

Day 7	539	28.9 (31.1)	28.6 (31.2)	30.6 (29.1)	33.9 (36.5)	14.4 (16.1)	0.010	1.00	1.00	1.00
Day 90	872	63.0 (37.4)	62.9 (37.6)	66.0 (35.7)	56.4 (39.0)	66.5 (39.1)	0.28	1.00	0.68	0.34
Day 90										
Death (%)	1011	211 (20.9)	189 (23.3)	11 (7.5)	9 (16.1)	2 (11.1)	<0.001	<0.001	0.59	0.33
EQ-5D (/1)	487	0.29 (0.37)	0.25 (0.36)	0.46 (0.40)	0.31 (0.40)	0.40 (0.39)	<0.001	<0.001	1.00	0.18
EQ-VAS (/100)	321	46.0 (33.5)	43.3 (33.6)	57.0 (30.2)	44.2 (35.2)	42.5 (60.1)	0.015	0.012	1.00	0.34
<i>Neuroimaging</i>										
ICH vol. (cm <sup>3</sup> )										
Follow up scan	523	32.3 (32.2)	34.7 (34.4)	26.2 (23.8)	23.7 (23.6)	18.9 (24.1)	0.017	0.07	0.14	1.00
72 hours	930	27.3 (28.6)	28.7 (30.4)	23.3 (19.7)	20.1 (20.3)	21.6 (20.4)	0.019	0.13	0.09	1.00
Oedema vol. (cm <sup>3</sup> )										
Follow up scan	428	31.8 (31.8)	34.1 (33.9)	29.1 (25.7)	19.7 (20.8)	19.7 (18.4)	0.030	0.65	0.038	0.46
72 hours	924	33.6 (30.9)	33.6 (30.9)	29.9 (22.1)	22.0 (19.6)	24.8 (23.4)	0.009	0.53	0.012	0.26
IVH (%)										
Follow up scan	399	195 (19.3)	145 (18.4)	35 (23.8)	14 (25.0)	1 (5.6)	0.98	0.90	1.00	1.00
72 hours	811	344 (34.0)	277 (35.1)	46 (31.3)	19 (33.9)	2 (11.1)	0.41	0.54	0.55	1.00
72 hours										
SAH (%)	566	97 (9.6)	87 (11.0)	7 (4.8)	2 (3.6)	1 (5.6)	0.23	0.21	0.20	0.71

Hydrocephalus (%)	791	605 (59.8)	498 (63.0)	67 (45.6)	31 (55.4)	9 (50.0)	<0.001	<0.001	0.016	0.60
Midline shift (%)	790	628 (62.1)	490 (62.0)	94 (63.9)	37 (66.1)	7 (38.9)	0.54	0.25	0.72	0.37

A: Asians; B: Blacks; C: Caucasians; EQ-5D: European Quality of Life-5 dimensions; EQ-VAS: European Quality of Life-Visual Analogue Scale; ICH: intracerebral haemorrhage; IVH: intraventricular haemorrhage; mRS: modified Rankin scale; NIHSS: National Institutes of Health Stroke Scale; SAH: subarachnoid haemorrhage

†Calculated from Scandinavian Stroke Scale in the ENOS trial <sup>379</sup>

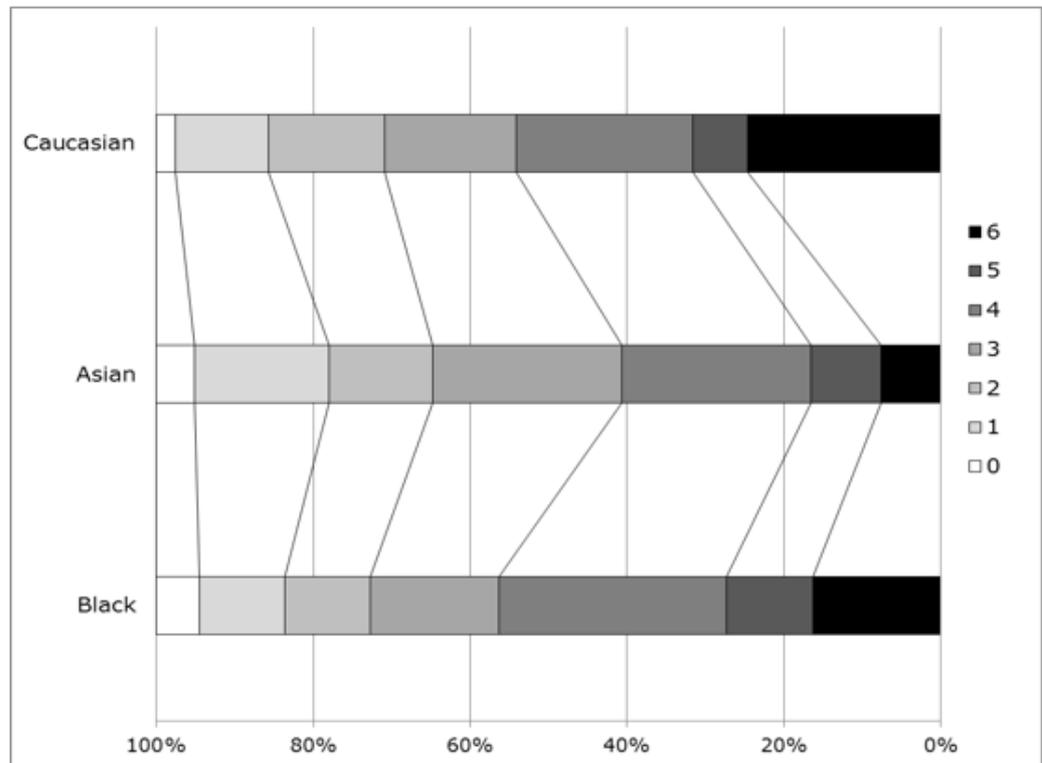
Range of scores for patients in ENOS:

Barthel Index: -5 (death) to 0 (severe disability) to 100 (no disability).

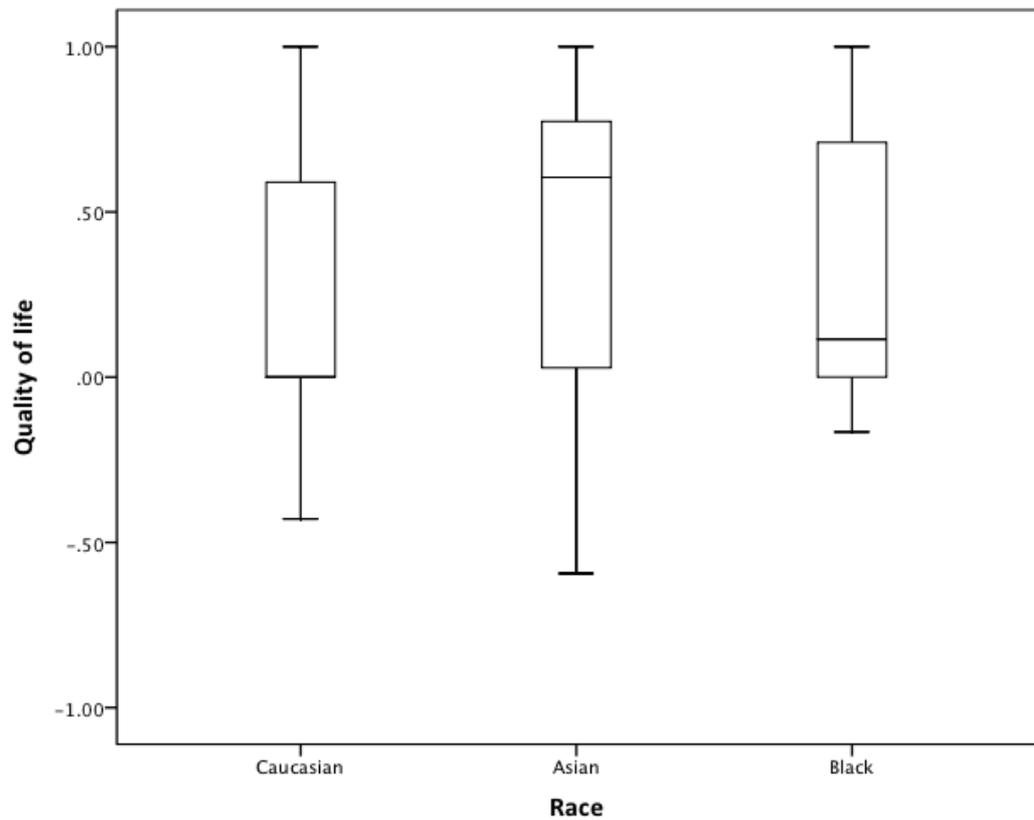
Health utility status (HUS, derived from European Quality of Life-5 dimensions, EQ-5D): -0.5 (very poor quality of life, 0 (death) to 1.0 (perfect quality of life). European Quality of Life-Visual Analogue Scale (EQ-VAS): -1 (death), 0 (very poor) to 100 (excellent).

Zung Depression Scale (ZDS): 0 (normal), 100 (severe depression) to 102.5 (death)

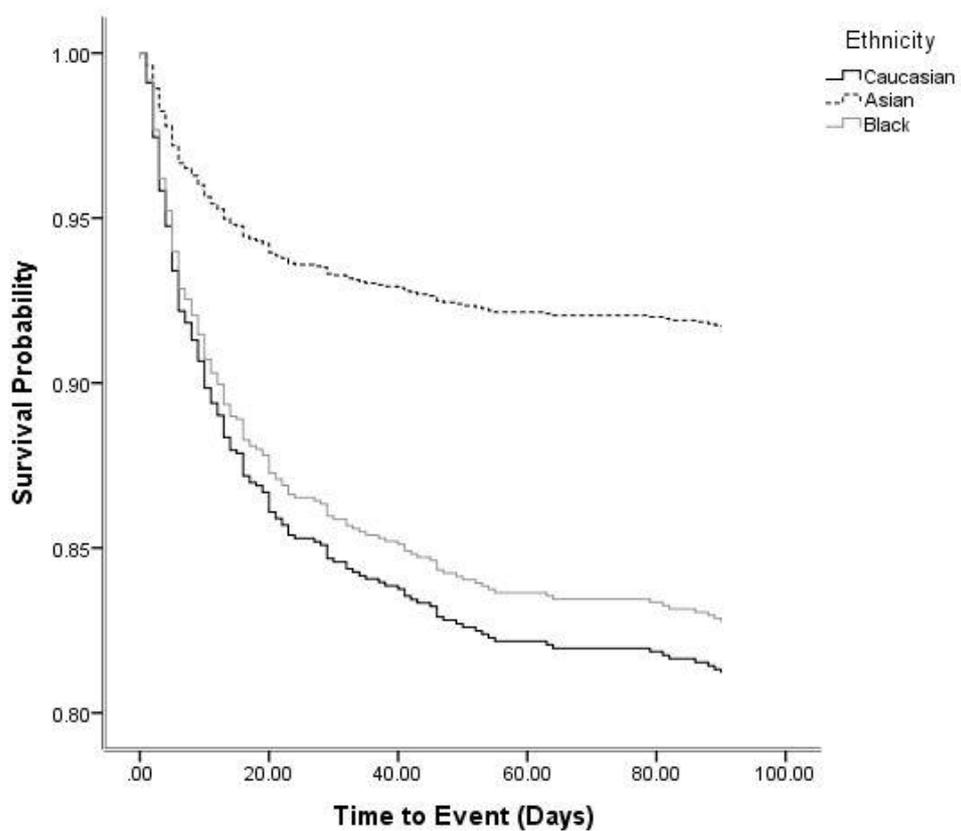
**Figure 6.1** Distribution of modified Rankin scores between the three race groups at day 90.



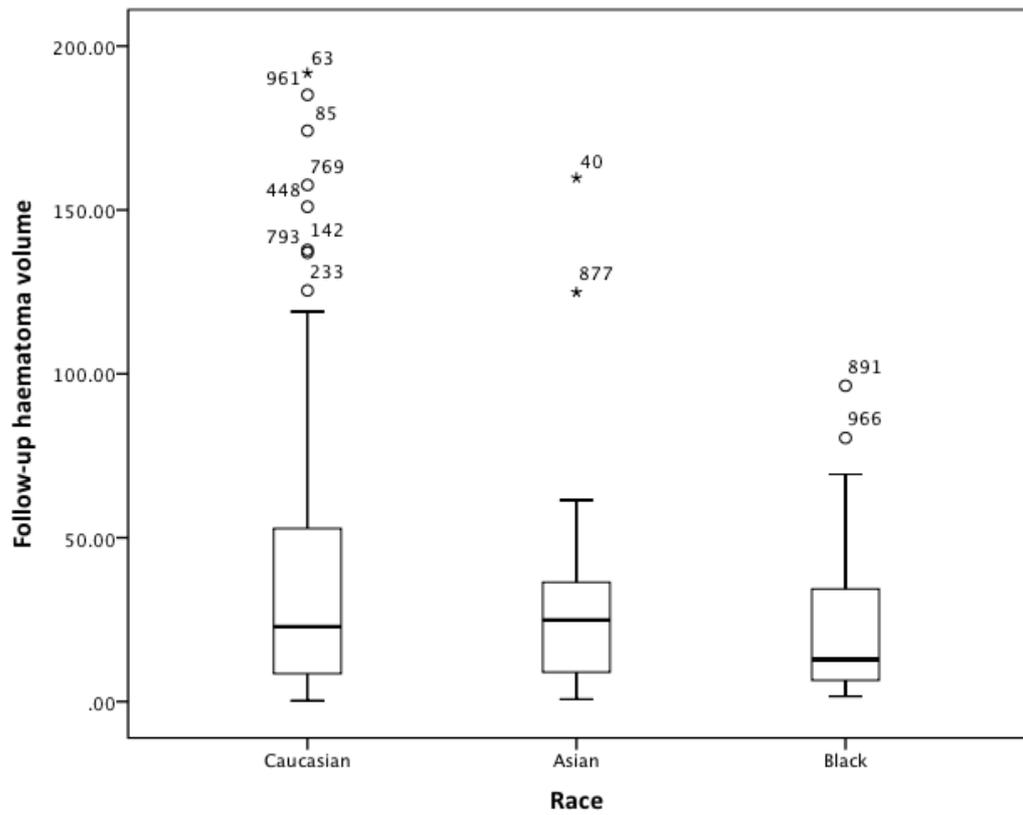
**Figure 6.2** Box plots of Euro Quality of Life-5Dimension-3 level scores (n=487) at day 90. Comparison by ANOVA  $2p < 0.001$ ; Caucasian vs Asian  $2p < 0.001$ , Caucasian vs Black 1.00, Asian vs Black  $2p = 0.18$ .



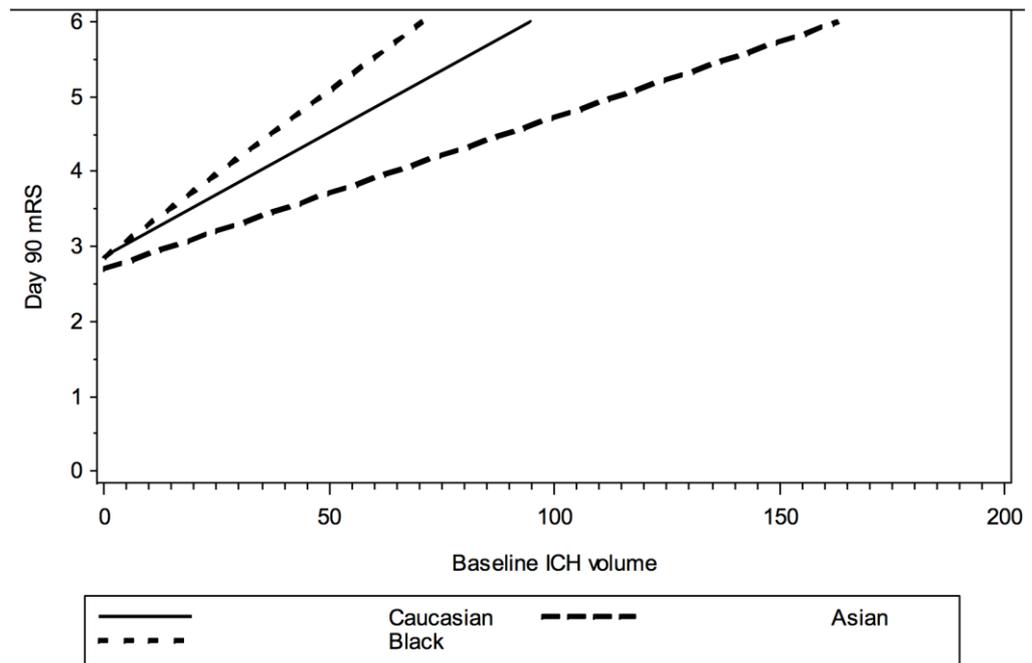
**Figure 6.3** Cox regression with adjustment for age, baseline systolic blood pressure, stroke severity (NIHSS), haematoma volume and time to treatment: overall  $p=0.021$ ; Asians vs Caucasians, hazard ratio 0.42 (95% CI 0.22, 0.77);  $p=0.005$ .



**Figure 6.4** Intracerebral haematoma volume (n=523) on first follow-up imaging by race. Comparison by ANOVA  $2p=0.017$ ; Caucasian vs Asian  $2p=0.07$ , Caucasian vs black  $0.14$ , Asian vs black  $2p=1.0$ .



**Figure 6.5** Relationship between haematoma volume at baseline and functional outcome, assessed as the modified Rankin Scale (mRS), at day 90 for Caucasians, Asians and Blacks. The continuous and dotted lines indicate the regression lines.



Overall comparison between the three ethnicities (using ANCOVA) showed a difference in the slopes of the regression lines between the three groups ( $p < 0.0001$ ). Individual comparisons found significant difference in the slopes of the regression lines between Blacks vs Asians ( $p = 0.047$ ; using Bonferroni correction). There were no significant differences between any of the other ethnic group comparisons. Analysis was adjusted for age, baseline NIHSS, ICH volume and time to treatment.

## **Chapter 7 - The effects of deliberately altering blood pressure in acute stroke: a systematic review and meta-analysis**

Publications contributing to this chapter:

1. PMW Bath, K Krishnan. Interventions for deliberately altering blood pressure in acute stroke. Cochrane Database of Systematic Reviews 2014, Issue 10. Art. No.: CD000039.
2. Kailash Krishnan, Philip MW Bath. Interventions for deliberately altering blood pressure in acute stroke. Stroke. 2015; 46:00-00.
3. Kailash Krishnan, Philip MW Bath. Interventions for deliberately altering blood pressure in acute stroke. Oral presentation at the UK Stroke Forum 2014.

## **Introduction**

This chapter is an abbreviated version of a systematic review and meta-analysis of published and unpublished randomised controlled trials aimed at altering BP within 1 week of acute ischaemic or haemorrhagic stroke and a review of the methodology is described in this section.

## **Background**

Traditional medical review articles broadly narrate the literature and attempt to synthesise results and conclusions of a particular subject. However, this approach is subject to personal bias of the author/s, a bias in the selection of the included studies and inferences may not be easily verified.<sup>443,</sup>  
<sup>444</sup> By comparison, a systematic review poses a specific research question taking into account all relevant literature including studies with and without conflicting results, appraises their quality and provides an answer that is reproducible and free of bias.<sup>445</sup> In addition to identifying whether certain groups of studies (e.g. small studies, studies with negative results) are excluded in the analysis, a systematic review offers the following advantages:

- summarises large amounts of information
- reports the generalisability and consistency in studies

- discusses when the study data is sparse or of poor quality
- identifies common definitions for outcomes
- extracts estimates of outcomes
- describes the robustness of the results
- allows hypothesis generation in subgroups
- discusses why an intervention may work thereby reducing delay between research findings and implementation in clinical practice. The conclusions in a systematic review are reliable because of the thorough and standardised methods used.

After defining a precise question, a comprehensive literature search is undertaken appropriate to the topic of interest. Between 30-80% of all randomised controlled trials can be found on MEDLINE and other standard database search engines and therefore other sources are used, including hand searching references listed in the primary source, reviewing conference abstracts, internet trial registers, 'grey literature' (e.g. non peer reviewed journals, product information from pharmaceutical companies), contacting investigators for unpublished information and 'raw data' via personal communication.<sup>445, 446</sup> Involvement of more than one reviewer at this stage is important to determine the level of detail in

each search strategy, reasons for study inclusion or exclusion and to avoid losing relevant data.<sup>445, 447</sup> Once selected, the studies should be evaluated for quality. Various scoring systems are available,<sup>446, 448</sup> but in essence all included studies are assessed for the following: whether the selected participants are similar to those in clinical practice, adequate concealment of random allocation, use of appropriate statistical tests, withdrawal reporting and blinding in outcome assessment.

The statistical techniques used to analyse data from all included studies is known as meta-analysis.<sup>443</sup> This is performed in two steps. First, the treatment effect is computed for each study. For continuous data, the mean difference or standardised mean difference is used. The former is used when the outcome is measured on the same scale (e.g. blood pressure measurement) and the latter is calculated when different scales are used to measure the same outcome (e.g. scoring of pain). With categorical data, risk ratio (RR), odds ratio (OR), absolute risk reduction (AR) and number needed to treat (NNT) are used as measures of effect.

The second stage of a meta-analysis is combining the extracted data to derive an overall pooled effect with greater weightage given to studies with more information. The approach chosen to combine data depends whether heterogeneity between the individual studies is present. Heterogeneity suggests that the results of each individual study are not compatible with the results of the others. Common sources of this variation include clinical (e.g. differences in patient selection, drug dosage, frequency, route of administration, measuring outcomes) or methodological (trial design, comparators, time to assess outcome and methods to ascertain outcome) differences. Advanced software such as the Review Manager (RevMan) endorsed by the Cochrane collaboration will investigate for statistical heterogeneity using the  $\chi^2$  and the  $I^2$  tests.  $\chi^2$  assesses whether the differences in study results are attributed to chance alone and a value of 0.10 is considered significant.<sup>443,</sup>  
<sup>445</sup> The  $I^2$  computes heterogeneity as a percentage and a value >40% suggests this. If statistical heterogeneity is demonstrated, explanations such as clinical heterogeneity or differences between participants or trial design should be sought. If the latter is thought to be present, the analysis will use a random effects model;<sup>449</sup> this means that the meta-

analysis result is an un-weighted average effect size across all the included studies.

Another important factor to be taken into account is publication bias, where studies are more likely to be published if their results are 'significant' than if their results are negative or inconclusive. This can be assessed using a funnel plot,<sup>450</sup> which visually depicts the effect estimates (e.g. OR) from each study on the horizontal axis against a measure of study precision (standard error) on the vertical axis. In the absence of any bias, the plot will display as an inverted funnel with the apex centred on the summary estimate and 95% of all studies included within 1.96 standard errors on each side.<sup>451</sup>

The results of the meta-analysis are displayed in a standard graphical way using a forest plot.<sup>452</sup> The figure can be sectioned into five columns.<sup>449, 453</sup> All included studies are displayed as rows. The first column usually shows the study ID's, usually the first author followed by the year. The second and third columns indicate the intervention and control groups. The fourth column gives the visual display of the study results. The line in the middle represents 'the line of no effect' and assigned a value of 1 for a binary outcome variable (OR, RR) or 0 for a continuous outcome variable. The square

is the mean effect estimate for that study and the area is proportional to the weighting given to that study. The horizontal line through each square is the length of the 95% confidence interval. The longer the line, the greater is the width of the confidence interval. The fifth column gives the numerical value for each study identical to the visual display of the graph in the fourth column. The diamond at the bottom row of the graph is the summary result; the middle of the diamond lies on the value for the overall effect estimate and the horizontal tips represent the confidence interval.

If the diamond does not cross the 'line of no effect', then the result represents an overall positive or negative effect. Conversely if the diamond crosses the vertical line, there is no statistical difference between the groups.

As with all papers, the last step is writing which includes a summary of the results, recommendations for clinical work (for example, in whom the intervention is likely to benefit, under what conditions) and what areas or topics require more research.

## **ABSTRACT**

### **Background**

It is unclear whether blood pressure should be altered actively during the acute phase of stroke. This is an update of a Cochrane review first published in 1997, and previously updated in 2001 and 2008.

### **Methods**

Randomised controlled trials of interventions that would be expected on pharmacological grounds to alter BP within one week of acute ischaemic or haemorrhagic stroke were identified through electronic searches of databases and patient data collated. The effect of altering BP on functional outcome (modified Rankin scale, mRS; Barthel Index, BI) and blood pressure were assessed both overall and in pre-specified subgroups, including by time to treatment. Results were reported as odds ratio (OR) with 95% confidence interval (CI) for dichotomous data and as mean difference (MD) for continuous data.

## Results

Twenty-six trials involving 17,011 participants were included (8497 participants were assigned active therapy and 8514 participants received placebo/control). Not all trials contributed to each outcome. Most data came from trials that had a wide time window for recruitment; four trials gave treatment within six hours and one trial within eight hours. The trials tested alpha-2 adrenergic agonists (A2AA), angiotensin converting enzyme inhibitors (ACEI), angiotensin receptor antagonists (ARA), calcium channel blockers (CCBs), nitric oxide donors, thiazide-like diuretics, and target-driven BP lowering. One trial tested phenylephrine.

Blood pressure lowering did not reduce death or dependency by stroke type (OR 0.98, 95% CI 0.92 to 1.05) or time to treatment (OR 0.98, 95% CI 0.92 to 1.05). Treatment within six hours of stroke appeared effective in reducing death or dependency (OR 0.86, 95% CI 0.76 to 0.99) but not death (OR 0.70, 95% CI 0.38 to 1.26) at end of trial. Although death or dependency did not differ between patients who continued pre-stroke antihypertensive treatment versus those who stopped it temporarily (worse outcome with continuing treatment, OR 1.06, 95% CI 0.91 to 1.24), disability scores at

end of trial were worse in patients randomised to continue treatment (Barthel Index, MD -3.2, 95% CI -5.8, -0.6)

## **Conclusions**

There is insufficient evidence that lowering blood pressure during the acute phase of stroke improves functional outcome. Continuing pre stroke antihypertensive drugs immediately may be harmful. It is reasonable to withhold BP-lowering drugs until patients are medically and neurologically stable, and have suitable oral or enteral access, after which drugs can then be reintroduced. Further trials are needed to identify which patients are most likely to benefit from early treatment, in particular whether treatment started very early is beneficial.

## 7.1 Introduction

Acute stroke, whether due to infarction or haemorrhage is associated with high blood pressure in 75% of patients of whom 50% have a previous history of high blood pressure.<sup>454,</sup>  
<sup>455</sup> The mechanisms underlying high blood pressure in stroke are complex and the adverse effects on outcome in both ischaemic stroke and haemorrhagic stroke was discussed in chapter 1. Haematoma expansion is related to high blood pressure in patients with intracerebral haemorrhage although this relationship may be confounded by stroke severity and time to presentation.<sup>115, 210, 361, 456</sup>

A Cochrane review of BP intervention in stroke published in 2001 and updated in 2008 included more information from thirteen randomised controlled trials analysing 1153 patients.<sup>457</sup> With a relatively small amount of data, there was insufficient evidence to evaluate the effect of altering blood pressure during the acute phase of stroke. The presented review and meta-analysis includes all new trials completed and published since 2008. Although many of the data are from trials testing BP alteration in the acute phase ( $\leq 48$  hours), some recent trials have examined specific questions such as BP lowering in intracerebral haemorrhage,<sup>260, 261</sup> the use of an

ARA to lower BP,<sup>458</sup> the use of glyceryl nitrate in stroke<sup>137</sup> or lowering BP in the pre-hospital setting phase of stroke.<sup>264, 459</sup> Furthermore, two trials<sup>136, 137</sup> have now investigated whether to continue or stop temporarily pre-stroke antihypertensive therapy. This systematic review includes these this data and provides new information of deliberate blood pressure intervention in acute stroke.

## **7.2 Methods**

### **7.2.1 Types of studies**

Published and unpublished randomised controlled trials of vasoactive drugs in acute ischaemic stroke or acute intracerebral haemorrhage (ICH) where the aim of the trial was to alter blood pressure, and drug therapy was initiated within one week of stroke onset. We excluded uncontrolled studies, confounded controlled studies where two or more active interventions were compared, and studies of patients with subarachnoid haemorrhage.

### **7.2.2 Types of participants**

Adults (age 18 or older) of either sex with acute ischaemic stroke or ICH who were eligible for randomisation to either active treatment, or placebo or open control.

### **7.2.3 Types of interventions**

We sought randomised controlled trials evaluating single or multiple agents of deliberate blood pressure lowering or elevation in acute stroke, regardless of dosage or route of treatment, as compared against placebo or open control. We also included trials with two groups receiving different doses of the same BP lowering agent, and studies assessing effects of continuing or stopping pre-existing antihypertensive treatment.

### **7.2.4 Types of outcome measures**

#### **Primary outcomes**

- Combined death or disability/dependency at end of trial ( $\geq$  one month after stroke).
- Death or dependency was defined as modified Rankin Scale (mRS)  $>2$  (or  $>3$  as available). Death or disability was defined as Barthel Index  $<60$ .

#### **Secondary outcomes**

- Blood pressure when first measured after randomisation
- Early case fatality ( $<$  one month).
- Late case fatality ( $\geq$  one month).

- Early neurological deterioration (< one month).

As there is no consensus on how early neurological deterioration should be standardised, we used the trial-specific definition as a decrease in SSS of >5points or a decrease in consciousness part of the SSS by > 2 points,<sup>137</sup> increase in NIHSS of 2<sup>460</sup> or more<sup>136, 261, 262</sup> or decline of 2 or more points in GCS.<sup>261</sup>

- Late disability or dependency (Barthel Index >=one month)
- Baseline and on-treatment blood pressure and heart rate

### **7.2.5 Search methods**

These comprised electronic searches and assessment of studies referenced in published systematic and non-systematic reviews. No language restrictions were applied.

#### **7.2.5.1 Electronic searches**

We searched the Cochrane Stroke Group Trials Register, (last searched by the Managing Editor in February 2014), the Cochrane Database of Systematic reviews (CDSR) and the Cochrane Central Register of Controlled Trials (CENTRAL) (The Cochrane Library Issue 2, 2014), MEDLINE (Ovid) (1966 to

May 2014), EMBASE (Ovid) (1974 to May 2014), Science Citation Index (ISI, Web of Science, 1981 to May 2014) and the Stroke Trials Registry ([www.strokecenter.org/trials/](http://www.strokecenter.org/trials/))(searched May 2014). The search terms included those used in a previous review and listed in the Appendix I.

#### **7.2.5.2 Searching other resources**

We searched reviews of in acute stroke relating to drugs which may alter blood pressure, including: calcium channel blockers (CCBs) (Horn 2001), nitric oxide (Bath 2002), pentoxifylline (Bath 2004a) and prostacyclin (Bath 2004b). In addition, we searched reference lists of included trials and relevant papers. We contacted principal investigators and researchers when we required additional information. For a previous version of this review (BASC 2001), one author (PB) contacted the following pharmaceutical companies: Bayer (nimodipine), Napp (pentoxifylline), Novartis (isradipine), Lipha Sante (naftidrofuryl), Hoffmann la Roche (N-methyl-D-aspartate), Hoechst (flunarizine) and UCB Pharma (piracetam).

### **7.3 Data collection**

Review authors extracted data using a standard proforma; KK entered data into Review Manager (RevMan 2012) and PB checked the data.

#### **7.3.1 Selection of studies**

For this update, one review author (KK) screened the records obtained from the electronic searches and excluded obviously irrelevant studies. We obtained the full paper copy of the remaining studies and both review authors (KK and PB) selected trials for inclusion criteria detailed previously. We resolved any disagreements by discussion.

#### **7.3.2 Data extraction and management**

We extracted data from published and unpublished material where available. We recorded information on the method of randomisation, concealment of allocation, blinding of treatment administration, stroke type (ischaemia, haemorrhage, or mixed), drug dose, route of administration (oral, sublingual, intravenous, transdermal) and timing, blood pressure and heart rate before and during treatment, numbers

of deaths, functional disability, quality of life, and length of stay.

### **7.3.3 Assessment of risk of bias in included studies**

We assessed the methodological quality of the trials using the following criteria:

- Method of randomisation.
- Balance of prognostic factors.
- Allocation concealment.
- Blinding of treatment administration.
- Intention to treat analysis.
- Blinding of outcome assessment.
- Follow up.

The quality criteria were used to derive an overall assessment bias score as 'low risk' (all criteria met), moderate risk (one or more criteria unclear) and high risk (one or more criteria absent).<sup>461</sup>

### **7.3.4 Measures of treatment effect**

We calculated the weighted estimate of the typical treatment effect across trials using the Cochrane Review Manager software, RevMan 5 (RevMan 2012): odds ratio (OR) using the Mantel-Haenszel with random-effects model for binary data,

and mean difference (MD) using the inverse variance method for continuous data, each with 95% confidence intervals (CI).

### **7.3.5 Unit of Figure issues**

The primary outcome was based on the modified Rankin Scale (mRS 0 to 6, where death =6) assess using the binary outcome of combined death or dependency (mRS >1 or >2 depending on trial definition). The Barthel Index (disability measure of activities of daily living) was also assessed (BI 100 to -5, where death =-5). Where functional outcome was not assessed, we excluded the trial from the analysis of functional outcome.

### **7.3.6 Dealing with missing data**

We attempted to collect missing data from trial investigators. In instances where on-treatment blood pressure data were not provided or could not be obtained from study authors, we obtained data (mean, SD) from graphs in the trial publication; where the SD was not presented graphically, baseline data were used, a conservative strategy. We excluded trials from individual analyses when summary data were omitted in the trial publication.

### **7.3.7 Assessment of heterogeneity**

Heterogeneity between RCTs' results was assessed using the  $I^2$  statistic based on the DerSimonian-Laird formula.

### **7.4 Data synthesis**

We performed statistical analysis using RevMan version 5.2 (RevMan 2012). We reported outcomes as odds ratio (OR) with 95% confidence intervals (CI) for dichotomous data, and mean difference (MD) with 95% CI for continuous data. We used a random-effects model to analyse individual results regardless of whether there was heterogeneity or not; this is a conservative strategy and takes into account that the trials had heterogeneous designs and participant populations.

### **7.5 Subgroup analysis and investigation of heterogeneity**

We assessed the primary outcomes in pre-specified subgroups:

- Class or type of intervention.
- Type of stroke: ischaemic; intracerebral haemorrhage.

We planned a priori subgroup analysis on the number of patients in the treated and control groups who were dead or

dependent at the end of follow up according to stroke location: cortical versus subcortical ischaemic stroke, deep intracerebral haemorrhage versus others.

The definition of deep haemorrhage was not defined in all the trials and we therefore used the data as provided.

- Timing of intervention: ultra-acute ( $\leq 4$  hours) and pre-hospital; hyper-acute ( $\leq 6$  hours) and in hospital; acute ( $\leq 48$  hours); sub-acute ( $\leq 168$  hours).

We considered  $I^2$  greater than 50% to have significant heterogeneity. If significant heterogeneity was present, we looked for potential causes, e.g. differences in trial design and study participants.

## **7.6 Sensitivity Analysis**

The analyses were based on all trials. No sensitivity analyses were performed.

## **7.7 Results**

The results of the search process of the present review are shown in Figure 7.1.

### **7.7.1 Description of studies**

Twenty-six trials involving 17,011 patients (8497 active, 8514 placebo/control) were included in this analysis.

### **7.7.2 Results of the search**

The quantity of outcome data varied between studies:

- Outcomes not universally collected.
- Some data still to be published.
- 'Raw data' available by personal communication.

If a trial used more than one dose of a particular drug then the trial identifier is written as author followed by year and dose of the drug. Referencing the whole trial was given by author and year. For example the Fagan 1988 trial comprises: (Fagan 1988 120 mg; Fagan 1988 240 mg).<sup>462</sup>

### **7.7.3 Included studies**

Twenty six trials were identified and fulfilled the inclusion criteria.

Three trials compared more than one drug against the control group<sup>262, 463, 464</sup> and two studies compared different doses.<sup>385,</sup>

<sup>462</sup>

Trial protocols and group data were obtained from published material for nineteen studies,<sup>136, 137, 262, 264, 266, 458, 460, 462, 463, 465-474</sup> whilst individual patient data were provided by seven sets of authors.<sup>385, 463, 475-477</sup> We obtained unpublished SBP, DBP and heart rate data for active and control groups by contacting the authors for three trials.<sup>136, 465, 468</sup>

A variety of strategies and drug classes were used to lower blood pressure:

- Alpha-2-adrenoceptor agonist, oral centrally-acting (clonidine: two participants): One trial<sup>463</sup>
- ACE-I (captopril, perindopril or lisinopril: 152 participants): Five trials<sup>262, 266, 463, 468, 476</sup>
- Angiotensin receptor antagonist (ARA), oral (candesartan or telmisartan: 4190 participants): Six trials<sup>458, 466, 474, 478-480</sup>
- Beta-receptor antagonist (labetalol: 56 participants): One trial<sup>262</sup>
- CCBs (nimodipine or nicardipine: 75 participants): Three trials<sup>462, 463, 475</sup>
- Diuretic, oral thiazide-like (bendrofluazide: 18 participants): One trial<sup>467</sup>

- Nitric oxide donor (NO) donor, transdermal nitrate (glyceryl trinitrate, GTN: 4197 participants): Five trials<sup>137, 385, 477, 481, 482</sup>
- Intensive versus guideline blood pressure targets (7421 participants): Five trials<sup>261, 460, 469, 472, 483</sup>
- Continue versus Stop pre-stroke antihypertensive drugs (2860 participants): Two trials<sup>136, 137</sup>

One strategy was used to raise blood pressure: Sympathomimetic, intravenous (phenylephrine: 9 participants): One trial<sup>465</sup>

The trials recruited patients with only ischaemic stroke, mixed stroke (IS and ICH), or only ICH:

- Ischaemic stroke: 12 trials<sup>462, 463, 465-468, 471, 474, 476, 478, 480, 483</sup>

In the Fagan study (Fagan 1988 120 mg; Fagan 1988 240 mg) patients were recruited with presumed 'ischaemic' stroke based on history and neurological examination.<sup>462</sup>

- Mixed stroke: 10 trials<sup>136, 137, 262, 266, 385, 458, 475, 477, 481, 482</sup>
- Intracerebral haemorrhage (ICH): 4 trials<sup>261, 460, 464, 469</sup>

Trials recruited participants at different time frames after stroke:

Ultra-acute (<4 hours of onset)/pre-hospital: 2 trials<sup>266, 482</sup>

Hyper-acute (<6 hours)/hospital: 2 trials<sup>261, 469</sup>

Acute (<48 hours): 11 trials<sup>136, 262, 458, 460, 464, 466, 468, 475, 480, 483</sup>

Sub-acute (<168 hours): 10 trials<sup>385, 463, 465, 467, 474, 476-479, 481</sup>

Timing unclear: 1 trial<sup>462</sup>

Trials variously defined enrolment blood pressure levels:

- Hypertension (systolic BP >120-170 and ≤220 mm Hg): 19 trials<sup>137, 262, 266, 385, 458, 463, 466-469, 471-474, 476, 477, 480, 484</sup>
- Normotension (systolic BP <140 mmHg): 2 trials<sup>465, 478</sup>
- No BP criteria: 3 trials<sup>136, 462, 475</sup>

Trials treated participants for varying lengths of time:

- For 1 day: 1 trial<sup>464</sup>
- For up to two days: 3 trials<sup>460, 465, 475</sup>
- For up to three days: 1 trial<sup>463</sup>
- For 7-12 days: 13 trials<sup>137, 385, 458, 466, 467, 469, 472, 473, 477, 480, 481</sup>
- For 14 days: 5 trials<sup>136, 262, 468, 476, 483</sup>
- For 21 days: 1 trial<sup>462</sup>
- For 28 days: 1 trial<sup>478</sup>

- For 3 months: 1 trial<sup>474</sup>
- For up to 2.5 years: 1 trial;<sup>471</sup> outcomes at 1-3 months are used and longer-term follow-up data are ignored.

The trials recruited from one or more centres:

- Single centre: 14 trials<sup>264, 266, 385, 460, 463, 465, 467, 468, 474-478, 481</sup>
- Multicentre: 12 trials<sup>136, 137, 262, 458, 462, 466, 469, 472, 473, 479, 480, 483</sup>

17,011 participants received placebo or control treatment across the studies. Three trials compared two or more active treatment groups (8497 participants) with one control group (8512 participants).<sup>385, 462, 463</sup> One study reported on 19 participants from a larger randomised controlled trial<sup>462</sup>; further information on the main study is not available. One trial was performed in two stages: this review includes the first phase, a double-blind comparison of candesartan versus placebo<sup>478</sup> and excludes the second open-label comparison of candesartan and an ACE-I. One study expressly included patients with either ICH, who were given intravenous nimodipine (treatment: eight participants; placebo: three participants), or ischaemic stroke, who were given oral nimodipine (treatment: 38 participants; placebo: 39

participants);<sup>475</sup> 10 participants (treatment: two participants; placebo: eight participants) were treated with antihypertensive agents for malignant hypertension, and two participants treated with intravenous nimodipine for subarachnoid haemorrhage were excluded.<sup>475</sup> Data from two trials were only available from published abstract.<sup>478, 480</sup>

#### **7.7.4 Blood pressure measurements**

Sixteen studies reported the method by which blood pressure was measured, including equipment model type and patient posture.<sup>136, 137, 262, 264, 385, 458, 463, 467, 468, 474, 476, 477, 483</sup> The Fagan trial only reported the average blood pressure measurements at, and for one hour after, morning dosing over seven days of treatment (Fagan 1988 120 mg/Fagan 1988 240 mg); in the absence of individual patient data, it is not possible to determine the blood pressure at selected time points during treatment.<sup>462</sup> Furthermore, this trial co-administered beta blockers to some patients, although these were always given at least two hours before or after nimodipine. In the ACCESS study during the first three days blood pressure measurements were performed by nurses as part of routine clinical care; on day seven, an automatic 24-hour blood pressure recording was performed.<sup>466</sup> The other

nine trials<sup>266, 462, 465, 466, 469, 472, 473, 475, 480</sup> made no mention of patient posture or how blood pressure was measured.

Three trials recorded systolic but not diastolic BP after the first intervention.<sup>261, 460, 464</sup>

### **7.7.5 Outcomes**

The trials reported a variety of outcomes:

- Modified Rankin Scale (mRS) and/or Barthel Index (BI) at  $\geq 1$  months: 15 trials.<sup>136, 137, 262, 264, 385, 458, 466-469, 473, 477, 479, 481, 483</sup> Historically, trials dichotomised outcome as death or dependency, defined as  $mRS > 2$ , or  $mRS > 3$ , or  $BI < 60$ . Ordinal analysis of ordered categorical data is more efficient statistically and provides information on severity of outcome<sup>412</sup> and recent trials have used ordinal analysis of mRS data.<sup>137, 262, 458, 469, 473, 474, 479, 483</sup>
- Case fatality at  $\geq 1$  months: 15 trials<sup>136, 137, 262, 264, 385, 458, 466-469, 473, 477, 479, 481, 483</sup>
- Early neurological impairment (e.g. National Institutes of Health Stroke Scale, Scandinavian Stroke Scale) at  $< 1$  month: 11 trials<sup>136, 262, 463, 465, 467-469, 472, 473, 476, 483</sup>
- Hospital length of stay: four trials<sup>137, 262, 264, 483</sup>

### **7.7.6 Excluded studies**

66 studies were excluded because they: lacked randomisation, were irrelevant to the questions addressed in the current review, and/or failed to provide BP or outcome assessments.

### **7.7.7 Other studies**

8 studies are either awaiting assessment<sup>485-488</sup> or are ongoing.<sup>400, 489-491</sup>

## **7.8 Statistical Analyses**

Four trials compared more than one treatment against a common control group.<sup>262, 385, 462, 463</sup> The most appropriate analysis according to a previous update of this review involved dividing the control group participants equally between treatment groups to prevent control participants being counted more than once and thereby artificially narrowing the confidence intervals.

## **7.9 Risk of bias in included studies**

Ten trials used CT prior to entry to identify<sup>460, 469, 472, 473, 475</sup> or exclude<sup>463, 465-467, 476</sup> patients with ICH. The Fagan study attempted to exclude ICH through information from the

history and neurological examination; it may therefore have inadvertently included some patients with ICH.<sup>462</sup>

### **7.9.1 Allocation bias**

We classified allocation concealment as 'low risk', 'high risk' or 'unclear risk' according to the Cochrane Handbook for Systematic Reviews.<sup>461</sup>

### **7.9.2 Blinding (performance and detection bias)**

The method of randomisation was given for 23 trials.<sup>136, 137, 262, 264, 266, 385, 458, 460, 465-469, 472-474, 476-481, 483</sup> Two authors were unable to describe the method of randomisation<sup>463, 475</sup> and one did not respond to our communication.<sup>462</sup>

Patients and investigators were blinded to treatment as follows:

- Double-blind (patient and investigator blinded): 13 trials<sup>262, 266, 458, 462, 463, 466-468, 474, 476, 478, 479, 481</sup>
- Single-blind (patient-blinded): 4 trials<sup>137, 264, 385, 477</sup>
- Open-label: six trials<sup>136, 385, 460, 472, 473, 480</sup>

### **7.9.3 Incomplete outcome data (attrition bias)**

Sixteen trials were analysed by intention to treat (ITT).<sup>136, 137, 262, 264, 385, 458, 460, 462, 463, 469, 473, 477, 479, 481, 483, 492</sup> In one study we excluded 10 patients from the analysis because they had been treated with antihypertensive agents for concurrent accelerated (malignant) hypertension.<sup>475</sup> Cardiovascular data were analysed on a per-protocol basis and outcome data by intention to treat in a trial of lisinopril.<sup>468</sup>

### **7.9.4 Selective reporting (reporting bias)**

We assessed selective reporting as low risk, high risk or unclear risk according to the Cochrane Handbook for Systematic Reviews.<sup>461</sup> We did not see evidence of selective reporting in any of the trials.

### **7.9.5 Other potential sources of bias**

One trial randomised patients before neuroimaging and those having a non-ischaemic stroke were subsequently withdrawn from the study.<sup>468</sup> Two trials did not state the method of randomisation.<sup>465, 467</sup> We did not find any other potential risks to the validity of the included studies.

## **7.10 Effects of interventions**

The result section is split into three parts:

- Comparisons of BP lowering with control
- Comparisons of continuing versus stopping temporarily pre-stroke antihypertensive drugs
- Comparisons of BP elevation with control

### **7.10.1 Blood pressure lowering**

#### **7.10.1.1 Clinical outcomes**

Twenty- one trials provided data on one or more outcomes relating to treatment with:

- ACE-I (lisinopril): three trials<sup>262, 266, 468</sup>
- ARA (candesartan, telmisartan): five trials<sup>458, 466, 474, 479, 480</sup>
- Beta-receptor antagonist (labetalol): one trial<sup>262</sup>
- Calcium channel blockers (nimodipine): one trial<sup>475</sup>
- NO donors (glyceryl trinitrate): five trials<sup>137, 264, 385, 477, 481</sup>
- Intensive BP-lowering: six trials<sup>262, 460, 469, 472, 473, 483</sup>
- BP elevation (phenylephrine): one trial<sup>465</sup>

### **7.10.1.1.1 Death or dependency, end of trial**

#### **7.10.1.1.1.1 Stroke type**

The effect of lowering BP did not vary by stroke subtype (ischaemic stroke, mixed stroke, ICH) across 14 trials (Figure 7.2).

#### **7.10.1.1.1.2 Stroke location**

The effect of lowering BP did not vary by stroke location (ICH deep or not, ischaemic stroke cortical or subcortical) across six trials with 11951 patients (Figure 7.3). Although there was insufficient evidence for heterogeneity, BP lowering in deep ICH almost reached significance (OR 0.86, 95% CI 0.73-1.00,  $p=0.06$ ) (Figure 7.3).

#### **7.10.1.1.1.3 Time to treatment**

Data from 15 trials involving 15,520 patients are available. There was significant reduction in death or dependency if treatment was administered during the hyperacute period and in hospital (OR 0.87, 95% CI 0.76-0.99,  $p=0.03$ ). Recruitment of participants later than this was associated with no benefit (Figure 7.4).

#### **7.10.1.1.2 Death, early and end of trial**

There was no overall effect of treatment on early death or death at end of trial when analysed by stroke type (Figure 7.5, Figure 7.7) or time to treatment (Figure 7.6, Figure 7.8).

#### **7.10.1.1.3 Barthel Index (disability), end of trial**

Barthel Index was assessed at the end of follow-up in 2 trials with 4350 patients (Figure 7.9). Although no significant difference was observed with stroke type (Figure 7.9), BI scores were lower if treatment was started within six 6 hours of stroke onset (Figure 7.10).

#### **7.10.1.1.4 Early neurological deterioration**

Subgroup differences were not present when analysed by stroke type (Figure 7.11). However, subgroup differences were apparent when assessed by time to treatment (Figure 7.12); specifically, an increase in neurological deterioration was seen in participants with acute stroke ( $\leq 48$  hours post stroke) (OR 1.39, 95% CI 1.07-1.81,  $p=0.01$ ) but not when trials specifically treated earlier during the ultra-acute and hyper-acute periods.

#### **7.10.1.1.5 Quality of life**

Quality of life, assessed using the EQ-5D and transformed into a Health Utility Status, was assessed in three trials (Figure 7.13). Health Utility HUS scores were higher/better with BP lowering (MD 0.03, 95% CI 0.01-0.04 MD 0.02, p=0.19). However, subgroup differences were heterogeneity was apparent between studies ( $I^2=76.0\%$ ) with a significant result in INTERACT-2 but not ENOS-GTN.<sup>137, 473</sup> When broken down into stroke types, patients with intracerebral haemorrhage in INTERACT-2 treated with BP lowering tended to report better quality of life.<sup>261</sup> When assessed by time to treatment, HUS scores were higher in participants treated  $\leq 6$  hours (MD 0.06, 95% CI 0.03-0.08), but not when treated later (Figure 7.14).

#### **7.10.1.1.6 Length of stay**

Length of stay was not influenced by lowering blood pressure and there were no subgroup differences by stroke type or time to treatment (Figure 7.15 and Figure 7.16).

## **7.10.1.2 Haemodynamic measures**

### **7.10.1.2.1 Blood pressure**

The effect of different BP-lowering strategies on BP after the first dose are summarised in Figures 7.17, 7.18 and 7.19. Altogether, 24 trials studied 15,432 participants; most participants received an ARA, a NO donor or intensive BP-lowering. The focus for the following comments are on systolic rather than diastolic BP. The magnitude of BP reduction varied between -4.6/-2.5 mmHg for oral ARA (primarily candesartan and telmisartan) and -13.7/-7.9 mmHg for ACE-Is. When assessed by stroke type, heterogeneity was present; slightly larger reductions in BP were seen in patients with ICH (-11.8/-5.1 mmHg) with mixed stroke intermediate (-7.9/-3.0 mmHg) and ischaemic stroke least (-7.0/-3.1 mmHg) (Figure 6.18). Similarly, the magnitude of reduction varied by time to randomisation or treatment ( $I^2=89\%$ ); a graded decrease was seen by time with the largest reduction occurring in participants treated during the ultra-acute/pre-hospital (-16.0/-15.0 mmHg), hyper-acute/hospital (-13.4/-7.5 mmHg), acute (-8.2/-2.8 mmHg) and sub-acute (-7.3/-4.9 mmHg) periods.

## **7.10.2 Continue versus stop pre-stroke antihypertensive drugs**

Two trials, COSSACS and ENOS tested whether pre-stroke antihypertensives should be continued in the immediate post-stroke period, or stopped temporarily.<sup>136, 137</sup> The total number of participants numbered 2860.

### **7.10.2.1 Clinical outcomes**

#### **7.10.2.1.1 Death or dependency, end of trial**

There was no significant difference in mRS at day 90 between those patients assigned to continue or stop antihypertensives by stroke type or time to treatment across both trials (Figure 7.20 and Figure 7.21).

#### **7.10.2.1.2 Death, early and end of trial**

The rates of death at the end of treatment, and end of trial, did not differ by stroke type or time to treatment (Figure 7.22, Figure 7.24, Figure 7.23 and Figure 7.25).

### **7.10.2.1.3 Barthel Index**

Barthel Index scores were lower in patients assigned to continue treatment (MD -3.2, 95% CI -0.6, -5.8,  $p=0.02$ ) (Figure 7.26).

### **7.10.2.1.4 Neurological deterioration, early**

The rate of early neurological deterioration at the end of treatment did not differ between the treatment groups (Figure 7.27).

### **7.10.2.1.5 Quality of life**

Quality of life, assessed using the EQ-5D and transformed into a Health Utility Status, was lower (i.e. worse) in patients randomised to continue pre-stroke antihypertensive drugs (MD -0.03, 95% CI -0.05, +0.01,  $p=0.008$ ) (Figure 7.28).

## **7.10.2.2 Haemodynamic measures**

### **7.10.2.2.1 Blood pressure**

Blood pressure was lower by -7.9/-1.2 mmHg at the first measurement after randomisation in participants randomised to continue treatment with the reduction in systolic BP much greater in COSSACS than in ENOS (Figure 7.29 and Figure

7.30). By the end of treatment, BP was lower by -11.3/-6.4 mmHg in the continue group (Figure 7.30 and Figure 7.31).

### **7.10.3 Blood pressure elevation therapy in acute stroke**

#### **7.10.3.1 Phenylephrine**

Phenylephrine non-significantly increased systolic BP at 24 hours (MD 21 mmHg, 95% CI -13, +55 mmHg), but had no significant effect on diastolic BP (Figure 7.33 and Figure 7.34).<sup>465</sup> However the results came from only one study and so definite conclusions can be made.

### **7.11 Discussion**

Twenty-six trials, involving 17,011 participants with stroke, assessed the effects of deliberate BP alteration.

#### **7.11.1 BP lowering**

The results come from 25 trials that studied 15,432 participants.

##### **7.11.1.1 Clinical outcomes**

Overall, lowering BP did not improve outcome, whether assessed as death, combined death or dependency,

neurological deterioration or quality of life. The findings were consistent irrespective of type of stroke. However, when assessed by time to treatment, very early BP-lowering (before hospital presentation or within six hours of stroke onset) was associated with reduced death or dependency, and improved quality of life.<sup>264, 473</sup>

#### **7.11.1.2 Haemodynamic effects**

All the studied antihypertensive drug classes lowered BP during the period of treatment. Reductions in BP after the first treatment varied between -4.6/-2.5 mmHg for oral ARA and -21.0/-7.9 mmHg for ACE-I. Slightly larger reductions in BP were seen in patients with ICH (-11.8/-5.1 mmHg) than in those with ischaemic stroke (-7.0/-3.1 mmHg). The largest reductions were seen if treatment was started very early before hospital presentation (-16.0/-15.0 mmHg); smaller reductions occurred if treatment was started beyond 48 hours after stroke onset (-7.3/-4.9 mmHg).

#### **7.11.1.3 Comments**

A variety of hypotheses can be postulated for why functional outcome was better if BP lowering was started very early after stroke. First, the magnitude of BP-lowering may be important

since the greatest improvement in outcome occurred when treatment was started early. Second, the type of intervention may be important since improved outcome was seen with early intensive BP lowering,<sup>261</sup> and early nitrate administration.<sup>137, 264</sup> Conversely, apparent hazard was seen with ARA drugs.<sup>383, 458</sup> Perhaps surprisingly, stroke type may not be particularly relevant since differential effects on outcome were not seen for IS versus ICH.

In summary, very early treatment with an appropriate agent or target BP may be the most important strategy to test in the future, irrespective of stroke type.

### **7.11.2 Continue versus Stop pre-stroke antihypertensive drugs**

The results come from two trials that studied 2860 participants.<sup>136, 137</sup>

#### **7.11.2.1 Clinical outcomes**

The findings were mixed with some comparisons, in particular dependency (mRS), death, and neurological deterioration, neutral for the comparison of continue versus stop pre-stroke antihypertensive drugs. In contrast, measures of disability (BI) and quality of life (EQ-5D, transformed into a Health

Utility Status) were worse in patients randomised to continue treatment immediately.

#### **7.11.2.2 Haemodynamic effects**

Immediately continuing antihypertensive drugs taken before stroke was associated with a lower BP by -7.9/-1.2 mmHg at the first measurement after randomisation, and -11.3/-6.4 mmHg by end-of-treatment.

#### **7.11.2.3 Comments**

The discrepancy in findings for two measures of functional outcome, mRS and BI, is challenging to explain. First, it may represent chance such that no difference exists between the interventions. Second, it could reflect outcome bias since it is not possible to test this question in a double-blind placebo-controlled design. Nevertheless, both trials used blinded outcome assessment for both mRS and BI. Further, since a majority of stroke physicians tend to continue treatment in routine practice,<sup>493</sup> the result seen across the two results is counter-intuitive. Last, the difference may be real in which case mRS, usually considered to be the optimal functional outcome in stroke trials,<sup>370</sup> failed to detect a difference in contrast to a comparison of BI scores.

If continuing drugs immediately is, indeed, hazardous, then the two trials do not identify the cause. Drugs that attenuate stress hormones, in particular that down-regulate the renin-angiotensin-aldosterone-system (RAAS) were commonly taken before stroke, e.g. ACE-I, ARA and  $\beta$ -receptor antagonists. Initiating these drugs in the acute phase of stroke has been associated with harm.<sup>458, 494</sup> So it can be postulated that continuing these during the acute phase of stroke is potentially harmful. Alternatively, continuing drugs in patients who are dysphagic and who do not have safe enteral access for feeding may be hazardous through aspiration of these drugs and then the development of pneumonia. The ENOS trial gives some support for this hypothesis.<sup>137</sup>

The main implication for clinicians is that it is reasonable to withhold BP-lowering drugs until patients are medically and neurologically stable, and have suitable oral or enteral access, after which drugs can then be reintroduced.

### **7.11.3 General**

An important problem with some of the trials was the absence of detailed information on how blood pressure was measured. Hence, the quality of blood pressure readings is unknown. It is

essential that future trials describe in detail how blood pressure is measured by including the following information.

- Equipment: manufacturer, model, measurement method (mercury, aneroid or oscillometry, and manual or automatic), and whether the equipment has been independently validated, and if so by whom.
- Measurer: who measured blood pressure, and how they were trained, assessed, re-trained and re-assessed.
- Measurements: the number of readings at each time point, site of measurement (brachial, finger, etc) and what position the patient was in (supine, sitting, standing).

Little is known on the effect of BP altering in older patients with acute stroke, who comprise the largest group of patients, including those with IS who need thrombolysis. Of the trials, only two had mean age over 75 years contributing to a total of 98 patients.<sup>262, 264</sup> The number and proportion of older patients is likely to increase with population ageing. As the variation in response by individuals to BP modulating agents increases with age, e.g. related to concurrent isolated systolic hypertension or cardiac dysfunction, it may be inappropriate to assume that the effects of changing BP seen in younger populations will necessarily be the same in older ones.

This review is Part 1 of the Blood pressure in Acute Stroke Collaboration and reports only those trials which specifically set out to alter blood pressure in patients with acute stroke. Part 2 of the project assesses all randomised controlled trials in acute stroke where vasoactive drugs were administered and includes all those studies covered in Part 1. Although progress has been made in the number and quality of stroke trials in the last few years, substantial number of questions remain. The number of patients included in this review is very small in comparison to the global burden of stroke (about 15,000,000 per year worldwide). At present, any benefit of treatment is small, and additional data are required to recommend changes to routine clinical practice. The centres which took part in the trials were interested and familiar with the management of acute stroke. To extrapolate these results in routine clinical practice to less specialist centres could result in greater hazard or completely negate any potential benefit. Therefore, there is a need for new centres to participate in trials.

Further evidence is needed on:

- How to select patients
- The influence of age, time of onset, stroke subtype, severity, choice of drug, dose, route of administration

and blood pressure variability, on response to active changes in BP.

Recent guidelines based on largely observational data recommend that hypertension should not be treated for up to two weeks after an IS unless severe hypertension, hypertensive encephalopathy, heart failure, cardiac ischaemia, aortic dissection, or continued intracerebral bleeding are present.<sup>495-497</sup> Persistent hypertension after two weeks should be treated since the risk of stroke in patients with cerebrovascular disease is dependent on systolic and diastolic blood pressure.<sup>498</sup> In the PROGRESS trial, perindopril (with or without indapamide) reduced the risk of stroke among both hypertensive and non-hypertensive patients with a history of stroke or transient ischaemic attack.<sup>499</sup> Further, evidence from the Heart Outcomes Prevention Evaluation (HOPE) trial suggests that an ACE-I may reduce stroke and other vascular events in patients with prior cerebrovascular disease.<sup>500</sup> Overall lowering blood pressure in patients with chronic stroke reduces the subsequent risk of recurrence.<sup>404</sup>

## **7.12 Conclusions**

### **7.12.1 Implications for practice**

- The lack of definitive results for BP lowering, and very limited data for raising BP, mean that no firm recommendations can be made.
- There is no evidence to support the routine policy of immediately continuing prestroke antihypertensive drugs; treatment may be re-started once patients have stabilised medically and neurologically, and once safe feeding or enteral access is available.
- The very limited data related to BP elevation mean that no recommendations can be made.

### **7.12.2 Implications for research**

Large randomised controlled trials of blood pressure lowering are needed to:

- Test the effect of ultra-acute/pre-hospital lowering of BP in RCTs.

- Test the effect of hyper-acute/hospital lowering of BP in RCTs. Two trials are important examples of ongoing studies<sup>400, 489</sup>
- Determine the effects on long -term survival ( $\geq$ one year).
- Determine the effects on quality of life and cost-effectiveness.

An individual patient data (IPD) meta-analysis is required to:

- Identify subgroups of patients who are likely to benefit or be harmed, e.g. by age, sex, race-ethnicity group, baseline BP, history of hypertension, stroke type (IS, ICH).
- Identify what type of treatment is required, e.g. drug class, route, dose of administration.

**Table 7.1** Characteristics of included studies

Trial	Size A/C	Male (%)	Stroke type	Enrolment time (hrs)	Intervention	Rx duration (days)	Primary outcomes
ACCESS <sup>466</sup>	173/166	51	IS	24-48	candesartan 4 mg/ placebo (po)	7	BP, BI at 3 months
ACCOST <sup>478</sup>	19/19	-	IS	120	candesartan 4 mg/ placebo (po)	28	BP, all death and death due to vascular causes, NIHSS, mRS, BI at 3 months
Bath	16/21	49	IS, PICH	120	GTN/placebo (td)	12	24 hour ABPM at day 0,1 and 8, mRS, BI at 3 months
CATIS <sup>470</sup>	2033/2033	62	IS	48	Intensive (iv/po)/ stop prestroke BPdrugs	14	BP at day 1,7,14, death/dependency at day 14 and 90
CHHIPS-	57/30	53	IS, ICH	24	Lisinopril/placebo (po/sl/iv)	14	mRS at 2 weeks

lis<sup>262</sup>

CHIPPS-	56/29	61	IS,	24	Labetalol/placebo (po/si/iv)	14	mRS at 2 weeks
lab <sup>262</sup>			PICH				
COSSACS <sup>136</sup>	379/384	74	IS,	48	Continue/stop prestroke BP drugs	14	mRS at 2 weeks
			PICH				
Dyker <sup>476</sup>	14/14	61	IS	168	Perindopril 4mg/ placebo (po)	15	BP, NIHSS at baseline and day 15
ENOS <sup>137</sup>	2000/2011	57	IS,	48	GTN 5 mg/placebo (td);	7	mRS at day 90
			PICH		continue/stop prestroke BP drugs		
Eveson <sup>468</sup>	18/22	63	IS	24	Lisinopril/placebo (po)	14	NIHSS at day 14, mRS and BI at day 14 and 90
Fagan <sup>462</sup>	10/9	45+	IS	-	Nimodipine 20 mg/ placebo	21	BP for 7 days
					120 mg (po)		

Fagan <sup>462</sup>	10/9	45+	IS	-	Nimodipine 20 mg/ placebo	21	BP for 7 days
240 mg					(po)		
Hillis <sup>465</sup>	9/6	27	IS	168	Phenylephrine	3	NIHSS at day 3, cognition
					(iv)/conventional management		
ICH- ADAPT <sup>472</sup>	39/36	67	PICH	24	Intensive/guideline (iv)	1	Perihaematoma CBF
INTERACT pilot <sup>469</sup>	203/201	65	PICH	6	Intensive/guideline (po/iv)	7	Proportional change in haematoma volume
INTERACT 2013 <sup>473</sup>	1382/1412	64	PICH	6	Intensive/guideline (po/iv)	7	mRS at day 90
Koch <sup>460</sup>	21/21	61	PICH	8	Intensive/guideline	2	NIHSS, GCS at 24 and 48 hours
Lisk <sup>463</sup>	10/6	25	IS	72	Nicardipine 20 mg, captopril 12.5 mg, donidine 0.1	3	NIHSS, BP

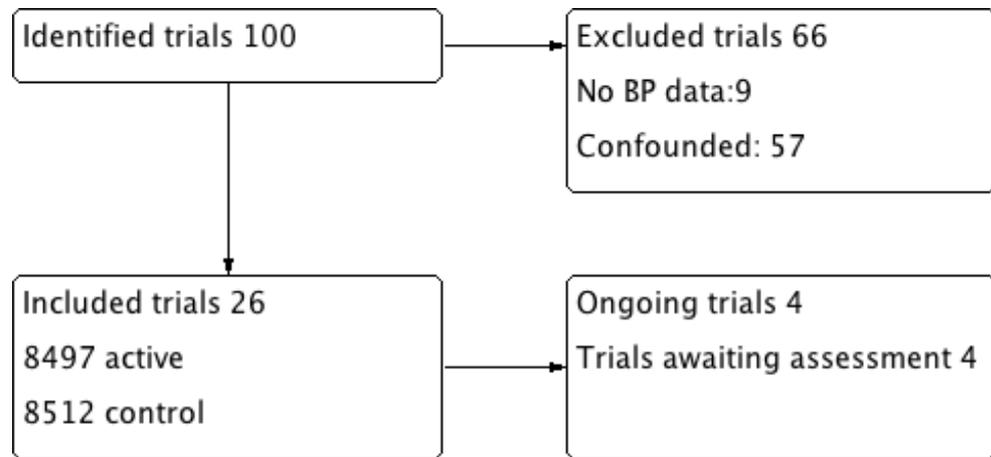
					mg/placebo (po)		
PILFAST <sup>459</sup>	6/8	100	IS, PICH	3	Lisinopril 5 mg/placebo (po/sl/ng)	7	BP
PRoFESS <sup>479</sup>	647/713	64	IS	72	Telmisartan/placebo (po)	2.5 years	BP, HR at day 7, 30 and 90
Rashid 1 <sup>385</sup>	20/30	46	IS, PICH	72	GTN 5mg/control (td)	10	mRS, BI, quality of life at 3 months
Rashid 2 <sup>385</sup>	20/30	46	IS, PICH	72	GTN 5mg/control (td)	10	mRS, BI, quality of life at 3 months
Rashid 3 <sup>385</sup>	20/30	46	IS, PICH	72	GTN 5mg/control (td)	10	mRS, BI, quality of life at 3 months
RIGHT <sup>264</sup>	25/16	60	IS, PICH	4	GTN 5mg/control (td)	7	SBP at 2 hours, mRS day 90
SCAST <sup>458</sup>	1017/1012	60	IS,	30	Candesartan/placebo (po)	7	mRS at 6 months, composite of

			PICH				vascular death, nonfatal MI or non-fatal stroke in first 6 months
TAST <sup>474</sup>	12/7	83	IS	120	Telmisartan/placebo (po/ng)	90	Ipsilateral hemispheric CBF
Uzuner iv <sup>475</sup>	8/3	63	IS,	24	Nimodipine/placebo (iv)	2	BP, HR
			PICH				
Uzuner po <sup>475</sup>	38/39	63	IS,	24	Nimodipine/placebo (po)	2	BP, HR
			PICH				
VENTURE <sup>480</sup>	203/202	-	IS	24	Valsartan/no valsartan	7	mRS at day 90
Willmot <sup>477</sup>	12/6	70	Clinical	120	GTN 5mg/no patch (td)	7	Central, peripheral BP
			stroke				

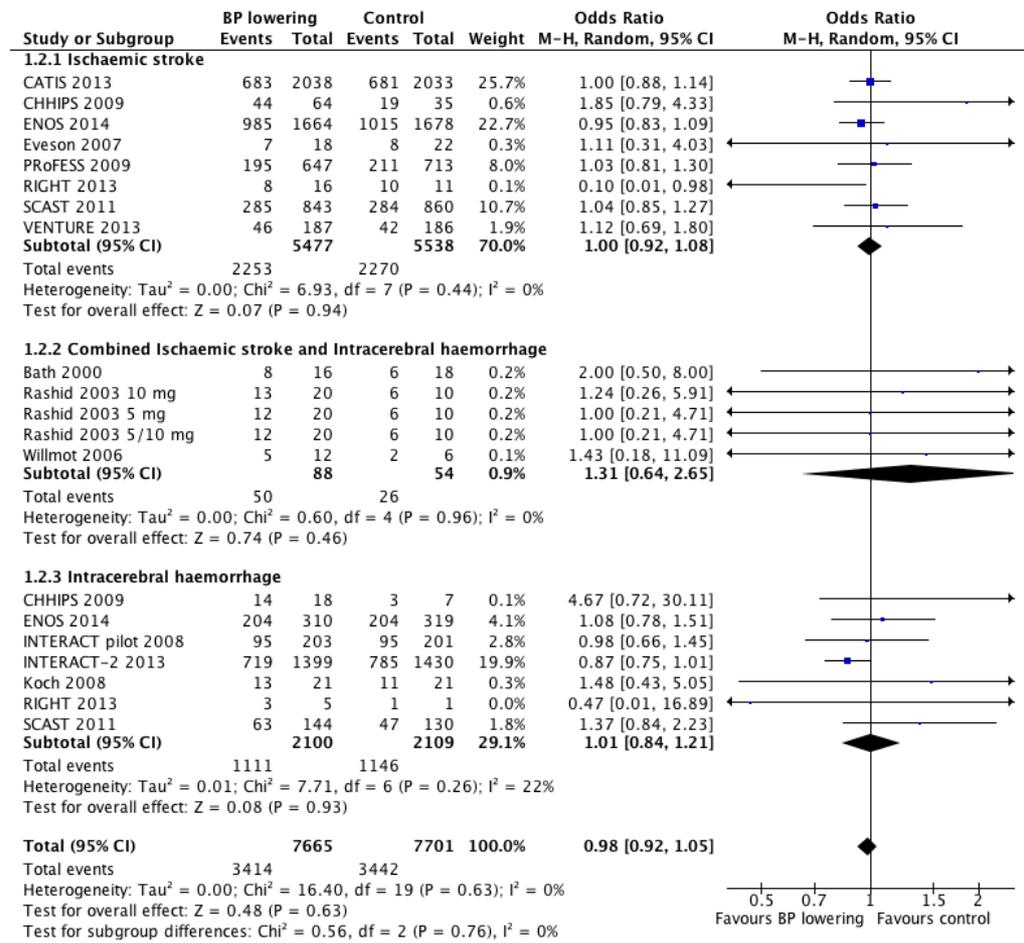
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A: active; BI: Barthel index; BP: blood pressure; C: control/placebo; HR: heart rate; IS: Ischaemic stroke; mRS: modified Rankin scale; PICH: primary intracerebral haemorrhage; po:per oral; sl: sublingual; td: transdermal; iv: intravenous; Rx: treatment

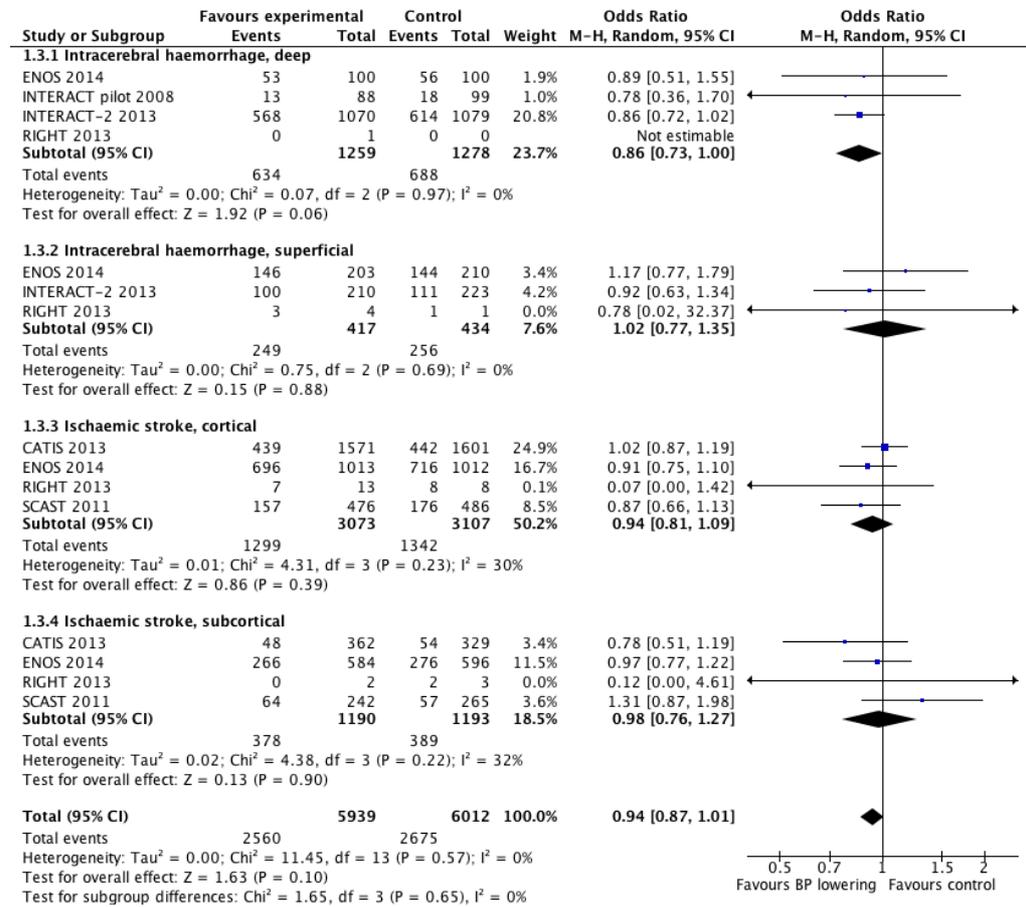
**Figure 7.1** Search process of relevant studies



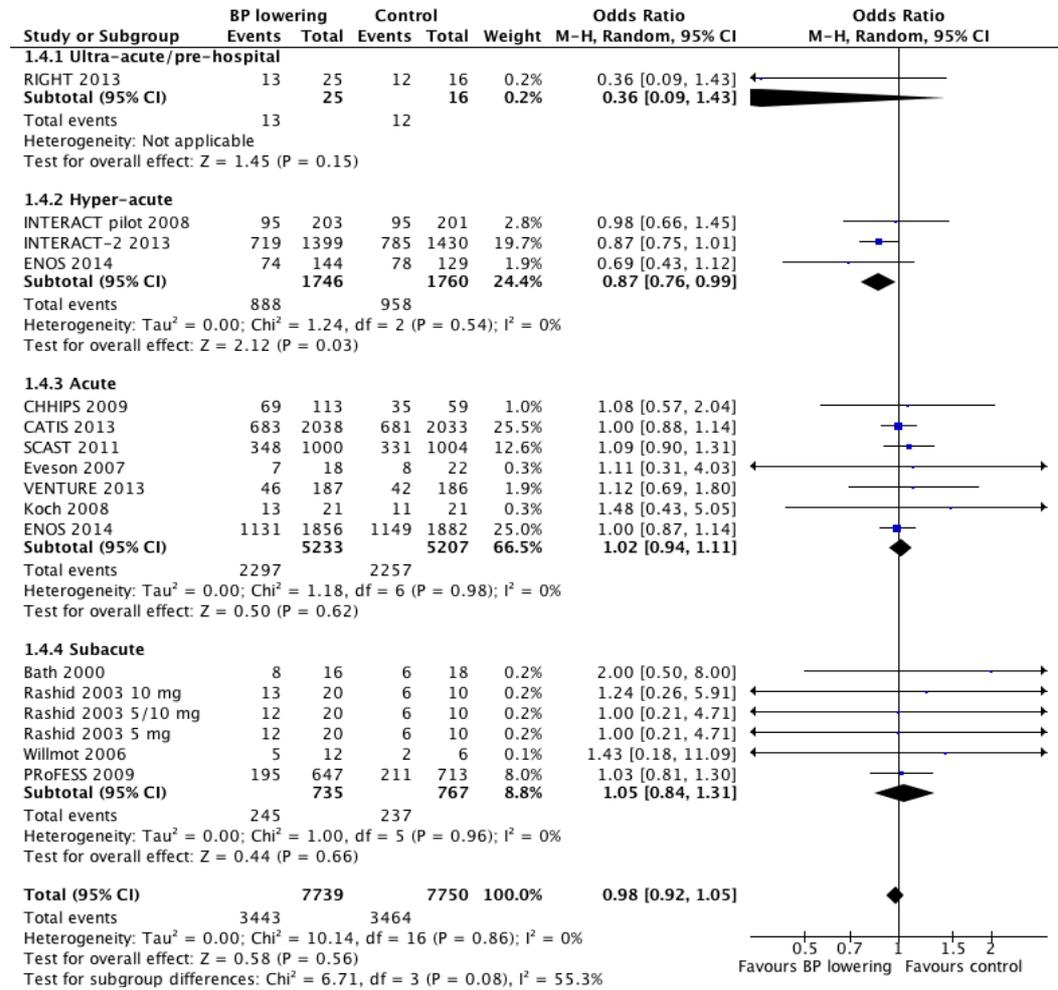
**Figure 7.2** Death or dependency, end of trial by stroke type



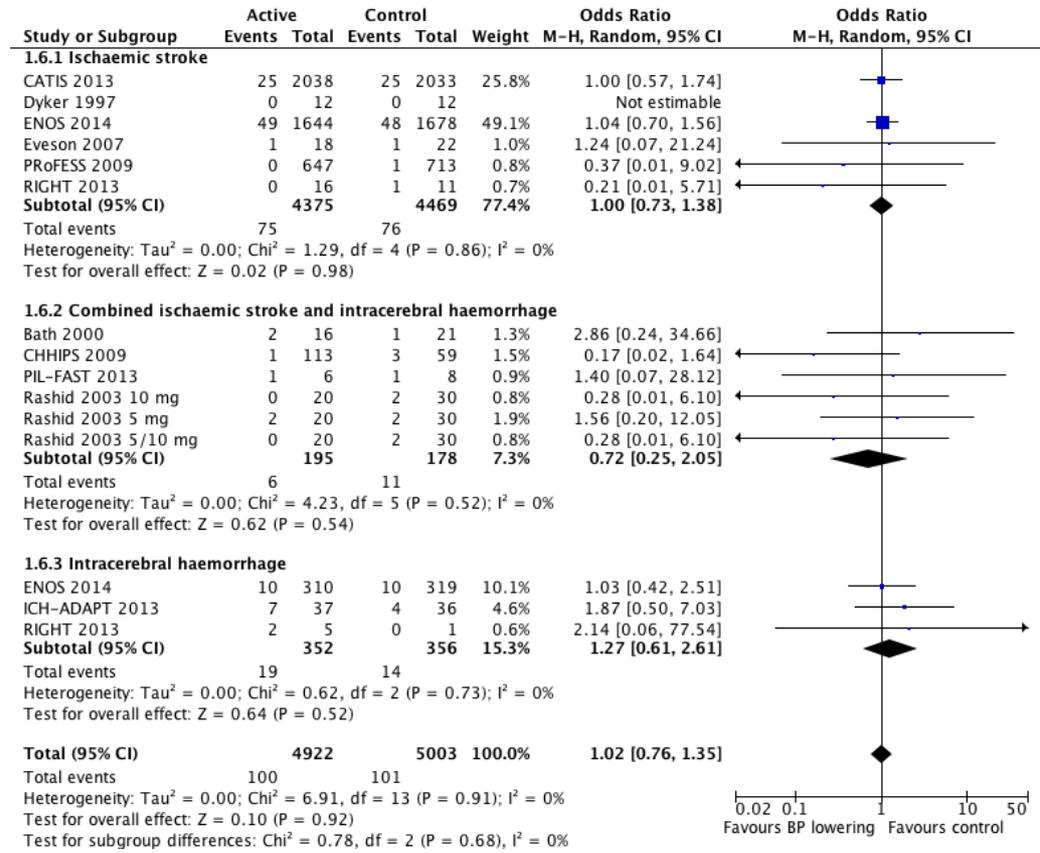
**Figure 7.3** Death or dependency, end of trial by stroke location



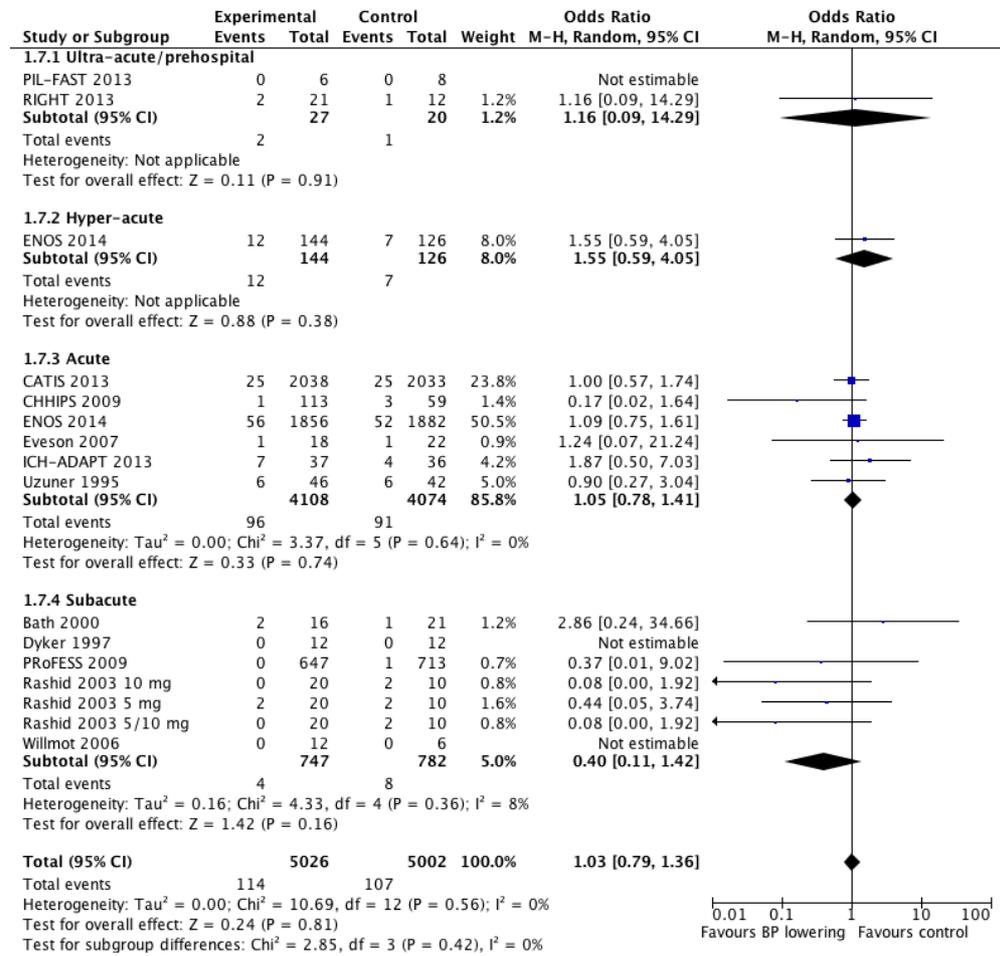
**Figure 7.4** Death or dependency, end of trial by time to treatment



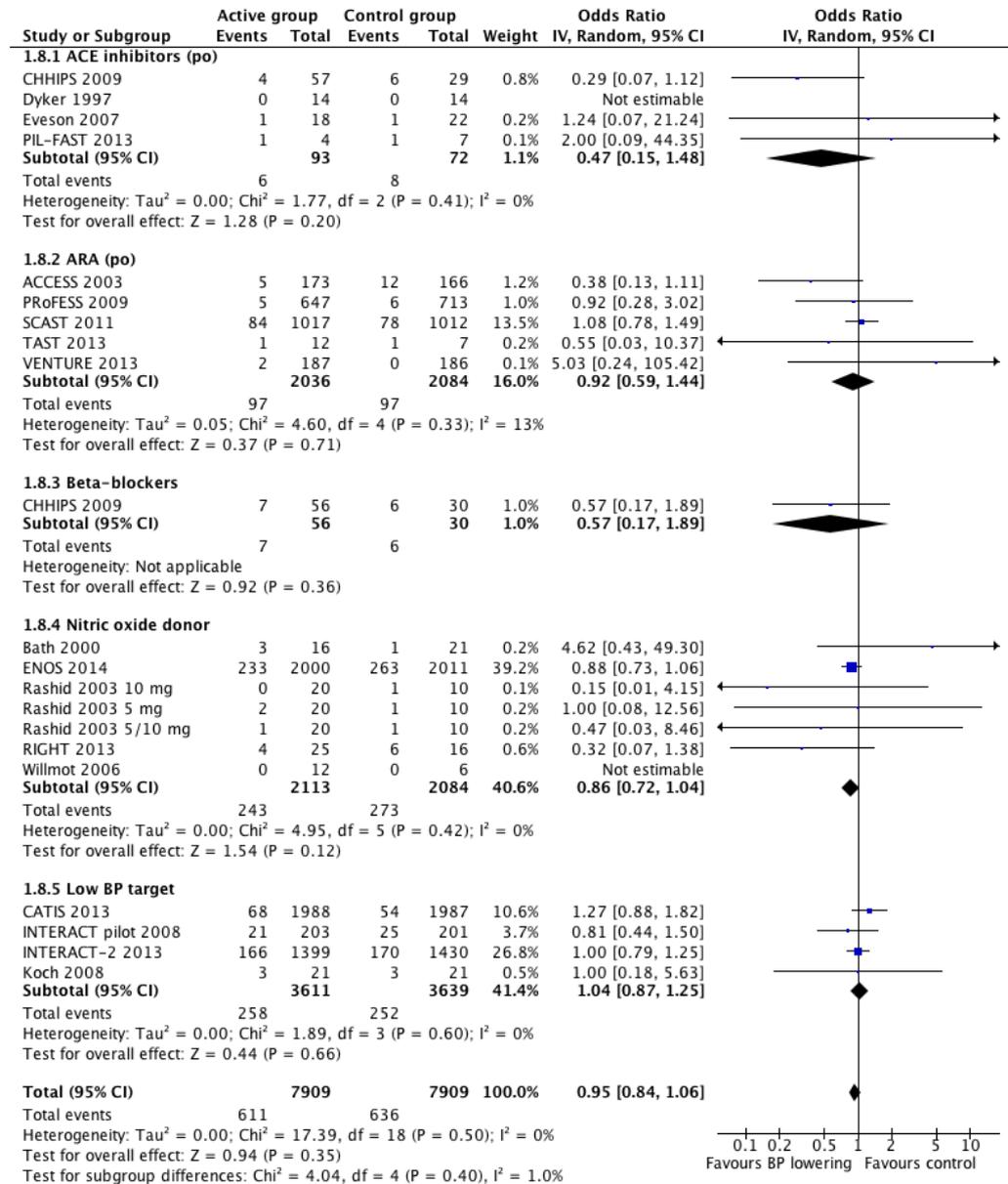
**Figure 7.5** Death early, by stroke type



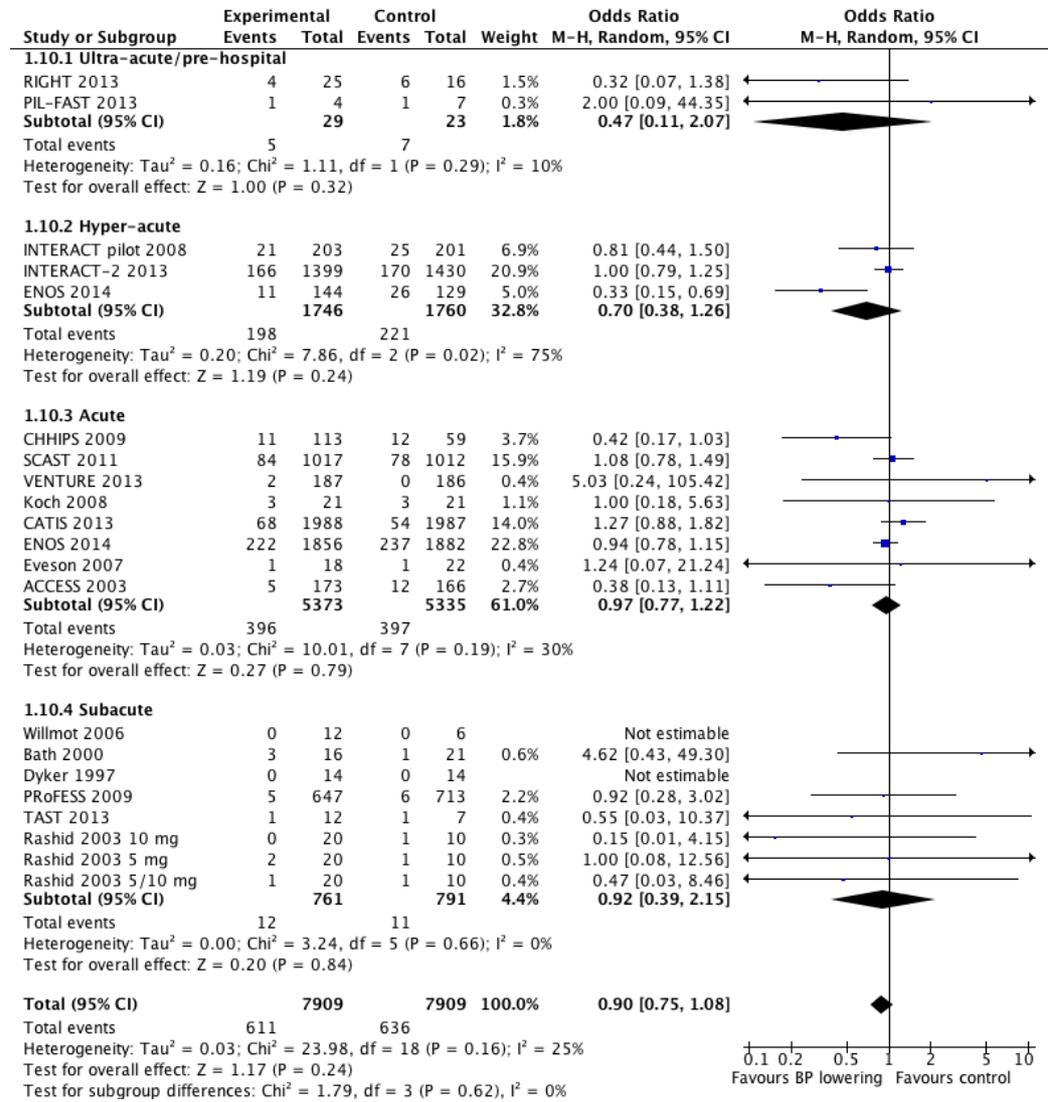
**Figure 7.6** Death, early by time to treatment



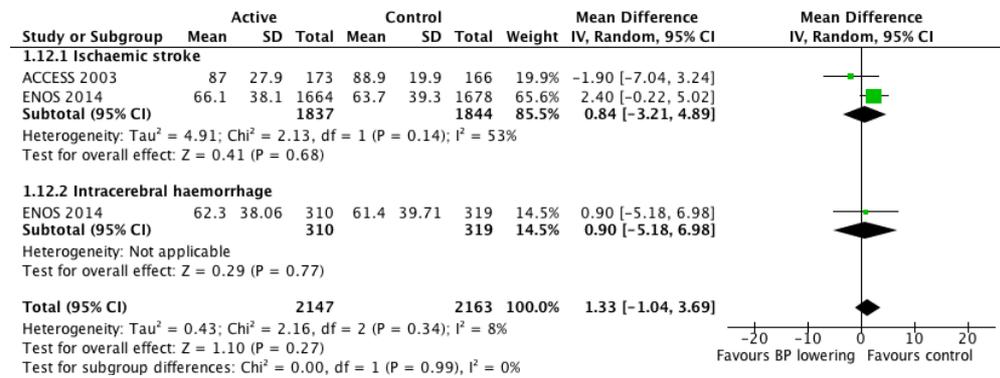
**Figure 7.7** Death, end of trial by treatment



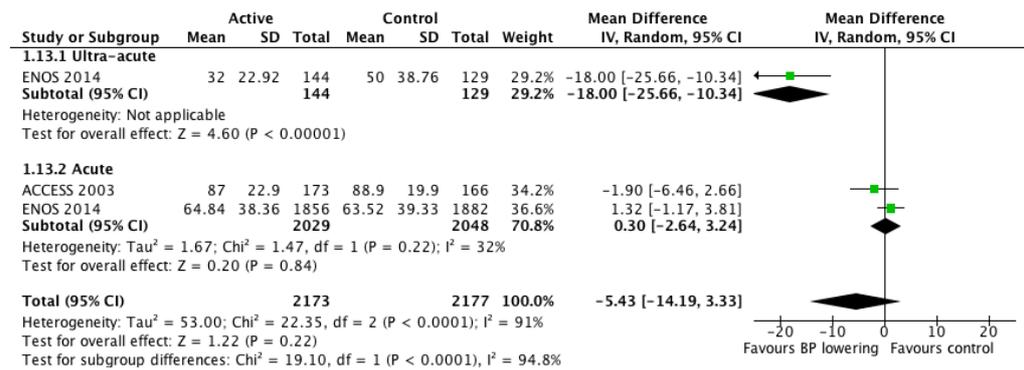
**Figure 7.8** Death, end of trial by time to treatment



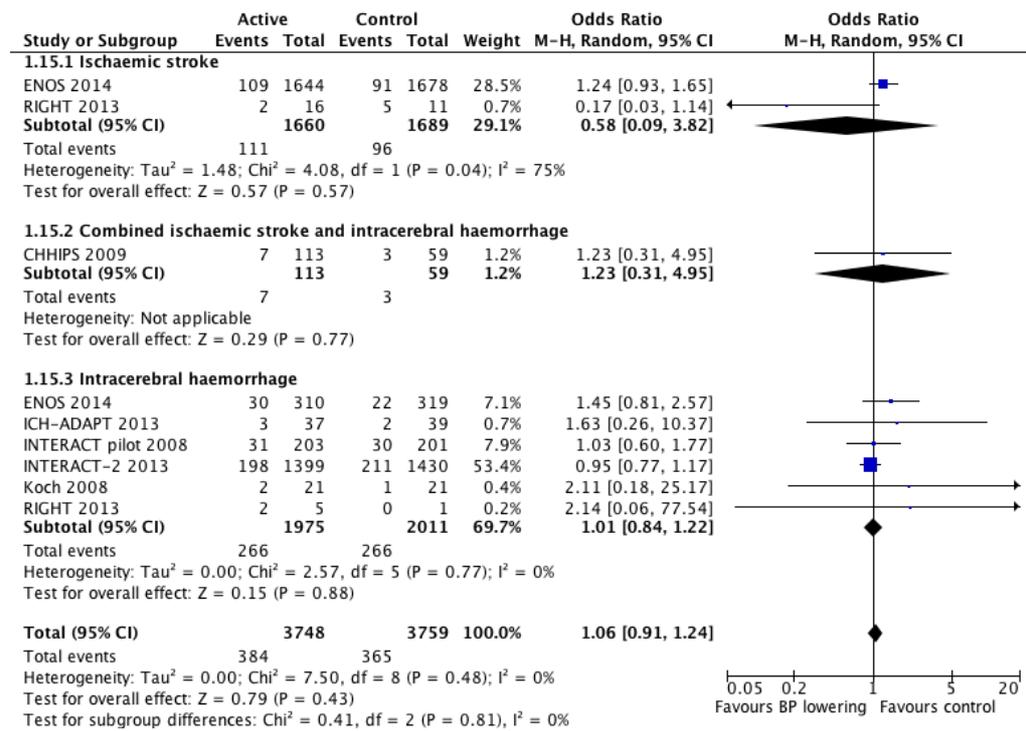
**Figure 7.9** Barthel Index, end of trial, by stroke type



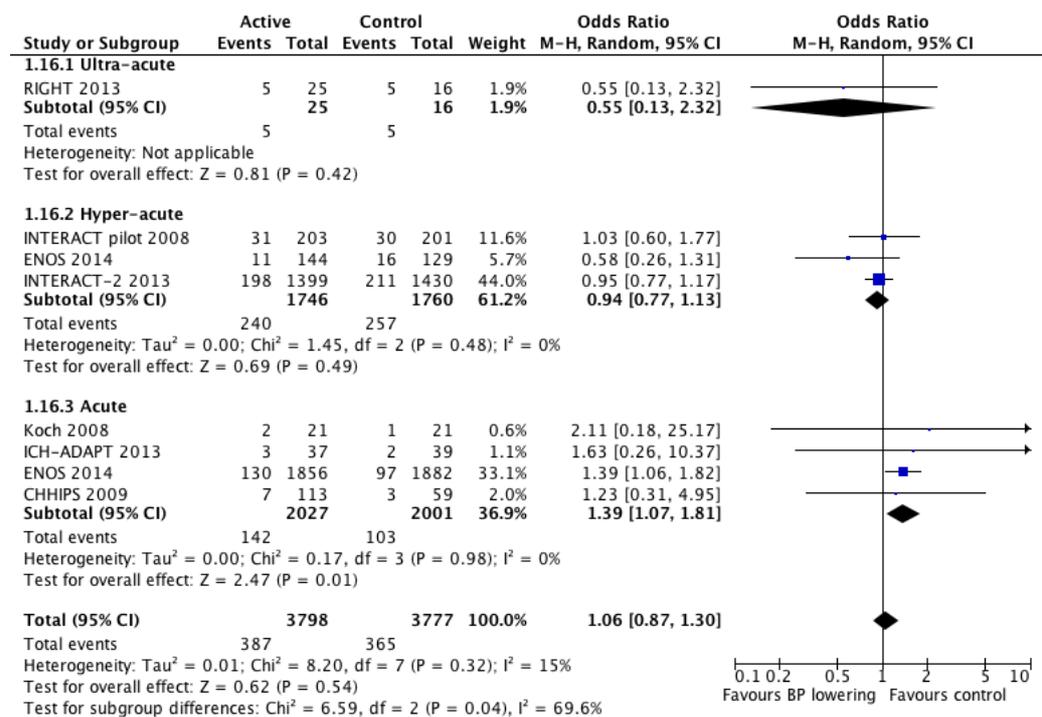
**Figure 7.10** Barthel Index, end of trial by time to treatment



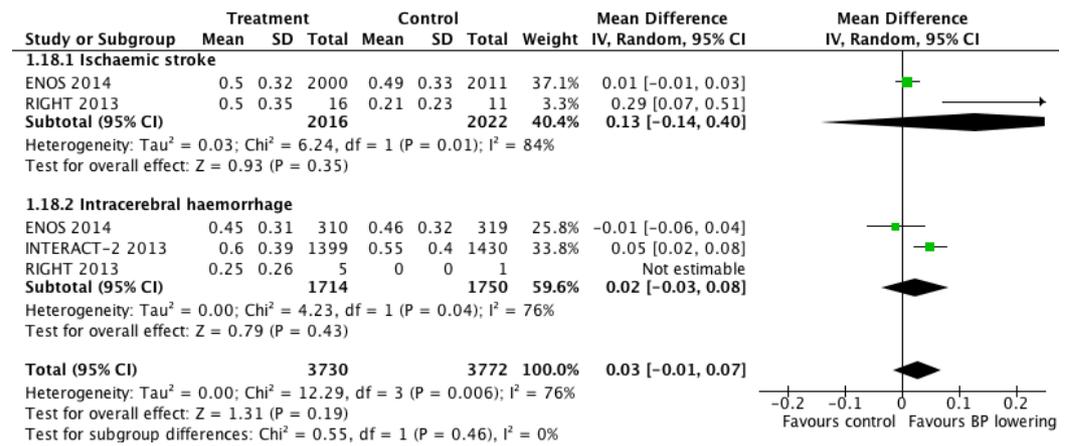
**Figure 7.11** Early neurological deterioration, by stroke type



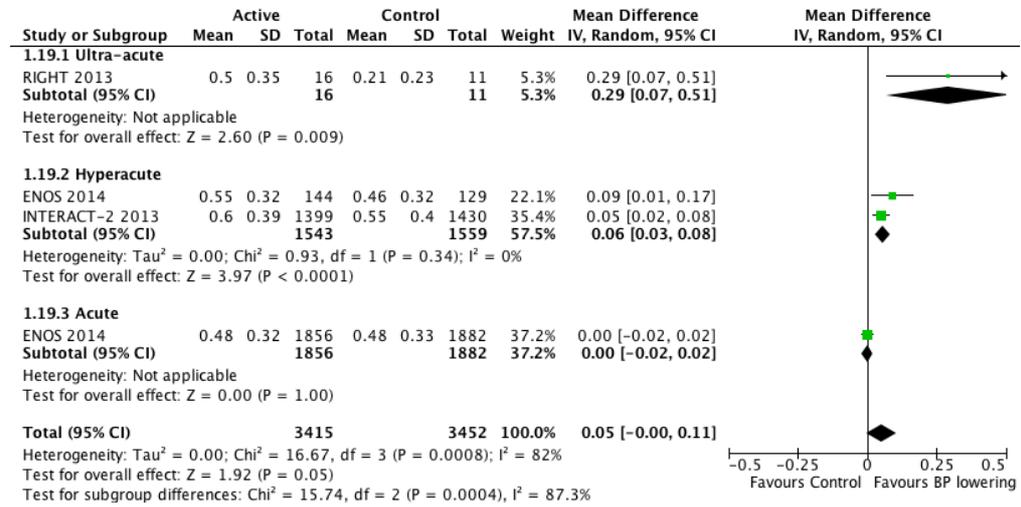
**Figure 7.12** Early neurological deterioration, by time to treatment



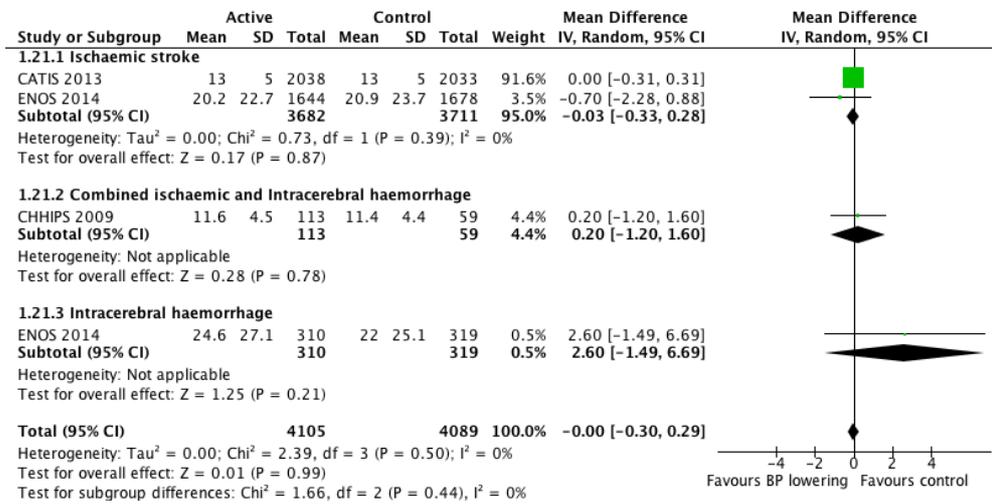
**Figure 7.13** Quality of life (EuroQoL) at end of trial, by stroke type



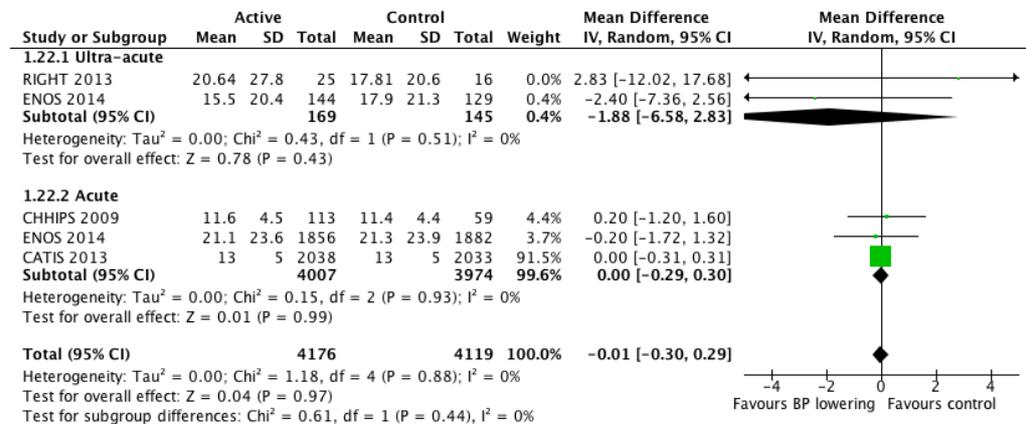
**Figure 7.14** Quality of life (EuroQoL) at end of trial, by time to treatment



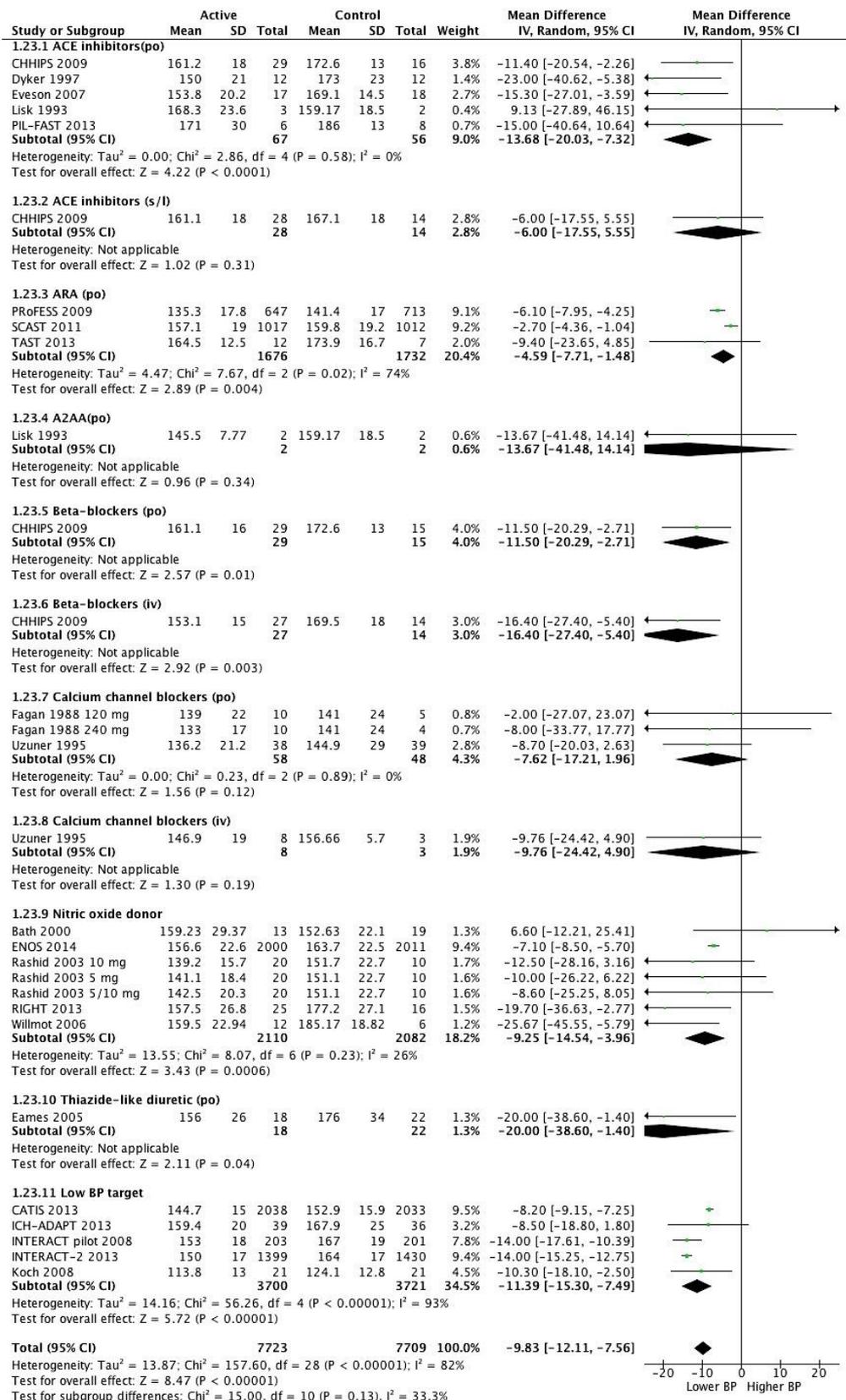
**Figure 7.15** Length of stay, by stroke type



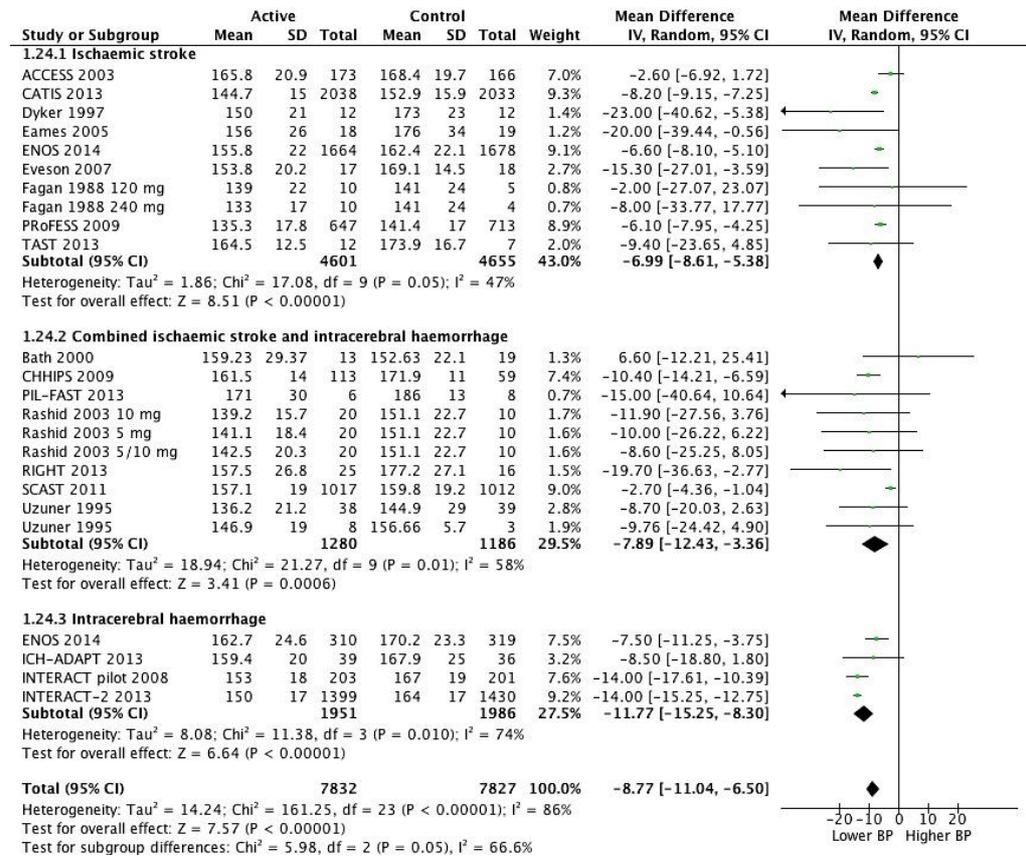
**Figure 7.16** Length of stay, by time to treatment



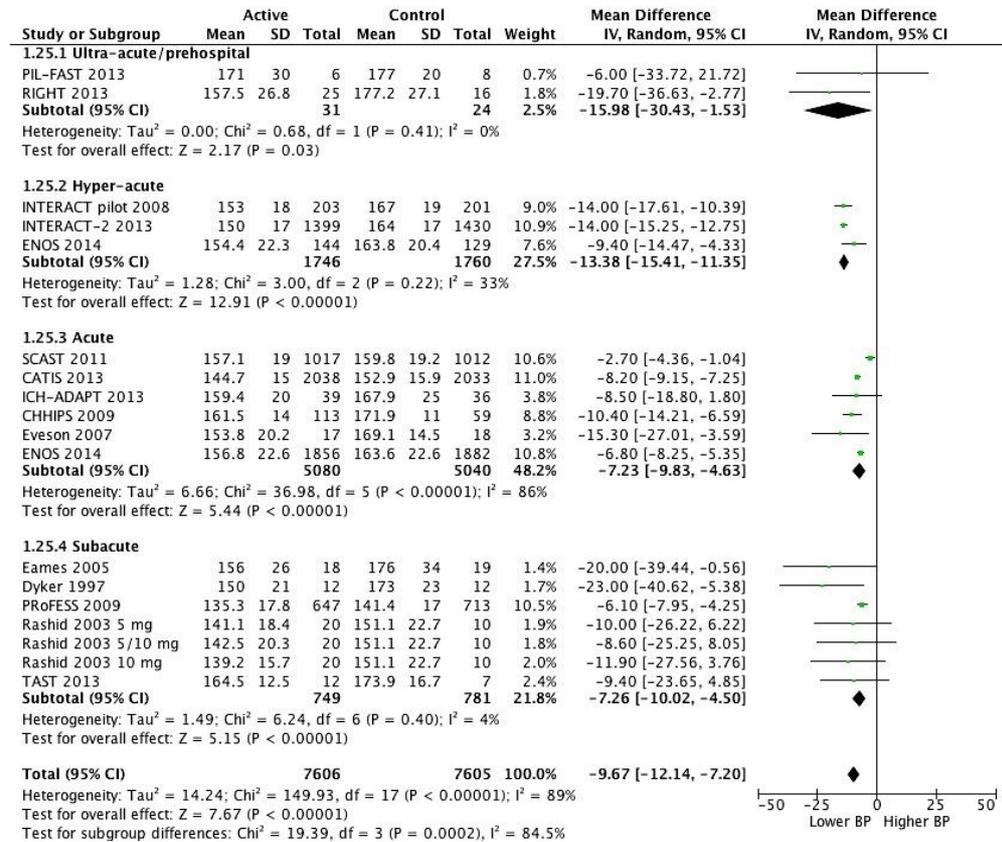
**Figure 7.17** SBP, first after randomisation by intervention



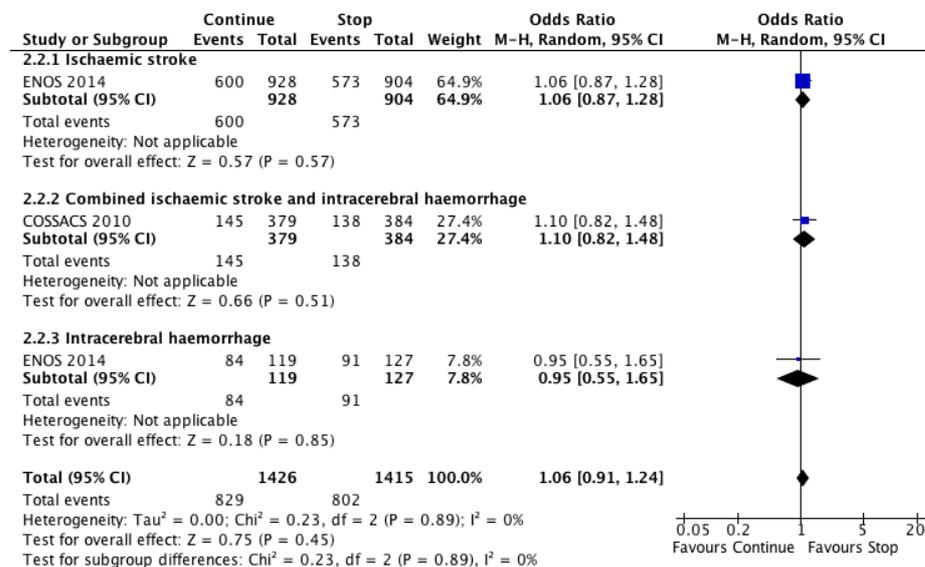
**Figure 7.18** SBP, first after randomisation by stroke type



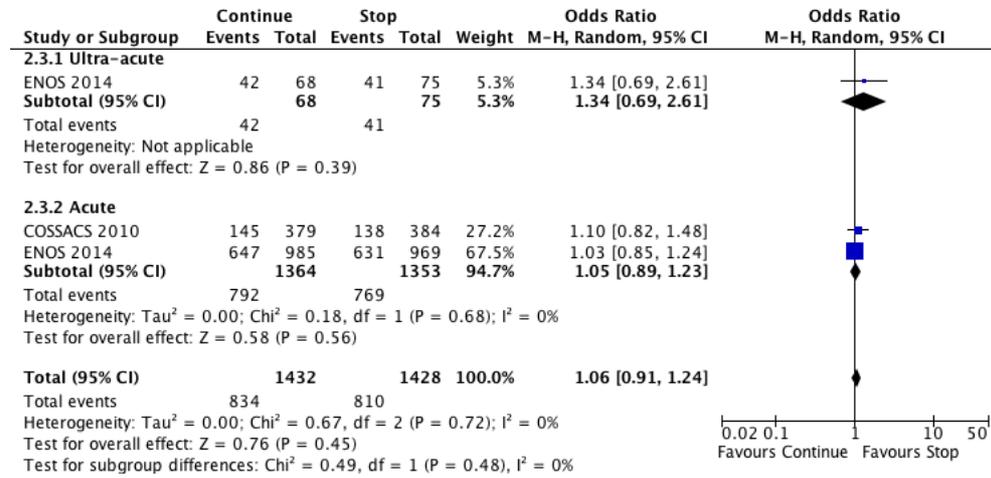
**Figure 7.19** SBP, first after randomisation by time to treatment



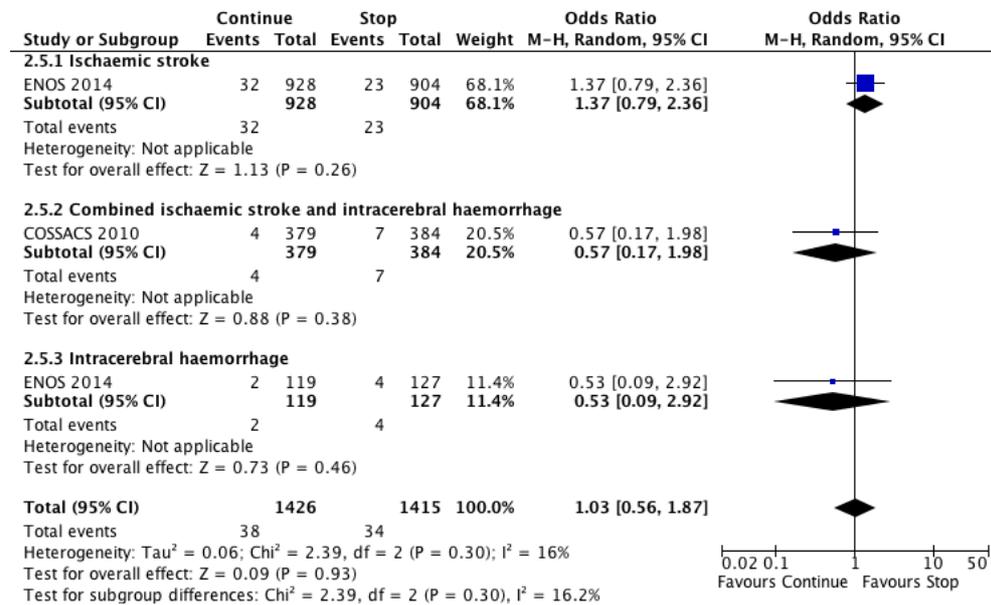
**Figure 7.20** Death or dependency, end of trial by stroke type, continue vs stop



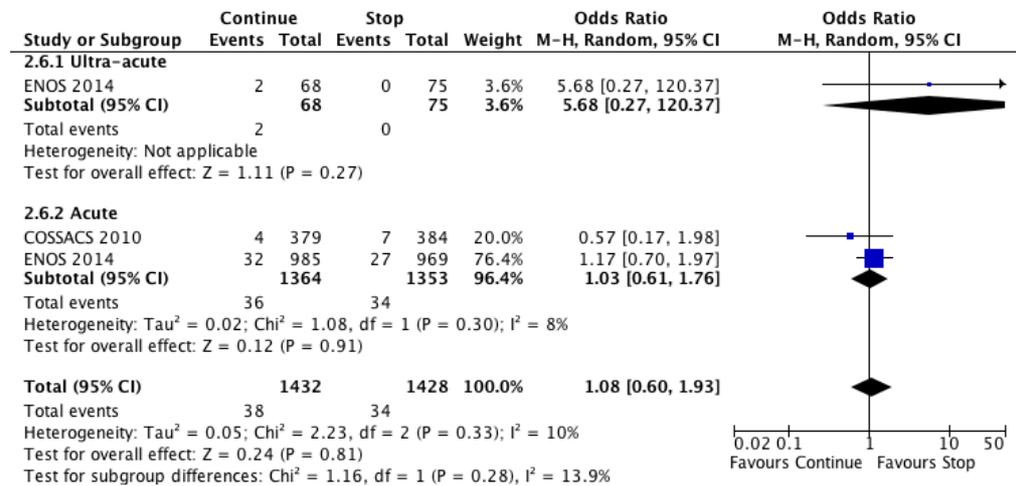
**Figure 7.21** Death or dependency, end of trial by time to treatment, continue vs stop



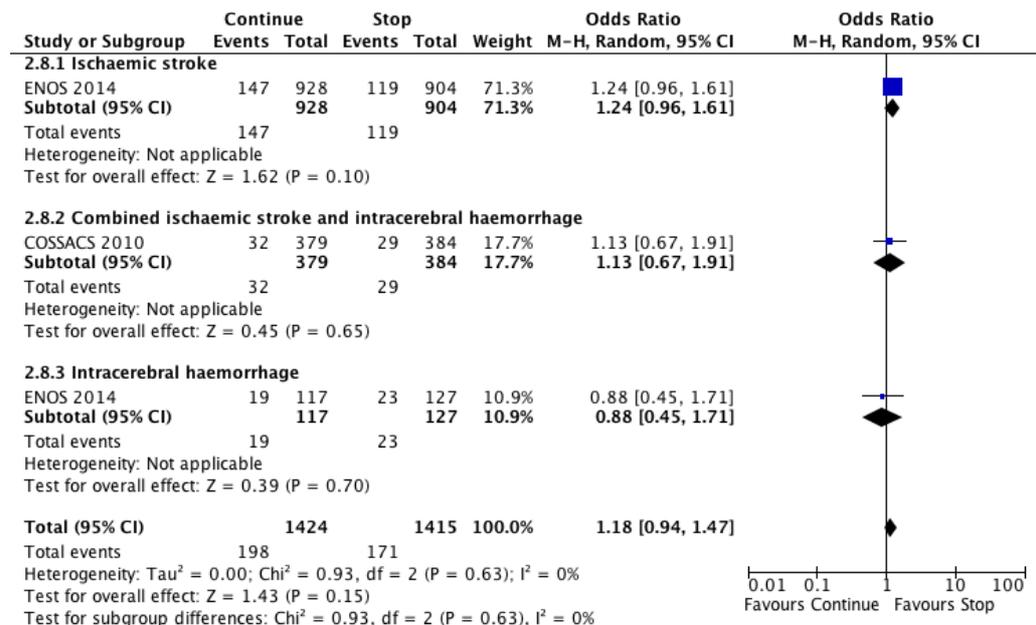
**Figure 7.22** Death early, by stroke type, continue vs stop



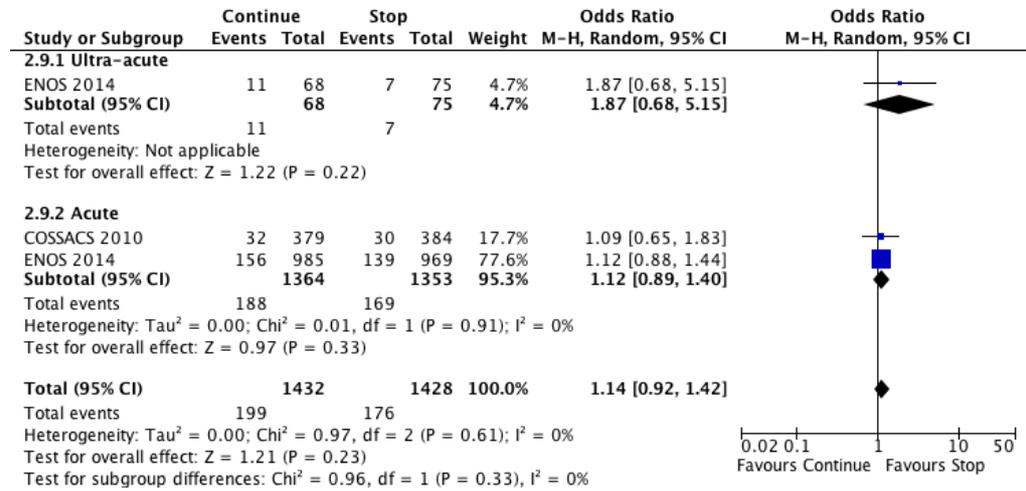
**Figure 7.23** Death early, by time to treatment, continue vs stop



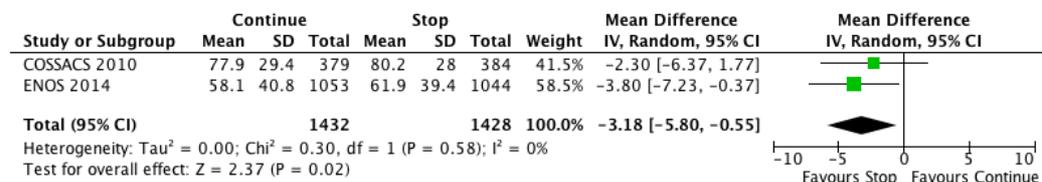
**Figure 7.24** Death, end of trial, by stroke type, continue vs stop



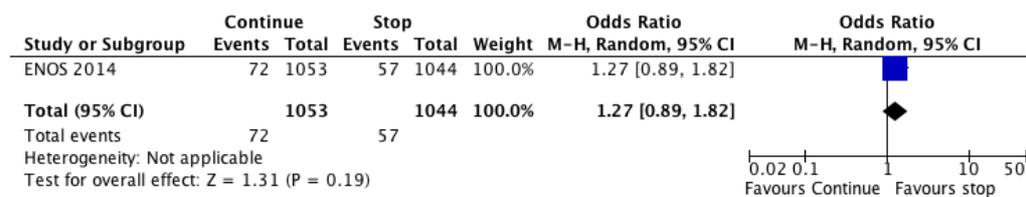
**Figure 7.25** Death, end of trial, by time to treatment, continue vs stop



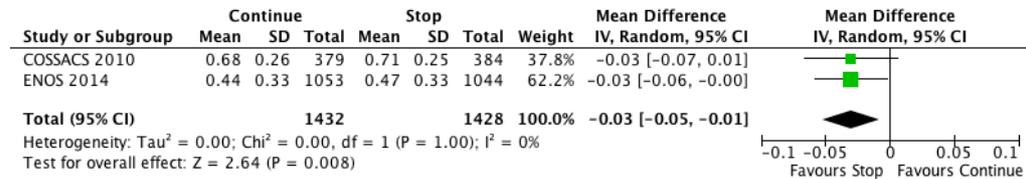
**Figure 7.26** Barthel index, end of trial, by continue vs stop



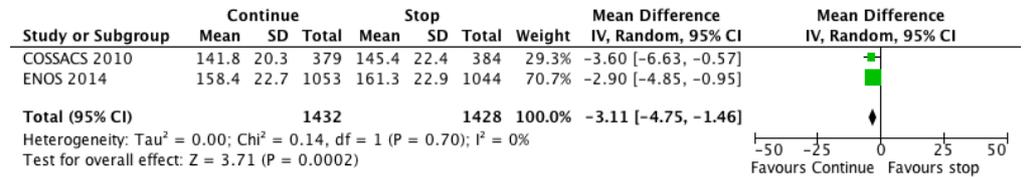
**Figure 7.27** Early neurological deterioration, by continue vs stop



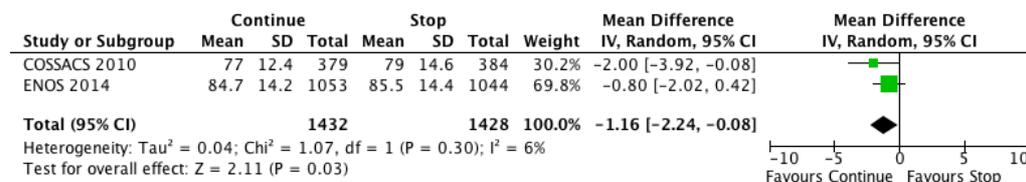
**Figure 7.28** Quality of life (EuroQoL) at end of trial, by continue vs stop



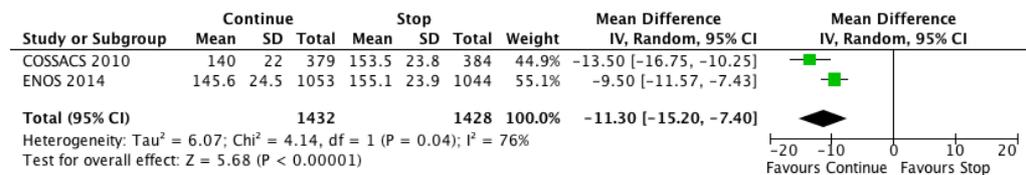
**Figure 7.29** SBP, first after randomisation, by continue vs stop



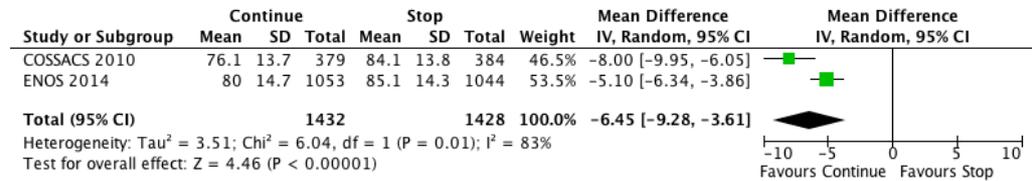
**Figure 7.30** DBP, first after randomisation, by continue vs stop



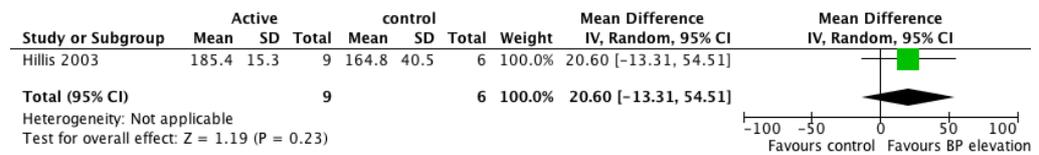
**Figure 7.31** SBP, at end of treatment by continue vs stop



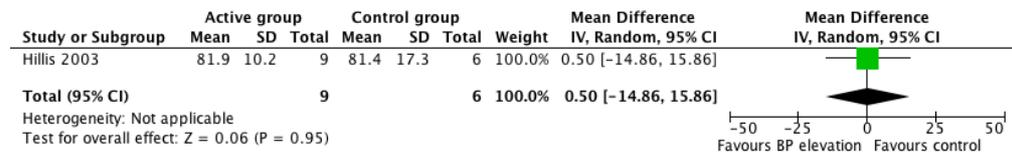
**Figure 7.32** DBP, at end of treatment by continue vs stop



**Figure 7.33** SBP at day 1



**Figure 7.34** DBP at day 1



## **Chapter - 8 Discussion**

### **8.1 Introduction**

The management of acute intracerebral haemorrhage has been a topic of debate and focus of research especially in the last few decades. Systematic work has revealed the following: haematoma volume and early expansion is frequent with potential consequences of neurological deterioration and death,<sup>211, 225</sup> strong association exists between high blood pressure and poor outcome after stroke<sup>124, 501</sup> and treating high blood pressure which is low cost and widely available appears attractive. Whilst new research relating to these topics adds insight into a small area in this vast subject, attempts to answer one question often reveals new areas of uncertainty.

I return to some of the objectives outlined in the introduction and discuss under various headings.

## **8.2 Assess the performance characteristics of the methods used to measure intracerebral haemorrhage volume**

Haematoma volume is the most potent predictor of poor prognosis after ICH.<sup>225</sup> Limiting haemorrhage expansion is used as a surrogate marker of functional outcome in recent trials of blood pressure lowering, haemostatic therapy or surgery<sup>260, 261, 277, 310, 502, 503</sup> and therefore the ability to accurately calculate volume becomes critical. The ABC/2 formula and its modified version (where slices of haemorrhage are excluded if less than 25% of the largest surface area)<sup>251, 352</sup> are commonly used but researchers have doubted the accuracy and reliability as the implicit assumption is haematoma volume is approximated to an ellipsoid.<sup>250, 252-254, 355, 356</sup> Computer assisted SAS or 3-D automatic volume rendering are also used but rely on good quality electronic scan images and advanced software.<sup>246, 252, 355</sup> Previous work examining these methods highlighted issues relating to measurement time, type of data, accuracy, availability of computer and sample size, all studied in part.<sup>246, 252-254</sup>

With this background, a study was undertaken to investigate the reliability and causes for variation and error between three methods commonly used to measure haemorrhage volume:

ABC/2, modified ABC/2 and SAS. In addition, the reliability of a novel way of estimating longest haemorrhage diameter in an ordered category (less than 3 cm, 3-5 cm, 5-8 cm and >8 cm) was also assessed. This is the largest study in the field of spontaneous ICH and CT scans from the large multinational trial ENOS was used for this purpose (details listed in chapter 2). The results revealed that volume calculation by each of the methods: ABC/2, modified ABC/2 and SAS had minimal intra and inter-observer variation demonstrating excellent internal validity. Standard ABC/2 was easy to use, quick to perform and produced similar volumes compared to SAS. By comparison, haemorrhage volumes calculated using modified ABC/2 were significantly lower compared with ABC/2 and SAS and the difference enlarged as haematomas became bigger. When assessing qualitative estimates of haemorrhage size in an ordered escalation, our results found that visual categorisation was quick, consistent and reliable making it potentially useful in emergency situations when moved images preclude accurate volumetric measurements or measuring tools are not accessible.

To compare our study results with those previously published, a review of studies analysing ABC/2, modified ABC/2 and SAS was undertaken and 11 studies identified. Although the overall

patient numbers were small, sufficient methodological information was available to conclude that consistent ICH volumetric calculation can be achieved using standard ABC/2. This is relevant from a practical perspective, as in the absence of a readily available computerised software program, clinicians will be able to obtain a reliable calculation of ICH volume using ABC/2. Such crucial information might influence treatment decisions such as surgical intervention or prevent incorrect initiation of 'do not resuscitate' orders.

We extended the hypothesis by examining the reliability between volume computed by ABC/2, modified ABC/2 and SAS according to haematoma shape. It is known that irregular shaped haematomas are more likely to expand and affect survival after stroke.<sup>361</sup> The results showed that ICH volumes produced by standard ABC/2 were reliable and consistent compared with SAS, irrespective of haemorrhage shape. By comparison, ICH volume was significantly lesser using the modified ABC/2 method for small, regular shaped haemorrhages and the difference larger as haemorrhages became bigger and more irregular. It is possible that the haemorrhage volumes in ENOS were too small to detect any difference between standard ABC/2 and SAS as previous work

has questioned the accuracy in more larger and complex shaped irregular haemorrhages.<sup>250</sup>

One report suggested that haematoma shape may depend on brain location and degree of cerebral atrophy.<sup>253</sup> As such criteria were not used to select our dataset, these questions were not tested and need further exploration. In our study, it was also not possible to examine whether an individual haematoma shape at one given time point on a CT changed to another shape as in ENOS patients had scans at the time of entry into the trial and a second scan if possible at 7 days. Ongoing trials should take this into account and hopefully will provide answers in this area.<sup>220, 280, 504</sup>

Our report that the settings for computer assisted SAS is being cumbersome and extremely time consuming is consistent with previous studies.<sup>246, 252, 253</sup> We were also not able to assess the reliability of fully automated 3-D rendering using Osirix; this is reflected in the small number of volumes calculated making it difficult even for hypothesis generation. This is because Osirix was unable to handle scans with variable slice thickness. Other software packages are able to manage this issue but experience significant delays in image acquisition.<sup>246, 252</sup> In a small study of patients with

intracerebral haemorrhage, acquisition time ranged between 20-30 minutes for each CT raising questions of the use of fully automated 3-D rendering in clinical situations and therefore more work to develop faster algorithms is needed.<sup>252</sup> Even with faster software, it is important not to forget that the SAS and 3-D rendering still require user discretion and manual intervention for final ICH volume estimation. Future work should test whether various computer-assisted methods supported by different software produce similar results.<sup>246</sup>

The present study did not assess the accuracy and reliability of these methods in larger and more complex warfarin related haemorrhages as ENOS examined the effect of blood pressure lowering in a population of spontaneous ICH. Warfarin related ICH is associated with more morbidity and mortality<sup>205, 505</sup> as the propensity for haematoma expansion is greater.<sup>205</sup> Hence, work analysing the reliability of volumetry in this area is crucially needed. Similarly, work should be encouraged in the field of novel anticoagulants because of lack of data and increasing use in an ageing population with atrial fibrillation.<sup>506</sup> Assessing the reliability of these methods in perihematoma oedema is also needed as it has been shown to affect clinical outcome.<sup>232, 233</sup>

### **8.3 Blood pressure lowering with transdermal glyceryl trinitrate (GTN) in acute intracerebral haemorrhage**

As detailed in chapter 4, a subgroup analysis of ENOS was performed to investigate whether blood pressure lowering with transdermal GTN was associated with improved functional outcome after acute haemorrhagic stroke. Functional outcome was measured using the modified Rankin scale at 90 days (0 to 6, 0 indicating no symptoms, five meaning severe dependency and 6 denoting death). Patients were recruited worldwide and received care in different healthcare systems and therefore represent a wide perspective of stroke care. The results revealed that in 629 patients, GTN reduced SBP by 8 mm Hg but did not improve functional outcome. Given concerns about worsening functional outcome with an ARA agent like candesartan,<sup>507</sup> the absence of worsening on neuroimaging measures at day 7 (end of treatment) and adverse events including hypotension, early and late fatality with GTN is reassuring and supports trials further testing of this agent in acute stroke.<sup>133, 134</sup>

When examined by prespecified time windows as in INTERACT-2 (within 6 hours),<sup>261</sup> participants treated with GTN were less likely to die and reported better quality of life and

scored higher on cognitive assessment. Although careful interpretation should be made given the small numbers of patients, GTN when given very early is postulated to have other effects in addition to BP lowering such as neuroprotection and improving reperfusion by opening collateral circulation as supporting evidence was shown in a pilot trial of the drug in hyperacute stroke, RIGHT.<sup>264</sup> RIGHT demonstrated that paramedics could successfully screen patients with stroke symptoms, consent, randomise and start BP lowering in a pre-hospital setting.<sup>264</sup> The mean time from stroke onset to randomisation was 55 minutes and after 2 hours of treatment with GTN, SBP was lowered by 18 mm Hg.<sup>264</sup> Taking together the results from ENOS and RIGHT and a previous large trial in acute intracerebral haemorrhage,<sup>137, 261, 264</sup> the results support further testing whether very early BP lowering might benefit in improving functional outcome as is being undertaken in ongoing randomised controlled trials.<sup>133, 134, 268</sup> In addition, parameters such as blood pressure variability, central and cerebral haemodynamics could also be assessed as evidence suggests that these factors could impact short term and long term functional outcome.<sup>508, 509</sup> Future trials could also examine changes to haemorrhage characteristics at various time points during treatment as this

may provide information on potential mechanisms of drug action.

It is important to highlight that the data came from a randomised controlled trial with prespecified inclusion and exclusion criteria. Patients with very high blood pressure (>220 mm Hg) were excluded from ENOS and so no results are available for those with severe hypertension. As a result, strength of the relationship between BP lowering and functional outcome as a result might have been underestimated. Patients with comorbidities such as MI and cardiac failure with SBP pressure levels less than 140 mm Hg will have been excluded and therefore information on such patients is not available. Low BP may result in poor perfusion of the penumbra surrounding the haematoma and result in poor outcome.<sup>510, 511</sup> Another issue is that a low proportion of patients with IVH were recruited into ENOS and therefore such patients will have been underrepresented. This may have affected the strength of the relationship between BP lowering and functional outcome. One other factor to highlight is time. ENOS allowed recruitment upto 48 hours and so it is possible that some haemorrhages may have already expanded and this will have affected the ability of BP lowering to have any effect. Furthermore, some patients with higher blood pressure will

have been missed as it is known blood pressure falls within the first few hours after stroke onset.<sup>512</sup>

That functional outcome may be predicted using simple visual assessments of characteristics such as haematoma shape and density is highly relevant for treating clinicians. Rapid assessment of shape and density might identify those at high risk of haematoma growth and allow recruitment into clinical trials aimed at detecting any treatment effect. Alternatively, including a large irregular ICH with extension into the ventricles may not translate into favourable effect.

The present work is novel adding more information to this area of ICH but more work to identify clinical and radiological factors at stroke onset. To-date, most predictors of poor outcome in ICH are irreversible including age, haemorrhage location and baseline level of consciousness leaving only one factor haemorrhage volume as potentially modifiable.<sup>225, 513</sup>

Finding the 'spot sign' on CT angiography might be useful but questions surround the time dependent variability and interpretation in the presence of potential confounders such as blood pressure lowering and haemostatic agents.<sup>44, 514</sup>

However, it is known of the association between 'spot positive' status of haematoma and adverse clinical outcome<sup>515</sup> and

therefore clinical trials in this group of patients are needed.<sup>280, 281, 296</sup> Future work should also examine pathophysiological factors or possible mechanisms. With this knowledge, agents targeting patients who might benefit most may be identified.

#### **8.4 Effects of continue versus stopping prestroke antihypertensive drugs in acute intracerebral haemorrhage**

Upto 50% of patients admitted with acute stroke are on blood pressure lowering tablets and it was unclear whether they should be continued or stopped temporarily during the acute phase of stroke. Treating hypertension by continuing prior antihypertensive drugs in the immediate period after ICH seems advantageous by reducing stroke recurrence, haematoma expansion and improving functional outcome.<sup>404</sup>

The issue may become complicated by the fact that many patients develop swallowing problems after stroke and may not be able to take the tablets even if prescribed. On the other hand, lowering blood pressure by continuing treatment might cause profound hypotension and dehydration, a common problem in acute stroke patients who may not be taking fluids appropriately. Stopping treatment might limit hazard as seen in trials of angiotensin receptor antagonists,  $\beta$ -receptor

antagonists and calcium channel blockers.<sup>494, 507, 516</sup> The clinical equipoise that resulted from these opposing arguments led onto research in this area. The COSSACS trial attempted to answer this question and did not show any potential harm from treatment continuation, but was statistically underpowered.<sup>136</sup> Keeping this in mind, Chapter 5 of this thesis explored this question in a subgroup analysis using data from ENOS.

Among 629 patients with haemorrhagic stroke, 246 patients were assigned to continue or stop pre-existing antihypertensive drugs temporarily for 7 days. For those assigned to continue, medication was administered orally and those with dysphagia received treatment through a nasogastric feeding tube. The results revealed no significant difference in the functional outcome assessed using mRS at day 90 among those who continued prior antihypertensive drugs compared to those stopped treatment. When analysing rates of survival, more deaths seemed to occur in those assigned to stop antihypertensive drugs implying they did worse. This was even after confirming that the timing of ascertainment of death between the groups was similar and the data and figures checked for any numerical or labelling errors. The observed result is difficult to explain but overall

there was no significant difference. The analysis is novel as it examined the association between clinical and neuroimaging parameters in haemorrhagic stroke during the acute phase.

A combined analysis including the results of ENOS and COSSACS revealed that measures of disability and quality of life were worse in patients randomised to continue treatment. Although the primary outcome of mRS was not significantly affected by treatment continuation, the signs of possible harm in key secondary variables suggest that it seems sensible to withhold blood pressure lowering drugs until patients are stable and ensure suitable oral and enteral access is established. Given practical issues in implementing this complex question in the setting of a large trial,<sup>136</sup> it is unlikely there will be any future studies looking into continue versus stopping pre-existing antihypertensives in acute stroke. Guidelines do not advise on this issue,<sup>517</sup> but will need to update the recommendations based on the results of COSSACS and ENOS.

## **8.5 Compare the baseline characteristics and outcomes of patients with intracerebral haemorrhage from different ethnic backgrounds**

Whilst previous work indicates ethnic variation in risk factors for ICH, little is known whether these manifest as differences in clinical characteristics, haematoma parameters or functional outcome. With this background, an analysis was conducted in Chapter 6 using data from ENOS and the VISTA collaboration (Virtual International Stroke Trials Archive). Only those patients randomised to control were included to avoid any potential confounding treatment factors. This is the first study addressing this aspect of haemorrhagic stroke and the results found significant differences in age, GCS, BP and ICH volume at baseline among 3 ethnic groups- Caucasians, Asians and Blacks. Although no difference was observed in mRS at day 90, there were significant differences in mortality rates and quality of life. After adjusting for baseline characteristics, Asians were less likely to die and scored higher in the 5 domains of the European-Quality of life questionnaire compared to Caucasians. The causes for these disparities are open to question and may be partly explained by lifestyle factors. Whether genetic or cultural differences impacted upon the findings was beyond the scope of this study and needs further exploration.

More than three-quarter of patients in this cohort were Caucasians suggesting that future trials need to achieve better balance of treatment and control allocation within populations. This would be of relevance when drawing conclusions about outcomes between hospitals within regions or between countries. It is equally important to mention that in this analysis distinct subpopulations were combined into one ethnic group (for example including Filipinos, Indian and Arabics as Asians) and this might have not been appropriate. Although this was undertaken to compensate for small patient numbers, it would be important for future studies to examine for differences among subpopulations. This is because stroke incidence and outcomes are known to differ between population groups living in the same country and between countries.<sup>420, 518, 519</sup>

Most patients with ICH in this cohort were males reflecting a higher incidence but whether clinical and outcome differences exist within or between sexes are limited<sup>520</sup> and need further assessment. This would be important as interactions between sex and ethnicity will affect the incidence of ICH. For example, a higher incidence of ICH is observed in Japanese men compared to women.<sup>521, 522</sup> In a population-based study of

Chinese men, a higher incidence was observed in those living in Changsha but not in Beijing or Shanghai.<sup>523</sup> When exploring the question of sex-difference in future research, examining variation in haematoma according to location, volume and expansion might also help.

It is important to highlight that patients in this study came from randomised controlled trials with specific inclusion and exclusion criteria and this may have led to selection bias. Therefore, the results may not be applicable to a population of unselected patients. The information was limited to trials sharing information with the VISTA collaboration and therefore those that are not represented need to be examined. Future analysis could also include developing countries and those which do not have access to specialist stroke teams.

### **8.6 Deliberate BP intervention in acute stroke**

Whilst previous work indicates high BP is associated with poor early and late outcome after acute stroke, it is still debated as to whether it should or should not be treated during the acute phase. Pathophysiological evidence argues against in the context of impaired cerebral autoregulation during the acute phase whilst observational data favours lowering. Current

guidelines recommend blood pressure lowering only if the blood pressure is greater than 220/120 mm Hg, greater than 200/100 with end-organ damage (concomitant hypertensive encephalopathy, aortic dissection, cardiac ischaemia, renal failure) or more than 200/120 mm Hg in primary ICH.<sup>268, 495,</sup>

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As detailed in Chapter 7, a meta-analysis of blood pressure altering trials aimed at improving clinical outcome in acute stroke was performed. This was an update since the first review in 2001, updated again in 2008 and included 17,011 patients. The new trials included tested BP alteration using single agents, blood pressure lowering to no target to pre-specified targets and functional outcomes ranged from near-negative to neutral to near-positive. The overall result was that blood pressure lowering did not improve functional outcome irrespective of type of stroke. However, there were signs that treatment initiated very early might reduce death or dependency and therefore justifies further research as is being undertaken in ongoing randomised controlled trials.<sup>133, 134</sup>

Few aspects relating to blood pressure lowering in this review warrant discussion. Despite the evidence, it remains unclear as to which antihypertensive agent should be used to lower

blood pressure in acute stroke. Trials involving angiotensin receptor antagonists reduced blood pressure but appeared to cause harm whereas trials of outcome with nitrates ranged from neutral to signs of benefit when administered early.<sup>137, 264, 507</sup> No significant benefit on outcome was seen with thiazide diuretics although the information came from one trial.<sup>467</sup> Agents such as alpha-receptor antagonists, hydralazine, diuretics and centrally acting drugs were used in combination in trials of target driven BP lowering making it difficult to analyse the effects of any single agent. More homogeneous data is therefore needed and crucial information will become available when ongoing trials of single agents are completed.<sup>133, 134</sup> Another important clinical issue during BP lowering is dysphagia which occurs in a significant proportion of patients after stroke and therefore starting tablets might not be an option. Alternative approaches include applying a transdermal GTN patch or to use short acting intravenous agents such as nicardipine and clevidipine but more work in this area is needed.

Another important issue is the lack of knowledge as to when to start BP lowering after acute stroke. Whilst the present meta-analysis does not give the answer in a precise manner, it attempts in a way by showing that functional outcome was

better if BP lowering was started within 6 hours of onset and delay beyond 6 hours was not associated with clinical benefit. The observed results were probably relate to the magnitude of BP lowering as seen in INTERACT-2 and the postulated neuroprotective effect of GTN very early after stroke but no firm conclusions can be made as the summary result was neutral. Of recent, the ATACH-2 investigators attempted to extend this knowledge using intravenous nicardipine in ICH patients presenting within 4.5 hours of ictus with GCS over 5 and randomly assigned patients to 140 to 179 mmHg or 110 to 139 mmHg.<sup>524</sup> The trial planned to enrol more patients, but was stopped prematurely after 1000. Although nicardipine appeared to an effect on haematoma expansion, there was no significant difference in the primary outcome of death or disability which occurred in 38.7% of the intensive group compared to 37.7% of the standard group (adjusted relative risk, 1.04; 95% confidence interval, 0.85 - 1.27; p= 0.72).<sup>524</sup>

One other issue related to this analysis was the lack of clarity as to what magnitude of reduction might benefit. Whilst previous work showed that a 8-14 mm Hg reduction may reduce the risk of poor outcome, the number of included studies were few and patient numbers small.<sup>132</sup> The trials in this review differed in the blood pressure level for enrolment

and treatment targets varied from intensive control to guideline based to no pre-specified level. Repeating the meta-regression with the current data and results from ongoing trials might provide more clear answers.

If blood pressure lowering is thought to benefit, it is not clear from the data as to how long patients should be treated for. Trials in this review varied from 1 day upto 2.5 years making the shift from primary to secondary prevention after stroke appear indistinct. The work from a previous meta-analysis suggests that treatment for a short period may be effective<sup>132</sup> and supporting further testing in this area of stroke research.<sup>134, 525</sup>

There was limited information on long-term follow-up, as most trials (including the most recent ones) performed follow up at three months. This might be early as patients with severe stroke (included in the trials) can experience recovery upto six months. Moreover, a longer time-point on functional outcome might highlight continued benefit or potential harm as shown recently by the SCAST study group with candesartan.<sup>526</sup> Future trials may therefore consider long-term follow up but it should be remembered that outcome assessment may be

confounded by concurrent interventions such as physiotherapy.

One of the key limitations of this analysis was the lack of information on how blood pressure was measured including equipment, who took the measurement and how they were trained, the number of readings at each time point and what position the patient was in (supine, sitting or standing). Of the included 26 studies, only 16 reported on BP equipment, the measurer and how the measurements were taken. Some studies had no recording of blood pressure and therefore excluded from the analysis. Although attempts were made to contact the individual trialists, only few provided data and the rest did not respond. It is essential that future studies should report such information as significant imbalances in values will affect treatment effect. The outcomes in this review were not adjusted for key variables such as age, baseline stroke severity, blood pressure, stroke type and this is important as they might have had a significant impact upon the results.<sup>384, 466, 527</sup> Some of these factors might have been addressed by providing individual patient data and therefore future trialists should be encouraged to do so. One approach would be to share and publish results under the auspices of a collaboration.

The data in these trials was derived from patients with little comorbidity and as yet little is known about altering BP in older patients with multiple medical problems who comprise the largest population in both ischaemic and haemorrhagic stroke. It should also be remembered that the responses of older patients with reduced cerebrovascular reactivity and those with severe hypertension might not show similar responses to younger population during blood pressure modulation.

## **Conclusions**

In conclusion, this thesis has investigated several questions in acute haemorrhagic stroke. It provides new information on assessment of acute intracerebral haemorrhage descriptors and assessed their relationship with clinical outcomes. The data from a multinational international randomised clinical trial from which the body of this thesis is derived provides more information of the management of blood pressure in acute haemorrhagic stroke. It showed that transdermal GTN 5 mg lowered blood pressure, tended to reduce haematoma volume but did not reduce death or dependency. A meta-analysis including recently published trials in acute stroke demonstrated that beta-receptor antagonists, oral calcium

channel blockers, angiotensin converting enzyme inhibitors, angiotensin-receptor antagonists blockers deliberately altered blood pressure in acute stroke. This updated work also established that immediately re-starting prior antihypertensive drugs taken before stroke may increase disability and worsen quality of life. The current work demonstrates that lowering blood pressure early in acute haemorrhagic stroke may benefit, and therefore justifies ongoing randomised clinical trials in this area. Work in this thesis has also shown that clinical features and outcomes in acute intracerebral haemorrhage vary among ethnic groups. However, more research is needed to examine whether genetic susceptibility, socio-economic factors or cultural differences play a role.

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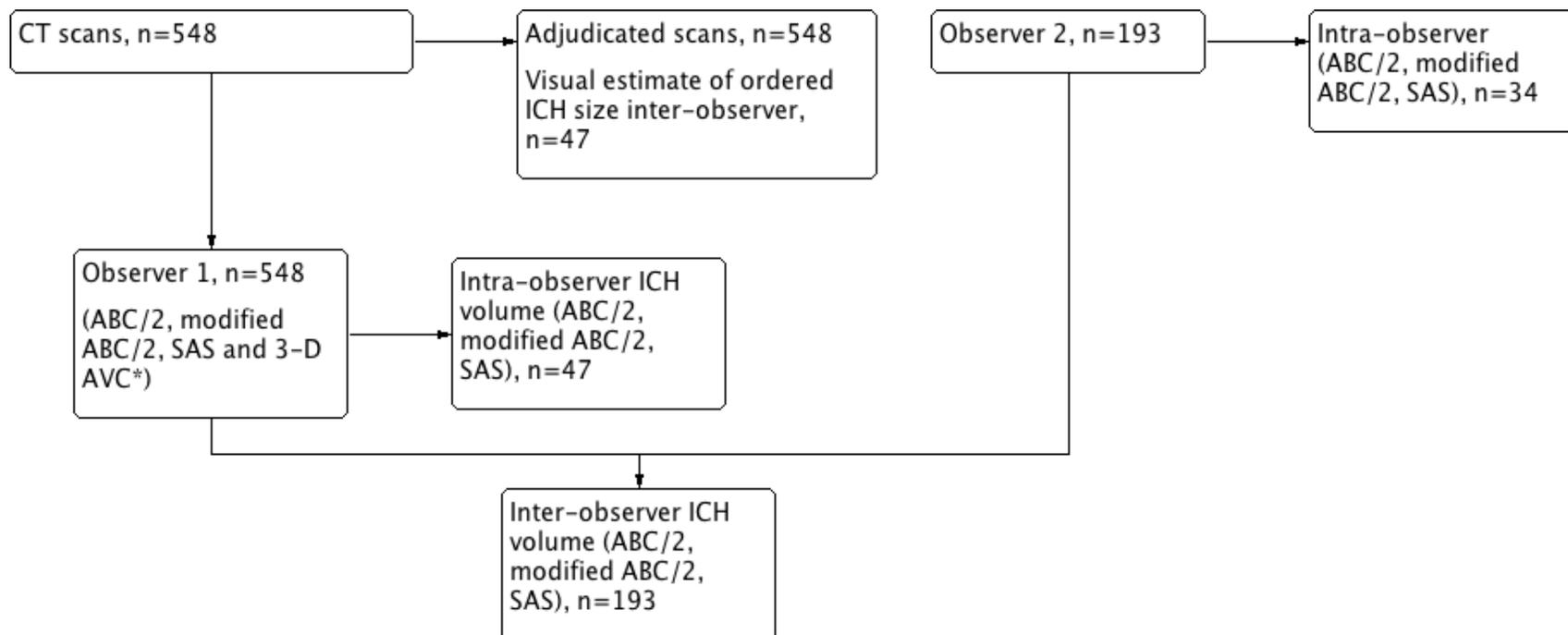
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## APPENDIX I

Flow chart of the number of CT scans from the ENOS trial used to assess intra and inter observer reliability



\*Only 23 of 548 scans were amenable to analysis using AVC

## **APPENDIX II**

This section lists the search terms used in Chapter 8 for a systematic review and meta-analysis of trials which deliberately altered blood pressure in acute stroke.

### **MEDLINE search strategy**

1. blood pressure.tw.
2. hypertension.tw
3. acute/
4. stroke.tw.
5. or/1-4
6. and/1-4
7. 1-4.kf.
- 8.1-4.ti.
9. trials
10. 1-4 and 9
11. 1-4 and 9.ti.
12. ischaemic stroke.tw/ti.
13. haemorrhagic stroke.tw/ti.
14. intracerebral haemorrhage.tw./ti.
15. blood pressure lowering/
16. blood pressure increase/
17. 1-4 or 12
18. 1-4 or 13
19. 1-4 or 14
20. 1-4 and 15
- 21.1-4 and 16
22. cerebr
23. 1-4 or 22
24. vasoactive/
25. 12-16 or 24
26. nitrate.tw.
27. glyceryl trinitrate/GTN.tw
28. nitric Oxide Donors.tw.
29. 1-4 and/or 26-28
30. 9, 12-13, 16 and/or 26-28
31. thiazide.tw.
32. bendrofluazide.tw.
33. bendroflumethiazide.tw.
34. hydrochrlthiazide/HCT.tw.
35. 31-34 and/or 1-4
36. 31-34 and/or 12-15
37. beta blockers.tw

38. atenolol.tw.
39. propranolol.tw.
40. 37-39 and/or 1-4
41. 37-39 and or 12-15
42. calcium channel blockers.tw.
43. nimodipine.tw.
44. nicardipine.tw.
45. amlodipine.tw.
46. felodipine.tw.
47. isradipine.tw.
48. nifedipine.tw.
49. nisoldipine.tw.
50. 42-49 and or 1-4
51. 42-49 and or 12-15
52. angiotensin-converting enzyme inhibitors/ACE inhibitors.tw
53. captopril.tw.
54. enalapril.tw.
55. lisinopril.tw.
56. perindopril.tw.
57. ramipril.tw.
58. 52-57 and or 1-4
59. 52-57 and or 12-15
60. angiotensin receptor blockers/antagonists.tw.
61. candesartan.tw
62. losartan.tw.
63. telmisartan.tw
64. valsartan.tw.
65. clonidine.tw.
65. 60-65 and or 1-4
66. 60-65 and or 12-15
67. vasoconstrictors.tw.
68. dopamine.tw.
69. dobutamine.tw.
70. noradrenaline.tw.
71. phenylephrine.tw.
72. 67-71 and or 3, 4, 9, 16
73. cerebral blood flow
74. autoregulation
75. stroke outcome
76. 73-75 and or 1-4
77. 73-75 and or 12-16

### **EMBASE search strategy**

1. blood pressure.tw.
2. hypertension.tw

3. acute/
4. stroke.tw.
5. or/1-4
6. and/1-4
7. 1-4.kf.
- 8.1-4.ti.
9. trials
10. 1-4 and 9
11. 1-4 and 9.ti.
12. ischaemic stroke.tw/ti.
13. haemorrhagic stroke.tw/ti.
14. intracerebral haemorrhage.tw./ti.
15. blood pressure lowering/
16. blood pressure increase/
17. 1-4 or 12
18. 1-4 or 13
19. 1-4 or 14
20. 1-4 and 15
- 21.1-4 and 16
22. cerebr
23. 1-4 or 22
24. vasoactive/
25. 12-16 or 24
26. nitrate.tw.
27. glyceryl trinitrate/GTN.tw
28. nitric Oxide Donors.tw.
29. 1-4 and/or 26-28
30. 9, 12-13, 16 and/or 26-28
31. thiazide.tw.
32. bendrofluazide.tw.
33. bendroflumethiazide.tw.
34. hydrochrothiazide/HCT.tw.
35. 31-34 and/or 1-4
36. 31-34 and/or 12-15
37. beta blockers.tw
38. atenolol.tw.
39. propranolol.tw.
40. 37-39 and/or 1-4
41. 37-39 and or 12-15
42. calcium channel blockers.tw.
43. nimodipine.tw.
44. nicardipine.tw.
45. amlodipine.tw.
46. felodipine.tw.
47. isradipine.tw.
48. nifedipine.tw.
49. nisoldipine.tw.

50. 42-49 and or 1-4
51. 42-49 and or 12-15
52. angiotensin-converting enzyme inhibitors/ACE inhibitors.tw
53. captopril.tw.
54. enalapril.tw.
55. lisinopril.tw.
56. perindopril.tw.
57. ramipril.tw.
58. 52-57 and or 1-4
59. 52-57 and or 12-15
60. angiotensin receptor blockers/antagonists.tw.
61. candesartan.tw
62. losartan.tw.
63. telmisartan.tw
64. valsartan.tw.
65. clonidine.tw.
65. 60-65 and or 1-4
66. 60-65 and or 12-15
67. vasoconstrictors.tw.
68. dopamine.tw.
69. dobutamine.tw.
70. noradrenaline.tw.
71. phenylephrine.tw.
72. 67-71 and or 3, 4, 9, 16
73. cerebral blood flow
74. autoregulation
75. stroke outcome
76. 73-75 and or 1-4 77. 73-75 and or 12-16

### **Science Citation Index search strategy**

1. blood pressure.TI.
2. hypertension.TI
3. acute/
4. stroke.TS.
5. OR/1-4
6. AND/1-4
7. 1-4.TI.
- 8.1-4.TI.
9. trials
10. 1-4 AND 9
11. 1-4 AND 9.ti.
12. ischaemic stroke.TI/TS.
13. haemorrhagic stroke.TI/TS.
14. intracerebral haemorrhage.TI./TS.
15. blood pressure lowering/
16. blood pressure increase/

17. 1-4 OR 12
18. 1-4 OR 13
19. 1-4 OR 14
20. 1-4 AND 15
21. 1-4 AND 16
22. cerebr
23. 1-4 OR 22
24. vasoactive/
25. 12-16 OR 24
26. nitrate.TI./TS
27. glyceryl trinitrate/GTN.TI/TS
28. nitric Oxide Donors.TI./TS
29. 1-4 AND/OR 26-28
30. 9, 12-13, 16 AND/OR 26-28
31. thiazide.TI.
32. bendrofluazide.TI.
33. bendroflumethiazide.TI.
34. hydrochrothiazide/HCT.TI.
35. 31-34 AND/OR 1-4
36. 31-34 AND/OR 12-15
37. beta blockers.TI
38. atenolol.TI.
39. propranalol.TI.
40. 37-39 AND/OR 1-4
41. 37-39 AND/OR 12-15
42. calcium channel blockers.TI.
43. nimodipine.TI.
44. nicardipine.TI.