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13	
14	ABSTRACT
15	The ability to measure stable and consistent behavioral traits in dogs would facilitate
16	selection and assessment of working dogs, such as guide dogs. Ideally, these measures
17	should predict suitability for the working role from a young age. This study assessed test-
18	retest reliability of a juvenile guide dog behavior test and predictive validity using
19	qualification or withdrawal from guide dog training. Ninety-three guide dog puppies (52F;
20	41M) were tested at 5 (mean 4.78; \pm 0.73 SD) and 8 (mean 7.98; \pm 0.78 SD) months of age.
21	The dogs were exposed to a sequence of 11 stimuli designed to assess the dogs' reactions
22	to: meeting a stranger, obedience commands, body sensitivity, scavenging, and 'animal' and
23	human distractions. The behavior of dogs was digitally recorded and analysed using an
24	ethogram incorporating both frequency of behavior and specific reactions to stimuli. Test-
25	retest reliability indicated inter-individual consistency in many of the behavioral measures
26	such as jumping, barking and 'low' greeting posture. Behavior measures that did not show
27	inter-individual consistency between tests included obedience responses, lip-licking, body
28	shaking and scratching. Binary logistic regression models revealed seven behavioral
29	measures at five months and five measures at eight months that were significantly
30	associated with qualification or withdrawal. Uncorrelated measures and principal
31	component scores of correlated measures were combined in a logistic regression model

that showed great potential for predicting the probability of a dog qualifying or beingwithdrawn from guide dog training.

34

35 INTRODUCTION

36 Puppy testing (the assessment of behavioral responses in puppies) has been referred to as "the holy grail of temperament testing" (Miklosi, 2011). This description reflects the 37 38 potential value of predicting future behavior from a young age to future owners and 39 rehoming and working dog organisations. Valid and reliable behavior tests could be 40 invaluable, enabling the selection of dogs suitable to owner need, specific working dog roles 41 such as support, police or guide dogs, and aid in suitable placement of puppies to homes 42 from rescue shelters (King et al., 2012). The four periods of development during 43 'puppyhood' are the neonatal, transitional, socialisation, and juvenile periods (Scott and 44 Fuller, 1965). The juvenile period is the longest, beginning at approximately three months of 45 age and continuing until sexual maturity (Scott and Fuller, 1965). Domestic dogs typically 46 undergo sexual maturity between 6-9 months of age, but behavioral, or social, maturity is considered to be achieved anywhere from 12 to 24 months of age depending on breed 47 48 (Overall, 2013). Despite the juvenile period being defined as ending at sexual maturity, the 49 majority of published studies consider dogs less than 1 year of age to be puppies and dogs 50 greater than 1 year of age to be adults, or young adults (Fratkin et al., 2013).

51 The juvenile period is currently the least studied or documented stage of puppy

development. The majority of what is known about neural and behavioral development in
the dog focuses on the first 8 to 12 weeks of life (Scott and Fuller, 1965) and little is known
about what further changes may occur in regards to neural development after 12 weeks
(Overall, 2013). However, evidence from human and rat studies show that the mammalian
neural network continues to grow and develop throughout adolescence and that this can
have long term effects on adult personality (McCrae et al., 2000; Sisk and Zehr, 2005; Crone,
2009; McCormick and Mathews, 2010).

59 While some studies have shown associations between puppy test results, and training

60 outcomes of adult working dogs (Slabbert and Odendaal, 1999; Svobodova et al., 2008;

Asher et al., 2013), the majority of previously developed puppy tests have had limited to no

62 success in predicting adult behavior (Goddard and Beilharz, 1986; Wilsson and Sundgren,

1997b; Wilsson and Sundgren, 1998a; Wilsson and Sundgren, 1998b; Riemer, et al., 2014). 63 64 However, these tests were mainly conducted on dogs in the early stages of development, 65 below 12 weeks of age. The lack of success in predicting adult behavior shown by many 66 puppy tests could be explained by continuing neural and behavioral changes within juvenile 67 dogs, which are likely to continue past sexual maturity, stabilizing at only social maturity 68 (Overall, 2013). This is supported by evidence which shows that the predictive ability of 69 behavior tests improve as an animal ages (Goddard and Beilharz, 1986; Wilsson and 70 Sundgren, 1998a; McCrae et al., 2000; Hoffmann, 2002; Bell et al., 2009; Fratkin et al., 71 2013). Therefore, conducting assessments on juvenile or young adult dogs, rather than dogs 72 less than 12 weeks of age, could improve a tests predictive value.

73 Previous research indicates that behavior in juvenile and young adult dogs, aged as young as 74 5 months, can be partly predictive adult behavior (Hoffmann, 2002; Duffy and Serpell, 2012). Significant associations were found between suitability to the guiding role and scores 75 76 on a questionnaire known as the C-BARQ, when completed by volunteer puppy carers 77 (known as puppy walkers or puppy raisers) about behavior of dogs' aged 6 and 12 months 78 (Duffy and Serpell, 2012). While the results of Duffy and Serpell indicate that prediction of 79 working suitability could be possible from 6 months of age, the questionnaire scores were 80 unable to actually separate individual dogs that went on to qualify or be withdrawn, and so 81 could not be used to categorically predict the training outcome of a given individual.

There may be further applications for predicting adult behavior on the basis of canine 82 83 personality. Stable and consistent differences in behavior have been demonstrated for dogs less than 1 year of age (Fratkin et al., 2013). The mean correlation for personality tests 84 assessed at different ages and across a large number of studies was 0.34, similar to human 85 86 behavioral consistency measures (Mischel, 2006). Yet the majority of published studies that 87 assess juvenile dog behavior (Goddard and Beilharz, 1986; Wilsson and Sundgren, 1998a; Wilsson and Sundgren, 1998b; Slabbert and Odendaal, 1999; Batt et al., 2008; Sforzini et al., 88 89 2009; Kim et al., 2010; Duffy and Serpell, 2012; Asher et al., 2013) fail to provide evidence of 90 the test's reliability, have been conducted on too few dogs for the results to be meaningfully 91 interpreted (Batt et al., 2008; Sforzini et al., 2009; Kim et al., 2010). For any behavior to be 92 'predictive' of a future event or outcome, it must be reliable, consistently recorded, and 93 consistent in performance over time (Diederich and Giffroy, 2006; Taylor and Mills, 2006).

94 Personality traits must be consistent and stable over time (McCrae et al., 2000; Uher, 2011), 95 so tests that have been shown to predict the same behavior at a later date may be 96 measuring aspects of personality. Questionnaire based assessments can be used to assess 97 dog personality (e.g. Duffy and Serpell, 2012), but the most commonly employed method is 98 the test battery (Jones and Gosling, 2005). Test battery approaches using ethograms can be 99 used to assess 'personality' (Sinn et al., 2010; Wilsson and Sinn, 2012; Fratkin et al., 2013), 100 and are considered less subjective than questionnaire assessments. Tests are conducted 101 under controlled or semi-controlled conditions and involve exposing dogs to a series of 102 stimuli while recording behavior either at the time, or subsequently from video footage 103 (Highfill et al., 2010; Carter et al., 2012; Wilsson and Sinn, 2012). Scoring protocols 104 associated with practical behavior tests are reductionist in nature, breaking down complex 105 series' of behavior into small constituent parts that can fail to capture subtle or rare 106 behavior (Asher et al., 2009; Uher, 2011). Test batteries are often employed by staff in 107 rescue shelters who wish to evaluate a dog's behavior to aid in successful rehoming, and in 108 decisions regarding euthanasia or rehabilitation (Dowling-Guyer et al., 2011; Mornement et 109 al., 2014,) as well as in working dog organisations that use military dogs (e.g. Haverbeke et 110 al., 2009), police dogs (Slabbert and Odendaal, 1999), or run breeding programs (Arvelius et 111 al., 2014).

112 Predictive validity of behaviour tests could also be improved by ensuring that the situations 113 under which the tests are conducted, and the stimuli encountered, closely reflect the situations to which the results are meant to be applied (Taylor and Mills, 2006; King et al., 114 115 2012; Mornement et al., 2014). Two tests of shelter dog behaviour, which provided 116 sufficient evidence of test reliability, and have successfully predicted future behaviour of 117 dogs following rehoming were both designed to reflect everyday situations, often conducted in the dogs home kennel (Dowling-Guyer et al., 2011; Valsecchi et al., 2011; 118 119 Marder et al., 2013). It is possible that the novel stimuli encountered under artificial testing 120 situations may make the tests inherently stressful for the subjects, reducing the range of 121 traits that can be studied to those related to stress or anxiety, and weakening the validity of 122 the results (Rayment et al., 2015).

123 The main aim of this study was to design and evaluate a test battery for juvenile dog124 behavior using a behavioral coding ethogram, for predicting outcomes in a guide dog

training programme. A subsidiary and related aim was to investigate which aspects of
behavior measured in the test were consistent and stable over time and so could be related
to personality. To achieve these aims we assessed: 1) test-retest reliability (temporal
consistency) between tests at two different ages; and 2) predictive criterion validity by
comparing dogs test scores to their outcome within the Guide Dogs' training program
(qualification as a guide dog or withdrawal from the program for behavioral reasons).

131

132 METHODS

133 SUBJECTS

134 The target population was defined as all Guide Dogs' puppies born in December & January 135 2011 who were tested once at 5 months and again at 8 months of age. Potential guide dogs 136 are cared for by volunteer 'puppy walkers' (PWs) during the formative months of their life. 137 Contact details of all volunteer puppy walkers, nationwide, due to receive these puppies 138 were obtained (n=148). A postcode map of participant locations was created using online 139 mapping software Batchgeo (<u>http://batchgeo.com/</u>). Puppy walkers whose locations were 140 more than a two-hour drive from another puppy walker were removed from the study 141 sample. The remaining 119 PWs were invited by letter to participate with their dog. The 93 142 PWs who consented to participate met with the researchers at the venue closest to them 143 (see below). PWs were briefed over the phone, and by letter, on the content of the test 144 battery.

145

Ninety-three dog-PW dyads participated in the study (69 tested twice, 13 tested only at 5
months, and 11 tested only at 8 months). The mean age of dogs tested in the first test was
4.78 months (±0.73 SD); and in the second test was 7.98 months (±0.78 SD). Of the 93 dogs
tested, 52 were female and 41 male (first test 48F/34M; second test 44F/36M). The dogs
came from 29 litters, with 23 different sires. The dogs tested (sire x dam) were 39 golden x
Labrador retrievers; 38 Labrador retrievers; 8 Labrador x golden retrievers; 6 Labrador x
golden retriever crossbreeds; and 1 German shepherd x golden retriever.

153

154 TEST ARENA

Tests were conducted in 21 different venues, typically village halls, church halls or 155 156 community centres with at least two rooms, one of a minimum size of 7m by 5m for testing 157 and another for use as a waiting area. All venues also had an outside space not adjacent to a 158 road. The test battery was named the 'juvenile guide dog behavior test'. 159 A test arena, measuring 6.5 x 4.5 metres, was marked out at each venue using rows of 160 chairs, and always included an entry/exit route in view of at least one camera (Figure 1). 161 Video recordings of the indoor test arena were made using three camera's (Camera 1 was a 162 Panasonic HDC-HS60; Camera's 2 and 3 were wide angle GoPro HD-Hero2) mounted on 163 chairs. A pathway in an outside area, which measured a minimum of 14 metres in length, 164 was established with stimuli consistently placed at a measured distance from the path

165 (Figure 2). Filming of the outside area was permitted by the use of a head mounted camera

166 on Experimenter 1 (wide angle GoPro HD-Hero2) positioned at approximately a 45°

167 downward angle.

168

169 PROCEDURE

170 The test procedure was developed following an extensive review of literature, consultation 171 with Guide Dogs' training staff, three months of observations of puppy behavior in Guide 172 Dogs' puppy classes, and pilot work with juvenile pet and potential guide dogs. Subtests 173 were designed to address behavior that could be representative of distractions (from food, 174 animals or people), training and obedience, and body sensitivity. The food distraction subtest was designed to replicate situations where food rubbish is encountered on walks, 175 176 which is problematic in guide dogs (Murphy, 1998). No stimuli or procedures were considered which had the potential to induce a strong fear response. To maximise the test's 177 178 validity, efforts were made to make the protocol as 'normal' and stress-free as possible for the dogs by mimicking situations they could encounter on a day-to-day basis. Testing took 179 180 place during the months of May-June and August-September 2012. 181

A total of 11 subsets were used: 1) Meet a stranger; 2) Obedience with PW; 3) Obedience
with stranger; 4) Raised path; 5) Body check; 6) Head ring; 7) Tea-towel; 8) Food; 9) Robin;
10) Pigeons; and 11) Human distraction (see Table 1). Two subtests, 1 & 5, were adapted
from subtests 1 and 3 from the 'Social Contact' task in Svartberg (2005). Three

experimenters were involved and the main handler for the tests, Experimenter 1 was keptout of sight from the dogs until the test began.

188

- 189 Equipment for subtests 1-7 included two polyethylene foam blocks (L600mm, W400mm,
- 190 D80mm), placed end-to-end to form a raised path for subtest 4, sourced from Foam
- 191 Solutions UK (<u>http://www.foamsolutionsuk.co.uk</u>), a rubber 13" Aerobie[®] Pro Ring for
- subtest 6, and a quarter folded cotton tea-towel for subtest 7. Drawstring treat bags were
- 193 worn by experimenters 1 and 2 clipped onto their belts that contained a mixture of two
- 194 types of dog treats (Misfits[®]: Ruff Rips[™] and Scruffy Bites[™]). Equipment for subtests 8-11
- 195 consisted of two small cones used to mark the beginning of subtest 8, two paper plates
- 196 holding three torn up hot dog sausages (Herta[®] Frankfurters Classics), two plastic, whole
- 197 pigeon decoys with legs (head down) (<u>www.countrykeeper.net</u>), and an RSPB 'singing' robin
- 198 tied to a pulling device). The pulling device consisted of an adjusted remote control car with
- a retractable dog lead joined to its wheel, hidden in a cardboard box by a woollen blanket.
- 200 The car was activated by remote control and the lead then pulled the robin into a second
- 201 cardboard box 'hide'.
- 202

203 VIDEO ANALYSIS

- An ethogram of behavioral responses was created prior to behavioral testing (Table 2). A
 single rater scored all videos over a five-month period.
- 206

207 STATISTICAL ANALYSIS

- 208 Tests for correlations, associations between variables and principal components analysis
- 209 were undertaken using SPSS v. 21 (SPSS Inc., Chicago, IL, USA). Logistic regression analysis
- 210 was undertaken in R version 3.0.2 (R Core Team, 2013); R scripts available on request.
- 211 Unless otherwise stated, significance was set at P<0.05.

212 INTRA-RATER RELIABILITY

- 213 Intra-rater reliability was assessed for all ethogram measures. For measures that were
- 214 repeated, due to subtest replicates, they were combined so that just the measure was
- assessed. For example, tail height was recorded for the two replicates of subtest 6 as '1st Tail

height' and '2nd Tail height' but for this analyses the replicates were combined to give just 216 217 'Tail height'. Cohen's Kappa (K) was utilized to assess binary data (Gwet, 2014), and 218 intraclass correlation coefficients (ICC's) were calculated for continuous data using a two-219 way mixed model with consistency (Nichols, 1998). Mean weighted kappa coefficients are 220 most commonly used to assess agreement for ordinal data where there is an underlying 221 continuum (Roberts and McNamee, 2005). Average measures ICC's with absolute agreement are directly equivalent to the mean weighted kappa (Fleiss and Cohen, 1973), so 222 223 average ICC's were applied to ordinal data. Cohen's Kappa (K) is most often interpreted as 224 follows: less than 0.20 is poor, unacceptable correlation, 0.21-0.4 is a fair and acceptable 225 correlation, 0.41-0.60 is moderate correlation, 0.61-0.80 is a good correlation, and 0.81-1.00 226 a very good correlation (Altman, 1991). Guidelines for interpretation of both mean weighted 227 kappa and ICC coefficients suggest that below 0.40 is poor or unacceptable, between 0.40-228 0.59 is fair, between 0.60-0.74 is good, and above 0.75 is excellent (Cicchetti, 1994; 229 Bryington at al., 2004). ICC coefficients of above 0.60 were considered acceptable for this 230 analysis.

Using methods outlined by Walter et al. (1998), a sample size estimation based upon 231 232 α =0.05, β =0.20, with a minimum acceptable coefficient of 0.60 and a maximum expected 233 coefficient of 0.80, provided an acceptable sample size of 39.1. Further sample size 234 guidelines for intra-rater reliability of tests using ICC statistics suggest that 40 samples with 235 2 replicates are sufficient to obtain precise coefficients (where precision is shown by 95% confidence interval widths of less than 0.40) when the coefficient is above 0.50 (Gwet, 236 237 2014). Based upon these two guidelines, videos of 40 tests were analysed twice by the same 238 rater, approximately two years apart.

239 TEST-RETEST RELIABILITY

To investigate test-retest reliability all individual measures were tested for correlations
between the 5M and 8M tests. Of the 93 dogs in this study, 69 participated in both tests and
form the basis of this analysis. To assess test-retest reliability (for which rank-order
consistency is assessed) Kendall's tau-b was used for binary variables, and Spearman's rank
for ordinal and continuous data. P values are presented with and without (for comparison

with existing literature) correction for multiple testing using the Improved BonferroniProcedure (Simes, 1986).

247 PREDICTIVE VALIDITY

Of the 93 dogs tested, 61 qualified as guide dogs (Q), 22 were withdrawn for behavior
reasons (W-B), 4 were withdrawn for health reasons and 6 were selected for breeding. For
the purposes of this analysis only test scores of those dogs that were qualified or withdrawn
for behavior reasons were be used. This gave a sample size of 73 dogs (52Q and 21W-B)
with 5M test scores and 72 dogs (56Q and 16W-B) with 8M test scores.

Separate binary logistic regression analyses were conducted for the 5M and 8M tests. Thebasic model equation using a logit link function can be written as:

255

256

$y_i \sim \text{Binomial}(n_i, \pi_i)$

$$logit(\pi_i) = log\left(\frac{\pi i}{1-\pi i}\right) = \beta_0 + \beta_n X_i$$

257 Where
$$y_i$$
 represents the response variable (withdrawal for behavior vs. qualification as a
258 guide dog) for the *i*th dog; π_i represents the probability that $y_i = 1$; β_0 is the model intercept
259 (the estimated response value when the predictor equals zero), and the regression
260 coefficient for the explanatory variables are represented by $\beta_n X_i$ (where 'n' indicates the
261 variable ID).

262 A four-step process was utilized for multivariate analyses: (1) univariate logistic regressions 263 were run for each variable from the test against training outcome, criteria for retention of 264 variables was set to p<0.1; (2) to avoid multi-collinearity, correlations between retained 265 variables (Spearman's for continuous measures, Kendall's tau-b for ordinal measures, 266 McNemar's tests for binary measures, and Mann Whitney U tests to compare binary against 267 ordinal or continuous measures) were conducted and where correlations were significant 268 (p<0.1) principal component analysis (PCA) was utilized to reduce the variables by creating 269 component scores (PCAs based on Eigen values >1, with varimax rotation), following 270 guidelines set out by Budaev (2010) for studies with fewer than 100 subjects loading values 271 of >0.50 were considered significant; (3) PCA scores and remaining uncorrelated variables 272 were entered into a composite logistic regression model using a backwards elimination 273 procedure; (4) the 'anova' command of the statistical package was then used to assist with

- selection of the best fitting model. Figures were made by plotting the probability of being
- 275 withdrawn, and outcome (withdrawn or qualified), against the probability of being
- withdrawn and a composite score (calculated from the model), which will henceforth be
- 277 referred to as the "composite model score".
- 278

279 **RESULTS**

- 280 INTRA-RATER RELIABILITY
- 281 The variables 'approaches' and 'avoids' from subtests 8 to 10 showed too little variation in
- this random subsample of tests. Only 'approaches' for subtest 10 (Pigeons) could be
- assessed for intra-rater reliability. Following the combination of measures that were
- repeated within replicates of subtests 5 to 7, this created 33 variables for which intra-rater
- reliability was testable.
- 286 For the 16 testable binary variables, one could be classified as showing 'fair' agreement with
- a *K* of 0.35, according to Altman (1991) and one could be considered to have 'moderate'
- agreement, with eight showing 'good' agreement and 6 showing 'very good' agreement
- 289 (Table 3).
- For the 17 continuous or ordinal variables evaluated here, all showed ICC values above 0.60,
 with three being classified as 'good' and 14 classified as 'excellent' according to the
 guidelines set out by Cicchetti (1994) (Table 4).
- 293 TEST-RETEST RELIABILITY

294 Most behavioral measures considered showed some temporal, test-retest consistency 295 between 5 and 8 months (Table 5). Twenty-five measures were significant before correction 296 for multiple testing, with 18 remaining significant after correction. Measures that did not 297 show temporal consistency include: shakes, scratches (across subtests), pull strength (in 298 subtest 1), the response to sit, wait and down commands, proportion of time spent gazing 299 at the puppy walker, and lip-licks (in subtest 2 & 3), crossing a raised pathway (subtest 4), compliance score in a body check test (subtest 5), body posture in the 2nd trial of the head-300 ring (subtest 6), turns head towards a tea-towel placed on the back (subtest 7), time 301

- 302 orientated towards, approaching or avoiding a pair of fake pigeons (subtest 10), and pull303 strength towards an unknown person (subtest 11).
- 304

305 PREDICTIVE VALIDITY

306 FIVE MONTHS

307 Ten variables at 5M showed associations with qualification or withdrawal to the p<0.1 level 308 (Table 6). Six were found to be significantly associated with each other and were included in 309 a PCA, which yielded two components (Table 7). The 5M PCA achieved a KMO statistic of 310 0.59 and Bartlett's test of sphericity of p<0.05. Three variables loaded strongly in the first 5M component (5M-PC1), all of which came from subtest 6 (tea-towel). High 5M-PC1 scores 311 312 were achieved by dogs that attempted to remove the tea towel on both repetitions and played with it on the second repetition. The remaining three variables loaded on 5M-PC2, 313 314 and high scores for this component were indicated by barking at any point during the test, 315 lip-licking in subtest 2 (PW obedience) and not-shaking for subtests 5-7 (body sensitivity 316 tests). A significant composite logistic regression model could be formed for the 5M test 317 combining each component score (5M-PC1 and 5M-PC2) with three independent variables: 318 time oriented towards the food in subtest 8, and 'Down' performance in subtest 2 and subtest 3 (Z=3.81, p<0.001, R²=48.4%, Figure 3). For each 1 unit increase in the composite 319 320 score the odds of being withdrawn for behavioral reasons increased by x1.7 (95% CI 1.63 to 4.55). 321

322 EIGHT MONTHS

Ten variables at 8M were associated with qualification or withdrawal to the p<0.1 level 323 324 (Table 6). Nine of these variables were significantly associated with each other and so were 325 included in a PCA, which yielded three components (Table 8). The 8M PCA achieved a KMO 326 statistic of 0.65 and Bartlett's test of sphericity of p<0.05. The first component, 8M-PC1, 327 contained mostly variables from subtests 8-10 (distraction circuit), dogs with high scores on 8M-PC1 pulled more strongly towards the food, Robin and Pigeons, and played with the tea-328 329 towel the first time in subtest 7. Component two, 8M-PC2, contained two variables; dogs 330 with high scores on this component avoided the Pigeons and showed a change from neutral 331 posture in the first repetition of subtest 7 (tea-towel). Component three, 8M-PC3, contained

two variables loading above 0.50 (turning to look at the tea-towel in subtest 7 and
approaching the robin in subtest 9), and one variable with a loading on 0.47 (time oriented
toward the food).

A significant composite logistic regression model could be formed for the 8M test combining each component score (8M-PC1, 8M-PC2, 8M-PC3) with the one independent variable: 'low' greeting posture in subtest 1 (Z=3.64, p<0.001, R²= 52.3%, Figure 3). For each 1-unit increase in the composite score the odds of a dog being withdrawn increased by x1.7 (95%Cl 1.59 to 4.66).

340 **DISCUSSION**

341

The aim of this study was to design a battery of practical tests for assessing juvenile dog 342 343 behavior using a behavioral coding ethogram, which would record and identify behavior 344 associated with the dog's personality and had potential to predict suitability for the guiding 345 role. The study found evidence for both reliability and validity of this test (Taylor and Mills, 346 2006, Martin and Bateson, 2007). To identify behavior that may be indicative of personality, 347 reliable measurement items were assessed for test-retest reliability (temporal consistency). 348 Temporal consistency was good with a mean correlation of 0.41 for 25 measures, and 0.45 349 for the 18 measures that remained significant after correction for multiple testing. These 350 results compare favourably to published literature, which together showed a mean 351 correlation of 0.34 (Fratkin et al., 2013). To assess validity, we considered the association 352 between measurements and outcome in Guide Dogs' training programme, finding seven 353 measures at five months and five measures at eight months that were significantly 354 associated with qualification or withdrawal individually. Additionally, a logistic regression 355 model could be produced for each age tested that demonstrated potential for identifying dogs likely to qualify or be withdrawn from the training program. 356

357

358 INTRA-RATER RELIABLITY

Intra-rater reliability of the ethogram revealed the majority of measures achieved good to
 excellent consistency, with all falling within acceptable limits of agreement (Altman, 1991,

361 Cicchetti, 1994). As predicted in sample size estimation the 95% confidence interval width 362 for all ICC statistics was less than 0.40, which lends credibility and confidence to these 363 results. It is essential to establish reliability of scoring methods, especially where decisions 364 are made based upon their results. While intra-rater reliability has been demonstrated 365 within this study, it will need to be re-assessed in any future application of the behavior test 366 when new raters are trained (Martin and Bateson, 2007). Inter-rater reliability was not 367 assessed in this study because all tests were scored by a single rater. If multiple rates are used, as is commonly the case, inter-rater reliability will also need to be demonstrated. 368

369

370 TEST-RETEST RELIABILITY (TEMPORAL CONSISTENCY)

The results from the test-retest analysis show that many behavioral measures from the ethogram achieved good (>0.3) to high (>0.6) correlations between the time points, suggesting the presence of inter-individual consistency. These results compare favourably to those found in a meta-analysis of behavioral consistency in dogs where similar studies on puppies (dogs <1 year old) had a mean correlation between tests of 0.34 (Fratkin et al., 2013).

Measurement items that showed poor temporal consistency in rank order of individuals were obedience task response, gaze behavior and summed counts of lip-licking, shaking and scratching. Intra-observer reliability for these measures was acceptable to good, which suggests the lack of correlations between tests is due to instability of the behavior, not recording error. These results suggest that these behavior are most subject to change, and cannot be considered behavior that directly reflect personality traits due to their lack of inter-individual consistency (Freeman et al., 2011).

Behavior that showed medium to high consistency correlations (*rho* of >0.4) across the
three month time period included jumping, barking, whining, 'Low' posture upon greeting,
mouthing, human licking, and ear and tail position. The measures from the distraction
subtests (8-11) also showed good to high consistency, confirming that they detect
consistent individual differences in behavior. These measurement items could be used as
measures of dog personality. The measures from the distraction subtests were designed to

assess distraction related tendencies, but to be sure that they measure a distraction trait
(e.g., Arata et al., 2010) would require comparison with independent measures.

392 The high level of inter-individual consistency for the distraction measures, compared to the 393 other measures, contradicts one study that showed low repeatability for distraction measures in dogs tested at 6 and 12 months of age in an Australian guide dog population 394 395 (Goddard and Beilharz, 1984). Differences between these studies may stem from 396 differences in the test and recording methods. Our study used semi-controlled situations 397 and objective behavioral coding methods to score the dogs, and the re-test interval was half 398 that of Goddard and Beilharz and behavior is more consistent across shorter time intervals 399 (Bell et al., 2009, Fratkin et al., 2013). Goddard and Beilharz (1984) observed the dogs in 400 uncontrolled conditions and used a more subjective scoring system. Assessments of 401 distraction behavior should be conducted under standardised, controlled or semi-controlled 402 conditions.

Our results compare favourably with those from other test-retest studies of behavior in
dogs. Sinn et al. (2010) found medium to high, significant correlations (0.4-0.6) for behavior
scores between tests with short intervals (1-30 days) for US Military Working Dogs.
Correlations decreased to <0.3 with longer intervals (30 - 157 days). In our study the interval
between tests was approximately 91 days (13 weeks), and medium to high correlations
were achieved with a mean significant correlation of 0.41.

409 There was a lack of correlation between the 5M and 8M tests of obedience, which suggests 410 that obedience, itself, may not be an aspect of personality in dogs. In a meta-analysis of 411 consistency of personality 'traits' in dogs, 'Responsiveness to Training' was found to have the lowest overall consistency of the 'traits' assessed (Fratkin et al., 2013). Such 412 413 assessments of trainability are often based on questions about obedience, so it is probable 414 terms are being used synonymously in the scientific literature. Obedience has a strong 415 reliance upon factors external to the dog including amount, type, and quality of training, 416 which are not often assessed in such tests and may mask dog effects.

417

418 PREDICTIVE VALIDITY

Some test measures discriminated between dogs that eventually qualified or were
withdrawn for behavior, at both 5 and 8 months of age. Only one measurement item was
significantly associated with the dogs' training outcome from both tests: time oriented
towards the food in subtest 8. Dogs who spent longer oriented towards the food had
increased chances of withdrawal from the training program.

424 Expression of a 'low' posture, as defined in Table 2, during greeting in subtest 1 was found 425 to be positively associated with success in guide dog training. Low postures have been 426 associated with the experience of both chronic and acute stress (Beerda et al., 1998; 427 Haverbeke et al., 2009). Our definition of 'low' posture included that the dog wagged its 428 tail. While in this position the dogs often licked the hands of the experimenter, a behavior 429 associated with human-greeting in dogs (Westgarth et al., 2008). This version of a 'low' 430 posture occurs only during greeting and is accompanied by tail wagging (and potentially 431 hand licking), and could be considered to be an appeasement posture that may reflect a 432 particularly 'sociable' dog. It is possible that dogs viewed as more 'sociable' could be more 433 likely to qualify as a working guide dog.

434

435 Body shaking behavior was also associated with qualification from guide dog training and is 436 also thought to be associated with the experience of anxiety or internal conflict in a dog 437 (Beerda et al., 1997). However, in our study, shaking following the 'body sensitivity' subtests 438 substantially decreased the odds of a dog being withdrawn. One possible explanation for 439 this unexpected association could be that shaking is a coping behavior, expressed to help 440 alleviate anxiety. Shaking behavior was not temporally consistent, and only shaking at 5 441 months was associated with a dog's training outcome. The presence of lip-licking during the 442 puppy walker obedience subtest at 5 months was also associated with increased chances of 443 withdrawal, and also did not show temporal consistency. In our study shaking and lip-licking 444 were shown not to predict future shaking or lip-licking, but they did appear to represent an 445 aspect of the dog's state at the time of testing, which was predictive of the independent 446 event of qualification as a guide dog more than a year later.

447

Using composite regression models, the factors of most importance in predicting outcomeat five and eight months were identified. At five months, the dogs that qualified responded

450 the first time to the 'down' command from their puppy walker, responded the second or 451 third time to the novel person for the same command, and scored low on the two five 452 month component scores. The first five-month component score included attempted 453 removal of the tea-towel from their back in subtest 7 (on each replicate) and playing with 454 the tea-towel in the second replicate. This component represents a subtest specific score regarding the dogs' reaction to a garment-like fabric being placed on their back. The second 455 456 five month component score included barking in any subtest, lip-licking during obedience 457 with their puppy walker, and an absence of body shaking after subtests 5-7 (body sensitivity 458 tests). Barking, lip-licking and shaking may be associated with internal conflict or anxiety 459 (Beerda et al., 1997). These components could contain some aspect of responses to anxiety 460 provoking situations. If so, they may reflect behaviors defined under the 461 'Fearfulness/nervousness' dimension (McGarrity et al., 2015).

462

463 At eight months, the dogs that were statistically predicted as most likely to qualify as guide 464 dogs were those which did not display a 'low' greeting posture, had low scores on the first 465 component (distraction) and/or second component (fear/anxiety) identified from a PCA, 466 and/or high scores on the third component (low reactivity). The first eight-month component included pulling more strongly towards the food, robin, and Pigeons from 467 468 subtests 8-10 and playing with the tea-towel from the first replicate of subtest 7. This 469 component appears to represent distraction-related behavior, one of the most common 470 reasons for withdrawal within Guide Dogs in the UK, and other guiding schools (Arata et al., 471 2010). The second 8-month component included avoidance of the Pigeons from subtest 10, and change from neutral posture in response to the first tea-towel replicate in subtest 7. 472 473 These behaviors may be indicative of a fearful or anxious response. Interpretation of these 474 behaviors would be aided by concomitant assessment of physiological variables, such as 475 heart rate or circulating glucocorticoid levels (Rayment et al., 2015). It may appear 476 contradictory that dogs least likely to qualify as a guide dog are those that pulled harder 477 towards the Robin and those that also avoided the robin. While dogs would be unlikely to 478 show both behavioral responses simultaneously, strong avoidance or approach behavior 479 with respect to novel items is undesirable for a working guide dog. The third 8-month 480 component was based upon turning to look at the tea-towel on their back in subtest 7, and 481 approaching the robin in subtest 9, where a lack of such behavior was associated with

482 qualification. Although the principal components discussed here may place dogs within 483 proposed personality dimensions (such as 5M-PC2 within 'fearfulness/nervousness'), it is 484 important to note the methodological limitations of this study. The main aim was to identify 485 behavior that may be predictive of guide dog suitability, as such the principal components 486 were formed only from behavior that showed predictive associations and cannot be 487 considered to be exclusive measures of dog personality traits. Additionally, behavior 488 included in the predictive models was not required to be temporally consistent in order to predict guide dog suitability. For a behavioral measure to be considered a measure of 489 490 personality it must be temporally consistent. Therefore any placement of these principal 491 components within a personality framework must be done with caution.

492

493 The composite regression models highlighted the test's ability to identify dogs with high and 494 low probabilities of withdrawal for behavior. Models based on probability of withdrawal 495 could be utilized as a tool to aid decision-making regarding a dog's training, or subsequent 496 inclusion in the training program. The model was able to classify a dog's outcome 497 (qualification or withdrawal) correctly for 79.7% of dogs for the 5M model and 87.3% for the 498 8M model. These values compare favourably with previous literature where 78% of adult 499 dogs (15-18 months-old) in the Swedish Armed Forces programme were correctly classified 500 by a behavioral coding method (Wilsson and Sinn, 2012). Our results were based on a 501 default threshold of 50% probability of success as a guide dog to classify dogs as either likely to qualify or likely to be withdrawn. Based upon the requirements of organisations such as 502 503 Guide Dogs, a highly conservative threshold for automatic withdrawal of a dog could be set 504 at 90% probability. Dogs with a probability of withdrawal of between 60-90% could be given 505 a 'flag' that would allow their progress to be monitored more closely and for the application 506 of potential rescue strategies. Dogs with a probability of withdrawal of less than 10% could 507 be fast-tracked through the system, or individuals with desired physiological phenotypes 508 within this group could be selected for breeding. Using a 60% probability as a threshold for 509 alerting dogs likely to be withdrawn would yield positive predictive values (correctly 510 identified withdrawn dogs) of 55% and 50%, for the 5 and 8-month tests, with 92% and 80% of dogs scoring above the cut-off being withdrawn. Positive predictive values (PPVs) are 511 512 rarely reported from behavioral assessments of working dogs, but Asher et al. (2013) noted 513 that a puppy test for 8 week old guide dog puppies yielded an 8% PPV (Asher et al., 2013).

One test of 6 month old trainee police dogs had a 33% PPV (Slabbert and Odendaal, 1999).
Positive predictive values of 50% and 55% from the test described here are high, and could
be of significant value to Guide Dogs.

517

518 CONCLUSIONS

519 The test presented here represents a new behavior test for juvenile dogs from which 520 reliable and consistent measurement items have been identified. Some of these 521 measurements have shown considerable predictive criterion validity for guide dog 522 suitability. This juvenile guide dog behavior test has the potential to be used as a decision 523 making tool for Guide Dogs, by identifying dogs who will not be successful while they are 524 still puppies. Identification of dogs most likely to qualify could assist with selection of dogs 525 for inclusion in the breeding program. As with many test batteries, the application and 526 subsequent scoring associated with this test in its current form is labour intensive compared 527 to that of a rating style assessment. Not all elements of the test included measures shown 528 to be of predictive value, such as subtest 4 (Path). The test order was not randomised, so as 529 with most tests there is the potential for order effects on the dogs' behavior. The overall 530 test length was below 20 minutes, within the minimal length suggested by Taylor and Mills 531 (2006). If the only purpose of the test were to predict guide dog training outcome, only 532 behavior that showed significant associations with guide dog qualification or withdrawal 533 would need to be recorded and measured from video footage. Combined with additional 534 assessment methods, this test could be applied to those dogs whose behavior is already 535 under question, to gain further estimates of their chances of success in training. The juvenile guide dog behavior test and its associated ethogram could also be utilized for future 536 537 scientific studies of juvenile dog personality and behavior, which has broad applicability and 538 interest.

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544

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551

552 AUTHORSHIP STATEMENT

553 NH conceived and designed the study and data collection tools, collected data, performed 554 data analysis and drafted and revised the paper. PC assisted with design of the study, 555 collected data and commented on drafts and revisions of the paper. RS & CM assisted in design of the study, data collection and commented on drafts and revisions of the paper. 556 557 MG supported statistical analysis and commented on drafts and revisions of the paper. GE 558 initiated the project, monitored the study and commented on drafts and revisions of the 559 paper. LA oversaw the study, conceived and designed the study, monitored data collection, 560 directed data analysis, and drafted and revised the paper.

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 the behavior of the puppy and the adult dog. Appl. Anim. Behav. Sci. 56, 245-254.

726 Table 1 Description of the juvenile guide dog behavior test protocol. Subtests 1-7 were conducted indoors in the first test arena, and subtests 8-11 were conducted in the second test arena. Subtest Description Dog and PW enter the room (test arena) with the dog on the lead and the test begins PW and dog entered test area, and approached Exp1 who stands at the opposite end of the arena to the entry door. Both PW and dog invited to greet Exp1. Dog 1) Meet a stranger greeted by: holding out hand (under head); making brief eye contact; smiling and petting dog calmly. While explaining the test process to the PW dogs were (on lead) softly petted on head, only if they approached. 2) Obedience- PW PWs were instructed to walk the dog, on the lead, around the test arena and to ask for: a 'sit'; a 'sit-wait'; and a 'down', at marked stations. (on lead) Exp1 took dog and repeated obedience commands from subtest 2. Hand signals were used in conjunction with the commands wait* (palm up), and down (point 3) Obedience - STR down for requests 1 and 2, place pointed hand on floor for request 3). Commands repeated a maximum of three times before using treats; with 5s intervals (on lead) between repeated commands. Exp1 led the dog towards foam path, with the dog lined up to walk over and across the foam path. Lead tension was loose so the dog could avoid or step off the 4) Path path if it chose to. The procedure was repeated twice for all dogs. A hand lure was used where the dog's attention was elsewhere or their actions (i.e. jumping) (on lead) appeared to place it at risk of tripping over the path edges. If the dog actively avoided the path, or got off less than two thirds across, a hand or treat lure was used and procedure was repeated up to three times. Dog is given a 2-3 minute break and offered a bowl of water. Following which two play behavior subtests occurred (data not presented here)) Exp1 and Exp2 knelt down and called dog to them. Exp2 held the dog's collar and/or used a treat lure to keep dog still (where required) while Exp1 conducted 5) Body check the physical examination which included: a slow pet to the head; ears were then smoothed and lifted for inspection; the dog was then stroked down its back, (off lead) sides, chest then legs where paws were lifted and given a slight press (attempted twice only). Exp1 & Exp2 avoided eye contact with the dog, talked soothingly and if unable to conduct the subtest waited up to one minute for the dog to calm down before carrying on. 6) Head ring Exp1 called dog to them and placed the ring c.20cm in front of the dogs face. Exp1 inserted hand through ring to place treat in front of dogs' muzzle, at which (off lead) point hand was slowly pulled back through the ring and stopped when dogs head was (or could be) fully inserted. Repeated twice. 7) Tea-towel Exp1 called dog to them and offered it a treat and, While dog retrieved the treat, Exp1 placed a quarter folded tea-towel over its back. Exp1 remained in position (off lead) for 10s or until the dog removed the tea-towel. Repeated twice.

Dog is given a 2-3 minute break and offered a bowl of water. Following which dog is put back on the lead and led to the second testing arena to the beginning of subtest 8 by Exp1

Subtest	Description						
	Exp1 led dog to the cones and asked to "sit" once. Exp1 and dog stayed there for 10s then dog led forward and walked past the plates. If the dog stopped or						
8) Food	tried to reach the plates Exp1 stopped ahead of it, holding it back from the food, turned and calls dogs name, if no response then the following commands were						
(on lead)	used; "come on", followed with "dogs name" and " leave". If the dog refused to leave the plates it is touched on the side flank to gain its attention then finally						
	lured away with a treat (only if required).						
	As Exp1 and the dog approached within 0.3m of the stimuli Exp3 activated the remote control pulling device. The toy robin emerged from a hide to the right and						
9) RODIN	rapidly moved across dogs' path to hide again on the dogs left. If the dog stopped or tried to reach the robin the response procedure from subtest 8 was						
(on reau)	repeated until the dog moved on.						
10) Pigeons	Exp1 and dog walked past two plastic pigeons placed 0.5 meters from the path. If the dog stopped or tried to reach them the procedure from subtest 8 was						
(on lead)	repeated until the dog moved on.						
11) Human distraction	Exp1 & dog walked past Exp3 who stood 1/2 a meter from the path. Exp3 stood still and looked at the dog as they approach but withholds any other contact. If						
(on lead)	the dog stopped or jumped up on Exp3 the response procedure from subtest 8 was repeated until the dog moved on.						

Note: PW indicates the dog's puppy walker, Exp indicates an experimenter, STR is used to represent Exp1, in subtest 1 and 3, who was previously unknown to the dogs and therefore acts as a stranger (STR) for subtests 1 and 3. *dog asked to "sit", once sat dog asked to "wait", Exp1 then takes two steps away from the dog, holding a long lead, repeats "wait" then returns to dog and praises. If, at any point, the dog jumped up onto the experimenters they would turn their back on the dog, cross their arms and wait until jumping ceased then resume the test calmly. Between subtest 4 and 5 the dogs took part in two further subtests on play behavior carried out by Exp2, the results of which do not form part of this study.

CER

732 **Table** 733 <u>(supp</u>

Table 2 A list of behavioral measures that comprised the juvenile guide dog behavior test ethogram. Still frame images of the postural measures can be found in online supplementary material (supplementary figures 1-16)

Subtest	Behavior/Measure	Туре	Definition
All	Jumps	Continuous (count)	Dog's front two, or more, paws off the ground simultaneously (but not when rearing due to strong lead pulling)
	Whines	Continuous (count)	Frequency of whining bouts, with a bout defined as: a continuous emission of whining ending when whining stops
	Scratches	Continuous (count)	Dog scratches itself with back feet
	Barks	Binary	Scored as whether a bark was observed at any point during the video scoring
	Shakes	Binary	Shakes head or whole body (in subtests 5-7 only)
Subtest 1-	Pull Strength (greet)	Categorical	None - Lead relaxed, dog not straining against the lead or collar; Slight - Head extended forwards & lead tense but weight evenly distributed
Meet a	Leve De etcare	Diagona (4.10)	over all four feet; Extreme- weight forwards over front legs, rear legs pushing and/or one or more front paws raised off the floor.
Stranger	Low Posture	Binary (1/0)	Low posture during greeting: front legs bent; tall neutral or low AND wagging; nead lowered and ears backwards
Subtest 2 and	Sit/Wait/Down	Categorical	Dog obeyed 'sit' 'wait' or 'down' command and sits on hind quarters in response to (1) first command; (2) second command or more; (3)
3 - Obedience	Response		does not respond to command appropriately
	Gaze Proportion	Continuous (%)	The proportion of time spent gazing at the face of the handler, relative to the total length of the subtest
	Lip-licks	Frequency	Tongue briefly seen outside of mouth, sweeping across lips/muzzle or up to nose
Subtest 4 -	Crossed	Binary (1/0)	Dog walked on the path from one end to the other
Path	_		
Subtest 5-	Score	0-6	Number of body parts out of a maximum of six successfully checked
Body Check	Mouths	Continuous (count)	Low pressure, non-injurious grab of testers limbs or clothes with mouth. Recorded as a count of total number observed
	Licks	Binary (1/0)	Licking of Experimenters limbs or clothes. Recorded as 0/1 for each of the six body parts checked
Subtest 6 -	Ear Position	Binary (1/0)	Neutral - individual relaxed ear state, neither forwards nor backwards facing; Backwards - ears flattened backwards against the head,
Head Ring	T - 11 + 1 - 1 - 1 - 4	Cataland	exposing the inner ear lining to view
	Tall Height	Categorical	Neutral - relaxed tail allowed to fail vertically from where the tail joins the spine; Hair Op - ne tail failing below the level of the dog's back,
	Body Posture	Binary (1/0)	Neutral- weight evenly distributed head not extended: or Stretched, weight over front legs and head extended, when head inserted in ring
	Douy i osture		weight even y distributed, head hot extended, of stretened weight over none legs and head extended, when head inserted in high
Subtest 7 –	Attempts to Remove	Binary (1/0)	An attempt by the dog, successful or not, to remove the tea-towel from their back
lea-lowel	Turns Head	Binary (1/0)	Head turned to look at the tea-towel with no attempt to remove
	Change from Neutral	Binary (1/0)	Dog's body posture changed from neutral when tea-towel placed on back. Changes included: arched back; lowered tail and backwards ears
	Plays with	Binary (1/0)	Dog played with the tea-towel after removal. Play included: shaking; tearing at or running with the tea-towel held in mouth
Subtest 8 -	Pull Strength	Categorical	None – lead may be tense but dog's weight evenly distributed across all four feet and no straining against the lead; Medium – head
Subtest 10:	(distraction)		extended towards stimulus, weight pushing forwards and straining against the lead, all paws remain on the ground; Strong –weight
Food; Robin &			forwards, the dog is straining against the lead with head extended towards stimulus, back legs are stretched and one or more front paws
Pigeons			raised off the floor
Distractions	Time Oriented	Continuous	Time the dog remained oriented towards the stimulus, with head or head & body, after first recall prompt
		(seconds)	
	Approaches	Binary (1/0)	Dog left side of Experimenter and walked towards the stimulus
	Avoids	Binary (1/0)	Dog actively avoided the stimulus by backing away or walking closer to Experimenter (not observed in subtest 8)
Subtest 11:	Pull Strength (greet)	Categorical	As above
Human Distraction	Jumps	Binary (1/0)	Jumped up with front paws placed on human distraction (Exp 3)

Subtest	Variable	К	SE	df	р	Lower 95% Cl	Upper 95% Cl
All	Barks	0.62	0.17	39	<0.001	0.29	0.94
1: Meet a stranger	Low posture	0.77	0.15	39	<0.001	0.47	1.07
2: PW Obedience	"Sit" performance	0.70	0.13	39	< 0.001	0.45	0.94
	"Wait" performance	0.68	0.17	37	< 0.001	0.35	1.02
4: Path	Crossed	0.90	0.10	39	<0.001	0.69	1.10
5: Body Check	Licks	0.35	0.16	235	<0.001	0.05	0.66
	Mouths	0.71	0.08	235	< 0.001	0.54	0.87
6: Head Ring	Body posture	0.54	0.15	77	<0.001	0.24	0.84
	Ear position	0.85	0.06	76	<0.001	0.73	0.96
7: Tea-towel	Attempts to remove	0.84	0.63	79	<0.001	-0.40	2.07
	Change from neutral	0.62	0.13	79	< 0.001	0.36	0.87
	Plays with	0.80	0.07	79	<0.001	0.67	0.93
	Turns	0.62	0.09	79	<0.001	0.45	0.79
10: Pigeons	Approaches	0.63	0.20	39	<0.001	0.24	1.01
11: Human	Jumps	0.94	0.06	39	<0.001	0.84	1.05
5-7: Body sensitivity	Shakes	1.00	0.00	39	<0.001	1.00	1.00

Table 3 Results of Cohens Kappa (*K*) analysis for inter-rater reliability for the 16 binary variables of the juvenile guide dog behavior test (n=40 test videos). For subtests 5, 6 & 7 measures were repeated within the subtest so were combined, resulting in larger degrees of freedom for these measures.

Table 4 ICC coefficients, degrees of freedom, confidence intervals and confidence interval width for intra-rater reliability assessment of all continuous and ordinal variables from the of the juvenile guide dog behavior test (n=40 test videos). Continuous variable were assessed using the consistency method and single measure ICC values are reported, while for ordinal variables absolute agreement was applied and average measures are reported to achieve a mean weighted kappa.

Subtest	Variable	Data Type	icc	df	Lower 95% Cl	Upper 95% Cl	95% Cl Width
All	Jumps	Continuous	0.97	39	0.94	0.98	0.05
	Mouths	Continuous	0.88	39	0.78	0.93	0.15
	Scratches	Continuous	0.72	39	0.53	0.84	0.31
	Whines	Continuous	0.93	39	0.87	0.96	0.10
1: Meet a stranger	Pull strength	Ordinal	0.87	38	0.73	0.93	0.20
2: PW Obedience	"Down" performance	Ordinal	0.92	39	0.84	0.96	0.11
3: STR Obedience	"Sit" performance	Ordinal	0.93	39	0.86	0.96	0.10
	"Wait" performance	Ordinal	1.00	39	-	-	-
	"Down" performance	Ordinal	0.97	39	0.95	0.96	0.01
6: Head Ring	Tail height	Ordinal	0.63	77	0.42	0.76	0.35
8: Food	Pull strength	Ordinal	0.88	39	0.77	0.94	0.17
	Time oriented	Continuous	0.98	39	0.97	0.99	0.02
9: Robin	Pull strength	Ordinal	0.79	38	0.60	0.89	0.29
	Time oriented	Continuous	0.99	38	0.97	0.99	0.02
10: Pigeons	Pull strength	Ordinal	0.63	39	0.31	0.80	0.49
	Time oriented	Continuous	0.95	39	0.90	0.97	0.07
11: Human	Pull strength	Ordinal	0.73	39	0.48	0.86	0.38

Table 5 Test-retest correlations from the five and eight month juvenile guide dog behavior tests that achieved significance after Improved Bonferroni correction. *r* indicates the correlation coefficient; *P*, p values and *cP* corrected p-values using the Improved Bonferroni procedure; NS indicates Not Significant.

Subtest	Behavior/Measure	Test	r	Р	сР
All	Jumps	Spearman's	0.67	<0.001	<0.001
	Barks	Kendall's tau-b	0.46	<0.001	<0.001
	Whines	Spearman's	0.29	0.017	NS
Subtest 1- Meet a Stranger	Low posture	Kendall's tau-b	0.49	<0.001	<0.001
Subtest 5- Body Check	Mouths	Kendall's tau-b	0.33	0.005	NS
	Licks	Kendall's tau-b	0.35	0.005	NS
Subtest 6 - Head Ring	1 st Ear position	Kendall's tau-b	0.45	<0.001	0.002
	1 st Tail height	Kendall's tau-b	0.41	0.001	0.012
	1 st Body posture	Kendall's tau-b	0.26	0.032	NS
	2 nd Ear position	Kendall's tau-b	0.37	0.002	0.034
	2 nd Tail height	Kendall's tau-b	0.38	0.002	0.030
Subtest 7 - Tea towel	1 st Attempts to remove	Kendall's tau-b	0.44	<0.001	0.003
	1 st Change from neutral	Kendall's tau-b	0.36	0.003	NS
	1 st Plays with	Kendall's tau-b	0.46	<0.001	0.001
	2 nd Attempts to remove	Kendall's tau-b	0.60	<0.001	<0.001
	2 nd Change from neutral	Kendall's tau-b	0.49	<0.001	0.001
	2 nd Plays with	Kendall's tau-b	0.39	0.002	0.030
Subtest 8 - Food	Pull strength	Kendall's tau-b	0.34	0.002	0.021
	Time oriented	Spearman's	0.50	<0.001	<0.001
	Approaches	Kendall's tau-b	0.27	0.027	NS
Subtest 9 - Robin	Pull strength	Kendall's tau-b	0.34	0.003	0.046
	Time oriented	Spearman's	0.32	0.010	NS
	Approaches	Kendall's tau-b	0.61	<0.001	<0.001
Subtest 10 - Pigeons	Pull strength	Kendall's tau-b	0.36	0.001	0.011
Subtest 11- Human distraction	Jumps	Kendall's tau-b	0.38	0.002	0.028

Table 6 Results of binary logistic regression models; predictors significant to p<0.1. Dependant variable was withdrawal for behavior vs. qualification (n=52Q, 21W-B).

							% Odds	
Age (m)	Subtest	Measure	Wald	Р	OR	95% CI	Change	Unit
5	All	Barks	3.86	0.049	3.76	(1.00,14.08)	276%	Binary
	2: PW Obedience	Lip-licks	8.54	0.004	1.62	(1.17, 2.25)	62%	Per lick
	2: PW	Gaze	4.1	0.043	0.96	(0.92, 1.00)	-4%	Per %
	2: PW	'Down'	3.09	0.079	0.38	(0.13, 1.12)	-62%	Categorical
	Obedience	performance (1 st vs. none)						
	3: STR Obedience	'Down' performance (1 st vs. 3 rd)	3.14	0.076	0.31	(0.8, 1.13)	-69%	Categorical
	5-7: Body sensitivity	Shakes	4.85	0.028	0.25	(0.87, 1.14)	-75%	Binary
	7: Tea-towel	2 nd Attempts to remove	4.14	0.042	2.98	(1.04, 8.52)	198%	Binary
	7: Tea-towel	2 nd Plays with	4.16	0.046	2.92	(1.02, 8.30)	192%	Binary
	7: Tea-towel	1 st Attempts to remove	3.48	0.062	2.96	(0.95, 9.28)	192%	Binary
	8: Food	Time oriented	4.15	0.045	1.04	(1.00, 1.09)	4%	Per second
8	1: Meet a Stranger	Low Posture	4.78	0.028	0.16	(1.21, 0.83)	-84%	Binary
	7: Tea-towel	2 nd Turns	4.04	0.039	0.27	(1.07, 0.94)	-73%	Binary
	7: Tea-towel	1 st Change from neutral	3.60	0.058	6.23	(0.94, 41.20)	623%	Binary
	7: Tea-towel	1 st Plays with	3.04	0.081	2.78	(0.88, 8.75)	178%	Binary
	8: Food	Time oriented	4.77	0.027	1.05	(1.01, 1.10)	5%	Per second
	8: Food	Pull (Slight vs. None)	4.74	0.029	6.35	(1.20, 33.55)	535%	Categorical
	9: Robin	Approach	3.60	0.058	0.16	(0.02, 1.06)	-84%	Binary
	9: Robin	Pull (Slight vs. Strong)	4.08	0.043	0.23	(0.05, 0.96)	-77%	Categorical
	10: Pigeons	Avoid	4.89	0.022	5.89	(1.32, 29.78)	489%	Binary
	10: Pigeons	Pull (Strong vs. Slight)	3.10	0.078	0.24	(0.50, 1.17)	-76%	Categorical
	K							

Table 7 Rotated component matrix showing item loadings from a principal components analysis on six correlated variables from the 5 month juvenile guide dog behavior test. All variables were significantly associated with other and were associated with Guide Dogs' training outcome (qualification or withdrawal for behavior) to a significance of p<0.1.

		Component	
Subtest	Variable	5M-PC1	5M-PC2
7: Tea-towel	2 nd Attempts to remove	0.868	0.141
7: Tea-towel	2 nd Plays with	0.864	-0.009
7: Tea-towel	1 st Attempts to remove	0.783	0.028
All	Barks	0.112	0.689
2: PW obedience	Lip-licks	0.242	0.653
5-7: Body sensitivity tests	Shakes	0.264	-0.626

Table 8 Rotated component matrix showing item loadings from a principal components analysis on nine correlated variables from the 8 month juvenile guide dog behavior test. All variables were significantly associated with other and were associated with Guide Dogs' training outcome (qualification or withdrawal for behavior) to a significance of p<0.1.

		Component		
Subtest	Variable	8M-PC1	8M-PC2	8M-PC3
9: Robin	Pull strength	0.868	-0.062	-0.038
7: Tea-towel	1 st Plays with	0.746	0.260	-0.125
8: Food	Pull strength	0.695	-0.260	-0.211
10: Pigeons	Pull strength	0.609	-0.350	-0.011
10: Pigeons	Avoids	-0.205	0.780	-0.065
7: Tea-towel	1 st Change from neutral	0.040	0.720	0.110
7: Tea-towel	2 nd Turns	-0.212	0.065	0.812
9: Robin	Approach	0.472	-0.339	0.577
8: Food	Time oriented	0.431	-0.239	-0.474

Figure 1 Schematic representation of experimental set up for the first test arena, shown from above. Chairs were used to mark the outer perimeter and signs placed upon chairs marked the locations for the obedience commands. The path was placed in the centre only for that test, for all other tests it was placed upon chairs next to the other equipment. The position of the entry door represents its position in the majority of venues. Subtests 1-7 were conducted in this test arena.

Figure 2 Schematic representation of experimental set up of the second test arena for the final four subtests (8-11), shown from above. The dashed circles represent two small cones that mark the beginning of the subtest 8. The distances given remained constant whilst the distances between stimuli varied according to space available. 'Sausages 2' were removed for the second test, due to the dogs increased size and strength, and 'Sausages 1' was moved to 2m from the dogs start position. The dotted line represents the path taken through the subtests. The triangle indicates the position of Exp1 relative to the dog throughout the subtests.

Figure 3 Probability of a dog qualifying in guide dog training or being withdrawn for behavioral reasons plotted against the dogs actual training outcome and: A) Composite score from logistic regression in 5 month old dogs (including: time oriented towards the food (subtest 8), down performance (subtest 2 & 3); and two component scores from PCA (5M-PC1 and 5M-PC2)); B) Composite score from logistic regression in 8 month old dogs (including: three component scores, 8M-PC1, 8M-PC2, 8M-PC3, and one independent variable; 'low' greeting posture from subtest 1). The numbers inside the plots represent individual dogs placed according to their composite score. The dotted lines indicate a 10% probability point; dogs to the left of which have a less than 10% chance of being withdrawn. The grey boxes include all dogs with a chance of being withdrawn for behavior, greater than: A) 60% and B) 50%. Such thresholds are given as an example of how these models could be utilised to aid decision making within Guide Dogs by alerting those dogs with the highest and lowest chances of being withdrawn.

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HIGHLIGHTS

- A practical behavior test for juvenile guide dogs is described and evaluated
- Behaviors that demonstrate consistency across time are highlighted
- Dogs likely to qualify or be withdrawn from training were successfully identified
- The test is a reliable and valid method for testing the behavior of juvenile dogs

Supplementary material – Ethogram examples

This document includes still frame images taken from video footage of the juvenile guide dog behaviour test. These images are provided as a supplement to the ethogram described in Table 2 of the manuscript.



Supplementary Figure 1 Still frame examples of the three categories of pull strength observed during subtest 1 ('Meet a Stranger'). A, shows no pull; B, is showing slight pull; and C, is pulling strongly.



Supplementary Figure 2 Still frame example of a 'Low' posture observed during greeting in subtest 1 ('Meet a Stranger'). The dogs: front legs were bent; tail neutral or low and wagging; head lowered and ears backwards.



Supplementary Figure 3 Three still video image examples of a tail classified as being 'Up'. This was defined as the tail being in line with, or above, the level of the dogs back.



Supplementary Figure 4 Three still video image examples of a 'Half Up' tail, defined as: the tail falling below the level of the dogs back, but raised from neutral.



Supplementary Figure 5 Three still video image examples of 'Neutral' tails, which was defined as being a relaxed tail falling vertically from where the tail joins the spine. A low tail was a defined as a tail that was curled under/in between the dogs' legs; however low tails were not observed during any of the juvenile guide dog behaviour tests.



Supplementary Figure 6 Three still video image examples of 'Neutral' ear positions. (A) represents a borderline ear position, in this case the dog in question had smaller ears and when investigated further this dog retained a slightly forwards positions throughout testing from which point they would move forward or backward so this position was taken as 'neutral' for this dog. (B) A standard neutral ear position. (C) Note the comparative difficulty of ear visibility on black coated dogs.



Supplementary Figure 7 Three still video image examples of 'Backwards' ear positions.



Supplementary Figure 8 Still video image of a dog showing a lip-lick during the PW obedience section (subtest 2).



Supplementary Figure 9 Still video image of a gaze towards the puppy walker (PW) during the 'Down' command during subtest 2, the dogs PW is located outside the image to the left.



Supplementary Figure 10 Still video image of a gaze towards the experimenter (Exp1) during the 'Wait' command in subtest 3; Exp1 is located outside the image to the right holding the lead.



Supplementary Figure 11 Still video image example of a dog showing full head insertion with body 'Stretched' when offered a treat through a ring during subtest 6 'Head Ring'.



Supplementary Figure 12 Still video image example of a dog showing full head insertion with body 'Neutral' when offered a treat through a ring during subtest 6 'Head Ring': ears 'Backwards'; tail 'Up'; body 'Neutral' with weight evenly distributed.

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Supplementary Figure 13 Still video image of the two body postures recorded during the tea-towel subtest (subtest 7): (A) shows a dog with a neutral posture unchanged since before application of the tea-towel to the dogs back; (B) shows a dog with a posture that changed from neutral upon application of the tea-towel: ears are backward, tail low and back arched.



Supplementary Figure 14 Still video images from the head-cam worn by Exp1 showing two examples of gazing towards Exp1 during subtests 8-11.



Supplementary Figure 15 Still video images from the head-cam worn by Exp1 showing a dog that had stopped walking and oriented towards the 'pigeons' (subtest 10).



Supplementary Figure 16 Still video images from the head-cam worn by Exp1 showing the three different strengths of pull categorised in subtests 8-11. A) Shows a dog categorised as not pulling; weight is evenly distributed across all four feet. B) Shows a 'Medium' pull strength; weight is pushing forwards and dog is attempting to reach the stimulus. C) Shows a strong pull; weight forwards, lead tense, back legs stretched and pushing with both front paws off the ground.