**Experimental Investigations of Polymer Hollow Fibre Heat Exchangers for Building** 1 2 **Heat Recovery Application** Xiangjie Chen<sup>1</sup>, Yuehong Su<sup>1</sup>, Devrim Aydin<sup>1</sup>, David Reay<sup>2</sup>, Richard Law<sup>2</sup>, Saffa Riffat<sup>1</sup> 3 <sup>1</sup>Department of Architecture and Built Environment, University of Nottingham, University 4 Park, NG7 2JQ, Nottingham. United Kingdom 5 <sup>2</sup>David Reay & Associates, United Kingdom 6 7 Contact: Xiangjie Chen; Email:xiangjie.chen@nottingham.ac.uk 8 Abstract: Due to low cost, light weight and corrosion resistant features, polymer heat exchangers have been extensively studied by researchers with the aim to replace metallic heat 9 exchangers in a wide range of applications. Although the thermal conductivity of polymer 10 material is generally lower than the metallic counterparts, the large specific surface area 11 provided by the polymer hollow fibre heat exchanger (PHFHE) offers the same or even better 12 heat transfer performance with smaller volume and lighter weight compared with the metallic 13 shell-and-tube heat exchangers. This paper presents the construction and experimental 14 investigations of polypropylene based polymer hollow fibre heat exchangers in the form of 15 shell-and-tube. The measured overall heat transfer coefficients of such PHFHEs are in the 16 range of 258-1675W/m<sup>2</sup>K for water to water application. The effects of various parameters 17 on the overall heat transfer coefficient including flow rates and numbers of fibres, the 18 effectiveness of heat exchanger, the number of heat transfer unit (NTU), and the height of 19 transfer unit (HTU) are also discussed in this paper. The results indicate that the PHFHEs 20 could offer a conductance per unit volume of  $4*10^6$ W/m<sup>3</sup>K, which is 2~8 times higher than 21 the conventional metal heat exchangers. This superior thermal performance together with its 22

low cost, corrosive resistant and light weight features make PHFHEs potentially very good
substitutes for metallic heat recovery system for building application.

Key words: Polymer hollow fibre, heat recovery, heat exchanger, heat transfer, experimentaltesting

# 27 Nomenclature

- **28** *A* Heat transfer area  $(m^2)$
- 29  $C_p$  Specific heat (J/Kg K)
- 30 CUV Conductance per unit volume  $(W/m^3K)$
- 31 D Tube/shell diameter (m)
- 32 Gz Graetz number
- 33 HTU Height of transfer unit (m or cm)
- 34 k Thermal conductivity (W/mK)
- 35 L Length (m)

36	'n	Mass flow rate (kg/s)
37	Ν	Number of fibres inside the heat exchanger
38	NTU	Number of heat transfer unit
39	Nu	Nusselt number
40	$\Delta P$	Pressure drop (Pa)
41	Pr	Prandtle number
42	Q	Heat transfer rate (W)
43	<i>॑</i> V	Volumetric flow rate (m <sup>3</sup> /s)
44	R	Thermal resistance (m <sup>2</sup> /KW)
45	Re	Reynolds number
46	St	Stanton number
47	Т	Temperature (°C)
48	U	Overall heat transfer coefficient (W/m <sup>2</sup> K)
49	V	Volume (m <sup>3</sup> )
50		
51	Greek	x Letters/Subscripts
52	α	Surface to volume ratio $(m^2/m^3)$
53	c,i	Cold side inlet
54	с,о	Cold side outlet
55	ε	Heat exchanger effectiveness
56	i	Inside
57	C	Desiring fraction of a DIJETUE equals to $ND^2/D^2$
	λ	Packing fraction of a PHFHE equals to $ND_0^2/D_s^2$
58	λ h,i	Hot side inlet
58 59		
	h,i	Hot side inlet
59	h,i h,o	Hot side inlet Hot side outlet
59 60	h,i h,o lm	Hot side inlet Hot side outlet Logarithmic mean
59 60 61	h,i h,o lm o	Hot side inlet Hot side outlet Logarithmic mean Outside

65 t Tube side
66 u Linear velocity inside the tube (m/s)
67 μ Dynamic viscosity of the fluid(kg/ms)
68 w Wall

#### 69 **1. Introduction**

In the era of rapid global economic development, the growing world energy use has triggered 70 problems such as primary energy supply difficulties and world-wide environmental concerns 71 (carbon emission, global warming, air pollution, etc). In developed countries, the energy 72 consumption of buildings account for 20-40% of the total final energy consumption<sup>1</sup>. Heat 73 recovery systems<sup>2</sup> in the form of air ventilation systems<sup>3-5</sup>, membrane heat exchangers<sup>6,7</sup>, 74 metal heat exchanger<sup>8,9</sup> have been extensively studied by researchers with the aim to improve 75 energy efficiency and reduce energy costs for building applications. Most of such heat 76 77 recovery systems are made from metallic materials, which have the disadvantages in terms of weight and cost. In addition, specially treated metal heat exchanger is needed if the working 78 fluids are corrosive. Moreover, the manufacturing process of metal materials consumes 79 significant amount of primary energies, accompanied by carbon emissions. Given these 80 considerations, it is desirable to find an alternative material for heat exchangers that can 81 82 overcome these disadvantages and also acquire comparable heat exchange efficiency and be easily fabricated. This is where the use of polymer heat exchanger comes into place. With the 83 advantages of greater fouling and corrosion resistance, greater geometric flexibility and ease 84 of manufacturing, reduced energy of formation and fabrication, and the ability to handle 85 liquids and gases (i.e., single and two-phase duties), polymer heat exchangers have been 86 widely studied and applied in the field of evaporative cooling system<sup>10,11</sup>, micro-electronic 87 cooling devices<sup>12,13</sup>, water desalination systems<sup>14,15</sup>, solar water heating systems<sup>16,17</sup>, liquid 88 desiccant cooling systems<sup>18,19</sup>, etc. The detailed research progresses and various applications 89 of polymer hollow fibre heat exchanger can be found in the review paper<sup>20</sup>. Most importantly, 90 polymer materials can offer substantial weight, space, and volume savings, which make them 91 more competitive compared with heat exchangers manufactured from many metallic alloys. 92 Moreover, the energy required to produce a unit mass of polymers is about two times lower 93 than common metals, making them environmentally attractive $^{21}$ . 94

One of the drawbacks of polymer materials are their relatively low thermal conductivities, 95 typically in the range of 0.1 to 0.4  $W/m^2K$ , which is about 100-200 times lower than the 96 metal materials. In order to overcome this obstacle and increase the thermal performance of 97 polymer heat exchanger, researchers have studied the polymer heat exchangers with various 98 configurations: gas to air heat exchanger with triangular channels<sup>22</sup>, shell and tube or 99 immersion coil fluoropolymer heat exchanger<sup>23</sup>, air to water heat exchanger with rectangular 100 channel plate<sup>24</sup>, plastic falling-film evaporator<sup>25</sup>. But the overall heat transfer coefficients 101 achieved were still very low, which were in the range of 341-567 W/m<sup>2</sup>K, with the fibre 102 outside diameter between 2.54mm and 9.53mm. 103

104 The relatively low overall heat transfer coefficients can be improved and reach values comparable to metal heat exchangers, when the heat exchanger is made from polymer micro-105 hollow fibre with fibre wall thickness below 100µm<sup>25</sup>. Several researches have been focused 106 on the heat transfer mechanism of polymer micro-hollow fibre heat exchangers (PHFHE), 107 with inside and outside diameter (ID and OD) less than 0.1mm. Bourouni et al.<sup>26</sup> presented 108 109 experimental data on a falling film evaporator and condenser made of 2.5 cm diameter circular PP tubes (wall thickness of 5 mm) used in an 'aero-evapo-condensation process' for 110 desalination. The results showed that for the same thermal performance, such polymer heat 111 exchanger was 2-3 times cheaper than its metal counterpart. Zarkadas and Sirkar<sup>27</sup> reported 112 polymeric hollow fibre heat exchangers (PHFHE) for low temperature (up to 150-200°C) 113 applications. The overall heat transfer coefficients for the water-water, ethanol-water, and 114 steam-water systems reached 647-1314, 414-642, and 2000 W/(m<sup>2</sup>K), respectively. An 115 olefin/paraffin distillation system using hollow fibre structured packings (HFSP) was 116 proposed by Yang et al.<sup>28</sup>. This group of researchers recently scaled up the experiment and 117 long-term operational testing results were obtained and reported (Yang et al.<sup>29</sup>). The results 118 demonstrated that after long-term exposure to light hydrocarbon environments ( $\leq 70 \circ C$ ), the 119 mechanical properties of the PP polymer did not degrade significantly. Astrouski I. et al.<sup>30</sup> 120 studied the fouling effect of polymeric heat exchanger made from PP (inner and out fibre 121 diameter of 0.461mm and 0.523mm respectively) for the purpose of cooling TiO<sub>2</sub> suspension. 122 The experimental test results showed a very high overall heat transfer coefficient, with up to 123 2100W/m<sup>2</sup>K for clean conditions and 1750W/m<sup>2</sup>K for dirty conditions at the flow velocity of 124 0.05m/s. Zhao et al.<sup>31</sup> presented a numerical analysis of a novel PP hollow fibre heat 125 exchanger for low temperature applications using FLUENT. The heat transfer coefficient of 126 PP fibres was predicted to be achieved at 1109W/m<sup>2</sup>K with inside and outside fibre diameters 127 of 0.6mm and 1mm respectively. 128

129 The lack of extensive experience and testing data for polymer hollow fibre plastic heat exchanger and the unwillingness of industry partners to depart from well established metal 130 heat exchanger remain to be big barriers for the wide applications of this technology. With 131 the aim to experimentally investigate the effects of various working flow rates and number of 132 fibres on the overall heat transfer coefficients, and to validate the theoretical simulation 133 model developed by the authors, three different modules of polymer hollow fibre heat 134 exchanger (fibre ID of 450µm and OD of 550µm) were fabricated and tested in the laboratory 135 testing conditions. The effects of various parameters on the overall heat transfer coefficient 136 including flow rates, numbers of fibres, the effectiveness of heat exchanger, the number of 137 heat transfer unit (NTU), and the height of transfer unit (HTU) are discussed in this paper. 138 The experimental obtained overall heat transfer coefficient and overall conductance per unit 139 volume for PHFHE are compared with these of metal heat exchangers. The experimental 140 uncertainties occurred associated with the measurement of flow rates and working fluid 141 temperatures, etc. are also analysed. 142

143

144 **2.** Theory

Assuming there is no heat loss to the surrounding, the overall heat transfer rate Q, between the shell side and tube side fluids, is defined by the flow rates of the hot and cold fluids flow rates and their inlet and outlet temperatures, as shown in the following equation:

148 
$$Q = \dot{m}_t c_{p,t} (T_{c,o} - T_{c,i}) = \dot{m}_s c_s (T_{h,i} - T_{h,o})$$
 Eq. (1)

149 Where subscript *t* denotes tube side and *s* denotes shell side.

150 The overall heat transfer coefficient U, can be given by:

151 
$$U = Q/(A \Delta T_{lm})$$
 Eq. (2)

152 Where Q is an average heat transfer rate value between two fluids;

A is the heat transfer area (for hollow fibre heat exchanger, A is the total inside surface areaof the hollow fibres);

155  $\Delta T_{lm}$  is the logarithmic mean temperature difference (LMTD), and is defined as:

156 
$$\Delta T_{\rm lm} = \frac{\Delta T_1 - \Delta T_2}{\ln[\Delta T_1/\Delta T_2]}$$
 Eq. (3)

157 Here  $\Delta T1$  and  $\Delta T2$  are the temperature differences between two fluids at each end of a 158 heat exchanger. In our case, for counter-flow heat exchanger

159 
$$\Delta T1 = T_{h,i} - T_{c,o}$$
  $\Delta T2 = T_{h,o} - T_{c,i}$  Eq. (4)

160 The heat exchanger effectiveness  $\varepsilon$ , number of transfer unit (NTU) and the height of transfer 161 unit (HTU) can be calculated using the following equations<sup>32</sup>:

162 
$$\varepsilon = \frac{U_i A_i}{c_{min}} \frac{\Delta T_{lm}}{T_{h,i} - T_{c,i}}$$
Eq. (5)

163 NTU=
$$\frac{U_i A_i}{c_{min}} = \frac{U_o A_o}{c_{min}}$$
 Eq. (6)

164 
$$HTU=L/NTU$$
 Eq. (7)

165 Where L is the length of the heat exchanger and  $C_{min}$  is given by:

166 
$$C_{min} = \{\dot{m}_t C_t, \dot{m}_s C_s\}_{min}$$
 Eq. (8)

167 The performance comparison between PHFHEs and existing metal heat exchangers should be 168 made on a volumetric basis, so the so-called overall conductance per unit volume<sup>14</sup> (CUV) is 169 defined, which is the product of the heat transfer coefficient and the surface to volume ratio  $\alpha$ :

170 
$$CUV=\alpha U$$
 Eq.(9)

171 CUV in this case expresses the total amount of heat transferred per unit time and unit volume.
172 A higher CUV value indicates a more compact heat exchanger which can offer the same
173 thermal performance, or a heat exchanger that transfers more heat for the same heat
174 exchanger volume.

175 The surface to volume ratio  $\alpha$  of the PHFHE is the ratio between the fibre inside area to the 176 volume of the heat exchanger, which can be calculated by:

177 
$$\alpha = \frac{A_i}{V} = \frac{4ND_i}{D_s^2}$$
 Eq.(10)

the Graetz 178 In fluid dynamics, number (Gz) is a dimensionless number that characterizes laminar flow in a conduit. This number is useful in determining the thermally 179 developing flow entrance length in ducts. As stated by Hewit et al.<sup>33</sup>, small values of Gz (Gz 180 < 20) indicates that radial temperature profiles are fully developed inside the laminar flow 181 tube. The Gz number is defined as: 182

183 
$$G_z = \frac{D_H}{L} Re Pr$$
 Eq.(11)

- 184 Where
- 185  $D_H$  is the diameter in round tubes or hydraulic diameter in arbitrary cross-section ducts (m);
- 186 L is the length;
- 187 Re is the Reynolds number and
- 188 Pr is the Prandtl number.
- 189
- 190 The theoretical tube side pressure drop for a PHFHE can be calculated based on Darcy-
- 191 Weisban Equation as stated by <sup>34</sup>:

192 
$$\Delta P = fL \frac{\rho u^2}{2d_h}$$
 Eq.(12)

- 193 Where f is the flow resistance, also known as friction factor;
- 194  $\Delta P$  is the pressure drop of the tube side for PHFHE;
- 195  $\rho$  is the density of the water.
- 196 The shell side and tube side Reynolds number are calculated using following equation:

197 
$$Re = \frac{D*G}{\mu}$$
 Eq. (13)

- 198 Where, D is fiber inside/outside diameter for tube/shell side Reynolds number;
- 199  $\mu$  is dynamic viscosity of the tube/shell side fluid for tube/shell side Reynolds number ;

200 *G* is fluid mass velocity at the center line of the heat exchanger, detailed calculations could 201 be referred to Kern<sup>35</sup>.

The relationship between the tube side Reynolds number and tube side linear velocity is described by Kern<sup>35</sup> as following:

204 
$$Re_t = \frac{\rho * D_i * u_t}{\mu}$$
 Eq. (14)

The relationship between the shell side Reynolds number<sup>35</sup> and shell side linear velocity can be found in <sup>35</sup> as following:

207 
$$Re_s = \frac{\rho * D_o * u_s}{\mu}$$
 Eq. (15)

### 208 3. Apparatus and procedure

Polypropylene (PP) hollow fibres (manufactured by ZENA Ltd.) with outside diameter of 209 550µm and inside diameter of 450 µm were used for the fabrication of three modules, with 210 their geometrical information listed in Table 1. The shell side tube diameter was 15mm for 211 Module 1 and Module 2 and 22mm for Module 3. The three modules were fabricated in 212 213 following way: The two ends of the fibres in a bundle were glued together first using PTFE resin. The fibre bundle was then inserted into a plastic tubing which was connected by two 214 tee fittings, as shown in Figure 1. The fibre bundle was sealed with the two ends of the plastic 215 216 tubing and the excessive length of fibres was cut. The two ends of the plastic tubing can be connected with a water loop, so they serve as the inlet and outlet of one water flow. The tube 217 side hot water and shell side cooling water are in the counter flow direction. The detailed 218 images and testing rig of PHFHE modules could be found in Figure 1. 219

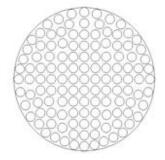


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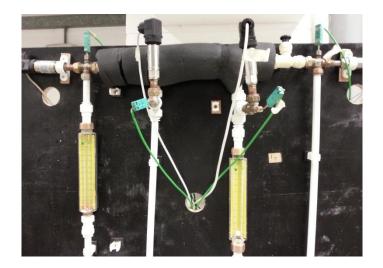
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A: PHFHE heat exchanger (fibre number: 100 and 200)



B: PHFHE heat exchanger cross section view (not to scale)

Figrue 1-a PHFHE heat exchanger



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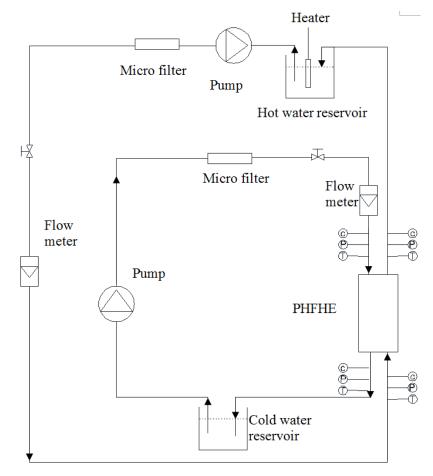
Figure 1-b PHFHE heat transfer measurement testing rig

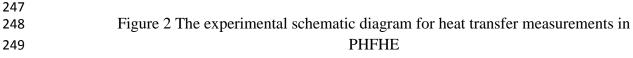
Table 1 C	Geometrical	Characteristic	of PHFHE
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Module	Fibre	Active	Total	λ	Ao $(cm^2)$	α
	number	Length	Length			
	(N)	(cm)	(cm)			
1	100	14.0	21.5	0.135	242	889
2	200	14.0	21.5	0.269	484	1778
3	400	14.0	21.5	0.538	968	3556

The schematic diagram of the experimental testing rig for the heat transfer measurement is 226 shown in Figure 2. A 10 kW electric heater which could provide hot water up to 80° C, was 227 228 used to provide hot water for the PHFHE module. Each time before starting the test, the heater was pre-setted to the required testing hot water condition. As soon as the hot water 229 temperature reached the desired testing value, the test was ready to start. In order to remove 230 any particulate matter and avoid blocking the hollow fibres, two micro filters (5 µm) for both 231 shell and tube sides were introduced before hot water and cooling water entering into the 232 PHFHE. The hot water feed was then introduced to the shell side of the PHFHE module from 233 the electric heater by a centrifugal pump at a constant flow rate (0.1-0.6l/min) which was 234 controlled by a ball valve. Tap water with the temperature around 14-16°C was used as the 235 cooling water, which passed through the shell side of the PHFHE at constants flow rates (0.2-236 237 2.01/min) controlled by a ball valve. In all runs, the hot water and cooling water went in counter flow directions. The inlet and outlet temperatures and pressures of two streams were 238 measured by K type thermocouples and pressure sensors (Ge UNIK 5000) with the accuracy 239 of  $\pm 0.2\%$  and  $\pm 0.5\%$  respectively. 240

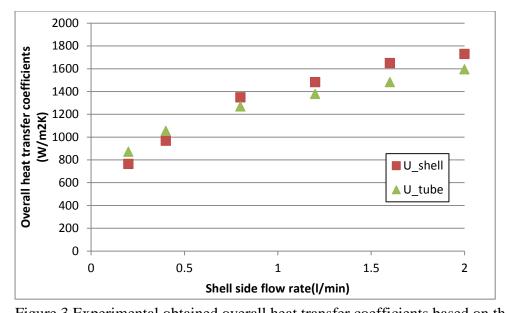
The experimental procedures applied for the tests are as following: Firstly the hot water flow rate was maintained at a fixed value, while the cooling water flow rates were varied from 0.2-2.01/min with 0.21/min increments. Temperatures of the inlet and outlet of the two streams were recorded every 10 seconds by a DT800Data taker, until two to five subsequent readings did not differ by more than  $\pm 0.1^{\circ}$ C. The hot water inlet temperature was varied between 38 °C to 69 °C, while the cooling water inlet temperature was kept between 14°C and 16°C.





#### 4. Results and Discussion

In order to obtain the overall heat transfer coefficients, the heat transfer rate Q should be 252 determined by the mass flow rate and the temperature difference for the tube side or shell 253 side. Figure 3 presents the experimentally obtained overall heat transfer coefficients under 254 the conditions when the tube side flow rate was 0.51/min, and the shell side flow rates 255 were varied between 0.21/min and 2.01/min. It can be found that when the shell side flow 256 rate is less than 0.81/min, the overall heat transfer coefficient calculated from the thermal 257 capacity change Q<sub>h</sub> of tube side is higher than that calculated from the thermal capacity 258 change Q<sub>c</sub> of shell side. When the shell side flow rate is higher than 0.81/min, the situation 259 is reversed. The difference between the U values calculated from the respective change of 260 the thermal capacity of two streams tends to increase largely as the shell side flow rate 261 increases. However, the difference of the U values obtained by two streams is less than 262 10%, with the discrepancy being amplified by the fact that very low flow rate was applied 263 264 in the tube side. As the shell side is well thermally insulated, heat loss may have a smaller effect on this discrepancy. So, in order to compensate and reduce the discrepancy, the 265 average Q values between the two streams are used for the following analysis and 266 discussions, as presented in the rest of the paper. 267



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269 270

Figure 3 Experimental obtained overall heat transfer coefficients based on the shell side stream conditions and tube side stream conditions

Table 2 Representative experimental testing data for the heat transfer

measurement of PHFHE

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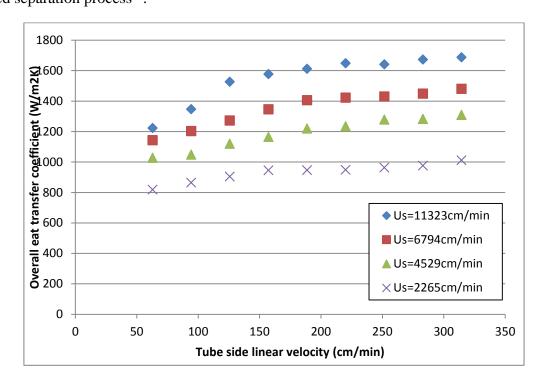
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Th,i	Th,o(°	Tc,i	Tc,o	$\dot{m}_t$ (l/min)	$\dot{m}_s$ (l/min)	Uo	3	NTU	HTU
(°c)	c)	(°C)	(°C)			(W/m <sup>2</sup> K)			(cm)
Module 1 N=100									
49.4	23.9	14.9	19.0	0.3	2.0	1675	0.741	1.461	18.9
42.3	26.8	13.5	18.9	0.5	1.6	1609	0.539	0.860	26.0
51.9	41.6	15.6	35.3	0.4	0.2	767	0.711	1.235	19.7
Module 2 N=200									
69.8	43.8	15.6	39.4	0.55	0.6	857	0.478	0.884	29.3
57.1	33.5	15.2	29.6	0.55	1.0	1010	0.562	1.042	24.9
44.7	17.3	15.0	20.2	0.2	1.9	1021	0.921	2.84	15.3
Module 3 N=400									
52.0	19.2	13.9	23.4	0.5	2.0	1138	0.862	2.384	5.9
46.4	14.4	14.2	16.6	0.1	0.2	258	0.991	5.065	2.8
65.4	31.2	28.1	37.5	0.3	1.2	741	0.550	1.818	7.7

274

We select some typical testing data for the heat transfer measurement of PHFHE and 275 summarize them in Table 2. These includes the hot water and cooling water inlet and outlet 276 temperature, the mass flow rate of the two streams, the calculated total heat transfer rate, and 277 the overall heat transfer coefficient, the heat exchanger effectiveness, the number of transfer 278 279 unit (NTU) and the height of transfer unit (HTU). We can see that the overall heat transfer coefficients for such PHFHE device could reach up to 1675W/m<sup>2</sup>K for a piece of tubing with 280 shell side diameter of 15mm and length of 14cm. In the literature<sup>36</sup>, the designed value for 281 tubular metal heat exchanger is around1100-1400W/m<sup>2</sup>K, which is even lower than the 282 experimental testing results of such PHFHE device. Inspection of the data in Table 2 also 283 shows that the high value of effectiveness and NTU, up to 0.991 and 5.065 respectively, 284 could be achieved for such PHFHE device. These values correspond to a very small HTU of 285

only 2.8cm, which is in good agreement with HTU obtained in microporous fibre membranebased separation process<sup>37</sup>.

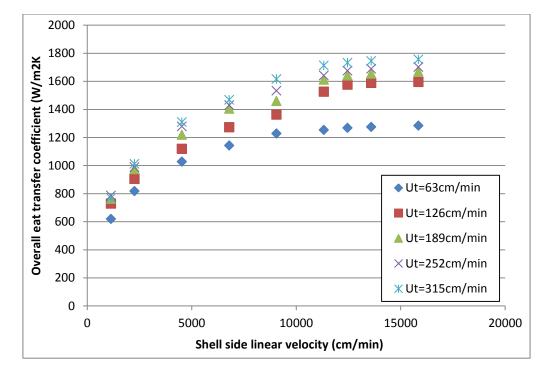


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Figure 4 Variations of experimental obtained overall heat transfer coefficients with respect to various tube side liner velocities (Module 1, hot water inlet temperature 48.5 °C)

In order to understand the relationship between the overall heat transfer coefficient and the 291 fluid velocity in both shell and tube side, we present the variations of U value with tube side 292 293 linear velocities when the shell side linear velocity changes from 2265cm/min to 294 11323cm/min. We can find from Figure 4 that higher tube side linear velocity will contribute to better overall heat transfer coefficient when the shell side linear velocity is at fixed value. 295 For instance, for shell side linear velocity at 6794cm/min, the overall heat transfer coefficient 296 increases about 1.8% from 1405W/m<sup>2</sup>K to 1430W/m<sup>2</sup>K when the tube side linear velocity 297 increases from 188cm/min to 252cm/min. Moreover, a common feature can be observed is 298 that when the tube side linear velocity increases, the U value reaches a plateau quickly. The 299 plateau U value is around 1600W/m<sup>2</sup>K for the shell side linear velocity of 11323cm/min, and 300 1000W/m<sup>2</sup>K for the shell side linear velocity of 2265cm/min. When the tube side linear 301 velocity is below 150cm/min, the heat transfer coefficient seems to follow a linear 302 dependence with respect to tube side linear velocity. We can introduce Gz number to help us 303 better understand the mechanism. According to Hewitt et al.<sup>33</sup>, Gz is a non-dimensional group 304 applicable mainly to transient heat conduction in laminar pipe flow. Gz represents the ratio of 305 the time taken by heat to diffuse radially into the fluid by conduction to the time taken for the 306 fluid to reach distance. By calculating the Gz number according to Equation (11), we can see 307 that the Gz number is in the range of 10 to 53 when the tube side linear velocity increases 308 from 63cm/min to 315cm/min (the same range as shown in Figure 4). As stated by Hewit et 309 al.<sup>33</sup>, small values of Gz (Gz < 20) indicates that radial temperature profiles are fully 310 developed inside the laminar flow tube. This means that when Gz number and tube side linear 311

- velocity are at lower values, forced convection is not the only mechanism for heat transfer,heat transfer by natural convection in the radial direction becomes more dominant.
- Figure 5 presents the variations of U value to the various shell side linear velocities for
- PHFHE module 1. We can find that the U value will increase as the shell side linear velocity
- improves from 1132cm/min to 11320cm/min. Similarly to Figure 4, after the shell side linear
- velocity reaches to 11000cm/min, the U value maintains at a stale value for most of the cases.
- For instance, when tube side linear velocity is fixed at 126cm/min and 63cm/min, the plateau
- 319 value of U is around  $1600 \text{W/m}^2\text{K}$  and  $1250 \text{W/m}^2\text{K}$  respectively.



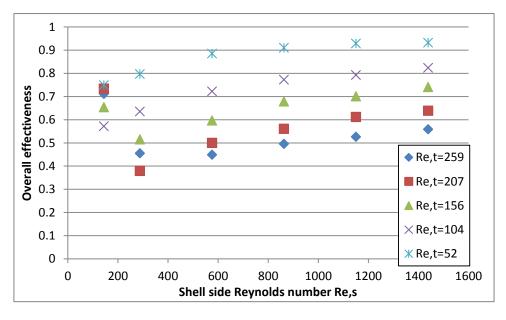
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Figure 5 Variations of experimental obtained overall heat transfer coefficients with respect to various shell side liner velocities (Module 1, hot water inlet temperature 48.5 °C)

Figure 6-8 depict the variations of overall effectiveness, NTU and HTU of PHFHE with 323 respect to various shell side Reynolds numbers. We can find from Figure 8 that higher shell 324 side Reynolds number will lead to higher overall effectiveness when the tube side Reynold is 325 at fixed value. For instance, at the tube side Reynolds number of 104, the overall 326 effectiveness changes from 0.773 to 0.793 when the shell side Reynolds number increases 327 from 863 to 1151. Figure 6 also reveals that at fixed shell side Reynolds number, the overall 328 effectiveness will decrease as the tube side Reynolds number increases. For example, at shell 329 side Reynolds number of 576, the overall effectiveness decreases from 0.597 to 0.5 when the 330 tube side Reynolds number increases from 156 to 207. Figure 7 shows that for most of the 331 332 cases (about 83%), the NTU is higher than 1. As the PHFHE device mainly operates in laminar flow regime, Figure 7 also reveals that high NTU can be obtained at low tube side 333 Reynolds number, which is in good agreement with the heat transfer literature<sup>38</sup>. Inspection 334 of Figure 6 and 7 also shows that, the overall effectiveness first decreases and then increases 335 as the shell side Re number improves. The reason is because that, according to Eq. (5), the 336 337 effectiveness is proportional related to C<sub>min</sub>, which is the minimum product of the flow rate

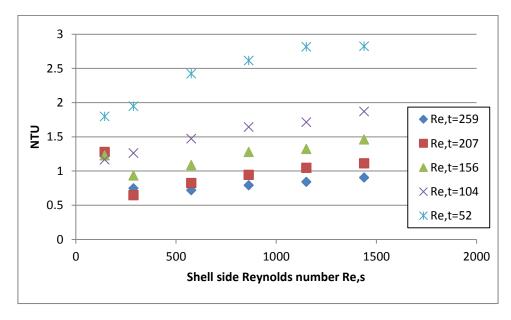
multiple by Cp for shell side and tube side. At lower shell side Re number (Re,s =144) and
higher tube side Re number (Re,t>156), the effect of shell side flow rate on the effectiveness
is more dominant. As the shell side Re number becomes higher than the tube side Re number,
the effectiveness is more dependent on tube side Re number. That is why there is a small
fluctuation at lower shell side Re number.

From Figure 6-8, we can see that high value of heat exchanger effectiveness and NTU, 0.932 and 0.822 respectively, could be achieved at the tube side Reynolds number of 52 and shell side Reynolds of 1439. However, inspection of Figure 6-8 further indicates that relatively low effectiveness and NTU values, accompanied by high HTU also exist. This means that the rating of the PHFHE device is rather important. In order to achieve higher effectiveness and better thermal performance, the rating of PHFHE device should be performed properly.



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Figure 6 Variations of overall effectiveness with respect to various shell side Reynolds number (Module 1, hot water inlet temperature 48.5 °C)



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Figure 7 Variations of NTU with respect to various shell side Reynolds number (Module 1, hot water inlet temperature 48.5 °C)

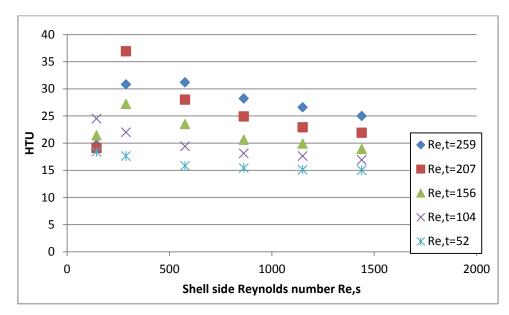
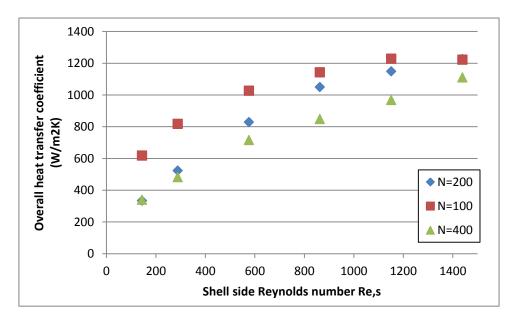


Figure 8 Variations of HTU with respect to various shell side Reynolds number (Module 1, hot water inlet temperature 48.5 °C)

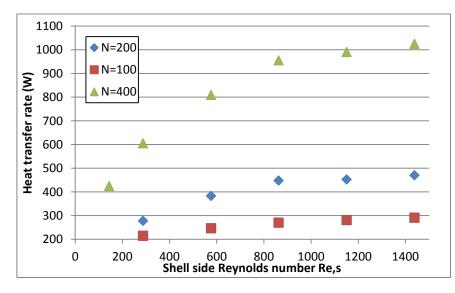
Figure 9-11 show the comparisons of overall heat transfer coefficients, heat transfer rate and 358 LMTD for different fibre numbers under various shell side Reynolds numbers, at fixed tube 359 360 side Reynolds number. It can be found that at the same shell and tube side Reynolds number, the module with smaller fibre number produces higher overall heat transfer coefficient. For 361 instance, at shell side Reynolds number of 288, the overall heat transfer coefficient decreases 362 from 817.6 W/m<sup>2</sup>K to 523.5 W/m<sup>2</sup>K, till 481.9 W/m<sup>2</sup>K as the fibre number changes from 100, 363 200 to 400. The reason can be referred to Equation (2), the U value is closely related to the 364 total heat transfer rate Q,  $\Delta T_{lm}$ , and the heat transfer area A. As shown in Figure 10, at shell 365 side Reynolds number of 288, when the fibre number increases from 100 to 200, the total 366 heat transfer rate increases about 29.1% from 214.8W to 277.3W. Figure 11 indicates that at 367 368 the same condition,  $\Delta T_{lm}$  decreases about 0.7% from 11.6 °C to 9.9°C, as the fibre number increases from 100 to 200. In the meantime, the total heat transfer area improves twice as the 369 fibre number increase from 100 to 200. Compares the abovementioned percentage difference, 370 we can see that the change of fibre numbers plays more dominant role on the overall heat 371 transfer coefficients. Therefore, the increase of fibre number will lead to the decrease of 372 373 overall U value. This is also the reason as U value deceases when the fibre number increases 374 with the variations of tube side Reynolds number, as shown in Figure 12.

Inspection of Figure 9 -11 further reveals an interesting phenomenon: at lower shell side flow rate, the heat transfer rate stays very close for N=200 and N=100, while there is a much bigger difference for N=400 and N=200. For instance, at shell side Re number of 288, the Q value increases about 29.1% from 214.8 W/m<sup>2</sup>K to 277.3W as the fibre number increases from 100 to 200, while it soars about 64.2% from 277.3W to 605.6W as the fibre number improves from 200 to 400. On the other hand, at lower shell side flow rate, the overall heat transfer rate for N=200 and N=400 are approaching each other, while there is a big gap between N=100 and N=200. Hence, when we design the PHFHE device, the fibre numbers should be selected properly in order to maintain effective heat transfer while making full uses of the fibre materials.



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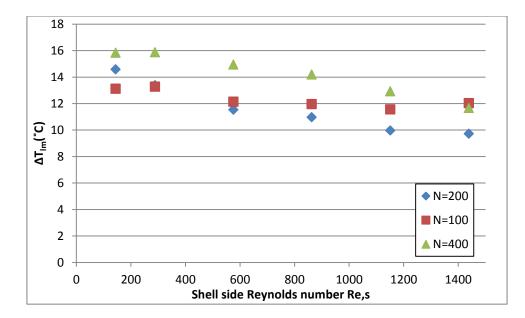
Figure 9 Comparisons of overall heat transfer coefficients for Module 1-3 under various shell
 side flow rate and at fixed tube side Reynolds number



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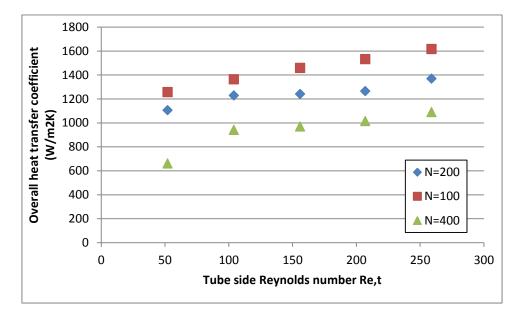
Figure 10 Comparisons of heat transfer rate for Module 1-3 under various shell side flow rate
 and at fixed tube side Reynolds number

391





393Figure 11 Comparisons of  $\Delta T_{lm}$  for Module 1-3 under various shell side flow rate and at fixed394tube side Reynolds number



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Figure 12 Comparisons of overall heat transfer coefficients for Module 1-3 under various
tube side flow rate and at fixed shell side flow rate of 1.6l/min

398	Table 3 Percentage contribution of tube side, shell side and fibre wall resistance to the overall
399	resistance

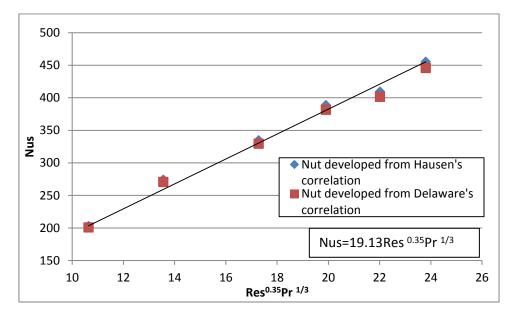
Module	Fibre number	Rt/Rov(%)	Rs/Rov (%)	Rw/Rov (%)
1	<mark>100</mark>	<mark>4-10</mark>	<mark>35-56</mark>	<mark>18-31</mark>
2	<mark>200</mark>	<mark>3-8</mark>	<mark>38-62</mark>	<mark>15-28</mark>
<mark>3</mark>	<mark>400</mark>	<mark>2-7</mark>	<mark>40-66</mark>	<mark>13-25</mark>

Table 3 presents the percentage contribution of the three major resistances to the overall
 resistance. The results indicate that tube side resistance are the smallest of the three, therefore
 by increasing the tube-side Reynolds number, little improvement will be achieved for the

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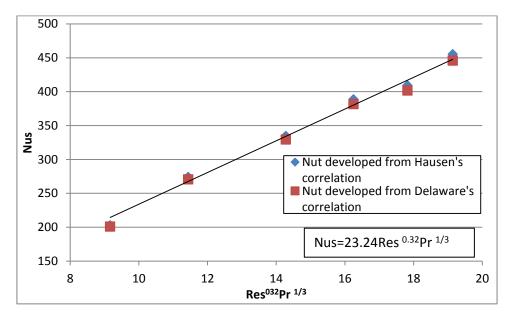
403 overall heat transfer performance. By increasing the fibre numbers from 100, 200 to 400, the
 404 overall heat transfer coefficients tend to decrease accordingly, and the percentage

405 contribution of shell side resistance will play more dominant role.



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Figure 13 Shell side Nu numbers with respect to Re and Pr number using two different
 correlations (correlation 1)



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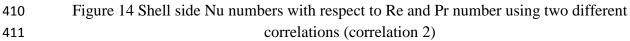
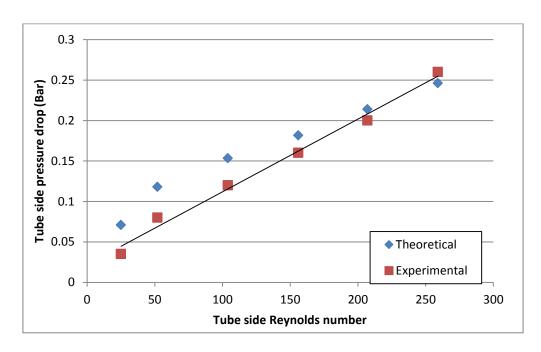


Figure 13 and Figure 14 present the relationships between shell side Nu numbers and Re, Pr number using two different correlations from the literature. Both suitable for laminar flow conditions and validated by various authours<sup>39-41</sup>, Hausen's correlation<sup>42</sup> and Delaware's correlation<sup>35</sup> were applied respectively for calculating the tube side heat transfer coefficients. Then, the shell side heat transfer coefficients and the shell side Nu number could be derived from the experimental obtained overall heat transfer coefficients. The Nu-Re plot shown in

Figure 13 and Figure 14 indicated very good agreement of shell side Nu numbers using two 418 different correlations. A well correlated equation showing shell side Nu number as the 419 function of Re and Pr number is also presented respectively in Figure 13 and Figure 14. The 420 difference between the correlation presented in Figure 13 and Figure 14 is the exponent of 421 shell side Re number. Comparing the discrepancy of the correlated equation with results 422 obtained from Hausen's and Delaware correlations, it can be found that the derived 423 correlation 1 with exponent of 0.35(in Figure 13) is more suitable for shell side Re number 424 425 less than 200 or larger than 1200, with the minimum difference of 0.3%. While the derived correlation 2 with exponent of 0.32(in Figure 14) is more close to results obtained from 426 Hausen's and Delaware correlations (with the minimum difference of 0.14%), when the shell 427 side Re number is in the range of 200-1200. 428

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Figure 15 Variations of theoretical and experimental obtained tube side pressure drops under
different tube side Re numbers. (Module 1)

Figure 15 shows the comparisons of theoretical and experimental obtained tube side pressure 433 drops under different tube side Re numbers for fibre number N=100. The theoretical tube side 434 pressure drop is calculated using Eq. (12). The experimental tube side pressures of PHFHE 435 are monitored by pressured transducer sensors (GE UNIK 5000). We can see from the 436 diagram that increasing the tube side Re number will result in higher tube side pressure drop. 437 Moreover, a liner relationship could be derived between experimental obtained Re number 438 and tube side pressure drop with  $R^2=0.99$ . We can also find that the experimental obtained 439 pressure drops are quite close to the theoretical values, with the minimum percentage 440 difference of 5.6%. As the tube sider Re number increase, the difference between the 441 theoretical and experimental results decreases. 442

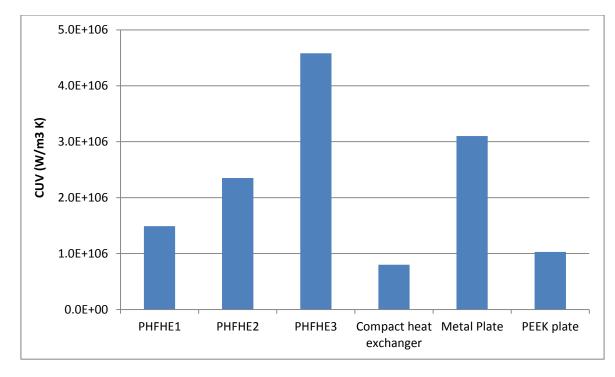
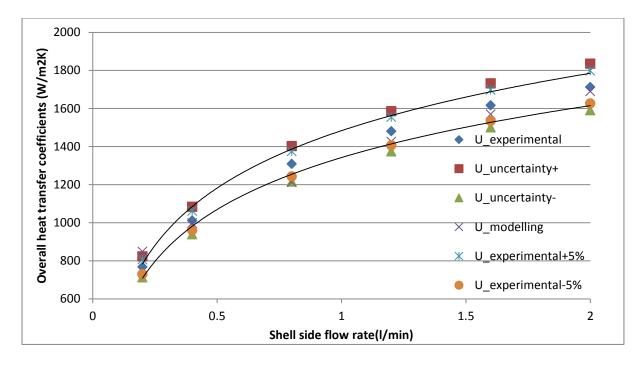




Figure 16 Comparisons of overall conductance per unit volume between PHFHE with conventional heat exchangers

Figure 16 shows the comparisons of overall conductance per unit volume between PHFHEs 446 with conventional metal and plastic heat exchangers. A compact metal heat exchanger with 447 wall thickness of  $0.4 \text{ mm}^{43}$ , a plate heat exchanger with 0.4 mm thickness<sup>36</sup>, and a PEEK plate 448 heat exchanger<sup>17</sup> are chosen for comparisons. We can see from Figure 15 that PHFHE 449 modules generally demonstrate higher CUV values (about 2-8 times) compared with 450 conventional metal and plastic heat exchangers. Despite the relatively low overall heat 451 transfer coefficients, the large surface area to volume ratio of PHFHEs offers controlling 452 factor of performance on a volumetric basis. For instance, for PHFHE module 3 (fibre 453 number=400), the CUV values are about 7 times higher than the compact tube heat 454 exchanger<sup>43</sup>, and 1.5 times higher than the metal plate heat exchanger<sup>36</sup>. However, the values 455 in Figure 16 for the metal heat exchangers already represent the cutting edge of current 456 technology. While the packing/manufacturing technology for the PHFHEs are currently only 457 subjected to laboratory testing conditions. Hence, we could expect more area to be packed in 458 the PHFHEs, and this will result in even better heat transfer performance and thermal 459 capabilities, which exceeds greatly over the metal counterparts. 460



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Figure 17 Comparisons of overall heat transfer coefficients obtained from experiments, uncertainty calculations and the modelling results

The uncertainty analysis of the experimental results shown in Figure 17 is performed using 464 the methods proposed by Moffat<sup>44</sup>. Considering all the measurement uncertainties for mass 465 flow rates, temperatures, and fibre diameters, the experimental uncertainties for the overall 466 heat transfer coefficients is between  $\pm 7.1\%$  and  $\pm 9.8\%$ . Based on the experimental inlet and 467 468 outlet streams conditions, the simulation programme developed by the authors was applied and results are presented in Figure 15. We also plot two curves showing the deviations of  $\pm 5\%$ 469 from the experimental obtained results. We can find that, in general, the simulation results 470 fall in good agreement with the experimental data, with differences less than 5%. 471

#### 472 **5.** Conclusion

The PP based polymer hollow fibre heat exchangers were manufactured and tested under various shell (0.2-2.0l/min), tube side flow rate (0.1-0.6l/min) and tube side water temperatures (40-70°C). The maximum experimental obtained overall heat transfer coefficients were achieved in module 1 of PHFHE, with the U values between 1700-1800W/m<sup>2</sup>K. These values are higher than other results reported in literature for water to water applications in polymer hollow fibre heat exchanger.

Three different PHFHE modules with fibre numbers of 100, 200 and 400 were manufactured and the thermal performances were compared in the tests. The experimental obtained overall heat transfer coefficients were 758-1675W/m<sup>2</sup>K, 369-1453W/m<sup>2</sup>K and 296-1201W/m<sup>2</sup>K respectively for Module 1, 2 and 3. This indicates that module 1 offers higher U value compared with the other two modules.

By changing the tube and shell side flow rate, the effectiveness, NTU and HTU of PHFHE
modules are also investigated. With the active length of 14cm, the module 1 of PHFHE could

attain high value of effectiveness and NTU, up to 0.991 and 5.065 respectively. The HTU
achieved was as low as 2.8cm, about 35 times less than the lower limit for shell and tube heat
exchangers and 20 times lower than typical values for plate heat exchangers. Such results
demonstrate that if PHFHE devices could be rated and designed properly, they could achieve
relatively high NTU in a single module.

491 Since the surface area per unit volume in such PHFHEs is quite high, in the range of 880- $3600 \text{ m}^2/\text{m}^3$ , their volumetric rate of heat transfer is very high. Comparisons of CUV between 492 PHFHEs and metal heat exchangers reveals that the CUV values of PHFHEs are 493 approximately 2-7 times higher than the metal counterparts. This superior performance can 494 result in potentially more compact designs based on PHFHE devices, for water desalination, 495 496 solar water heating system, and automotive applications. Therefore, the superior thermal performance, and large heat transfer areas, and the advantages of low price and light weight 497 of polymer materials, make PHFHEs a promising substitute over conventional metal heat 498 recovery system for building application. 499

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